

January 18, 1999

I propose to make notes on my lectures about operators and related analytic functions.

Let X be a Hilbert space, let c be a contraction operator on X , which means c satisfies the following equivalent conditions:

- | | | |
|---|--------------------------------------|--|
| 1) $\ c\ \leq 1$ | $\ c\ \leq 1$ | 1)' $\ c^*\ \leq 1$ |
| 2) $(x, c^*cx) \leq (x, x) \quad \forall x$ | | 2)' $(x, cc^*x) \leq (x, x) \quad \forall x$ |
| 3) $1 - c^*c \geq 0$ | | 3)' $1 - cc^* \geq 0$. |

Prop 1. If c is a contraction on X , then there exists a triple (E, u, j) with E a Hilbert space, u a unitary operator on E and $j: X \rightarrow E$ an isometry ~~satisfying~~ satisfying

$$j^* u^n j = \begin{cases} c^n & n \geq 0 \\ (c^*)^{-n} & n \leq 0 \end{cases} \quad E = \overline{\sum_{n \in \mathbb{Z}} u^n jX}$$

Moreover (E, u, j) is unique up to canonical isom.

Note the above condition for $n \leq 0$ is equivalent to the condition for $n \geq 0$ by adjointness. Also for $n=0$ it says $j^*j = 1$, ~~i.e.~~ ^{i.e.} $\|jx\| = \|x\| \quad \forall x$, and j is an isometry.

We construct E by completing the space $\bigoplus_{n \in \mathbb{Z}} z^n X$ ^(alg. direct sum) of Laurent poly functions on $|z|=1$ with values in X .

We define a hermitian form on Laurent polynomials ~~so that~~ so that $(z^k x_k, z^l x_l)$ equals the desired inner product in E under $z^k x_k \mapsto u^k j x_k$.
 Thus $(z^k x_k, z^l x_l) = (u^k j x_k)^* (u^l j x_l) = x_k^* j^* u^{-k+l} j x_l$
 $= x_k^* j^{-k+l} x_l$, where $f_n = j^* u^n j = \begin{cases} c^n & n \geq 0 \\ c^{*-n} & n \leq 0. \end{cases}$

We have to prove positivity of this inner product; then we can complete the space of Laurent polynomials to obtain the desired (E, u, j) . By replacing c by zc with $0 < z < 1$ and letting $z \uparrow 1$ we can reduce to the case $\|c\| < 1$. Then the Fourier series with coefficients f_{-n} :

$$\begin{aligned} \sum_{n \in \mathbb{Z}} \bar{z}^n f_n &= \sum_{n > 0} \bar{z}^n c^n + \sum_{n \geq 1} z^n c^{*n} = \frac{1}{1-\bar{z}c} + \frac{zc^*}{1-zc^*} \\ &= \frac{1}{1-\bar{z}c} \left(1-zc^* + (1-\bar{z}c)zc^* \right) \frac{1}{1-zc^*} = \frac{1}{1-\bar{z}c} (1-cc^*) \frac{1}{1-zc^*} \\ &\stackrel{\text{also}}{=} \frac{1}{1-zc^*} (1-zc^* + zc^*(1-\bar{z}c)) \frac{1}{1-\bar{z}c} = \frac{1}{1-zc^*} (1-c^*c) \frac{1}{1-\bar{z}c} \end{aligned}$$

~~converges~~ converges. We have for $x(z) = \sum_k z^k x_k$ a Laurent polynomial

$$\begin{aligned} (x(z), x(z)) &= \sum_{k, \ell} x_k^* f_{-k+\ell} x_\ell = \sum_{k, \ell} x_k^* \int_{\mathbb{T}} z^{-k+\ell} \frac{d\theta}{2\pi} x_\ell \\ &= \int x(z)^* \int x(z) \frac{d\theta}{2\pi} = \int \|T(x(z))\|^2 \frac{d\theta}{2\pi} \geq 0 \end{aligned}$$

where $T(z) = (1-c^*c)^{1/2} \frac{1}{1-\bar{z}c}$ or $(1-cc^*)^{1/2} \frac{1}{1-zc^*}$.

Call (E, u, j) the standard dilation of c .

Next, another description. Motivation: Consider

$$\begin{aligned} \{X + u_j X \subset E. \text{ This is the completion of the} \\ \text{space of pairs } (x_0, x_1) \in X^{\oplus 2}, \text{ equiv. polys } x_0 + z x_1, \\ \text{for the norm } \|j x_0 + u_j x_1\|^2 &= \|x_0\|^2 + (j x_0, u_j x_1) + (u_j x_1, j x_0) + \|x_1\|^2 \\ &= \|x_0\|^2 + (x_0, c x_1) + (c x_1, x_0) + \|c x_1\|^2 + \|x_1\|^2 - \|c x_1\|^2 \\ &= \|x_0 + c x_1\|^2 + \|x_1\|^2 - \|c x_1\|^2. \end{aligned}$$

Def $V_+ x = u_j x - j c x = (u_j - j c) x$
 $V_- x = u^{-1} j x - j c^* x = (u^{-1} j - j c^*) x$

~~Prof 1~~ Prof 2. E admits an orthogonal Hilbert space direct sum decomposition

$$E = \bigoplus_{n \leq 0} u^n V_- \oplus jX \oplus \bigoplus_{n \geq 0} u^n V_+$$

where $V_{\pm} = \overline{V_{\pm} X}$.

Proof. Formulas:

$$j^* u^n V_+ = 0 \quad n \geq 0,$$

$$V_+^* u^n V_+ = 0 \quad n \neq 0. \quad \text{Also } j^* u^n V_- = 0 \quad n \leq 0,$$

$$V_-^* u^n V_- = 0 \quad n \neq 0, \text{ and } V_-^* u^n V_+ = 0 \quad n \geq 0$$

$$j^* u^n V_+ = j^* u^n (u_j - j c) = j^* u^{n+1} j - j^* u^n j c = c^{n+1} - c^n c = 0$$

$$V_+^* u^n V_+ = (u_j - j c)^* u^n V_+ = (j^* u^{-1} - c j^*) u^n V_+ = 0$$

$$V_-^* u^n V_+ = (u^{-1} j - j c^*)^* u^n V_+ = j^* u^{n+1} V_+ - c j^* u^n V_+ = 0.$$

Also should have $V_+^* V_+ = 1 - c^* c, \quad V_-^* V_- = 1 - c c^*.$

$$V_+^* V_+ = (u_j - j c)^* (u_j - j c) = j^* u^{-1} (u_j - j c) = j^* j - j^* u^{-1} j c = 1 - c^* c.$$

Check direct sum contains jX and is stable under u, u^{-1} .

~~Check direct sum contains jX and is stable under u, u^{-1} .~~

$$u_j x = j c x + V_+ x \Rightarrow u_j x \subset jX \oplus V_+.$$

$$u V_- x = u (u^{-1} j - j c^*) x = j x - u j c^* x \Rightarrow u V_- \subset jX + u_j x \subset jX \oplus V_+$$

January 19, 1999

Recall the situation: (X, c) dilation (E, u, j) ,
 $V_{\pm} = \overline{V_{\pm} X}$. We know the subspaces $\{u^n V_{\pm}\}_{n \in \mathbb{Z}}$
 are mutually orthogonal, hence $\bigoplus_{n \in \mathbb{Z}}^{(2)} u^n V_{\pm}$ is ~~closed~~
 a closed subspace of E which can be identified
 with $L^2(S'_{\pm}, V_{\pm})$ via $\sum u^n v_{\pm, n} \leftrightarrow \sum z^n \hat{v}_{\pm, n}$. Let

$$j_+ : L^2(S'_+, V_+) \xrightarrow{\sim} \bigoplus_{n \in \mathbb{Z}}^{(2)} u^n V_+ \hookrightarrow E$$

be the inclusion. Its adjoint $j_+^* : E \rightarrow L^2(S'_+, V_+)$
 is called the outgoing representation. Similarly the
 adjoint of

$$j_- : L^2(S'_-, V_-) \xrightarrow{\sim} \bigoplus_{n \in \mathbb{Z}}^{(2)} u^n V_- \hookrightarrow E$$

gives the incoming representation.

Prop. 3. $j_+^* j_+ x = V_+ \left(\frac{1}{z-c} x \right)$, $j_-^* j_- x = V_- \left(\frac{1}{z^{-1}-c^*} x \right)$.

First the picture:

$$\begin{array}{ccc}
 L^2(S'_+, V_+) = & \dots \oplus u^{-2} V_+ \oplus u^{-1} V_+ \oplus V_+ \oplus u V_+ \oplus \dots & \\
 \downarrow j_+ & \cap & \parallel \parallel \\
 E = & \dots \oplus u^{-1} V_- \oplus V_- \oplus \underbrace{j_+ X'} \oplus V_+ \oplus u V_+ \oplus \dots & \\
 \uparrow j_- & \parallel \parallel & \cup \\
 L^2(S'_-, V_-) = & \dots \oplus u^{-1} V_- \oplus V_- \oplus u V_- \oplus u^2 V_+ \oplus \dots &
 \end{array}$$

Let $\xi \in E$. $j_+^* \xi$ is the projection of ξ onto $\bigoplus_{\mathbb{Z}}^{(2)} u^n V_+$; the
 components of $j_+^* \xi$ for $n \geq 0$ can be obtained by projecting
 ξ onto $V_+ \oplus u V_+ \oplus \dots$. To obtain the components for $n < 0$
 one can take $u^N \xi$ project onto $V_+ \oplus u V_+ \oplus \dots$
 and apply u^{-N} . Carry this out for $\xi = j_+ x$.

$$u_j x = j c x + v_+ x$$

$$u^2 j x = j c^2 x + v_+ c x + u v_+ x$$

$$u^3 j x = j c^3 x + v_+ c^2 x + u v_+ c x + u^2 v_+ x$$

$$* \quad u^{n+1} j x = j c^{n+1} x + \sum_{k=0}^n u^{n-k} v_+ c^k x$$

$$j x = u^{-1-n} j c^{n+1} x + \underbrace{\sum_{k=0}^n u^{-1-k} v_+ c^k x}_{\text{gives the components } j_+^* j x \text{ in degrees } \geq -n-1.}$$

$$\begin{aligned} \therefore j_+^* j x &= \sum_{k=0}^{\infty} u^{-1-k} v_+ c^k x \iff \sum_{k=0}^{\infty} v_+ z^{-1-k} c^k x \\ &= v_+ \frac{1}{1-z^{-1}c} z^{-1} x = v_+ \left(\frac{1}{z-c} x \right). \end{aligned}$$

From * above we get

$$\|x\|^2 = \|c^{n+1} x\|^2 + \sum_{k=0}^n \|v_+ c^k x\|^2$$

which is also clear since $\|v_+ c^k x\|^2 = (c^k x, (1-c^*c)c^k x) = \|c^k x\|^2 - \|c^{k+1} x\|^2$. This shows that

$$\|x\|^2 = \lim_{n \rightarrow \infty} \|c^n x\|^2 + \|j_+^* j x\|^2$$

Prop. 4 One has $\|x\|^2 = \|j_+^* j x\|^2$, i.e. the outgoing representation preserves the norm on jX , iff $\lim_{n \rightarrow \infty} \|c^n x\| = 0, \forall x \in X$. In this case $j_+^* j_+$ give a unitary isom ~~between~~ E and $L^2(S^1, V_+)$. ^{and conversely} similarly $\lim_{n \rightarrow \infty} \|c^{*n} x\| = 0 \iff \|x\| = \|j_-^* j_- x\| \forall x \iff j_-^* j_-$ give unitary isomorphism $L^2(S^1, V_-) \simeq E$.

The equiv. between $\|x\| = \|j_+^* j_+ x\|, \forall x$ and $\|c^n x\| \rightarrow 0 \forall x$ is clear. This holds exactly when $jX \subset j_+ L^2(S^1, V_+)$, otherwise the norm on jX would decrease on projecting onto $j_+ L^2(S^1, V_+)$. Then $E = j_+ L^2(S^1, V_+)$ since ~~is~~ E is generated by jX, u, u^{-1} .

January 25, 1999

Recall that the Riemann sphere $\mathbb{C} \cup \{\infty\}$ can be viewed as the space $\mathbb{C}P^1$ of complex lines in \mathbb{C}^2 , the line $\begin{pmatrix} z_1 \\ z_2 \end{pmatrix} \mathbb{C}$ corresponding to $\frac{z_1}{z_2} = z$ in the Riemann sphere. This leads to the action of $GL_2(\mathbb{C})$ by fractional linear transformations on the RS.

$\begin{pmatrix} a & b \\ c & d \end{pmatrix}: z \mapsto \frac{az+b}{cz+d}$. Let $U(1,1)$ be the subgroup

of $GL_2\mathbb{C}$ preserving the hermitian form $\begin{pmatrix} z_1 \\ z_2 \end{pmatrix}^* \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} z_1 \\ z_2 \end{pmatrix} = |z_1|^2 - |z_2|^2$, equivalently, $g = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in U(1,1)$ when $g^* \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} g = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$.

Note $U(1,1)$ contains the diagonal matrices ~~having~~ having both entries of modulus 1, so $U(1,1) = SU(1,1) \cdot \{e^{i\phi} I\}$.

If $g = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SU(1,1)$, then $\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} g^* \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = g^{-1}$, i.e.

$\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} \bar{a} & \bar{c} \\ \bar{b} & \bar{d} \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = \begin{pmatrix} \bar{a} & -\bar{c} \\ -\bar{b} & \bar{d} \end{pmatrix}$ must = $\begin{pmatrix} d & -b \\ -c & a \end{pmatrix}$, i.e.

$a = \bar{d}, b = \bar{c}$, so $SU(1,1) = \left\{ \begin{pmatrix} \bar{d} & \bar{c} \\ c & d \end{pmatrix} \mid |d|^2 - |c|^2 = 1 \right\} =$

$\left\{ \begin{pmatrix} e^{i\phi} & 0 \\ 0 & e^{-i\phi} \end{pmatrix} \right\} \cdot \left\{ \begin{pmatrix} d & \bar{c} \\ c & d \end{pmatrix} \mid d > 0, d^2 - |c|^2 = 1 \right\}$. Also $\begin{pmatrix} d & \bar{c} \\ c & d \end{pmatrix} = \begin{pmatrix} 1 & h \\ \bar{h} & 1 \end{pmatrix} \frac{1}{\sqrt{1-|h|^2}}$

where $h = \frac{\bar{c}}{d}$ (and $1-|h|^2 = 1 - \frac{|c|^2}{d^2} = \frac{1}{d^2}$ so $d = \frac{1}{\sqrt{1-|h|^2}}$).

[I've left out $\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = g^* \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} g \Rightarrow \det g (-1) \det g = -1 \Rightarrow |\det g|^2 = 1 \Rightarrow |\det g| = 1$, so one has $SU(1,1) \hookrightarrow U(1,1) \twoheadrightarrow S^1$ exact. Note that $U(1,1)$ is connected, unlike $GL_2(\mathbb{R})$.]

Since $U(1,1)$ preserves $|z_1|^2 - |z_2|^2$, the corresponding fractional linear transfs. preserve the unit circle $|z|^2 - 1 = 0$, the unit disk $D: |z|^2 - 1 < 0$, and the complementary disk $|z|^2 - 1 > 0$.

Recall that D is a model for the hyperbolic plane, where geodesics are circles \perp to ∂D . The group of orientation preserving isometries is $U(1,1)/\text{diag} = SU(1,1)/\{\pm 1\}$.

Claim the hyperbolic arclength is

$ds = \frac{|dz|}{1-|z|^2}$

δ used to avoid conflict with d in g .

This agrees with the Euclidean length at $z=0$ and is invariant under the $U(1,1)$ action

$$w = \frac{az+b}{cz+d} \quad \delta w = \frac{(cz+d)ad\delta z - (az+b)c\delta z}{(cz+d)^2} = \frac{(ad-bc)\delta z}{(cz+d)^2}$$

$$1-|w|^2 = \frac{|cz+d|^2 - |\bar{d}\bar{z}+\bar{c}|^2}{|cz+d|^2} = \frac{|cz+d|^2 + \bar{c}\bar{d} + \bar{c}\bar{d} - |d\bar{z}|^2 - |c|^2 - \bar{d}\bar{z} - d\bar{c}}{|cz+d|^2}$$

$$= \frac{(|d|^2 - |c|^2)(1-|z|^2)}{|cz+d|^2}$$

$$\therefore \frac{|\delta w|}{1-|w|^2} = \frac{|\delta z|}{1-|z|^2}$$

Schur expansion for ~~any function f in H^∞ with $\|f\|_\infty \leq 1$~~

Let $h_0 = f(0)$, so $|h_0| \leq 1$. If f constant, then $f(z) = h_0$ is the order 0 case of the Schur expansion. If f is not constant, then $|h_0| < 1$ and $g = \begin{pmatrix} 1-h_0 \\ -h_0 & 1 \end{pmatrix} f = \frac{f-h_0}{1-\bar{h}_0 f}$ is a non constant map $D \rightarrow D$, which vanishes at 0, hence $f_1 = \frac{g(z)}{z}$ is also in H^∞ of norm ≤ 1 ,

and one has $f = \begin{pmatrix} 1 & h_0 \\ \bar{h}_0 & 1 \end{pmatrix} \begin{pmatrix} z & 0 \\ 0 & 1 \end{pmatrix} f_1$. Now continue this process for f_1 , i.e. set $h_1 = f_1(0)$ so that $|h_1| \leq 1$. If f_1 constant, then $h_1 \neq 0$ otherwise $g = zf_1$ would be constant. We have the Schur exp. of order 1.

$$f = \begin{pmatrix} 1 & h_0 \\ \bar{h}_0 & 1 \end{pmatrix} \begin{pmatrix} z & 0 \\ 0 & 1 \end{pmatrix} h_1 \quad \text{with } |h_0| < 1, 0 < |h_1| < 1.$$

If f_1 non-constant, then $|h_1| < 1$, and proceeding as above we get

$$f = \begin{pmatrix} 1 & h_0 \\ \bar{h}_0 & 1 \end{pmatrix} \begin{pmatrix} z & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & h_1 \\ \bar{h}_1 & 1 \end{pmatrix} \begin{pmatrix} z & 0 \\ 0 & 1 \end{pmatrix} f_2$$

with $|h_0|, |h_1| < 1$ and $f_2 \in H^\infty, \|f_2\|_\infty \leq 1$. etc.

Summarize by saying ^{either} f has Schur expansion of order n

$$f = \begin{pmatrix} 1 & h_0 \\ \bar{h}_0 & 1 \end{pmatrix} \begin{pmatrix} z & 0 \\ 0 & 1 \end{pmatrix} \cdots \begin{pmatrix} 1 & h_{n-1} \\ \bar{h}_{n-1} & 1 \end{pmatrix} \begin{pmatrix} z & 0 \\ 0 & 1 \end{pmatrix} (h_n) \quad \begin{matrix} |h_0|, \dots, |h_{n-1}| < 1 \\ 0 < |h_n| \leq 1 \end{matrix}$$

~~Need to review reflection positivity.~~
 Can you first understand Gaussian case?

so what do I do?

