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Let's go over the picture. You work over the Riemann sphere, parameter s , the disk $\text{Re}(s) > 0$, the circle $\mathbb{R} \cup \infty$. The scattering operator $S(s)$ is analytic ^{a bit of} on the closed disk, unitary on the boundary. It gives rise to a vector bundle F over $\mathbb{C}P^1$ via clutching. The fact that S is analytic inside in disk ~~is not the case~~ should say that F is either of ~~positive~~ negative type. ~~The~~ ~~aim~~ The aim now is to construct the ~~the~~ canon. resolution

$$0 \rightarrow F \rightarrow \mathcal{O} \otimes (\) \rightarrow \mathcal{O}(1) \otimes (\) \rightarrow 0$$

and to ~~get the idea~~ understand the K -module arising.

Your initial approach to scattering ~~is~~ uses the unit circle and disk $|z| < 1$. This leads directly to a partial unitary operator. The details have to be written out carefully before you can lecture on them Monday. ~~Question:~~ Question:

Is the difference between z and s simply discrete versus continuous? Trans line ~~is~~ of unit length

(the sort of thing you want for the edges of a graph)

yields the variable $e^s = e^{-i\omega}$ or $e^{-s} = e^{i\omega}$

such that RHP corresp to $|z| < 1$.

~~Question~~ Idea: Return to Lap Transform

suppose given an incoming wave $(E+I)(x,t) = f(x+t)$, the reflected wave is what? $(Sf)(t-x)$?

$$\hat{f}(s) = \int_0^{\infty} e^{-st} f(t) dt$$

0 at ∞

Assume $f(t) = 0$ for $t \leq 0$

$$f(t) = \frac{1}{2\pi i} \int_{a-i\infty} e^{st} \hat{f}(s) ds$$

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solutions are

$$S = \frac{B}{A}$$

$$\begin{pmatrix} E+I \\ E-I \end{pmatrix}^{(x,t)} = \begin{pmatrix} A e^{s(x+t)} \\ B e^{s(-x+t)} \end{pmatrix}$$

\underbrace{B}_{SA}

$$(E+I)(x,t) = \frac{1}{2\pi i} \int_{-i\infty}^{i\infty} \boxed{} e^{s(x+t)} \uparrow f(s) ds$$

$$(E-I)(x,t) = \frac{1}{2\pi i} \int_{-\infty}^{i\infty} e^{s(-x+t)} (\mathcal{S}\hat{f})(s) ds$$

All this is in the continuous ^{time} setting ~~with~~

~~with something like that~~ ~~it might be possible~~

I want ~~to~~ to formulate the idea of twisting the line bundle and taking sections.

Look at $\hat{f}(s) = \int_0^{\infty} e^{-st} f(t) dt$. This is

analytic ~~is~~ for $\text{Re}(s) > \text{constant}$ (which measures the growth of $f(t)$), so f is entire when f has compact support. Actually $f(t) = \delta(t)$ is

the important case I guess: $\hat{f}(s) = 1$, then the output is $(E-I)(x,t) = \frac{1}{2\pi i} \int_{-\infty}^{i\infty} e^{s(-x+t)} S(s) ds$

$x > t$ before the signal can reach x

Note that if $-x+t < 0$, then can push the contour to the right to get 0. The singularities of

S , i.e. poles, lie in $\text{Re}(s) < 0$. These will contribute ~~terms~~ terms $e^{(-\mu)(-x+t)} = e^{\mu(x-t)}$

with $\text{Re}(\mu) > 0$. Typical scattering because for x bounded $(E-I)(x,t)$ decays as $t \rightarrow \infty$.

639 Suppose the contour $\text{Re}(s) = -b$ $b \gg 0$

$$e^{(-b)(x-t)} = e^{b(x-t)} \rightarrow 0 \text{ as } b \rightarrow \infty \text{ if } x-t < 0$$

Should get zero then after all the polar contributions of S . So the only stuff of significance are the ~~poles~~ singularities of S which corresp by reflection to the zeros of S in the RHP.

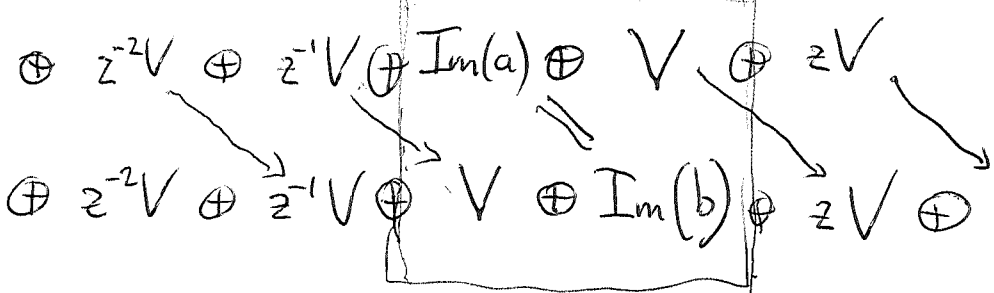
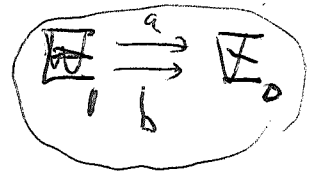
Next go onto discrete theory.