Review program. Given $aX \oplus V^+ = V^- \oplus bX = Y$
 of type $\mathcal{O}(n)$, get line bundle $L_z = Y/(az-b)X$, get holom.
 sections from v_0^- (~~unit v_i in~~ V^+), allowing sections y
 to yield functions:

$$(az-b)x = -y + \tilde{y}(z)v_0^-$$

solve

$$(1-zb^*a)x = b^*y$$

$$\tilde{y}(z) = (v_0^-, \underbrace{y + (az-b)(1-zb^*a)^{-1}b^*y}_{(1-zab^* + (az-b)b^*)(1-zab^*)^{-1}y})$$

$$= (v_0^-, (1-zab^*)^{-1}y).$$

$$\int |\tilde{y}(z)|^2 \frac{d\theta}{2\pi} = \int (y, (1-z^{-1}ba^*)(1-bb^*)(1-zab^*)^{-1}y) \frac{dz}{2\pi iz}$$

$$\frac{1}{z-ba^*} (1-bb^*) \frac{1}{1-zab^*} = ?$$

$$\frac{1}{z-ba^*} + ab^* \frac{1}{1-zab^*} = \frac{1}{z-ba^*} \left(1-zab^* + \frac{(z-ba^*)ab^*}{ab^*} \right) \frac{1}{1-zab^*}$$

Do residue calculation. $\frac{1}{1-zab^*}$ analytic for $|z| \leq 1$.

so you evaluate. put $z = ba^*$ $1-ba^*ab^* = 1-bb^*$.

$$\int (y, \frac{1}{z-ba^*} y) \frac{dz}{2\pi iz} \quad \text{analytic outside } |z|=1 \text{ except at } \infty$$

This yields the ^{isom.} embedding $Y \hookrightarrow L^2(S^1)$

There's too much calculation here.

Forgot $g(z) = \det(1-zab^*)$

2 other versions of the calculation. The ~~previous~~ above ~~can~~ can be understood better by ~~attaching~~ extending the canonical extension

$$H = \dots \oplus u^{-1}V^- \oplus aX \oplus V^+ \oplus aV^+ \oplus \dots$$

$$\oplus u^{-1}V^- \oplus V^+ \oplus bX \oplus uV^+ \oplus \dots$$

by attaching incoming + outgoing subspaces.

Other ~~pictures~~ pictures: X, γ γ contraction

Form $H =$ completion of $\bigoplus_{n \in \mathbb{Z}} u^n X$ $f^* u^n g = \gamma^n$
 $n \geq 0.$

$$V^+ = (1 - \gamma^* \gamma)^{1/2} X$$

$$V^- = (1 - \gamma \gamma^*)^{1/2} X.$$

$$\|x_0 + u x_1\|^2 = \|x_0\|^2 + (x_0, \gamma x_1) + (\gamma x_1, x_0) + \|x_1\|^2$$

$$= \|x_0 + \gamma x_1\|^2 + \|(1 - \gamma \gamma^*)^{1/2} x_1\|^2$$

$$\gamma = a^* b$$

$$1 - \gamma^* \gamma = 1 - b^* a a^* b$$

$$= b^* \pi_+ b$$

$$\int \left\| (1 - \gamma \gamma^*)^{1/2} \frac{1}{1 - z \gamma^*} x \right\|^2 \frac{dz}{2\pi i z} = \int \left(x, \frac{1}{1 - \bar{z} \gamma} (1 - \gamma \gamma^*) \frac{1}{1 - z \gamma^*} x \right) \frac{dz}{2\pi i z}$$

$$= \|x\|^2 \quad \text{residues inside } S^1.$$

Opinion: It looks as if ~~your problem~~ a proper explanation of these calculations will involve residue calculus. There should be a link between quasi-determinants and residues

One parameter version. ~~If~~ You want ~~to~~ to replace the nearly unitary ~~with~~ $a^* b$ with a nearly hermitian operator with ~~with~~ imaginary part, call it, β .

$$\int_{-\infty}^{\infty} \left\| (?)^{1/2} \frac{1}{\omega - \beta} x \right\|^2 \frac{d\omega}{2\pi} = \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} \left(x, \frac{1}{\omega - \beta^*} (?) \frac{1}{\omega - \beta} x \right)$$

close counter in UHP want spectrum of β in LHP
 so sing. at $\omega = \beta^*$ in UHP. $? = i(\beta - \beta^*) \geq 0$
 $2i \text{Im}(\beta)$

3 $X \xrightarrow[a]{\varepsilon} X$ $-i\lambda = \frac{1-z}{1+z}$ $z = \frac{1+i\lambda}{1-i\lambda} = \frac{-\lambda+i}{\lambda+i}$ maybe λ should be ω

$a = i\varepsilon + A$
 $b = i\varepsilon - A$

$az - b = (i\varepsilon + A)z - (i\varepsilon - A)$
 $= (iz - i)\varepsilon + (z + 1)A$
 $= \left(i \frac{z-1}{z+1} \varepsilon + A\right)(z+1)$
 $= (\lambda\varepsilon - A)(-z-1)$

~~$(i\varepsilon^* + A^*)(\varepsilon + A)$~~

Assume $X \xrightarrow[A]{\varepsilon} Y$ given and Y has scalar product.

$\|ax\|^2 = \|(i\varepsilon x + Ax)\|^2 = \|\varepsilon x\|^2 + (Ax, i\varepsilon x) + (i\varepsilon x, Ax) + \|Ax\|^2$
 $\|bx\|^2$

so $\|ax\| = \|bx\| \iff (Ax, \varepsilon x) = (\varepsilon x, Ax) \quad \forall x.$

$ax = 0 \implies ax = bx = 0 \implies \begin{matrix} a+b = 2i\varepsilon \text{ kills } x, \\ a-b = 2A \end{matrix}$

Assume that $\varepsilon x = Ax = 0 \implies x = 0$. Then you have a partial unitary.

~~Want~~ Want no bound states. Since a, b and ε, A are expressible ~~in terms of each other~~ in terms of each other it's clear that $az - b$ injective $\forall z \in \mathbb{C} \cup \infty \iff \lambda\varepsilon - A$

injective $\forall \lambda \in \mathbb{C} \cup \infty$.

So what can you do? ~~What~~

So far no scalar prod on X , ~~so~~ except that the partial unitary requires $\|x\|^2 = \|ax\|^2 = \|\varepsilon x\|^2 + \|Ax\|^2$ so this is the mistake !! How do you correct?

$a = (i\varepsilon + A)h^{-1/2}$
 $b = (i\varepsilon - A)h^{-1/2}$

$h = \varepsilon^* \varepsilon + A^* A$

4 Suppose we start with ~~X, Y Hilb. spaces~~

$$X \begin{array}{c} \xrightarrow{\varepsilon} \\ \xrightarrow{A} \end{array} Y$$

X, Y Hilb. spaces

$$\varepsilon^* \varepsilon = 1 \quad (\varepsilon \text{ isom emb.})$$

$$\varepsilon^* A = A^* \varepsilon$$

$\lambda \varepsilon - A$ injective $\forall \lambda \in \mathbb{C}$.

$$\|(\lambda \varepsilon \pm A)x\|^2 = \|x\|^2 \pm \|Ax\|^2 = \|(1 + A^*A)^{1/2} x\|^2$$

$$\|(\lambda \varepsilon \pm A)(1 + A^*A)^{-1/2} x\|^2 = \|x\|^2$$

$$a = (\lambda \varepsilon + A)(1 + A^*A)^{-1/2}$$

$$b = (i\varepsilon - A)(1 + A^*A)^{-1/2}$$

$$ab^* = (\lambda \varepsilon + A)(1 + A^*A)^{-1/2} (1 + A^*A)^{-1/2} (-i\varepsilon^* - A^*)$$

$$b^*a = (1 + A^*A)^{-1/2} \underbrace{(-i\varepsilon^* - A^*)(\lambda \varepsilon + A)}_{\varepsilon^* \varepsilon - iA^* \varepsilon - i\varepsilon^* A - A^* A} (1 + A^*A)^{-1/2}$$

$$= 1 - A^*A - 2i(\varepsilon^*A)$$

does $A^* \varepsilon = \varepsilon^* A$ commute with A^*A NO

$$A^* \varepsilon A^* A = \cancel{A^* A^* A}$$

$$b^*a = h^{-1/2} (-i\varepsilon^* - A^*)(i\varepsilon + A) h^{-1/2}$$

~~$$A^* \varepsilon A^* A = \cancel{A^* A^* A}$$~~

$$1 = h^{-1/2} (-i\varepsilon^* + A^*)(i\varepsilon + A) h^{-1/2}$$

$$1 + b^*a = h^{-1/2} (-2i\varepsilon^*) (i\varepsilon + A) h^{-1/2}$$

$$= h^{-1/2} (+2 - 2i\varepsilon^*A) h^{-1/2}$$

$$1 - b^*a = h^{-1/2} (2A^*) (i\varepsilon + A) h^{-1/2}$$

$$S (1 - b^* a) (1 + b^* a)^{-1} = h^{-1/2} (iA^* \varepsilon + A^* A) (1 - i\varepsilon^* A)^{-1} h^{+1/2} \quad ?$$

Let's look at ~~eigenvectors~~ eigenvectors

There are two lines in Y naturally presented, namely $\text{Ker } \varepsilon^*$, $\text{Ker } A^*$. These are the ^{orth} complements in Y of the subspace $(\lambda \varepsilon - A)X$ for $\lambda = \infty, 0$. Look at

$$\begin{array}{ccccccc}
 0 & \longrightarrow & X & \xrightarrow{\lambda \varepsilon - A} & Y & \longrightarrow & L_\lambda \longrightarrow 0 \\
 & & & \searrow \lambda - \varepsilon^* A & \downarrow \varepsilon^* & & \\
 & & & & X & &
 \end{array}$$

$e_0 \in \text{Ker}(\varepsilon^*)$

$$(\lambda \varepsilon - A)x = -y + \tilde{y}(\lambda) e_0$$

$$(\lambda - \varepsilon^* A)x = -\varepsilon^* y$$

$$y \oplus (\lambda \varepsilon - A)(\lambda - \varepsilon^* A)^{-1} (\varepsilon^* y) = \tilde{y}(\lambda) e_0$$

$$= y - (\lambda \varepsilon - A) \varepsilon^* (\lambda - \varepsilon^* A)^{-1} y$$

$$= \left\{ \lambda - A \varepsilon^* - (\lambda \varepsilon - A) \varepsilon^* \right\} (\lambda - \varepsilon^* A)^{-1} y$$

$$= (1 - \varepsilon \varepsilon^*) (1 - \lambda^{-1} A \varepsilon^*)^{-1} y$$

$$\tilde{y}(\lambda) = \left(e_0 (1 - \lambda^{-1} A \varepsilon^*)^{-1} y \right)$$

this has poles on real axis.

You propose to modify ~~ε^*~~ ε^* a bit

$$b^* = h^{-1/2} (-i\varepsilon^* - A^*)$$

$$\varepsilon^* - iA^*$$

$$\begin{array}{ccccccc}
 0 & \longrightarrow & X & \xrightarrow{\lambda\varepsilon - A} & Y & \longrightarrow & L_1 \longrightarrow 0 \\
 & & & \searrow & \downarrow \varepsilon^* - iA^* & & \\
 & & & & X & &
 \end{array}$$

$$\begin{aligned}
 (\varepsilon^* - iA^*)(\lambda\varepsilon - A) &= \lambda - \varepsilon^*A - i\lambda A^*\varepsilon + iA^*A \\
 &= 1 + iA^*A - (1 + i\lambda)A^*\varepsilon
 \end{aligned}$$

$$\begin{array}{ccc}
 0 \longrightarrow X \xrightarrow{\lambda\varepsilon A} Y & & (\lambda\varepsilon - A)x = -y + \tilde{y}(\lambda)e_0' \\
 \searrow \lambda A^*\varepsilon - A^*A & \downarrow A^* & (\lambda A^*\varepsilon - A^*A)x = -A^*y \\
 & X & x = -(\lambda A^*\varepsilon - A^*A)^{-1}A^*y
 \end{array}$$

$$y + (\lambda\varepsilon - A)(\lambda A^*\varepsilon - A^*A)^{-1}A^*y = \tilde{y}(\lambda)e_0'$$

$$y + (\lambda\varepsilon - A)A^*(\lambda\varepsilon A^* - AA^*)^{-1}y \quad \text{NO good}$$

$$\{ \lambda\varepsilon A^* - AA^* + (\lambda\varepsilon - A)A^* \} (\lambda\varepsilon A^* - AA^*)^{-1}y$$

$$(\lambda A^*\varepsilon - A^*A)^{-1}A^* \stackrel{?}{=} A^*(\lambda\varepsilon A^* - AA^*)^{-1}$$

\uparrow if both invertible

$$A^*(\lambda\varepsilon A^* - AA^*) = (\lambda A^*\varepsilon - A^*A)A^* \quad \text{OK}$$

$$(\lambda\varepsilon - A)(\varepsilon^*(\lambda\varepsilon - A))^{-1}\varepsilon^* \quad \text{is OK}$$

$$(\lambda\varepsilon - A)(A^*(\lambda\varepsilon - A))^{-1}A^* \quad \text{is not OK.}$$

7 Curious $1-xy$ invertible $\Leftrightarrow 1-yx$ invertible

$$(1 + y(1-xy)^{-1}x)(1-yx) = 1 - yx + \underbrace{y(1-xy)^{-1}x(1-yx)}_{(1-xy)x}$$

$\varepsilon - xy$ invertible $\Leftrightarrow \varepsilon - yx$ is inv.

~~$1+yx$~~

need $[\varepsilon, X] = 0$

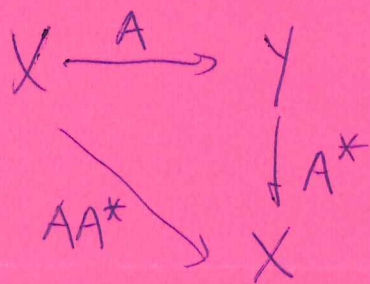
$$\left[\alpha + y(\varepsilon - xy)^{-1}x \right] (\varepsilon - yx) = yx + \frac{1}{\alpha}(\varepsilon - yx) = \varepsilon$$

Idea was

$$\begin{aligned} (\varepsilon - xy)^{-1} &= \varepsilon^{-1}(1 - xy\varepsilon^{-1})^{-1} \\ &= \varepsilon^{-1} + \varepsilon^{-1}xy\varepsilon^{-1} + \varepsilon^{-1}(xy\varepsilon^{-1})^2 + \dots \end{aligned}$$

\llcorner

$$\left[\varepsilon^{-1} + \varepsilon^{-1}y(\varepsilon - xy)^{-1}x \right] (\varepsilon - yx) = 1 - \varepsilon^{-1}yx + \varepsilon^{-1}yx = 1.$$



$$\cancel{(\lambda\varepsilon - A)}x = -y + \frac{c}{\lambda}e'_0$$

$$A^*Ax = A^*y$$

$$x = (A^*A)^{-1}A^*y$$

you can't do

$$(A^*A)^{-1}A^*y = A^*(AA^*)^{-1}y \quad \left| \quad y - A(A^*A)^{-1}A^*y \right.$$

$$(e'_0, y + (\lambda\varepsilon - A)(A^*(\lambda\varepsilon - A))^{-1}A^*y)$$

$$= (e'_0, y + \lambda\varepsilon(\lambda A^*_\varepsilon - A^*A)^{-1}A^*y)$$

8 Think. What might you be missing?

~~Your needs:~~

$$0 \rightarrow X \xrightarrow{\lambda \varepsilon - A} Y \rightarrow L_\lambda \rightarrow 0$$

Any $y \in Y$ gives a holom. section of $\{L_\lambda\}$. If $y \neq 0$ section vanishes n points, get equiv between $P(Y)$ and divisors ≥ 0 of degree n . ~~Two divisors~~ Your goal is to represent Y as functions. One way to do this is to select a $\neq 0$ section e_0 , then solve

$$(\lambda \varepsilon - A)x = -y + \tilde{y}(\lambda)e_0$$

Why can you solve this? Look at homogeneous equations $(\lambda \varepsilon - A)x = ce_0$

Linear alg. You have a K -module of type $O(n)$ meaning $\dim Y = n+1$, $\dim X = n$, A ad-b injective $\forall \lambda$ incl ∞ . Why ~~does~~ any line in Y arise from some λ and line in X .

$$PX \times P^1 \longrightarrow PY$$

So the simple idea seems to be ~~to~~ to add this e_0 to get

$$\begin{array}{ccc} X & \xrightarrow{(\lambda \varepsilon - A \ e_0)} & Y \\ \oplus & & \\ \circledast & & \end{array}$$

Inverting this is equiv. to solving the equation

$$y = \begin{pmatrix} A - \lambda \varepsilon \\ \circledast \end{pmatrix} x + ce_0$$

9 Take a J-matrix version.

$$\begin{pmatrix} -\lambda + b_1 & a_1 & & & e_1 \\ & a_1 & -\lambda + b_2 & a_2 & e_2 \\ & & a_2 & & \vdots \\ & & & a_{n-1} & \vdots \\ & & & a_{n-1} & -\lambda + b_n \\ & & & & a_n & e_{n+1} \end{pmatrix}$$

Observe the determinant is a poly of degree n assuming $e_{n+1} \neq 0$.

Let's go over what has been learned. You have this K -module $\lambda\varepsilon - A : X \rightarrow Y$ of type $\mathcal{O}(n)$ say.

$$\begin{array}{ccccccc} & & & \mathcal{O}e & & & \\ & & & \downarrow & \searrow & & \\ 0 & \rightarrow & X & \xrightarrow{\lambda\varepsilon - A} & Y & \rightarrow & L_X \rightarrow 0 \end{array}$$

$$(\lambda\varepsilon - A)x = -y + \tilde{y}(A)e$$

$$y = (A - \lambda\varepsilon)x + \tilde{y}(A)e.$$

~~It seems that the characteristic poly has degree $\leq n$.~~ There is something funny here because the ~~determinant has~~ characteristic poly has degree $\leq n$. It seems that I want $e_{n+1} \neq 0$. ~~ie.~~ $e \neq 0$ at ∞ .

Anyway, so whr

$$\begin{aligned} (\varepsilon - A)^* (\lambda\varepsilon - A) &= (-i\varepsilon^* - A^*) (\lambda\varepsilon - A) \\ &= -i\lambda + i(\varepsilon^* A) - \lambda(A^* \varepsilon) + A^* A \\ &= (-i\lambda + A^* A) + (i - \lambda)\varepsilon^* A \end{aligned}$$

10 my problem is to find a non-hermitian extension of A

$$A^*(i\varepsilon + A)(1 - i\varepsilon^*A)^{-1}$$

given $X \xrightarrow[A]{\varepsilon} Y$ want ~~$z = \frac{1+i\lambda}{1-i\lambda} = \frac{-\lambda+i}{\lambda+i}$~~

$$a = i\varepsilon + A$$

$$\|ax\|^2 = \|\varepsilon x\|^2 + (i\varepsilon x, Ax) + (Ax, i\varepsilon x) + \|Ax\|^2$$

$$b = i\varepsilon - A$$

$$\|bx\|^2$$

~~so $\sqrt{\|Ax\|^2 + \|\varepsilon x\|^2 + \|Ax\|^2} = \|(i\varepsilon + A)^{1/2} x\|^2$~~

$$\therefore (\varepsilon x, Ax)_y = (Ax, \varepsilon x)_y \quad \forall x$$

also $\|x\|^2 = \|\varepsilon x\|^2 + \|Ax\|^2$

what is ε^* ?

~~$(\varepsilon^* y, x)_x = (y, \varepsilon x)_y$~~

$$(\varepsilon^* y, x)_x = (y, \varepsilon x)_y$$

$$\| (\varepsilon(\varepsilon^* y), \varepsilon x) + (A\varepsilon^* y, Ax) \|$$

What is ε^* ?

$$(\varepsilon^* y, x)_x = (y, \varepsilon x)_y$$

$$a^* y, x = (y, (i\varepsilon + A)x)_y$$

$$a^* a = (-i\varepsilon^* + A^*)(i\varepsilon + A) = \varepsilon^* \varepsilon + A^* A$$

11 You must understand perfectly what a partial hermitian operator is. Usual picture is a densely defined operator $D \rightarrow H \oplus H$ satisfying an equal to its annihilator condition. How? $D = \{ \text{~~some~~ } (A\xi) \mid \xi \in \mathcal{D}_A \}$

D is a closed subspace of $H \oplus H$ such that $p_1: D \rightarrow H$ is injective and has ~~closed~~ ^{dense} image. From C^* module theory ~~you~~ ^{want it is not.} assume existence of adjoint.

$$D_T \cong \Gamma_T \subset H \oplus H \quad \mathcal{D}$$

$$(\xi_1, T\xi_2) - (T^*\xi_1, \xi_2) = 0$$

$$\begin{pmatrix} \xi_1 \\ +T^*\xi_1 \end{pmatrix} \perp \begin{pmatrix} +T\xi_2 \\ -\xi_2 \end{pmatrix} \quad \begin{pmatrix} 0 & +1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} \xi_2 \\ T\xi_2 \end{pmatrix}$$

general case. Take $H_1 \oplus H_2$ $\Gamma_{T^*} \perp \begin{pmatrix} 0 & +1 \\ -1 & 0 \end{pmatrix} \Gamma_T$

The good case is when $\Gamma_{T^*} \oplus \begin{pmatrix} 0 & +1 \\ -1 & 0 \end{pmatrix} \Gamma_T \stackrel{J}{=} H_1 \oplus H_2$

$$\text{i.e. } \begin{pmatrix} 1 & -T \\ T^* & 1 \end{pmatrix} \begin{matrix} D_{T^*} \\ \oplus \\ D_T \end{matrix} \longrightarrow \begin{matrix} H_1 \\ \oplus \\ H_2 \end{matrix}$$

$$\text{shift to } \begin{pmatrix} 1 & -T^* \\ T & 1 \end{pmatrix} = 1 + \begin{pmatrix} 0 & -T^* \\ T & 0 \end{pmatrix}$$

$1/2$ partial unitary = subspace of $H \oplus H \ni \xi = \begin{pmatrix} \xi_1 \\ \xi_2 \end{pmatrix}$
 isotropic for $\|\xi_1\|^2 - \|\xi_2\|^2$ ~~hermitian form~~ hermitian form.
 partial hermitian = subspace Γ of $H \oplus H$ ~~is~~
 isotropic for hermitian form $\xi \mapsto \text{Im}(\xi_1, \xi_2)$ and
 such that $p_1: \Gamma \rightarrow H$ is injective.

Check 2nd description. Polarize $\xi \mapsto \begin{pmatrix} \xi_1 \\ \xi_2 \end{pmatrix}$

$$\begin{aligned}
 & \frac{1}{4} \sum_{k=0}^4 i^{-k} Q(\xi + i^k \eta) \\
 &= \frac{1}{4} \sum_{k=0}^4 i^{-k} \left(\xi_1 + i^k \eta_1, \xi_2 + i^k \eta_2 \right) - \left(\xi_2 + i^k \eta_2, \xi_1 + i^k \eta_1 \right) \\
 &= \frac{\left(\xi_1, \eta_2 \right) - \left(\xi_2, \eta_1 \right)}{2i} = \frac{1}{2i} \left(\begin{pmatrix} \xi_1 \\ \xi_2 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} \eta_1 \\ \eta_2 \end{pmatrix} \right)
 \end{aligned}$$

suppose $\Gamma = \begin{pmatrix} 1 \\ T \end{pmatrix} W$

$W = p_1(\Gamma)$

isotropic means

$$\begin{aligned}
 0 &= \left(\begin{pmatrix} w \\ Tw \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} w' \\ Tw' \end{pmatrix} \right) = a(z-b) - b \\
 &= a(1+i\lambda) - b(1-i\lambda) \dots \\
 &= (w, Tw') - (Tw, w') = a-b + i(a+b)\lambda
 \end{aligned}$$

Now do what?

$$a \frac{i(a-b) - \lambda}{a+b} - \lambda$$

$$\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} 1 & -1 \\ i & +i \end{pmatrix} = \begin{pmatrix} 1 & -1 \\ i & +i \end{pmatrix} \begin{pmatrix} i \\ -i \end{pmatrix}$$

13 Remaining step go between unitary + hermitian pictures via CT.

$$\begin{array}{ccc} \text{unitary} & X & \xrightarrow{b} H \\ & \uparrow \oplus & \\ & H & \end{array}$$

$$\begin{aligned} Q(\xi) &= \|\xi_1\|^2 - \|\xi_2\|^2 \\ &= \left(\xi, \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \xi \right) \end{aligned}$$

$$az - b = a \left(\frac{1+i\lambda}{1-i\lambda} \right) - b = \frac{a(1+i\lambda) - b(1-i\lambda)}{1-i\lambda} = \frac{(a-b) + i\lambda(a+b)}{1-i\lambda}$$

$$= \left(\lambda + \frac{a-b}{a+b} \right) \left(\frac{1}{1-i\lambda} \right) (a+b)$$

$$= \left(\lambda - i \frac{a-b}{a+b} \right) \left(\frac{i}{1-i\lambda} \right) (a+b)$$

$$\begin{pmatrix} 1 & -i \\ 1 & 1 \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix} \quad X \xrightarrow{\begin{pmatrix} a \\ b \end{pmatrix}} \begin{array}{c} H \\ \oplus \\ H \end{array} \xrightarrow{\begin{pmatrix} 1 & -i \\ 1 & 1 \end{pmatrix}} \begin{array}{c} H \\ \oplus \\ H \end{array}$$

Check. $\begin{pmatrix} 1 & -i \\ 1 & 1 \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix} x = \begin{pmatrix} i(a-b)x \\ (a+b)x \end{pmatrix}$

~~$$\begin{aligned} \|\xi_1\|^2 - \|\xi_2\|^2 &= \|(a-b)x\|^2 - \|(a+b)x\|^2 \\ &= \|ax\|^2 - (ax, bx) - (bx, ax) + \|bx\|^2 \\ &= \|ax\|^2 - 2 \operatorname{Re}(ax, bx) + \|bx\|^2 \\ &= -2 \operatorname{Im}(i(a-b)x, (a+b)x) \end{aligned}$$~~

$$\operatorname{Im}(\xi_1, \xi_2) = \operatorname{Im}(i(a-b)x, (a+b)x)$$

$$= + \operatorname{Re}((a-b)x, (a+b)x)$$

$$= \|ax\|^2 - \|bx\|^2$$

$$\xi = a+b$$

$$A = i(a-b)$$

15 Review. You have achieved some understanding of partial hermitian operators. A hermitian operator A on H can be identified with a subspace Γ ^{proj} of $H \oplus H$ which is maximal isotropic for the $p_i: \Gamma \rightarrow H$ hermitian form $\xi = \begin{pmatrix} \xi_1 \\ \xi_2 \end{pmatrix} \mapsto \text{Im}(\xi_1, \xi_2)$. Corresp herm. bilinear form is found by polarisation

$$\frac{1}{4} \sum_{k=0}^3 i^{-k} Q(\xi + i^k \eta) = \frac{1}{4} \sum_{k=0}^3 i^{-k} \left(\xi_1 + i^k \eta_1, \xi_2 + i^k \eta_2 \right) - \frac{(\xi_2 + i^k \eta_2, \xi_1 + i^k \eta_1)}{2i}$$

$$= \frac{(\xi_1, \eta_2) - (\xi_2, \eta_1)}{2i} = \frac{1}{2i} \left(\begin{pmatrix} \xi_1 \\ \xi_2 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} \eta_1 \\ \eta_2 \end{pmatrix} \right)$$

$\frac{1}{i} \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$ is hermitian.

~~check that~~ Isotropic means $\left(\begin{pmatrix} \xi \\ A\xi \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} \eta \\ A\eta \end{pmatrix} \right) = (\xi, A\eta) - (A\xi, \eta) = 0$ vanishes,

A partial hermitian is subspace $X \subset H \oplus H$ isotropic for same herm. form and such that $p_i: X \rightarrow H$

So the study of partial hermitian operators should reduce to partial unitaries

Now time to sort out previous problems, where you could handle partial unitaries but not partial hermitians. C.T. $z = \frac{1 - (-i\lambda)}{1 + (-i\lambda)} = \frac{1 + i\lambda}{1 - i\lambda} = \frac{-\lambda + i}{\lambda - i}$

$$i(1-i\lambda)(az-b) \equiv i(a(1+i\lambda) - b(1-i\lambda)) = i(a-b + i\lambda(a+b))$$

$$= \left(\lambda - i \frac{a-b}{a+b} \right) (a+b)$$

$$\varepsilon = a+b$$

$$A = i(a-b)$$

$$X \begin{pmatrix} a \\ b \end{pmatrix} \rightarrow \begin{matrix} H \\ \oplus \\ H \end{matrix} \xrightarrow{\begin{pmatrix} i & -i \\ 1 & 1 \end{pmatrix}} \begin{matrix} H \\ \oplus \\ H \end{matrix}$$

$$\left(\begin{pmatrix} i & -i \\ 1 & 1 \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} i-i \\ 1 & 1 \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix} \right)^{\frac{1}{2}}$$

16 $\frac{1}{2} \begin{pmatrix} -i & 1 \\ i & 1 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ -i & i \end{pmatrix} = \begin{pmatrix} -i & 0 \\ 0 & i \end{pmatrix}$

Notice that $\begin{pmatrix} a \\ b \end{pmatrix} : X \rightarrow \mathbb{C}$ also $\begin{pmatrix} \varepsilon \\ A \end{pmatrix}$ do not use scalar prod on X . So you should equip X with the ~~scalar~~ product $\|x\|^2 = \|(a+bx)\|^2$ to arrange that $\varepsilon^* \varepsilon = 1$.

Try to discuss the general theory of the eigenvector equation etc.

$X \subset X^0 \subset \bigoplus_{Y} Y$ $X^0 = \left\{ \begin{pmatrix} y_1 \\ y_2 \end{pmatrix} \mid \begin{pmatrix} ax \\ bx \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} y_1 \\ y_2 \end{pmatrix} = 0 \right\}$

~~...~~ $X^0 = \left\{ \begin{pmatrix} y_1 \\ y_2 \end{pmatrix} \mid a^* y_1 = b^* y_2 \right\}$ $(ax, y_1) = (bx, y_2)$
 $a^* y_1 = b^* y_2$
 eigenvector equation

e.g. $\begin{pmatrix} v^+ \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ v^- \end{pmatrix}$

$W^0 = \underbrace{\begin{pmatrix} a \\ b \end{pmatrix} X}_W \oplus \begin{pmatrix} v^+ \\ v^- \end{pmatrix}$

$X \xrightarrow{\begin{pmatrix} a \\ b \end{pmatrix}} \begin{matrix} Y \\ \oplus \\ Y \end{matrix} \xrightarrow{\begin{pmatrix} z & -1 \end{pmatrix}} Y$ has kernel $\left\{ \begin{pmatrix} \xi \\ z \xi \end{pmatrix} \right\} = L_{\varepsilon}$
 $\dim Y \otimes Y = 2n+2$
 $\dim W = n$
 $\dim W^0 = n+2$

So you assume $\forall z$ $az-b$ inj, this means ~~...~~ $W^0 \cap L_{\varepsilon}$ should be a line in $W^0/W = v^+ \oplus v^-$. The line is clear namely the correspondence between v^+, v^- given by the eigenvalue equation

$(az-b)x = -v^+ + v^-$

So simple.

17 What happens in the electrical setting. Somehow $U(n, n)$ becomes $Sp(2n, \mathbb{R})$.

Go back to partial hermitian setting and find what you did wrongly.

$$X \xrightarrow{\lambda \varepsilon - A} Y$$

Wait, better idea. ~~As~~ Is it possible to derive the formula $\tilde{y}(z) = (e_0, (1 - za^*b)^{-1}y)$ without the tricks used before? This is the solution

of $(az - b)x = -y + \tilde{y}(z)e_0$. You want maybe to ~~work~~ in the ~~category~~ double $Y \oplus Y$

In $Y \oplus Y$ you have $\Gamma_z = \begin{pmatrix} 1 \\ z \end{pmatrix} Y$, $W = \begin{pmatrix} a \\ b \end{pmatrix} X$

$$W + \Gamma_z \text{ codim } 1 \text{ in } \begin{matrix} Y \\ \oplus \\ Y \end{matrix}$$

$$\begin{pmatrix} ax \\ bx \end{pmatrix} + \begin{pmatrix} y \\ zy \end{pmatrix} + \begin{pmatrix} 0 \\ ce_0 \end{pmatrix} = \begin{pmatrix} 0 \\ y \end{pmatrix}$$

$n \qquad n+1 \qquad 1$

$$\begin{pmatrix} z & -1 \end{pmatrix} \cdot (az - b)x - ce_0 = ay$$

ax
 bx

No.

Consider partial herm. case

$$\underbrace{\begin{pmatrix} \varepsilon \\ A \end{pmatrix} X}_W, \underbrace{\begin{pmatrix} 1 \\ \lambda \end{pmatrix} Y}_{\Gamma_\lambda}, W^0$$

you want elements of Y whose sections are nonvanishing in the UHP

$$e \in (\varepsilon \lambda - A)x \implies \lambda \text{ lower half plane?}$$

18 partial hermitian ops. $W = \begin{pmatrix} \varepsilon \\ A \end{pmatrix} X \subset \begin{matrix} Y \\ \oplus \\ Y \end{matrix}$

isotropic for: $\left(\begin{pmatrix} \varepsilon x \\ Ax \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} \varepsilon x' \\ Ax' \end{pmatrix} \right) = (\varepsilon x, Ax') - (Ax, \varepsilon x') = 0$

Note this doesn't depend on any inner product on X .

What is: $W^\circ \stackrel{?}{=} \left\{ \begin{pmatrix} y_1 \\ y_2 \end{pmatrix} \mid (y_1, Ax') - (y_2, \varepsilon x') = 0 \ \forall x' \right\}$

For example. $\begin{pmatrix} 0 \\ y_2 \end{pmatrix}$ with $(y_2, \varepsilon x) = 0$. $\begin{pmatrix} (Ax)^\perp \\ (\varepsilon x)^\perp \end{pmatrix}$

Is it true that $\begin{pmatrix} \varepsilon \\ A \end{pmatrix} X \oplus \begin{pmatrix} (Ax)^\perp \\ (\varepsilon x)^\perp \end{pmatrix} = W^\circ$? NO.

Let ~~$\begin{pmatrix} y_1 \\ y_2 \end{pmatrix}$~~ $\begin{pmatrix} y_1 \\ y_2 \end{pmatrix} \in W^\circ$ a.c. $(y_1, Ax) = (y_2, \varepsilon x) \ \forall x$.

We have $y = \varepsilon x + (\varepsilon x)^\perp$, so $y_1 = \varepsilon x + y_1'$ $y_1' \in (\varepsilon x)^\perp$

~~$\begin{pmatrix} y_1 \\ y_2 \end{pmatrix} \in \begin{pmatrix} \varepsilon x \\ Ax \end{pmatrix} + \begin{pmatrix} (Ax)^\perp \\ (\varepsilon x)^\perp \end{pmatrix}$~~ ~~remains~~

$\begin{pmatrix} \varepsilon \\ A \end{pmatrix} x = \begin{pmatrix} \varepsilon x \\ Ax \end{pmatrix} \in \begin{pmatrix} (Ax)^\perp \\ (\varepsilon x)^\perp \end{pmatrix}$

$A^* \varepsilon x = 0$
 $\varepsilon^* Ax = 0$
 possible

so it is possible for $Ax \in (\varepsilon x)^\perp$. Anyway
 continue.