Go to discrete theory. $L^2(S^1) = \left\{ \sum_{n \in \mathbb{Z}} a_n z^n \mid \sum \|a_n\|^2 < \infty \right\}$

$L^2(S^1, V)$ $V = \mathbb{C}^n$ with $(v_1, v_2) = v_1^* v_2$

$U_0 =$ mult by z , $\left\{ \begin{array}{l} \text{forward} \\ \text{shift} \end{array} \right.$

A perturbation of U_0 .



So the basic ingredient is a stable isomorphism between two ~~formal~~ spaces W_1, W_2 i.e. ~~is a~~ a unitary ~~isomorphism~~ $W_1 \oplus X \simeq X \oplus W_2$

~~But the hypothesis is not satisfied~~

You are unclear about what to do.

Coupling, Recovering from S the partial unitary. This should be straight forward up to some choices. But then there's the coupling question.

A first idea is ~~that~~ to consider fixed incoming space, whence a complementary outg. sp.

640 To find exposition. Take 1-port. $H = L^2(S^1)$

$$L^2(S^1) = H^- \oplus H^+ = \bigoplus_{n < 0}^{(2)} z^n \mathbb{C} \oplus \bigoplus_{n \geq 0}^{(2)} z^n \mathbb{C}.$$

$U_0 =$ mult by z . U perturbation of U_0 .

Maybe the first thing to get straight is outgoing subspaces: $\mathcal{M} \subset \mathcal{M}$, $\cap \mathcal{M}^\perp = 0$, $\overline{U \mathcal{M}} = H$.

$$V = L \ominus \mathcal{M} \Rightarrow H = \bigoplus_{n \in \mathbb{Z}}^{(2)} \mathcal{M}^n \quad \text{TFAE}$$

(i) outgoing subspace L

(ii) closed subspace V such that $V \perp U^n(V)$

for all $n \neq 0$, and $\overline{\bigoplus U^n V} = H$ no U, U^{-1} inv. subspace \perp to V .

(iii) ~~closed subspace V_0 such that $V_0 \perp U^n(V_0)$~~ If V_0 given subspace as in (ii), then third thing is a $S: S^1 \rightarrow \text{Unitary group}(V_0)$ $S \in L^\infty(S^1; \text{Unit}(V_0))$

~~closed subspace~~ S extends to analytic function on disk

$$\Leftrightarrow L = S H^+ \subset H^+$$

examples. $H = L^2(S^1)$. $M_0 = H^2(S^1) = \bigoplus_{n \geq 0}^{(2)} z^n \mathbb{C}$

Take $M_0 \supset M$ M_0/M f.d.

mult by z gives a contraction of $M_0 \ominus M$

can find a poly $f(z) \rightarrow f(z)$

$$f(z) = \prod_{i=1}^d (z - \lambda_i), \quad |\lambda_i| < 1, \quad M = f M_0$$

$$S(z) = \prod \frac{z - \lambda_i}{1 - \bar{\lambda}_i z}$$

$$S M_0 = f M_0 = M$$

64 | Start again. You study scattering operators. Focus on discrete time. Basically you have $H = L^2(S^1, \mathbb{C}^n)$ with $U_0 = z$, really different pictures, any two ~~are~~ are related by an $S: S^1 \rightarrow U_n$, $S(z)$ bdd meas, rather in L^∞ , unitary values. One does topology here so that you want S ~~also~~ continuous at least. Actually I'm concerned with S rational function of z , U_n valued on $|z|=1$. So what can you do? ~~Take $S(z)$.~~

Use S as a clutching function to get a vector bundle over $\mathbb{C}P^1 = \mathbb{C} \cup \infty$. Because $S(z)$ analytic, regular on $|z| \leq 1$.

Price 4:45 ~~What happens?~~

Concentrate. You have clutching function S , which gives rise to v.b. F over $\mathbb{C}P^1$, which has canonical resolution, yielding a K -module. So now you need to straighten this out. A problem is ~~the~~ the fibre F_z at $z \in \mathbb{C}P^1$.

You need some simple geometry. ~~at~~ You have various objects to relate, you have ~~difficulty~~ technical problems with duality. ~~Probably~~ Probably you want to work with negative bundles, subbundles of trivial bundles.

Begin with $S(z)$ merom, analytic for $|z| \leq 1$.