You want something say involving $\lambda = \pm i$

$\varepsilon = a + b$ $i\varepsilon - A = 2ib$
 $A = i(a - b)$ $i\varepsilon + A = 2ia$

$(i\varepsilon - A)^* (\lambda\varepsilon - A) = (-i\varepsilon^* - A^*) (\lambda\varepsilon - A)$

$= -i\lambda \varepsilon^* \varepsilon + \underbrace{i\varepsilon^* A - A^* \lambda \varepsilon}_{(i-\lambda) \varepsilon^* A} + \underbrace{A^* A}_{\varepsilon^* \varepsilon - 1}$

$= 1 + (-1 - i\lambda) \varepsilon^* \varepsilon + (i - \lambda) \varepsilon^* A$
 $= 1 + (\lambda - i) (-i\varepsilon^* \varepsilon - \varepsilon^* A)$

19 Your problem again. ~~Wait~~ You know that $(az-b)(x) = 0 \implies x=0$ for $|z| \neq 1$.

because $zax = bx \implies \underbrace{\|zax\|}_{|z|\|x\|} = \underbrace{\|bx\|}_{\|x\|}$

Try $(\lambda\varepsilon - A)x = 0$ $\lambda\varepsilon x = Ax$

$$\underbrace{(Ax, \varepsilon x)}_{\| \quad \|} = \underbrace{(\varepsilon x, Ax)}_{\| \quad \|}$$

$$\underbrace{(\lambda\varepsilon x, \varepsilon x)}_{\| \quad \|} = \underbrace{(\varepsilon x, \lambda\varepsilon x)}_{\| \quad \|} \implies (\lambda - \bar{\lambda})\|\varepsilon x\|^2 = 0.$$

$$(\varepsilon - A)^*(\lambda\varepsilon - A) = 1 + (\lambda - i)(-i\varepsilon^* \varepsilon - \varepsilon^* A) \quad \text{provided } \varepsilon^* \varepsilon + A^* A = 1$$

$$\textcircled{1} (\lambda\varepsilon - A)x = -y + \tilde{y}(\lambda) e_0^- \quad (\varepsilon - A)^* e_0^- = 0$$

$$y + (\lambda\varepsilon - A) \left[1 + (\lambda - i) \underbrace{(-i\varepsilon^* \varepsilon - \varepsilon^* A)}_{\varepsilon^* (\varepsilon - A)^*} \right]^{-1} (\varepsilon - A)^* y$$

$$y + (\lambda\varepsilon - A) \left[1 + (\lambda - i) \underbrace{\varepsilon (-i\varepsilon^* - A^*)}_{(\varepsilon - A)^*} \right]^{-1} (\varepsilon - A)^* (-y)$$

$$y - (\lambda\varepsilon - A) (\varepsilon - A)^* \left[1 + (\lambda - i) \varepsilon (\varepsilon - A)^* \right]^{-1} y$$

$$\left[1 + (\lambda - i) \varepsilon (\varepsilon - A)^* - (\lambda\varepsilon - A) (\varepsilon - A)^* \right]^{-1} \left[1 + (\lambda - i) \varepsilon (\varepsilon - A)^* \right]^{-1} y$$

$$\underbrace{(\lambda\varepsilon - i\varepsilon - \lambda\varepsilon + A) (\varepsilon - A)^*}_{(-i\varepsilon + A) (-i\varepsilon^* - A^*)}$$

$$(-i\varepsilon + A) (-i\varepsilon^* - A^*)$$

proj onto V^-

$$\tilde{y}(\lambda) = (e_0^-, [1 + (\lambda - i) \varepsilon (\varepsilon - A)^*]^{-1} y)$$

OKAY

20 Can you find an interpretation of truck using the double. Basic truck.

$$\begin{array}{ccc}
 X & \xrightarrow{az-b} & Y \\
 & \searrow & \downarrow -b^* \\
 & & X \\
 1-zb^*a & \nearrow &
 \end{array}$$

$$(az-b)x = -y + \tilde{y}(z)e_0^-$$

$$(1-zb^*a)x = b^*y$$

$$x = (1-zb^*a)^{-1}b^*y = b^*(1-zab^*)^{-1}y$$

$$\begin{aligned}
 \tilde{y}(z)e_0^- &= y + (az-b)b^*(1-zab^*)^{-1}y \\
 &= (1-zab^* + abz - bb^*)(1-zab^*)^{-1}y
 \end{aligned}$$

$$\boxed{\tilde{y}(z) = (e_0^-, (1-zab^*)^{-1}y)}$$

here you have used $(1-zb^*a)^{-1} \exists \Leftrightarrow (1-zab^*)^{-1} \exists$.

Goes back to

$$\begin{array}{ccccc}
 X & \xrightarrow{p} & Y & & \\
 & \xleftarrow{g} & & & \\
 & & \downarrow g & \searrow & \\
 & & X \oplus Y & \xrightarrow{(1-p \ 1)} & Y \\
 & \searrow & \downarrow (1-g) & & \\
 & & X & & \\
 1-gp & \nearrow & & &
 \end{array}$$

2x2

$$(1-pg)^{-1} = 1 + p(1-gp)^{-1}g$$

21 ~~Math~~ Review. Consider $Y \oplus Y$ with hermitian form $\xi \mapsto \|\xi_1\|^2 - \|\xi_2\|^2$. Then an isotropic subspace W has the form $\begin{pmatrix} a \\ b \end{pmatrix} X$ where $\|ax\| = \|bx\|$ for all x . The ~~annihilator~~ annihilator is $\frac{\|ax\| = \|bx\|}{\text{make this } \|x\|}$

$$W^\circ = \left\{ \begin{pmatrix} y_1 \\ y_2 \end{pmatrix} \mid \begin{pmatrix} ax \\ y_1 \end{pmatrix} = \begin{pmatrix} bx \\ y_2 \end{pmatrix} \quad \forall x \right\}$$

$$(x, a^*y_1 - b^*y_2) = 0$$

$$W^\circ = \left\{ \begin{pmatrix} y_1 \\ y_2 \end{pmatrix} \mid a^*y_1 = b^*y_2 \right\}$$

Suppose $a^*y_1 = b^*y_2$. Then ~~$a^*a a^*y_1$~~

$$\dagger \begin{pmatrix} a a^* y_1 \\ b b^* y_2 \end{pmatrix}$$

write

$$\begin{pmatrix} y_1 \\ y_2 \end{pmatrix} = \begin{pmatrix} (1 - a a^*) y_1 + a a^* y_1 \\ (1 - b b^*) y_2 + b b^* y_2 \end{pmatrix} = \begin{pmatrix} (1 - a a^*) y_1 \\ 0 \end{pmatrix} + \begin{pmatrix} 0 \\ (1 - b b^*) y_2 \end{pmatrix}$$

So $W^\circ = W \oplus \begin{pmatrix} V^+ \\ 0 \end{pmatrix} \oplus \begin{pmatrix} 0 \\ V^- \end{pmatrix}$. ~~Γ_z~~ $\Gamma_z = \begin{pmatrix} 1 \\ z \end{pmatrix} Y$

Γ_z isotropic for $|z| = 1$. What can we do?

$Y \oplus Y$ contains $W, \Gamma_z, \begin{pmatrix} V^+ \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ V^- \end{pmatrix}$ CS

Generically $Y \oplus Y = W + \begin{pmatrix} V^+ \\ 0 \end{pmatrix} + \Gamma_z$
 $= W + \begin{pmatrix} 0 \\ V^- \end{pmatrix} + \Gamma_z$

Fundamental problem. Consider p.herm. situation

$$0 \rightarrow X \xrightarrow{\lambda \varepsilon - A} Y \rightarrow E_\lambda \rightarrow 0$$

Find an element $e_0 \in Y$ which trivializes E over the UHP including the real axis. CS

zeros of sections e_0 are in LHP should be eigenvalues of some extension of A . ~~This~~ This is tricky because there are $n = \dim X$ zeros of e_0 . Yes!

~~CS~~

22 Problem: Consider p. herm. situation

$$0 \rightarrow X \xrightarrow{\lambda \varepsilon - A} Y \rightarrow E_\lambda \rightarrow 0$$

To find $e_0 \in Y$ such that the corresponding section of E_0 has its zeroes in the LHP. These zeroes should be the eigenvalues of some ~~variant of~~ compression of A to X . Example. ~~the~~ Adjust the scalar product on X so that $\varepsilon^* \varepsilon = 1$. ~~then~~

Better: Let $\varepsilon^*: Y \rightarrow X$ ~~be a map~~ $\exists \varepsilon^* \varepsilon = 1$.

~~Then the section~~ and e_0 generates $\text{Ker}(\varepsilon^*)$.

Then ~~the~~ section $\neq 0$ when $\lambda - \varepsilon^* A$ nonsing.

usual calculation will work. Check this $\eta \varepsilon = 1$.

$$(\lambda \varepsilon - A)x = -y + \tilde{y}(\lambda) e_0 \quad \eta(e_0) = 0$$

$$(\lambda - \eta A)x = -\eta y$$

$\lambda \neq 0$

$$x = -(\lambda - \eta A)^{-1} \eta y = -\eta (\lambda - A \eta)^{-1} y$$

$$\begin{aligned} y - (\lambda \varepsilon - A) \eta (\lambda - A \eta)^{-1} y &= [\lambda - A \eta - (\lambda \varepsilon - A) \eta] (\lambda - A \eta)^{-1} y \\ &= \lambda (1 - \varepsilon \eta) (\lambda - A \eta)^{-1} y \end{aligned}$$

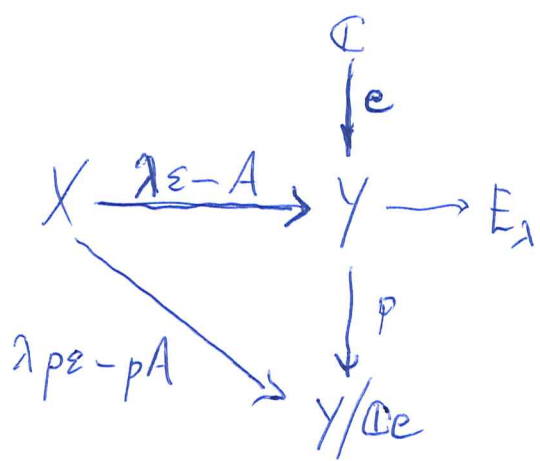
$$\tilde{y}(\lambda) = (e_0, (1 - \lambda^{-1} A \eta)^{-1} y)$$

Observe that ηA and $A \eta$ have the same spectrum $\neq 0$. Now explain ~~how~~ your trick calculation in quasi-det terms.

Use ε' instead of η . Idea you start

with

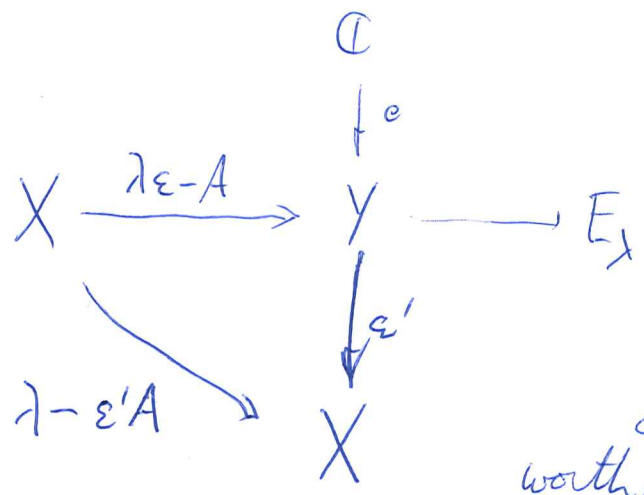
$$X \xrightarrow{\lambda \varepsilon - A} Y$$



You want $p\varepsilon: X \rightarrow Y/\mathbb{C}e$ to be an isom., then define $\varepsilon' = (p\varepsilon)^{-1}p: Y \rightarrow X$

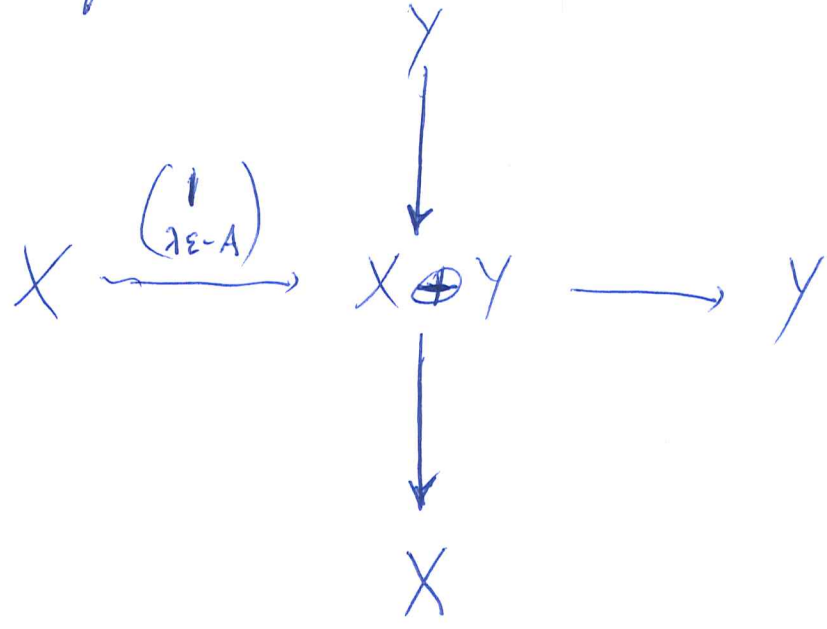
so it seems that we have one splitting
 $Y = \mathbb{C}e \oplus \varepsilon X$

and have arranged ~~another splitting~~

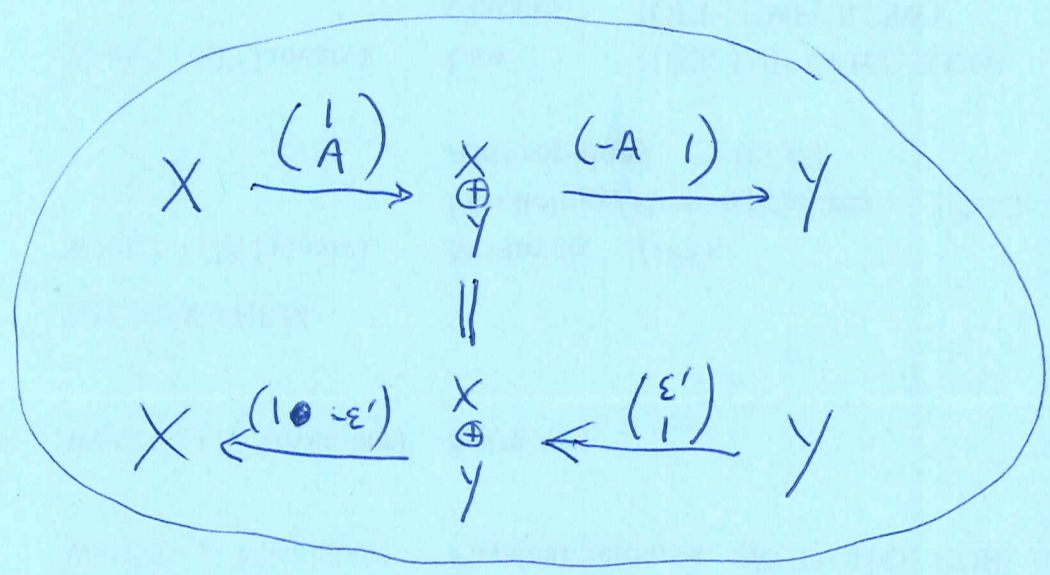
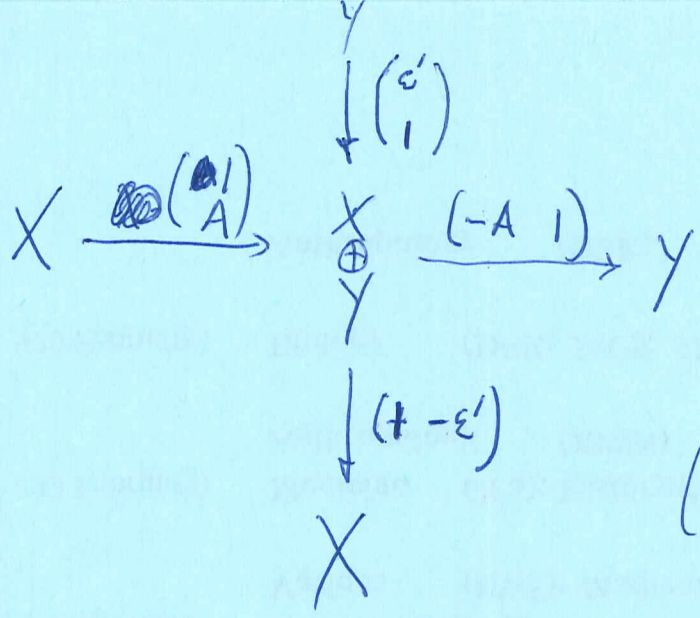


How do I relate $\lambda - \varepsilon'A$ to $\lambda - A\varepsilon'$?
 You form $X \oplus Y$

It seems maybe worthwhile using $Y \oplus Y$ where one factor is $\mathbb{C}e \oplus \varepsilon X$.



$$(1 - A\varepsilon')^{-1} = 1 + A(1 - \varepsilon'A)^{-1}\varepsilon'$$



Main statement is that any matrix coefficient of the inverse matrix is the inverse of the quasi-determinant

$$\langle I | \begin{pmatrix} a & b \\ c & d \end{pmatrix}^{-1} | I \rangle = \frac{d}{ad-bc} = (a-bd^{-1}c)^{-1}$$

Is there a way to fit

$$(1-pq)^{-1} = \frac{1}{a} + \frac{p(1-qp)^{-1}}{b} + \frac{q}{c}$$

into this picture

$$\begin{pmatrix} 1 & p \\ -q & 1-qp \end{pmatrix}$$

$$\begin{pmatrix} 1 & p \\ -q & 1-qp \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \phi \\ -q \end{pmatrix} \begin{pmatrix} \phi & p \end{pmatrix}$$

25 Is $\begin{pmatrix} 1 & P \\ -g & 1-gP \end{pmatrix}$ invertible?

det = 1 in comm. case

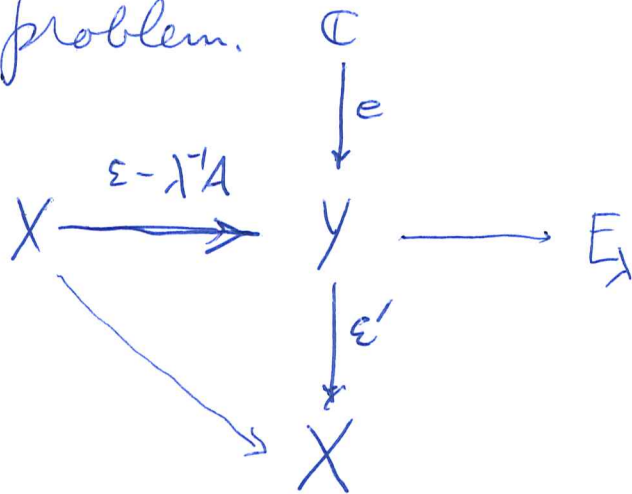
$$\begin{pmatrix} 1 & 0 \\ g & 1 \end{pmatrix} \begin{pmatrix} 1 & P \\ -g & 1-gP \end{pmatrix} = \begin{pmatrix} 1 & P \\ 0 & 1 \end{pmatrix}$$

$$\therefore \begin{pmatrix} 1 & P \\ -g & 1-gP \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ -g & 1 \end{pmatrix} \begin{pmatrix} 1 & P \\ 0 & 1 \end{pmatrix}$$

$$\begin{pmatrix} 1 & P \\ -g & 1-gP \end{pmatrix}^{-1} = \begin{pmatrix} 1 & -P \\ & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ g & 1 \end{pmatrix} = \begin{pmatrix} 1-Pg & -P \\ g & 1 \end{pmatrix}$$

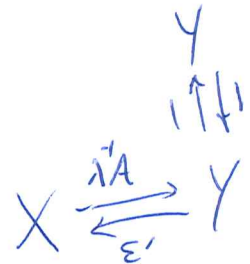
$$(A^{-1})_{ij} = (ij \text{ quasi-det})^{-1}$$

Your problem.



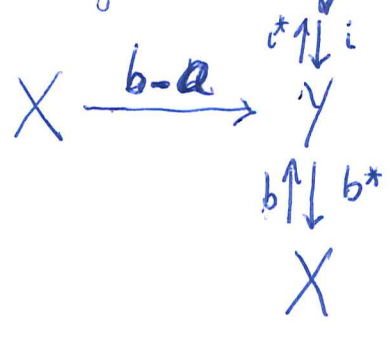
~~⊗~~

You need to get $(e, \underbrace{(1 - \lambda'A \varepsilon')^{-1} y})$
this requires



26

Ingredients



Abstract calculation

To solve

$$(b-a)x = -y + i v$$

$$(1-b^*a)x = -b^*y$$

$$x = -(1-b^*a)^{-1} b^* y = -b^* (1-ab^*)^{-1} y$$

$$y + (b-a)x = y - (b-a)b^* (1-ab^*)^{-1} y$$

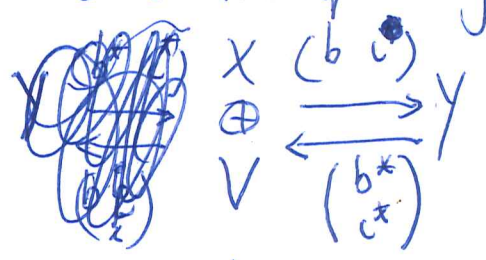
$$= (1-ab^* - (b-a)b^*) (1-ab^*)^{-1} y$$

$$i v = \underbrace{(1-bb^*)}_{1-c^*v} (1-ab^*)^{-1} y$$

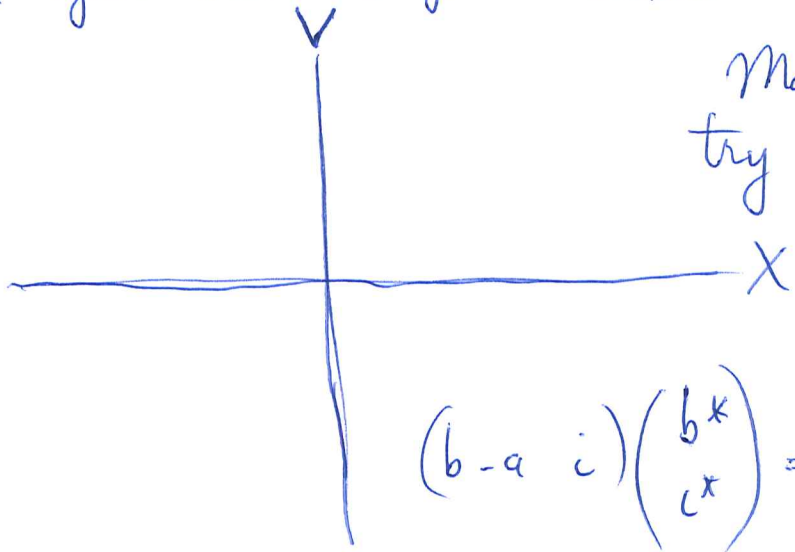
$$v = c^* (1-ab^*)^{-1} y$$

This is a perturbation calculation which should be fairly general. You ~~begin~~ begin with the splitting

$$Y = bX \oplus cV$$



and you have a perturbation $b-a$ of b .



Maybe you should try ~~to solve~~

$$(b-a \ i) \begin{pmatrix} X \\ V \end{pmatrix} \rightarrow Y$$

$$(b-a \ i) \begin{pmatrix} b^* \\ c^* \end{pmatrix} = b - ab^*$$

~~scribbled out text~~

27 You start with the isomorphism and inverse

$$Y \xrightarrow{\begin{pmatrix} b^* \\ i^* \end{pmatrix}} X \oplus Y \xrightarrow{(b \ i)} Y$$

and you have the part of b :

$$Y \xrightarrow{\begin{pmatrix} b^* \\ i^* \end{pmatrix}} X \oplus Y \xrightarrow{(b-a \ i)} Y \quad (b-a)^i \begin{pmatrix} b^* \\ i^* \end{pmatrix} = 1-ab^*$$

so you get $(b-a \ i)^{-1} = \begin{pmatrix} b^* \\ i^* \end{pmatrix} (1-ab^*)^{-1}$

back to p. herm.

$$X \xrightarrow[A]{\epsilon} Y$$

split $Y \xrightarrow{\begin{pmatrix} \epsilon^* \\ f^* \end{pmatrix}} X \oplus Y \xrightarrow{(\epsilon \ f)} Y$

$$Y \xrightarrow{\begin{pmatrix} \epsilon^* \\ f^* \end{pmatrix}} X \oplus Y \xrightarrow{(\lambda\epsilon - A \ f)} Y$$

$$(\lambda\epsilon - A \ f) \begin{pmatrix} \epsilon^* \\ f^* \end{pmatrix} = \lambda - A\epsilon^*$$

we need to choose $\epsilon^*: Y \rightarrow X$ so that $\epsilon^*\epsilon = 1$

such a choice can be altered by an element of X

~~these~~ ϵ^* for an affine space of dim n , so what is de Branges choice?

$$\begin{pmatrix} b_1 & a_1 \\ a_1 & b_2 & a_2 \\ & a_2 & b_3 & a_3 \\ & & & a_3 \end{pmatrix}$$

~~these~~

$$\begin{pmatrix} c_1 & & \\ & c_2 & \\ & & c_3 \end{pmatrix}$$

$$\begin{pmatrix} b_1 c_1 + a_1 c_2 \\ a_1 c_1 + b_2 c_2 + a_2 c_3 \\ a_2 c_2 + b_3 c_3 \\ a_3 c_3 \end{pmatrix}$$

$$\begin{pmatrix} b_1 & a_1 & & & \\ a_1 & b_2 & a_2 & & \\ & a_2 & b_3 & a_3 & \\ & & & & a_3 \end{pmatrix}$$

$$\begin{pmatrix} 0 & a_2 & b_3 & a_3 \\ a_1 & b_2 & a_2 & 0 \\ b_1 & a_1 & 0 & 0 \end{pmatrix}$$

choice for ϵ^*

$$\begin{pmatrix} 1 & & & * \\ & 1 & & * \\ & & 1 & * \\ & & & 1 \end{pmatrix} \begin{pmatrix} a_1 & a_1 & & \\ 0 & a_2 & & \\ 0 & 0 & a_3 & \\ & & & a_3 \end{pmatrix}$$

$$A\epsilon^* =$$

28

$$\begin{pmatrix} b_1 & a_1 & b_1 c_1 + a_1 c_2 \\ a_1 & b_2 & a_1 c_1 + b_2 c_2 \\ & a_2 & a_2 c_2 \end{pmatrix} = A \varepsilon^*$$

You should look at $\varepsilon^* A$

$$\begin{pmatrix} 1 & 0 & c_1 \\ 0 & 1 & c_2 \end{pmatrix} \begin{pmatrix} b_1 & a_1 \\ a_1 & b_2 \\ & a_2 \end{pmatrix} = \begin{pmatrix} b_1 & a_1 + c_1 a_2 \\ a_1 & b_2 + c_2 a_2 \end{pmatrix}$$

$$\begin{pmatrix} 1 & & & c_1 \\ & 1 & & c_2 \\ & & 1 & c_3 \end{pmatrix} \begin{pmatrix} b_1 & a_1 & & \\ a_1 & b_2 & a_2 & \\ & & a_2 & b_3 \\ & & & a_3 \end{pmatrix} = \begin{pmatrix} b_1 & a_1 & c_1 a_3 \\ a_1 & b_2 & a_2 + c_2 a_3 \\ 0 & a_2 & b_3 + c_3 a_3 \end{pmatrix}$$

It looks as if you want $c_1 = c_2 = 0$ $c_3 = 1$

Then $\varepsilon^* A$,

Now work out the details: Start with $\varepsilon, A: X \rightarrow Y$

you want to find $\varepsilon': Y \rightarrow X$ $\varepsilon' \varepsilon = 1$.

I want a natural choice of ε' .

If we choose ~~the~~ scalar prod on X so that $\varepsilon^* \varepsilon = 1$, i.e. ε is an isometry. Then we can

$$1 - \varepsilon \varepsilon^* = \text{[scribble]} \quad \varepsilon' = \varepsilon^* +$$

29 It looks like I'm not getting ~~calculation~~ something straightforward. What do you want? I need an ε' such that $\varepsilon'\varepsilon = 1$, equivalently a ~~line~~ complementary to εX , ~~if~~ εX corresp. to $\lambda = \infty$.

What do you want? You need $\neq 0$ ~~alt~~ e which provided ~~the~~ a section of the line bundle. You want $e \in \varepsilon X$ so that $\mathbb{C}e \oplus \varepsilon X = Y$ whence $1 = ee' + \varepsilon\varepsilon'$ $\varepsilon'\varepsilon = 1_X$. So ~~you~~ you want a line whose ^{assoc.} section ~~is non~~ vanishes only in the LHP. Then get $\varepsilon'\varepsilon = 1$.

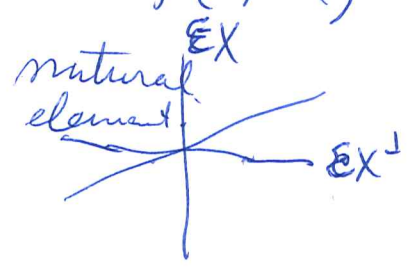
so
$$Y \xrightarrow{\begin{pmatrix} \varepsilon' \\ e' \end{pmatrix}} \begin{matrix} \varepsilon X \\ \oplus \\ \mathbb{C}e \end{matrix} \xrightarrow{\begin{pmatrix} \varepsilon & \lambda A \\ 0 & e \end{pmatrix}} Y \quad \begin{aligned} &(\varepsilon - \lambda A)\varepsilon' + ee' \\ &= 1 - \lambda A e' \end{aligned}$$

The choice is the element e , because εX is fixed. So you ask for a natural ~~element~~ line outside εX . The orthogonal complement, but this yields $\varepsilon^* A$ on X which is hermitian, ~~we~~ we want something with a negative imag. part of rank 1.

$$\varepsilon' = \varepsilon^* + f \quad f\varepsilon = 0 \quad f: Y/\varepsilon X \rightarrow X$$

$$\varepsilon' A = \varepsilon^* A + fA$$

So $f(Y/\varepsilon X)$ is a line in X . Is there ~~a~~ a natural element εX εX^\perp So you have to find a line in X .



30 So things look very interesting indeed.

Let's review carefully. You have a partial hermitian operator $X \xrightarrow[A]{\varepsilon} Y$ of $O(n)$ type. Y is a Hilbert space of dim $n+1$, X has dim n , $\lambda\varepsilon - A$ is surjective $\forall \lambda$ including ∞ . Partial herm. means $\begin{pmatrix} \varepsilon \\ A \end{pmatrix} X \subset Y \oplus Y$ is isotropic wrt $\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$

i.e. $\left(\begin{pmatrix} \varepsilon \\ A \end{pmatrix} (x'), \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} \varepsilon \\ A \end{pmatrix} (x) \right) = 0 \quad \forall x, x'.$

$$(\varepsilon x', Ax) = (Ax', \varepsilon x)$$

~~Now~~ You have line bundle $E_\lambda = Y / (\lambda\varepsilon - A)X$ over P^1 , you ~~wish~~ wish to find a natural section ~~vanishing~~ ^{not vanishing at ∞} only in the LHP. Such a section amounts to an element of $e \in Y \subset \varepsilon X$.

Get splitting $Y \xrightarrow[\oplus]{\begin{pmatrix} \varepsilon' \\ e' \end{pmatrix}} X \oplus \mathbb{C} \xrightarrow{\begin{pmatrix} \varepsilon & e \end{pmatrix}} Y$ $1 = \varepsilon\varepsilon' + ee'$
etc.

Then to solve $(\lambda\varepsilon - A)x + c \begin{pmatrix} e \\ 1 \end{pmatrix} = y$ $\begin{pmatrix} \varepsilon' \\ e' \end{pmatrix} (\varepsilon, e) = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$
Apply ε' $(\lambda - \varepsilon'A)x = \varepsilon'y$ ^{always} can be ~~be~~ solved when $\det(\lambda - \varepsilon'A) \neq 0$.

So the ~~issue~~ issue becomes to find a natural choice of e or ε' . ~~Have~~ Have the orthogonal complement of εX . $\text{Ker}(\varepsilon^*)$ once ~~scalar~~ ^{real} product on X chosen so that ε is an isometry. If you take $\varepsilon' = \varepsilon^*$ get $\det(\lambda - \varepsilon^*A) = 0$ which has real roots as ε^*A is hermitian.

31

~~What to do?~~
 What to do? Possibilities $\left[\begin{array}{l} \text{Look at } \left(\begin{smallmatrix} \varepsilon \\ A \end{smallmatrix} \right) X^0 \text{ in } Y \\ \text{Use vanishing at } \infty \text{ filtration of } Y \end{array} \right.$

Try 2nd. The idea is to seek ε' in the form $\varepsilon^* + f$ where $f: Y/\varepsilon X \rightarrow X$. This means you need to find a line in X if $f \neq 0$. So far I have singled out $\lambda = \infty$. From K -module theory you do get natural complementary ~~filtrations~~ flags by looking order of vanishing at 0 and ∞ . $\lambda = i$ might play a special role.

I know from K -module theory ~~that~~ that we can identify Y with $\mathbb{C} + \mathbb{C}\lambda + \dots + \mathbb{C}\lambda^n$, X degree $< n$ $\varepsilon = \text{inc}$, $A = \lambda$ in an essentially unique way (non-zero scalar). The \mathbb{C} is sections vanishing to order n at $\lambda = \infty$. This gives degree filtration on polys. ~~Notice that~~ $\text{translation doesn't change this.}$

Have J matrix picture of the partial (herm.) op.

Let's go on to W^0/W . W is an isotropic subspace of $Y \oplus Y$, ~~so you need to~~ To extend to an isot subspace should be the same as giving a ~~self adj~~ ^{hermitian} extension of the partial herm. op. But there is a ^{complete} projective line of ^{itdms} subspaces containing W and contained in W^0 . Is there a natural point? One

~~that~~ You discussed before extending the J matrix should be ^{There's some condition,} You discussed possible $\varepsilon'A$, mainly possible ε' (n dims)

The Cayley transform should take W^0/W into $V^+ \oplus V^-$. Hopefully there are extensions of ~~A~~ the partial herm. to a nearly hermitian op $A\varepsilon'$ on Y .

32 Oct 2, 98 review. p. herm. $X \xrightarrow{\varepsilon} Y$

$$W = \begin{pmatrix} \varepsilon \\ A \end{pmatrix} X \subset \begin{matrix} Y \\ \oplus \\ Y \end{matrix} \quad W^\circ = \left\{ \begin{pmatrix} y_1 \\ y_2 \end{pmatrix} \mid \left(\begin{pmatrix} y_1 \\ y_2 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} \varepsilon X \\ A X \end{pmatrix} \right) = 0 \right\}$$

$$\Leftrightarrow (y_1, Ax) = (y_2, \varepsilon X) \quad \forall x$$

~~Observe that the projection line~~ W°/W is 2-dim with hermitian form type 1, -1. Let $W \subset V \subset W^\circ$ if $p_1: V \xrightarrow{\sim} Y$ then V is the graph of an extension of $\blacksquare (\varepsilon, A)$ to Y . $p_1 W = \varepsilon X$ so use gen. e for $(\varepsilon X)^\perp$. Take $y_1 = e \in (\varepsilon X)^\perp$ $(e, Ax) = (y_2, \varepsilon X)$. There's a unique $y_2 \in \varepsilon X$ with the appropriate property and any multiple of e can be added to y_2 . So you get an affine line of possible V . ~~to the description~~ on the J matrix description

$$\begin{pmatrix} b_1 & a_1 \\ a_1 & b_2 \\ & a_2 & b_3 \end{pmatrix}$$

any element of \mathbb{C} .
Anyway what else?

review. Given $X \xrightarrow{\varepsilon} Y$ $W = \begin{pmatrix} \varepsilon \\ A \end{pmatrix} X \subset \begin{matrix} Y \\ \oplus \\ Y \end{matrix}$

$$W^\circ \text{ consists of } \begin{pmatrix} y_1 \\ y_2 \end{pmatrix} \quad (y_1, Ax) = (y_2, \varepsilon X) \quad \forall x$$

Add to W $\begin{pmatrix} e \\ \varepsilon y_2 \end{pmatrix}$ where e spans $(\varepsilon X)^\perp$ and εy_2 satisfies $(e, Ax) = (\varepsilon y_2, \varepsilon X) \quad \forall x$

y_2 determined up to an ~~element~~ a multiple of e .

$$y_2 = \varepsilon x_2 + ce. \quad \text{This defines unit. } \tilde{A} \text{ of } A\varepsilon^{-1}$$

$$(e, \tilde{A}e) = 0$$

33 Review. $W = \begin{pmatrix} \varepsilon \\ A \end{pmatrix} X$ $W^0 = \{ \begin{pmatrix} y_1 \\ y_2 \end{pmatrix} \mid (y_1, Ax) = (y_2, \varepsilon x) \forall x \in X \}$

$p_1: W \rightarrow \varepsilon X$, e unit vector $\perp \varepsilon X$, take $y_1 = e$

$\exists! x_0 \in X \text{ s.t. } (e, Ax) = (\varepsilon x_0, \varepsilon x) \forall x$
 $\underbrace{(\varepsilon x_0, \varepsilon x)}_{(x_0, x)} \text{ if } \varepsilon^* \varepsilon = 1.$

Then get ~~hermitian~~ $\begin{pmatrix} e \\ \varepsilon x_0 \end{pmatrix} \in W^0$. Can describe all extensions of A to a hermitian op on Y , by $\tilde{A}\varepsilon = A$, $\tilde{A}e = \varepsilon x_0 + ce$ $c \in \mathbb{R}$. You need $\begin{pmatrix} e \\ \varepsilon x_0 + ce \end{pmatrix}$ to be isotropic: $\text{Im}(e, \varepsilon x_0 + ce) = \text{Im}(c) = 0$.