~~Use~~ Cech complex for cohomology

$\mathbb{C}[z]$

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 Γ_+ functions holom on $|z| \leq 1$ $\Gamma_- = \frac{\Gamma_- \oplus \Gamma_+}{|z| \geq 1}$ vanishing at ∞ .The negative bundle should have C^{∞} ex.

$$\Gamma_- \oplus S\Gamma_+ \hookrightarrow \Gamma_- \oplus \Gamma_+ \twoheadrightarrow$$

so $H^0(F) = 0$ $H^1(F) = \Gamma_+ / S\Gamma_+$? There are two bundles to keep straight F and $F(\pm 1)$?

$$0 \rightarrow F \rightarrow \mathcal{O} \otimes \underbrace{H^0(\check{F})^*}_{H^1(F(-2))} \rightarrow \mathcal{O}(1) \otimes \underbrace{H^1(F(-1))}_{H^0(\check{F}(-1))^*} \rightarrow 0$$

~~What is the exact sequence?~~

Ultimately you want to be able to connect the fibres of the v.b. with the K -module. There should be simple alg.

What not start with what you know: namely you have

$$L^2(S^1) = H^- \oplus ?$$

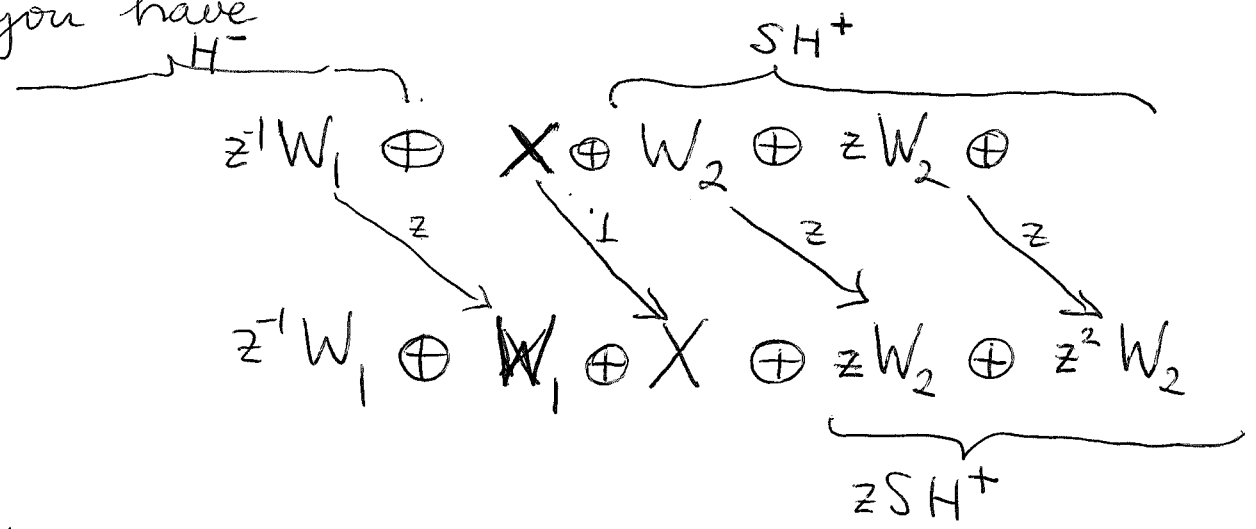
What you have is H^+ and $SH^+ \subset H^+$, and you want the partial unitary. ~~So~~ You want to go from SH^+ to the partial unitary. ~~How~~ ~~SH^+~~ I think has ~~and so forth~~

$$\text{If you are thinking of } W \xrightarrow{a} V, \text{ then } W = H^+ \ominus SH^+ \text{ and } V = H^+ \ominus zSH^+$$

Basically you have

$$L^2 = H^- \oplus H^+ \\ = H^- \oplus H^+ \ominus S \quad ?$$

Go back to $W_1 \oplus X \simeq X \oplus W_2$. Then you have



So it seems you first for $X = H^+ \ominus SH^+ = H^+ \cap S(H^-)$

So this can be cleaned up with effort.

Basically this happen between H^- and zSH^+

~~scribbled out text~~

You have $W = \text{top of } H^+ = zH^- \cap H^+$

You also have $W_2 = \text{top of } SH^+$

Feb 13. $H = L^2(S^1, \text{scribbled out } W) \supset z^0 V = W_0 \cap W$ basepoint

another subspace gen. H , $z^n W \perp W$ $n \neq 0$. S

scattering op $\Rightarrow SW_0 = W$. Assume ~~scribbled out~~ SH^+ finite codim in H^+ . $\therefore S$ analytic $|z| \leq 1$.

~~scribbled out text~~

IDEA: For your graph you perhaps need to pass from a $U(3)$ scattering matrix to a $U(1,2)$ matrix of some sort.