I want nearly hermitian operator with neg. Imag part. Probably want $c = -i$ so that $(e, \tilde{A}e) = -i$ up to a positive ~~scalar~~ scalar. ~~But you have~~

Go back to C.T. to ~~figure out~~ find what corresponds to $z = \infty$, $\lambda = i$

$W = \begin{pmatrix} a \\ b \end{pmatrix} X \subset \begin{matrix} Y \\ \oplus \\ Y \end{matrix}$ $W^0 = W \oplus \begin{matrix} V^+ \\ \oplus \\ V^- \end{matrix}$ $V^+ = \text{Ker } a^*$

$a z - b = a \frac{1+i\lambda}{1-i\lambda} - b \sim a(1+i\lambda) - b(1-i\lambda)$
 $\underbrace{-i(a-b)}_A + \underbrace{\lambda(a+b)}_\varepsilon$

b arises from $z = \infty$, $\lambda = +i$

$V^{\bar{e}} = \left((i\varepsilon - A) X \right)^\perp$

~~you want~~ Go back to $W = \begin{pmatrix} \varepsilon \\ A \end{pmatrix} X$ and

$W^0 = \begin{pmatrix} \varepsilon \\ A \end{pmatrix} X + \mathbb{C} \begin{pmatrix} e \\ \varepsilon x_0 \end{pmatrix} + \mathbb{C} \begin{pmatrix} 0 \\ e \end{pmatrix}$

~~Remaining~~ Remaining problem: to connect $\left((i\varepsilon - A) X \right)^\perp$ with the J -matrix

$\det \delta - \underbrace{\begin{pmatrix} b_1 & a_1 & & & \\ a_1 & & & & \\ & & & & \\ & & & a_{n-1} & \\ & & a_{n-1} & b_n & a_n \\ & & & & a_n i \end{pmatrix}}_A$

$= (i-i) d_n \ominus a_n^2 d_{n-1}$

$$\det \begin{pmatrix} \lambda - b_1 & -a_1 & & \\ -a_1 & & & \\ & & & -a_{n-1} \\ & & -a_{n-1} & \lambda - b_n \end{pmatrix}$$

?? This doesn't look so promising.

You have this way to produce \tilde{A} extending $A\varepsilon^{-1}$ such that $\tilde{A} - A^*$ rank 1 preserving

You now have clear picture of nearly hermitian extensions of $A\varepsilon^{-1}$.

puzzle. What happens. Review.

have p. herm. $X \xrightarrow[A]{\varepsilon} Y$ of type $\mathcal{O}(n)$

$W = \begin{pmatrix} \varepsilon \\ A \end{pmatrix} X \subset \begin{matrix} Y \\ \oplus \\ Y \end{matrix}$ isotropic for ~~...~~

$$\left(\xi, \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \xi' \right) = (\xi_1, \xi'_2) - (\xi_2, \xi'_1)$$

$$W^\circ = \left\{ \begin{pmatrix} y_1 \\ y_2 \end{pmatrix} \mid (y_1, Ax) = (y_2, \varepsilon x) \quad \forall x \in X \right\} \supset W^\circ$$

e unit vector in $(\varepsilon X)^\perp$, define x_0 by

$$(e, Ax) = (\varepsilon x_0, \varepsilon x)$$

linear func. on X

Then $\begin{pmatrix} e \\ \varepsilon x_0 \end{pmatrix} \in W^\circ$

$$W^\circ = \begin{pmatrix} \varepsilon \\ A \end{pmatrix} X \oplus \mathbb{C} \begin{pmatrix} e \\ \varepsilon x_0 \end{pmatrix} \oplus \mathbb{C} \begin{pmatrix} 0 \\ e \end{pmatrix}$$

~~Extending the partial hermitian form $A\varepsilon^{-1}$ to \tilde{A} amounts to $\begin{pmatrix} \varepsilon \\ A \end{pmatrix} X \xrightarrow{\tilde{A}} \begin{matrix} Y \\ \oplus \\ Y \end{matrix}$~~

Consider subspaces: $W \subset V \subset W^\circ \ni V = \Gamma_{\tilde{A}}$

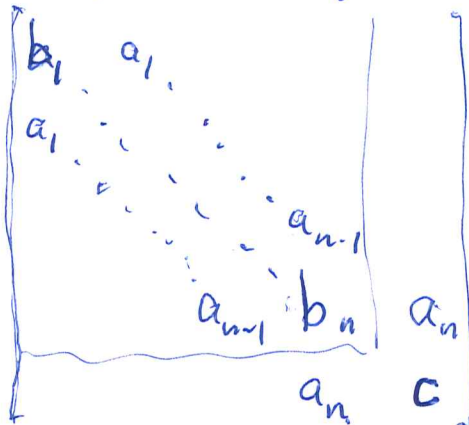
$\tilde{A}: Y \rightarrow Y$ extends $A\varepsilon$. $\tilde{A}|_{\varepsilon X} = Ax$
 $e = \varepsilon x_0 + ce$

$$35 \quad (\varepsilon x + e, \tilde{A}(\varepsilon x + e)) = (\varepsilon x + e, Ax + \varepsilon x_0 + ce)$$

$$= \underbrace{(\varepsilon x, Ax)}_{\text{real}} + \underbrace{(\varepsilon x, \varepsilon x_0)}_{\text{real}} + \underbrace{(e, Ax)}_{\text{real}} + \underbrace{(e, e)}_{\text{Im part}} c$$

So \tilde{A} is nearly hermitian.

J-matrix picture of \tilde{A} .



Now ~~use~~ you might use \tilde{A} to construct an isometric embedding

$$\tilde{y}(\lambda) = (e, (\lambda - \tilde{A})^{-1} y)$$

of Y into $L^2(\mathbb{R})$.

Look at poles: $\det(\lambda - \tilde{A}) = 0$

$$\det(\lambda - \tilde{A}) = (\lambda - c) \det(\lambda - \varepsilon^* A) - a_n^2 \det(\lambda - M_{n-1})$$

$M_{n+1} \quad b_{n+1} \quad M_n$

$$d_{n+1} = (\lambda - b_{n+1}) d_n - a_n^2 d_{n-1}$$

$$\frac{d_{n+1}}{d_n} = \lambda - b_{n+1} - \frac{a_n^2}{\left(\frac{d_n}{d_{n-1}}\right)}$$

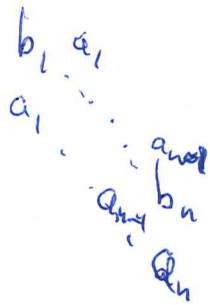
~~Something is wrong.~~

~~The preceding~~ The preceding is reasonable but it does ^{not} yield the relation you want, you expect from de Branges + scattering. ~~That point~~

The important point involves choosing a ~~point~~ ~~section~~ ~~in~~ ~~Y~~ whose section of the line bundle.

Let's try to use the ^{line} orthogonal to $(i\varepsilon - A)X$ in Y .

36 Go back to J-matrix & try to combine the $\mathbb{C}\varepsilon$ with the imag part of ε .



What do you need. ~~What do you need.~~

$$Y \xrightarrow{\begin{pmatrix} \varepsilon' \\ e' \end{pmatrix}} X \xrightarrow{\begin{pmatrix} \lambda\varepsilon - A & e \end{pmatrix}} Y$$

\mathbb{C}

Present understanding. ~~Let~~

$$(\varepsilon \ e) \begin{pmatrix} \varepsilon' \\ e' \end{pmatrix} = \varepsilon\varepsilon' + ee' = 1.$$

$$(\lambda\varepsilon - A \ e) \begin{pmatrix} \varepsilon' \\ e' \end{pmatrix} = \lambda - A\varepsilon'$$

$$\begin{pmatrix} \varepsilon' \\ e' \end{pmatrix} (\varepsilon \ e) = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

~~Let things be that~~

ε', e, e' for the line

Can you calculate $(\lambda\varepsilon - A)X^{-1}$?

$$A = \begin{pmatrix} b_1 & a_1 \\ a_1 & b_2 \\ 0 & a_2 \end{pmatrix}$$

$$\varepsilon = \begin{pmatrix} 1 & 0 \\ 0 & 1 \\ 0 & 0 \end{pmatrix}$$

$$A^* = \begin{pmatrix} b_1 & a_1 & 0 \\ a_1 & b_2 & a_2 \end{pmatrix}$$

$$\varepsilon^* = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}$$

$$\mathbb{C}\varepsilon^* + A^* = \begin{pmatrix} b_1 + i & a_1 & 0 \\ a_1 & b_2 + i & a_2 \end{pmatrix}$$

$$(\mathbb{C}\varepsilon^* + A^*)\varepsilon = \begin{pmatrix} b_1 + i & a_1 \\ a_1 & b_2 + i \end{pmatrix}$$

$$(\mathbb{C}\varepsilon^* + A^*)\varepsilon = i + A^*\varepsilon \quad \text{invertible}$$

$$\varepsilon' = (\mathbb{C}\varepsilon^* + A^*)^{-1} (\mathbb{C}\varepsilon^* + A^*) = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} b_1 + i & a_1 \\ a_1 & b_2 + i \end{pmatrix}^{-1} \begin{pmatrix} 0 \\ a_2 \end{pmatrix}$$

$\begin{pmatrix} \xi_1 \\ \xi_2 \end{pmatrix}$

$$3) \quad \ker(\varepsilon') = \begin{pmatrix} -\xi_1 \\ -\xi_2 \\ 1 \end{pmatrix}$$

$$\begin{aligned} (i\varepsilon^* + A^*)\varepsilon &= i + A^*\varepsilon \\ &= i + \varepsilon^*A \\ &= \varepsilon^*(i\varepsilon + A) \end{aligned}$$

$$\underbrace{\begin{pmatrix} 1 & 0 & \xi_1 \\ 0 & 1 & \xi_2 \\ 0 & 0 & 1 \end{pmatrix}}_{\begin{pmatrix} \varepsilon' \\ e' \end{pmatrix}} \underbrace{\begin{pmatrix} 1 & 0 & -\xi_1 \\ 0 & 1 & -\xi_2 \\ 0 & 0 & 1 \end{pmatrix}}_{\begin{pmatrix} \varepsilon \\ e \end{pmatrix}} = \text{Id}$$

$A\varepsilon'$ has kernel $\mathbb{C}e$

What is $\varepsilon'A$.

$$\begin{pmatrix} 1 & 0 & \xi_1 \\ 0 & 1 & \xi_2 \end{pmatrix} \begin{pmatrix} a_1 & a_1 \\ a_1 & b_2 \\ & a_2 \end{pmatrix} = \begin{pmatrix} b_1 & a_1 + \xi_1 a_2 \\ a_1 & b_2 + \xi_2 a_2 \end{pmatrix}$$

Try again.

$$A = \begin{pmatrix} b_1 & a_1 & & & \\ a_1 & b_2 & & & \\ & & \ddots & & \\ & & & a_{n-1} & \\ & & & & b_n \\ & & & & & a_n \end{pmatrix} \quad \varepsilon = \begin{pmatrix} 1 & & & & \\ 0 & 1 & & & \\ & & \ddots & & \\ & & & 1 & \\ & & & & 1 & \\ & & & & & 0 \end{pmatrix}$$

$$(i\varepsilon^* + A^*) = \begin{pmatrix} b_1 + i & a_1 & & & 0 \\ a_1 & b_2 + i & a_2 & & \\ & a_2 & \ddots & & \\ 0 & & & \ddots & \\ & & & & a_{n-1} & \\ & & & & a_{n-1} & b_n + i & a_n \end{pmatrix}$$

$$\begin{aligned} \text{So } \varepsilon' &= (\lambda + \varepsilon^* A)^{-1} (\varepsilon^* + A^* x) \\ &= \begin{pmatrix} I & \vdots \end{pmatrix} \end{aligned}$$

$$(\lambda + \varepsilon^* A)^{-1} = \begin{pmatrix} 0 \\ \vdots \\ 0 \\ a_n \end{pmatrix}$$

$$\varepsilon' = \varepsilon^* + (\lambda + \varepsilon^* A)^{-1} x_0 \langle e_{n+1} \rangle$$

$$(e_{n+1}, Ax) = (x_0, x)$$

$$\varepsilon' A = \varepsilon^* A + (\lambda + \varepsilon^* A)^{-1} x_0 \langle e_{n+1} \rangle A$$

The point somehow

$$A \varepsilon' = A \varepsilon^* + \underbrace{A (\lambda + \varepsilon^* A)^{-1} x_0 \langle e_{n+1} \rangle}_{(\lambda + A \varepsilon^*)^{-1} A x_0 \langle e_{n+1} \rangle}$$

Review the logic You have a holom line bundle E over P^1 with fibres $Y / (\lambda \varepsilon - A) X = E_\lambda$. Any $y \in Y$ gives a holom. section which has n zeroes if $y \neq 0$. Get ~~divisors~~ divisors of degree n on P^1 ~~equivalent~~ equivalent to lines in P^1 .

get ~~Y~~ $\mathcal{O} \oplus \varepsilon X$, then $Y = \mathcal{O} f \oplus \varepsilon X$

$$Y \xrightarrow{\begin{pmatrix} \varepsilon' \\ f' \end{pmatrix}} \begin{matrix} X \\ \oplus \\ \mathbb{C} \end{matrix} \xrightarrow{\begin{pmatrix} \varepsilon & f \end{pmatrix}} Y$$

$$Y \xrightarrow{\begin{pmatrix} \varepsilon' \\ f' \end{pmatrix}} \begin{matrix} X \\ \oplus \\ \mathbb{C} \end{matrix} \xrightarrow{\begin{pmatrix} \lambda \varepsilon - A & f \end{pmatrix}} Y \quad (\lambda \varepsilon - A) \varepsilon' + f f' = \lambda - A \varepsilon'$$

divisor is roots of $\frac{1}{\lambda} \det(\lambda - A \varepsilon') = \det(\lambda - \varepsilon' A)$
 In simpler terms

$$\begin{array}{ccccc} X & \xrightarrow{\lambda \varepsilon - A} & Y & \longrightarrow & E_\lambda \longrightarrow 0 \\ & \searrow & \downarrow \varepsilon' & & \\ & & X & & \end{array}$$

$\lambda - \varepsilon' A$

~~What is the point?~~

Different approach. Calculate $\ker(\lambda E^* - A^*)$

$$\begin{pmatrix} b_1 - \lambda & a_1 & & & \\ a_1 & b_2 - \lambda & & & \\ & & \ddots & & \\ & & & a_{n-1} & \\ & & & a_{n-1} & b_n - \lambda & a_n \\ & & & & & & a_n \end{pmatrix} \begin{pmatrix} u_1 \\ \\ \\ \\ u_{n+1} \end{pmatrix} = 0$$

$$(b_1 - \lambda)u_1 + a_1 u_2 = 0$$

$$a_1 u_1 + (b_2 - \lambda)u_2 + a_2 u_3 = 0$$

solve starting
from $u_1 = 1$.

$$u_2 = \frac{\lambda - b_1}{a_1}$$

$$u_3 = \frac{(\lambda - b_2)u_2 - a_1 u_1}{a_2}$$

$$d_j = \det(\lambda - M_j)$$

$$M_j = \begin{pmatrix} b_1 & a_1 & & & \\ a_1 & & & & \\ & & \ddots & & \\ & & & a_{j-1} & \\ & & & a_{j-1} & b_j \end{pmatrix}$$

$$d_{j+1} = (\lambda - b_{j+1})d_j - a_j^2 d_{j-1}$$

$$d_{j+1} = (\lambda - b_{j+1})d_j - a_j^2 d_{j-1} \quad j \geq 0.$$

$$u_{j+1} = \frac{d_j}{a_1 \cdots a_j}$$

$$d_j = (\lambda - b_j)d_{j-1} - a_{j-1}^2 d_{j-2}$$

$$a_j u_{j+1} = (\lambda - b_j)u_j - a_{j-1} u_{j-1}$$

$$\frac{d_j}{a_1 \cdots a_{j+1}} = (\lambda - b_j) \frac{d_{j-1}}{a_1 \cdots a_j} - a_{j-1} \frac{d_{j-2}}{a_1 \cdots a_{j-1}}$$

40

$$\begin{pmatrix} b_1 - \lambda \\ \vdots \\ b_n - \lambda \end{pmatrix} \begin{pmatrix} u_1 \\ \vdots \\ u_n \end{pmatrix} + \begin{pmatrix} 0 \\ \vdots \\ 0 \\ a_n \end{pmatrix} u_{n+1} = 0$$

$$\begin{pmatrix} 0 \\ \vdots \\ a_n u_{n+1} \end{pmatrix} = (\lambda - M_n) \begin{pmatrix} u_1 \\ \vdots \\ u_n \end{pmatrix}$$

J-matrix

$$A = \begin{bmatrix} b_1 & a_1 & & & & \\ & b_2 & & & & \\ & & \ddots & & & \\ & & & a_{n-1} & & \\ & & & b_n & & \\ & & & & & a_n \end{bmatrix}$$

$$\text{Ker}(\lambda E^* - A^*)$$

$$\begin{bmatrix} b_1 - \lambda & a_1 & & & & \\ a_1 & b_2 - \lambda & & & & \\ & & \ddots & & & \\ & & & a_{n-1} & & \\ & & & b_n - \lambda & & \\ & & & & & a_n \end{bmatrix} \begin{bmatrix} u_1 \\ \vdots \\ u_n \\ u_{n+1} \end{bmatrix} = 0$$

$$(b_1 - \lambda)u_1 + a_1 u_2 = 0$$

$$a_1 u_2 = (\lambda - b_1)u_1$$

$$a_1 u_1 + (b_2 - \lambda)u_2 + a_2 u_3 = 0$$

$$a_2 u_3 = (\lambda - b_2)u_2 - a_1 u_1$$

$$\frac{a_1 u_2}{u_1} = \lambda - b_1$$

$$\frac{a_2 u_3}{u_2} = \lambda - b_2 - \frac{a_1^2}{a_1 u_2 / u_1}$$

~~$$a_{j-1} u_{j-1} + (b_j - \lambda)u_j + a_j u_{j+1} = 0$$~~

$$a_j u_{j+1} = (\lambda - b_j)u_j - a_{j-1} u_{j-1}$$

$$\begin{pmatrix} a_j u_{j+1} \\ u_j \end{pmatrix} = \begin{pmatrix} \lambda - b_j & -a_{j-1} \\ a_{j-1} & 0 \end{pmatrix} \begin{pmatrix} a_{j-1} u_j \\ u_{j-1} \end{pmatrix}$$

41

So the recursion formula is

$$\begin{pmatrix} a_j u_{j+1} \\ u_j \end{pmatrix} = \begin{pmatrix} \lambda - b_j & -a_{j-1} \\ \frac{1}{a_j} & 0 \end{pmatrix} \begin{pmatrix} a_{j-1} u_j \\ u_{j-1} \end{pmatrix}$$

det=1

$$u_1 = 1$$

$$u_0 = 0$$

~~$$\begin{pmatrix} a_1 u_2 \\ u_1 \end{pmatrix} = \begin{pmatrix} \lambda - b_1 \\ \frac{1}{a_1} \end{pmatrix} \begin{pmatrix} u_1 \\ 0 \end{pmatrix}$$~~

$$\begin{pmatrix} u_{j+1} \\ u_j \end{pmatrix} = \begin{pmatrix} \frac{\lambda - b_j}{a_j} & -\frac{a_{j-1}}{a_j} \\ 1 & 0 \end{pmatrix} \begin{pmatrix} u_j \\ u_{j-1} \end{pmatrix}$$

inductively

So u_{j+1} is a poly

$$\frac{\lambda^j}{a_j \dots a_1} = \frac{\det(\lambda - M_j)}{a_j \dots a_1}$$

~~So now get down to~~

$$(M_n - \lambda) \begin{pmatrix} u_1 \\ \vdots \\ u_n \end{pmatrix} = \begin{pmatrix} 0 \\ \vdots \\ 0 \\ -a_n u_{n+1} \end{pmatrix}$$

$$(\lambda - M_n) \begin{pmatrix} u_1 \\ \vdots \\ u_n \end{pmatrix} = \begin{pmatrix} 0 \\ \vdots \\ 0 \\ a_n u_{n+1} \end{pmatrix}$$

$$\text{Ker}(\lambda E^* - A^*) \text{ gen. by } \begin{pmatrix} u_1 \\ \vdots \\ u_{n+1} \end{pmatrix}$$

42 Here's the question: ~~Take $\lambda \varepsilon$~~
 Go back to the idea, the important idea, ~~is~~
 which is the ~~zeroes~~ zeroes of the section of $\mathcal{O}(U)$
 given by a generator of $\text{Ker}(\lambda \varepsilon^* - A^*)$.

$$\begin{array}{ccc} X & \xrightarrow{\lambda \varepsilon - A} & Y \\ & \searrow & \downarrow \lambda \varepsilon^* - A^* \\ & & X \end{array}$$

$$\begin{aligned} (\lambda \varepsilon^* - A^*)(\lambda \varepsilon - A) &= i\lambda - \lambda A^* \varepsilon - i\varepsilon^* A + A^* A \\ &= i\lambda + A^* A - (\lambda + i)\varepsilon^* A \\ &= 1 + A^* A + \underbrace{i\lambda - 1}_{i(\lambda + i)} - (\lambda + i)\varepsilon^* A \\ &= 1 + A^* A + (\lambda + i)(i - \varepsilon^* A) \end{aligned}$$

This is no help. Perhaps $A^* A$ is not good. Better
 might be to have $(\varepsilon^* A)^2 = A^* \varepsilon \varepsilon^* A = A^* A - \underbrace{A^* \pi A}_{\varepsilon^* \varepsilon}$
 Somehow this is too hard

$$\bullet (\lambda \varepsilon^* - A^*)(-i\varepsilon - A) = 1 + A^* A$$

Adopt de Branges approach. Namely consider the
 Hilbert space with the orthonormal basis given by
 the sequence of polynomials u_1, u_2, \dots, u_n

$$\begin{aligned} (\lambda \varepsilon^* - A^*)(\lambda \varepsilon - A) &= \lambda(i - A^* \varepsilon) - \underbrace{(\lambda \varepsilon^* - A^*) A}_{iA^* \varepsilon - A^* A} \\ &= \lambda(i - A^* \varepsilon) - A^*(i\varepsilon - A) \end{aligned}$$

$$\lambda - \frac{(i - A^* \varepsilon)^{-1} A^* (i\varepsilon - A)}{A^* (i - \varepsilon A^*)^{-1} (i\varepsilon - A)}$$

43 $(c\varepsilon^* - A^*)(\lambda E - A) = \lambda (c\varepsilon^* - A^*)E - (c\varepsilon^* - A^*)A$

so we get $((c\varepsilon^* - A^*)E)^{-1} (c\varepsilon^* - A^*)A$

~~whose~~ whose spectrum we want. If you change A by $c\varepsilon$, this operator changes by c .

Go back to the de Branges approach where you have p_1, p_2, \dots, p_{n+1} polynomials in λ recursion relations. Form

$$\sum_{j=1}^n p_j(\lambda) p_j(\mu)$$

I think I ^{begin to} understand now. You know about extending $A\varepsilon^{-1}$ ~~to~~ to a nearly hermitian operator which will then give an L^2 representation. This must be what de Branges does. ~~You know about~~ Work this picture out and correlate with point evaluations. Recursion relations

$$\lambda p_j = a_j p_{j+1} + b_j p_j + a_{j+1} p_{j-1}$$

$$\begin{aligned} \sum_{j=1}^n \lambda p_j(\lambda) p_j(\mu) &= \sum_{j=1}^n a_j p_{j+1}(\lambda) p_j(\mu) + b_j p_j(\lambda) p_j(\mu) + \sum_{j=0}^{n-1} a_{j+1} p_j(\lambda) p_{j+1}(\mu) \\ - \sum_{j=1}^n p_j(\lambda) \mu p_j(\mu) &= - \sum_{j=1}^n p_j(\lambda) a_j p_{j+1}(\mu) + \sum_{j=1}^n p_j(\lambda) b_j p_j(\mu) - \sum_{j=0}^{n-1} p_{j+1}(\lambda) a_{j+1} p_j(\mu) \end{aligned}$$

$$= a_n (p_{n+1}(\lambda) p_n(\mu) - p_n(\lambda) p_{n+1}(\mu))$$

$$\sum_{j=1}^n p_j(\lambda) p_j(\mu) = a_n \left(\frac{p_{n+1}(\lambda) p_n(\mu) - p_n(\lambda) p_{n+1}(\mu)}{\lambda - \mu} \right)$$

45 Idea now is to let the imaginary part go to ∞ .

$$\lambda - \alpha = \left[\begin{array}{c|c} \lambda - b_1 & \\ \hline & \lambda - b_n \end{array} \begin{array}{c} \\ -a_n \\ \hline -a_n \\ \lambda - c \end{array} \right]$$

$$2 \operatorname{Im} \alpha = \operatorname{Im} c.$$

$$-i(\alpha^* - \alpha) = -i(\bar{c} - c) = -2 \operatorname{Im} c$$

so what you try to do then is to ~~scribble~~

~~review perturb~~

$$\lambda - \alpha = \left(\begin{array}{cc|c} \lambda - b_1 & -a_1 & \\ -a_1 & \lambda - b_2 & \\ \hline & -a_2 & \\ & & \lambda - b_n \\ \hline 0 & -a_n & \lambda - c \end{array} \right)$$

$$\alpha = \left(\begin{array}{c|c} M_n & \\ \hline & a_n \\ \hline a_n & c \end{array} \right)$$

$$(\lambda - \alpha)^{-1} = \left(\begin{array}{c|c} \lambda - M_n & g \\ \hline g^* & \lambda - c \end{array} \right)^{-1} = \left(\begin{array}{c|c} (\lambda - M_n)^{-1} & \\ \hline & g(\lambda - c)^{-1} g^* \end{array} \right)$$

$$\left(\lambda - M_n \right)^{-1} - g \frac{1}{\lambda - c} g^* \quad \left(\begin{array}{cc} a & b \\ c & d \end{array} \right)^{-1} = \left(\begin{array}{cc} d & -b \\ -c & a \end{array} \right) / (ad - bc)$$

$$\left(\lambda - M_n - g \frac{1}{\lambda - c} g^* \right)^{-1} \quad \left. \begin{array}{l} \text{arrange } c \rightarrow \infty \\ \frac{ad - bc}{-c} \\ \text{sto} \\ = b \cdot a c^2 d \end{array} \right\}$$

but actually you want $\langle e | (\lambda - \alpha)^{-1}$
so what?

45

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix}^{-1} = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \quad \text{assume } d \text{ invertible.}$$

$$\begin{pmatrix} \lambda - M & g \\ g^* & \lambda - c \end{pmatrix}^{-1} = \begin{pmatrix} 1 & -bd^{-1} \\ 0 & 1 \end{pmatrix} \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} 1 & 0 \\ -d^{-1}c & 1 \end{pmatrix}$$

$$= \begin{pmatrix} a - bd^{-1}c & 0 \\ c & d \end{pmatrix} \begin{pmatrix} 1 & 0 \\ -d^{-1}c & 1 \end{pmatrix} = \begin{pmatrix} a - bd^{-1}c & 0 \\ 0 & d \end{pmatrix}$$

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix}^{-1} = \begin{pmatrix} 1 & 0 \\ -d^{-1}c & 1 \end{pmatrix} \begin{pmatrix} (a - bd^{-1}c)^{-1} & 0 \\ 0 & d^{-1} \end{pmatrix} \begin{pmatrix} 1 & -bd^{-1} \\ 0 & 1 \end{pmatrix}$$

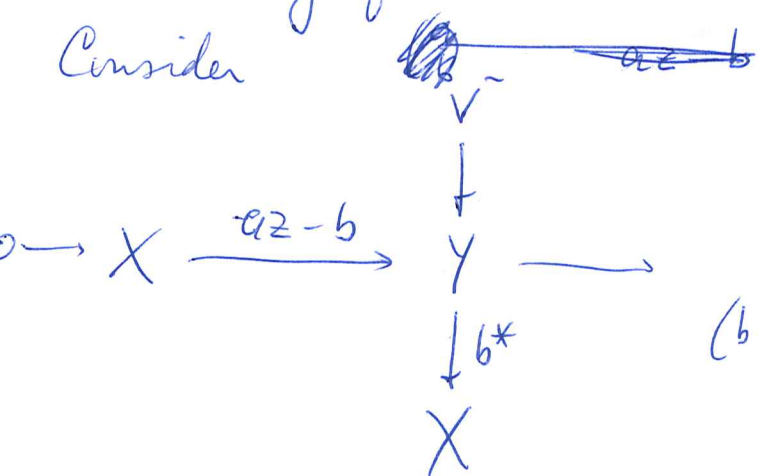
$$= \begin{pmatrix} (a - bd^{-1}c)^{-1} & 0 \\ -d^{-1}c(a - bd^{-1}c)^{-1} & d^{-1} \end{pmatrix} \begin{pmatrix} 1 & -bd^{-1} \\ 0 & 1 \end{pmatrix}$$

$$= \begin{pmatrix} (a - bd^{-1}c)^{-1} \\ -d^{-1}c(a - bd^{-1}c)^{-1} \end{pmatrix}$$

$$-d^{-1}c(a - bd^{-1}c)^{-1} = -\frac{1}{\lambda - c} g^* \left(\lambda - M - g \frac{1}{\lambda - c} g^* \right)^{-1}$$

Let's try for a new direction. ~~the same direction~~

Consider



$$\begin{pmatrix} b^* \\ e_0^* \end{pmatrix} \begin{pmatrix} b - az & e_0 \end{pmatrix}$$

$$Y \rightarrow \oplus \rightarrow Y$$

$$(b - az e_0) \begin{pmatrix} b - az \\ e_0 \end{pmatrix} \begin{pmatrix} b^* \\ e_0^* \end{pmatrix} = 1 - zab^*$$

$$\begin{pmatrix} b \\ e_0 \end{pmatrix} (b - az)x + \tilde{y}(z)e_0 = y$$

has solution

$$\begin{pmatrix} x \\ c \end{pmatrix} = \begin{pmatrix} b^* \\ e_0^* \end{pmatrix} (1 - zab^*)^{-1} y$$

47 and you get an isom. embedding.

$$\tilde{y}(z) = e_0^* (1 - zab^*)^{-1} y$$

$$\int \frac{dz}{2\pi i} |\tilde{y}(z)|^2 = \int \frac{dz}{2\pi i} (y, \frac{1}{1 - ab^*} e_0 e_0^* \frac{1}{1 - zab^*} y)$$

$$= (y, e_0 e_0^* \frac{1}{1 - ba^* ab^*} y)$$

more arguments needed.

Principle: The element of Y you use to trivialize the line bundle over the UHP ~~determines~~ determines the ~~poles~~ poles. So if you want the results

Take an LC circuit, form the corresp J-matrix. calculate the ~~rest~~.

~~Take a partial unitary~~

Put into words the problem. Take a J-matrix determine its response.

Take a partial unitary $aX \oplus V^+ = bX \oplus V^-$

The response function is a map ~~S(z): V^- to V^+~~ $S(z): V^- \rightarrow V^+$ it really depends upon the line V^- .

~~partial hermitian~~

Given $W = \begin{pmatrix} \varepsilon \\ A \end{pmatrix} X \subset \begin{matrix} Y \\ \oplus \\ Y \end{matrix}$ also have

~~W~~ $(az-b)x$ $(z \ -1): \begin{matrix} Y \\ \oplus \\ Y \end{matrix} \rightarrow Y$

So consider $W = \begin{pmatrix} a \\ b \end{pmatrix} X \quad \begin{pmatrix} 1 \\ z \end{pmatrix} Y$ $W^0 = W \oplus \begin{matrix} V^+ \\ \oplus \\ V^- \end{matrix}$

$W^0 \cap \begin{pmatrix} 1 \\ z \end{pmatrix} Y \hookrightarrow W^0 / W^*$
 $\dim 1$

48

$$W^0 \cap \left(\frac{1}{z}\right)Y = \text{Ker} \left\{ W^0 \xrightarrow{(z-1)} Y \right\}$$

~~$$= \left\{ \begin{pmatrix} ax + \sigma^+ \\ bx + \sigma^- \end{pmatrix} \right\}$$~~

$$= \left\{ \begin{pmatrix} ax + \sigma^+ \\ bx + \sigma^- \end{pmatrix} \mid z(ax + \sigma^+) = bx + \sigma^- \right\}$$

$$(az - b)x = -z\sigma^+ + \sigma^-$$

$$\sigma^- = (1 - bb^*)(1 - zab^*)^{-1} z\sigma^+$$

Move on to herm. setting.

$$W = \begin{pmatrix} \varepsilon \\ A \end{pmatrix} X \quad \begin{pmatrix} 1 \\ \lambda \end{pmatrix} Y$$

max. isot. for λ real
~~isotropic.~~

$$W^0 \cap \begin{pmatrix} 1 \\ \lambda \end{pmatrix} Y$$

$$\hookrightarrow W^0/W$$

line.

Example: suppose

$$A = \begin{pmatrix} 0 & a_1 & & \\ a_1 & 0 & a_2 & \\ & a_2 & 0 & \\ & & & a_3 \end{pmatrix}$$

$$\varepsilon = \begin{pmatrix} 1 & & & \\ 0 & 1 & & \\ & & 0 & \\ 0 & & & 1 \end{pmatrix}$$

$$W^0 = \begin{pmatrix} \varepsilon \\ A \end{pmatrix} X \oplus \mathbb{C} \begin{pmatrix} e_4 \\ a_3 e_3 \end{pmatrix} \oplus \mathbb{C} \begin{pmatrix} 0 \\ e_4 \end{pmatrix}$$

$$W^0 \cap \begin{pmatrix} 1 \\ \lambda \end{pmatrix} Y = W^0 \cap \text{Ker}(\lambda - 1)$$

$$\downarrow (\lambda - 1)$$

$$(\lambda \varepsilon - A)X + (\lambda e_4 - a_3 e_3) + \mathbb{C} e_4$$

$$(\lambda - \tilde{A})Y$$

$$\begin{pmatrix} \varepsilon \\ A \end{pmatrix} X + \begin{pmatrix} e_4 \\ a_3 e_3 \end{pmatrix} x_4 + \begin{pmatrix} 0 \\ e_4 \end{pmatrix} \in \text{Ker}(\lambda - 1)$$

$$(\lambda \varepsilon - A)X + (\lambda e_4 - a_3 e_3)x_4 = e_4$$

$$\left[\lambda - \begin{pmatrix} & a_1 & & \\ a_1 & & a_2 & \\ & a_2 & & a_3 \end{pmatrix} \right] \begin{pmatrix} x_1 \\ \vdots \\ x_4 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \end{pmatrix}$$

49 We agreed that solution of

$$\lambda u_1 - a_1 u_2 = 0$$

$$a_1 u_2 = \lambda u_1$$

$$-a_1 u_1 + \lambda u_2 - a_2 u_3 = 0$$

$$a_2 u_3 = \lambda u_2 - a_1 u_1$$

$$-a_2 u_2 + \lambda u_3 - a_3 u_4 = 0$$

$$a_3 u_4 = \lambda u_3 - a_2 u_2$$

$$-a_3 u_3 + \lambda u_4 = 1$$

$$1 \cdot u_4 = \lambda u_4 - a_3 u_3$$

$$\left(\lambda I - A \right) \begin{pmatrix} u_1 \\ u_2 \\ u_3 \\ u_4 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \\ a_4 u_5 \end{pmatrix}$$

we take $x_1 = u_1 = u_2$. Then

find

$$x_2 = u_2$$

$$x_3 = u_3$$

$$x_4 = u_4$$

$$\text{satisfies } (\lambda E - A) \begin{pmatrix} u_1 \\ u_2 \\ u_3 \\ u_4 \end{pmatrix} + \begin{pmatrix} 0 \\ 0 \\ 0 \\ a_4 u_5 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \\ a_4 u_5 \end{pmatrix}$$

so we have ~~the element~~ the element

$$\begin{pmatrix} x \\ \cancel{Ax} \\ Ax \end{pmatrix} + \begin{pmatrix} 0 \\ 0 \\ 0 \\ a_4 u_5 \end{pmatrix} e_4(a_4 u_5) \in W^0 \cap \text{ker}(\lambda = 1)$$

$$\begin{pmatrix} u_4 e_4 \\ a_3 u_4 e_3 \end{pmatrix} \neq \begin{pmatrix} 0 \\ (a_4 u_5) e_4 \end{pmatrix}$$

seems to consist of the ~~the~~ components $u_4, a_4 u_5$

5) You know the vector $\begin{pmatrix} u_1 \\ \vdots \\ a_{n+1} \\ \vdots \\ 0 \\ \vdots \\ a_{n+1} u_{n+2} \end{pmatrix}$ of orth polys

satisfies

$$(\lambda - M_{n+1}) u = \begin{pmatrix} a_{n+1} \\ \vdots \\ 0 \\ \vdots \\ 0 \\ \vdots \\ a_{n+1} u_{n+2} \end{pmatrix}$$

Take all $b_i^* = 0$

(u_n)

\therefore get

$$\begin{pmatrix} e_{n+1} \\ a_n e_n \end{pmatrix} u_{n+1} + \begin{pmatrix} 0 \\ a_{n+1} e_{n+1} \end{pmatrix} u_{n+2}$$

$W^\circ \cap (\lambda)^\gamma$ spanned by $\begin{pmatrix} \varepsilon \\ A \end{pmatrix} \begin{pmatrix} u_1 \\ \vdots \\ u_n \end{pmatrix} + \begin{pmatrix} e_{n+1} \\ a_n e_n \end{pmatrix} u_{n+1} + \begin{pmatrix} 0 \\ a_{n+1} e_{n+1} \end{pmatrix} u_{n+2}$

apply $\begin{pmatrix} -i & -1 \\ -i & 1 \end{pmatrix}$ or $\begin{pmatrix} i & 1 \\ i & -1 \end{pmatrix}$ to get

$$\begin{pmatrix} i\varepsilon + A \\ i\varepsilon - A \end{pmatrix} \begin{pmatrix} u_1 \\ \vdots \\ u_n \end{pmatrix} + \begin{pmatrix} i e_{n+1} + a_n e_n \\ i e_{n+1} - a_n e_n \end{pmatrix} u_{n+1} + \begin{pmatrix} e_{n+1} \\ -e_{n+1} \end{pmatrix} a_{n+1} u_{n+2}$$

spanning the corresponding line in the ~~z~~ z picture

We need the image of this ~~in W°~~

in $\begin{matrix} V^+ \\ \oplus \\ V^- \end{matrix}$. Looks messy.

~~Apparently what happens is that~~

Try the other direction. Take V^+ which should be ~~the~~ Ker $(-i\varepsilon^* - A^*)$ spanned by u^{-i}

~~$$(\lambda - A) u^\lambda = e_{n+1} a_{n+1} u_{n+2}^A$$~~

$$\therefore (\lambda \varepsilon^* - \varepsilon^* \tilde{A}) u^\lambda = 0.$$

Find

52

$$\begin{pmatrix} a \\ b \end{pmatrix} x + \begin{pmatrix} 1 \\ z \end{pmatrix} y \quad \rightarrow \quad \left(\begin{pmatrix} a \\ b \end{pmatrix} x + \begin{pmatrix} V^+ \\ \oplus \\ V^- \end{pmatrix} \right) \cap \begin{pmatrix} 1 \\ z \end{pmatrix} y$$

$$\begin{pmatrix} \xi \\ z\xi \end{pmatrix} = \begin{pmatrix} ax + v^+ \\ bx + v^- \end{pmatrix} \quad (az-b)x = -zv^+ + v^-$$

Suppose $\text{Im} \left\{ W^n \begin{pmatrix} 1 \\ z \end{pmatrix} y \rightarrow w^0/w = \begin{pmatrix} V^+ \\ \oplus \\ V^- \end{pmatrix} \right\}$ is $\begin{pmatrix} 1 \\ \phi(z) \end{pmatrix} v^+$

x.e. ~~$(az-b)x = -zv^+ + \phi(z)v^-$~~ $v^- = \phi(z)v^+$. Then

$$\begin{aligned} (az-b)x &= -zv^+ + \phi(z)v^- \\ &= (\phi(z)-z)v^+ \end{aligned}$$

$$(z-a^*b)x$$

$$\begin{aligned} z^1 S(z)^{-1} v^- \\ = z(1-a^*a)(1-z^1 b a^*)^{-1} v^- \end{aligned}$$

$$(az-b)x = -zv^+ + v^-$$

$$(1-zb^*a)x = zb^*v^+$$

$$x = zb^*(1-zab^*)^{-1} v^+$$

$$v^- = z \left(\begin{matrix} (1-zab^*) \\ + (az-b)b^* \end{matrix} \right) (1-zab^*)^{-1} v^+$$

$$v^- = z \underbrace{(1-bb^*)(1-zab^*)^{-1}}_{S(z)} v^+$$

$$S(z)v^+$$

where $S(z): V^+ \rightarrow V^-$

\therefore line in $\begin{matrix} V^+ \\ \oplus \\ V^- \end{matrix}$ is $\begin{pmatrix} v^+ \\ zS(z)v^+ \end{pmatrix}$

$L_z = \text{Im} \left(W^n \begin{pmatrix} 1 \\ z \end{pmatrix} y \rightarrow w^0/w = \begin{pmatrix} V^+ \\ \oplus \\ V^- \end{pmatrix} \right)$. Note

$$L_0 = \begin{pmatrix} V^+ \\ \oplus \\ \emptyset \end{pmatrix}$$

$$L_\infty = \begin{pmatrix} \emptyset \\ \oplus \\ V^- \end{pmatrix}$$

53 The logic here is that $z \mapsto L_z$ is regular map from \mathbb{C} Riemann spheres to ~~$\mathbb{C}P^1$~~
 $\mathbb{P}_1(W^0/W)$, i.e. rational functions of z after
 one chooses some sort of coords on W^0/W . ~~These~~
 \exists a herm. form on W^0/W , i.e. a ~~unit~~ circle
 in $\mathbb{P}_1(W^0/W)$ which is ~~projected~~. the image
 of $|z|=1$. ~~Projective~~

$$W = \begin{pmatrix} \varepsilon \\ A \end{pmatrix} X \quad W^0 = \left\{ \begin{pmatrix} y_1 \\ y_2 \end{pmatrix} \mid (y_1, Ax) = (y_2, \varepsilon x) \right\}$$

$$= W \oplus \mathbb{C} \begin{pmatrix} e_{n+1} \\ a_n e_n \end{pmatrix} \oplus \mathbb{C} \begin{pmatrix} 0 \\ e_{n+1} \end{pmatrix}$$

$$W^0 \cap \begin{pmatrix} 1 \\ \lambda \end{pmatrix} Y = \begin{pmatrix} \varepsilon x + e_{n+1} x_{n+1} + 0 \\ Ax + e_n a_n x_{n+1} + e_{n+1} c \end{pmatrix}$$

These x_1, \dots, x_{n+1} satisfy.

$$\lambda x = \tilde{A} x + e_{n+1} c$$

and we have the solution $x_i = u_i^\lambda \quad i=1, \dots, n+1$

$$\left[\lambda - \begin{pmatrix} 0 & a_1 \\ a_1 & 0 \\ & & 0 & a_n \\ a_n & & 0 & 0 \end{pmatrix} \right] \begin{pmatrix} u_1 \\ \vdots \\ u_{n+1} \end{pmatrix} = \begin{pmatrix} 0 \\ \vdots \\ 0 \\ a_{n+1} u_{n+2}^\lambda \end{pmatrix}$$

$$L_\lambda = \text{Image of } W^0 \cap \begin{pmatrix} 1 \\ \lambda \end{pmatrix} Y \text{ in } W^0/W \simeq \begin{pmatrix} e_{n+1} \\ e_n a_n \end{pmatrix} \mathbb{C} \oplus \begin{pmatrix} 0 \\ e_{n+1} \end{pmatrix} \mathbb{C}$$

line $\left[\begin{pmatrix} e_{n+1} \\ e_n a_n \end{pmatrix} u_{n+1}^\lambda + \begin{pmatrix} 0 \\ e_{n+1} \end{pmatrix} a_{n+1} u_{n+2}^\lambda \right]$

54 Try other approaches. What is the link between $\text{Ker}(\lambda \varepsilon^* - A^*)$ and $W^0 \cap \left(\begin{smallmatrix} 1 \\ \lambda \end{smallmatrix} \right) Y$?

$$W^0 = \begin{pmatrix} \varepsilon \\ A \end{pmatrix} X \quad \begin{pmatrix} y \\ \lambda y \end{pmatrix} \in W^0 \cap \begin{pmatrix} 1 \\ \lambda \end{pmatrix} Y$$

i.e. $(y, Ax) = (\lambda y, \varepsilon x) \quad \forall x$

or $(A^* - \lambda \varepsilon^*)y, x) = 0 \quad \forall x.$

Thus $W^0 \cap \begin{pmatrix} 1 \\ \lambda \end{pmatrix} Y = \left\{ \begin{pmatrix} y \\ \lambda y \end{pmatrix} \mid (\lambda \varepsilon^* - A^*)y = 0 \right\}.$

$$u^\lambda = \begin{pmatrix} u^\lambda \\ \vdots \\ u^\lambda \\ u^\lambda \end{pmatrix} \quad (\lambda - \tilde{A})u^\lambda = \begin{pmatrix} 0 \\ \vdots \\ 0 \\ a_{n+1} u^\lambda \end{pmatrix}$$

So $\forall \lambda$ we have $\begin{pmatrix} u^\lambda \\ \lambda u^\lambda \end{pmatrix} \in W^0$, can ask

about hermitian pairing $\left(\begin{pmatrix} u^\lambda \\ \lambda u^\lambda \end{pmatrix}, \begin{pmatrix} 1 & \\ & \mu \end{pmatrix} \begin{pmatrix} u^\mu \\ \mu u^\mu \end{pmatrix} \right)$

$$= \mu (u^\lambda, u^\mu) - \bar{\lambda} (u^\lambda, u^\mu) = (\mu - \bar{\lambda})(u^\lambda, u^\mu).$$

$$- (u^\lambda, \tilde{A} u^\mu) + (\tilde{A} u^\lambda, u^\mu)$$

$$= \cancel{\dots} (u^\lambda, (\mu - \tilde{A}) u^\mu) - ((\lambda - \tilde{A}) u^\lambda, u^\mu)$$

$$= \cancel{\dots} u_{n+1}^{\bar{\lambda}} a_{n+1} u_{n+2}^\mu - a_{n+1} u_{n+2}^{\bar{\lambda}} u_{n+1}^\mu$$

$= \left[\begin{array}{cc cc} a_{n+1} & & u_{n+1}^\mu & \\ & u_{n+1}^{\bar{\lambda}} & & \\ & u_{n+2}^{\bar{\lambda}} & u_{n+2}^\mu & \end{array} \right] = (\mu - \bar{\lambda})(u^\lambda, u^\mu)$

55

$$\begin{array}{ccccc}
 0 \rightarrow X & \xrightarrow{\lambda \varepsilon - A} & Y & \xrightarrow{\quad} & L_\lambda \rightarrow 0 \\
 \downarrow \varepsilon + A & & \downarrow \varepsilon^* + A^* & & \\
 Y & \xrightarrow{\lambda \varepsilon^* - A^*} & X & &
 \end{array}$$

$$z = \frac{-\lambda + i}{\lambda + i}$$

$$\begin{aligned}
 (az - b)X &= (a(-\lambda + i) - b(\lambda + i))X \\
 &= (\lambda(-a - b) + i(a - b))X \\
 &= (\lambda(a + b) - i(a - b))X
 \end{aligned}$$

$$(\varepsilon^* + A^*)(\lambda \varepsilon - A) = \lambda(A^* \varepsilon) - i(\varepsilon^* A) + i\lambda \varepsilon^* \varepsilon - A^* A$$

$$\begin{aligned}
 & \frac{(\varepsilon^* + A^*) \lambda \varepsilon - (\varepsilon^* + A^*) A}{(\varepsilon^* + A^*) \varepsilon} \\
 & \varepsilon^* (\varepsilon + A)
 \end{aligned}$$

seems that $(\varepsilon^* + A^*)(\lambda \varepsilon + A) = (\lambda \varepsilon^* + A^*)(\mu \varepsilon + A)$

Start again. You want to know when $(\varepsilon^* + A^*)(\lambda \varepsilon - A)$ is singular, i.e.

~~$$\begin{aligned}
 & a b^* x = \bar{z} x \\
 \Leftrightarrow & b^* x = \bar{z} a^* x \quad \text{and} \quad (1 - a a^*) x = 0 \\
 \Rightarrow & (\bar{z} a^* - b^*) x = 0 \quad \text{and} \quad x \in V^+ \\
 \Leftrightarrow & x \perp (a z - b) X \quad \text{and} \quad x \perp a X
 \end{aligned}$$~~

$$\begin{aligned}
 & (\lambda \varepsilon^* + A^*)(\mu \varepsilon + A) \\
 & \text{[scribbled out]}
 \end{aligned}$$

$$z b^* a x = x \quad (a = -b) x = -y + c v^-$$

$$z (b x', a x) = (x', x) \quad \forall x' \quad \text{no meaning}$$

5/8 OK. OK so what happens?

Let's try again. Consider a partial isometry

$$Y = aX \oplus V^+ = V^- \oplus bX \quad a^*a = b^*b = 1.$$

equiv. a subspace $\begin{pmatrix} a \\ b \end{pmatrix} X \subset \begin{matrix} Y \\ \oplus \\ Y \end{matrix}$ isotropic w.r.t. $\|y\|^2 - \|y\|^2$.
 Assume of type $O(n)$, $a = -b$ always inj. ~~tan~~
 $Y \xrightarrow{\begin{pmatrix} b^* \\ e^* \end{pmatrix}} X \oplus \mathbb{C} \xrightarrow{\begin{pmatrix} b^* & e \\ & e \end{pmatrix}} Y$ inverse isom. e unit v. sp V^-

perturbation

$$Y \xrightarrow{\begin{pmatrix} b^* \\ e^* \end{pmatrix}} X \oplus \mathbb{C} \xrightarrow{\begin{pmatrix} b-az & e \\ & e \end{pmatrix}} Y$$

$$\begin{pmatrix} b^* & e \\ & e \end{pmatrix} \begin{pmatrix} b-az \\ e \end{pmatrix} = \begin{pmatrix} (b-az)b^* + e^*e \\ e^*e \end{pmatrix} = \begin{pmatrix} (b-az)b^* + e^* \\ e^* \end{pmatrix}$$

$$\begin{pmatrix} b-az & e \\ & e \end{pmatrix} \begin{pmatrix} b^* \\ e^* \end{pmatrix} = \frac{bb^* + ee^* - zab^*}{1}$$

$\forall y \exists!$ $(b-az)x + \tilde{y}(z)e = y$

answer:

$$\begin{pmatrix} x \\ \tilde{y}(z) \end{pmatrix} = \begin{pmatrix} b^* \\ e^* \end{pmatrix} (1 - zab^*)^{-1} y = \begin{pmatrix} (1 - zab^*)^{-1} b^* y \\ e^* (1 - zab^*)^{-1} y \end{pmatrix}$$

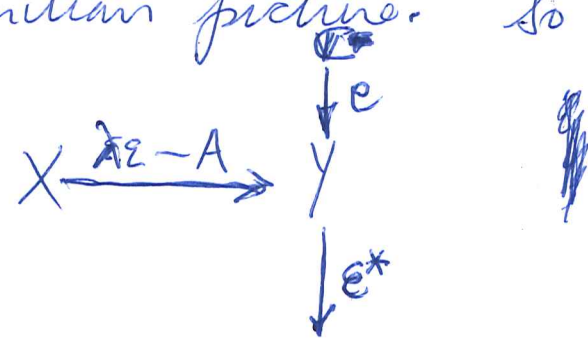
Next point is that $y \mapsto e^* (1 - zab^*)^{-1} y$ is isom. embed of Y into $L^2(S^1)$.

$$\int |\tilde{y}(z)|^2 \frac{dz}{2\pi i} = \int \left((1 - zab^*)^{-1} y, e \right) \left(e, (1 - zab^*)^{-1} y \right)$$

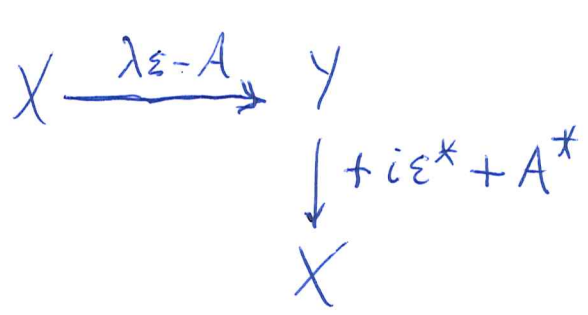
$$= \int (y, \frac{1}{z - ba^*} e e^* \frac{1}{z - ab^*} y) \frac{dz}{2\pi i}$$

$$= \|y\|^2.$$

57 You want the same thing ~~in~~ in the hermitian picture. So you consider



$$\begin{aligned}
 \lambda \varepsilon - A &= \lambda(a+b) - i(a-b) \\
 &= (\lambda - i)a + (\lambda + i)b \\
 &= -(-\lambda + i)a + (\lambda + i)b \\
 &\approx b - \frac{-\lambda + i}{\lambda + i}a
 \end{aligned}$$



$$\begin{aligned}
 \varepsilon &= a+b \\
 A &= \cancel{a} + i(a-b) \\
 i\varepsilon - A &= 2ib.
 \end{aligned}$$

$$\begin{aligned}
 (i\varepsilon^* + A^*)(\lambda \varepsilon - A) &= i\lambda \varepsilon^* \varepsilon + (\lambda - i)\varepsilon^* A - A^* A \\
 &= i\lambda \varepsilon^* \varepsilon + (\lambda - i)\varepsilon^* A - (1 - \varepsilon^* \varepsilon)
 \end{aligned}$$

$$(i\varepsilon^* + A^*)\varepsilon \lambda - (i\varepsilon^* + A^*)A$$

invertible because you can suppose $\varepsilon^* \varepsilon = 1$.
 then you have $i + \underbrace{A^* \varepsilon}_{\text{herm.}}$ So it should be

true that $\alpha + i\beta$ is invertible where $\alpha = \alpha^* > 0$
 and $\beta = \beta^*$, namely ~~$(\alpha + i\beta)x = 0$~~ $(\alpha + i\beta)x = 0$
 $\Rightarrow (x, \alpha x) + i(x, \beta x) = 0 \quad \therefore (x, \alpha x) = 0 \Rightarrow x = 0.$

$$\begin{aligned}
 (i\varepsilon^* + A^*)\varepsilon &= \varepsilon^*(i\varepsilon + A) \\
 (i\varepsilon^* + A^*)A &= A^*(i\varepsilon + A)
 \end{aligned}$$

so we have $\underbrace{(i\varepsilon^* + A^*)\varepsilon}^{-1} (i\varepsilon^* + A^*)A$

58

$$i\varepsilon + A = i(a+b) + i(a-b) = 2ia$$

$$i\varepsilon^* + A^* = i(a^*+b^*) - i(a^*-b^*) = 2ib^*$$

$$(i\varepsilon^* + A^*)\varepsilon = 2ib^*(a+b) = \cancel{2i} 2i(1+b^*a)$$

$$(i\varepsilon^* + A^*)A = 2ib^*(a-b) = \cancel{2i} -2(b^*a-1)$$

$$\left[(i\varepsilon^* + A^*)\varepsilon \right]^{-1} \left[(i\varepsilon^* + A^*)A \right] = \left(2i(1+b^*a) \right)^{-1} (2)(1-b^*a)$$

$$= \frac{1}{i} \frac{1-b^*a}{1+b^*a}$$

$$\frac{i(1-z^{-1})}{i(1+z^{-1})} = \frac{1-z^{-1}}{1+z^{-1}} = i \frac{1-z}{1+z} = 1$$

Apparently $(i\varepsilon^* + A^*)\varepsilon$ and $(i\varepsilon^* + A^*)A$ commute.

So in this setting we ^{should} have an extra condition relating $\varepsilon^*\varepsilon$ and A^*A .

~~$$(i\varepsilon^* + A^*)\varepsilon = 2i(1+b^*a)$$~~

$$\varepsilon^*\varepsilon A^*$$

$$(i\varepsilon^* + A^*)\varepsilon = 2i(1+b^*a)$$

$$(-\varepsilon^* + iA^*)A = 2i(1-b^*a)$$

$$i\varepsilon^*\varepsilon + iA^*A = 4i$$

$$\varepsilon^*\varepsilon + A^*A = 4$$

$$(a^*+b^*)(a+b) + (a^*-b^*)(a-b)$$

$$= a^*a + b^*a + a^*b + b^*b = 4$$

$$a^*a - b^*a - a^*b + b^*b$$

$$A^*\varepsilon A^*\varepsilon = A^*\varepsilon\varepsilon^*A =$$

If you assume

$$(i\varepsilon^* + A^*)\varepsilon = \varepsilon^*(i\varepsilon + A)$$

"

$$A^*\varepsilon + i(4 - A^*A) = \text{const} + A^*(\varepsilon - iA)$$

$$\varepsilon^*A - iA^*A = (\varepsilon^* - iA^*)A$$