644 $H = L^2(S^1; V) \supset H^+ = \bigoplus_{n \geq 0} z^n V$, $W_0 = z^0 V$

What are you trying to accomplish? A good picture of a vector bundle over CP^1 , given a scattering operator S . Start with the Hilbert space $H = L^2(S^1; V)$, unitary ρ mult by z , the outgoing subspace $H^+ = \bigoplus_{n \geq 0} z^n V$ and another outgoing subspace contained in H^+ , which we know can be rep. ~~as~~ SH^+ , S unitary in $L^\infty(S^1; \text{End}(V))$, S unique up to right mult by a constant unitary. You have ~~an~~ outg. spaces

$$H^+ \supset SH^+$$

$$\begin{matrix} \vee & U & & U & \vee V \end{matrix}$$

$$zH^+ \supset zSH^+$$

From this picture ~~you~~ X you want to get a partial unitary

Let $X = H^+ \ominus SH^+$
 $V = H^+ \ominus zH^+$

Then $H^+ \ominus zSH^+ = V \oplus zX = X \oplus SV$

Call this subspace Y . So $Y = V \oplus zX = X \oplus SV$
 $-d$ is the degree of the vector bundle. You want an exact seq

$$0 \rightarrow F \rightarrow \mathcal{O} \otimes Y \rightarrow \mathcal{O}(-1) \otimes X \rightarrow 0$$

roughly, in any case a pencil of $a z + b$ ~~maps~~ \downarrow ~~surjections~~ from Y to X .

You have something quite simple, namely a space Y split in two ways, an isomorphism: mult by z , between ~~the~~ summands zX and X , and

645 an isomorphism

Start again. $H = L^2(S^1, V) \supset H^+ = H^2(S^1, V) = \bigoplus_{n \geq 0} z^n V$

Given an outgoing subspace $SH^+ \subset H^+$, S the scattering op. Have outgoing subspace

$$\begin{array}{ccc} H^+ & \supset & SH^+ \\ \downarrow & & \downarrow \\ V \oplus U & & U \oplus SV \\ \downarrow & & \downarrow \\ zH^+ & \supset & zSH^+ \\ & & = X \end{array} \quad \begin{array}{l} V = H^+ \ominus zH^+ \\ X = H^+ \ominus SH^+ \end{array}$$

Let $Y = H^+ \ominus zSH^+$. Then Y has two

splittings $Y = V \oplus zX = X \oplus SV$ and

the unitary z gives an isomorphism $zX \simeq X$,

so that we have a partial unitary operator

$$X \begin{array}{c} \xrightarrow{a} \\ \xrightarrow{b} \end{array} Y \quad \text{given by the two embeddings of } X \text{ into } Y, \text{ one of which involves } z.$$

What can we do with a partial unitary, What is the S operator assoc. to a partial unitary, ~~what~~

~~can we do with~~ Notice that the subspaces $V \neq SV$ of Y are ~~not~~ linked by S , where S is a matrix of analytic functions. Thus SV is the space spanned by the columns of S . Now you have to

~~can we do with~~ introduce specializing at a point l of S^1 .

Start again - make serious attempt to work this out.

~~Begin~~ Begin with $H = L^2(S^1) \supset H^+ = H^2(S^1)$

and another outgoing space SH^+ . Have outgoing subsp.

$$\begin{array}{ccc} H^+ & \supset & SH^+ \\ \downarrow & & \downarrow \\ V \oplus U & & U \\ \downarrow & & \downarrow \\ zH^+ & \supset & zSH^+ \end{array}$$

whence

putting

$$X = H^+ \ominus SH^+$$

$$V = H^+ \ominus zH^+$$

$$Y = H^+ \ominus zSH^+$$

we have $Y = \overset{n}{V} \oplus \overset{d}{zX} = \overset{d}{X} \oplus \overset{n}{S\tilde{V}}$

All takes place in a space of holomorphic functions on the disk with L^2 boundary values. $V = \mathbb{C}$ the constant functions. $S(V) =$ multiples of $S(z)$. We can evaluate at a point ζ of S' , getting a linear functional.

S is some function $\prod_{j=1}^n \frac{(z-\lambda_j) \dots (z-\lambda_n)}{(1-\bar{\lambda}_j z) \dots (1-\bar{\lambda}_n z)}$

$$X = SH^- \cap H^+ = H^+ \cap (SH^+)^{\perp}$$

somehow consists of analytic $f^+ \in H^+$ such $S^{-1} f^+ \in H^-$

Then $S^{-1} f^+ = f^-$ or $S^{-1} = \frac{f^-}{f^+}$ $S = \frac{f^+}{f^-}$

$$S f^- = f^+$$

$$\prod \frac{(z-\lambda_j)}{1-\bar{\lambda}_j z} f^- = f^+$$

$$\prod_j (z-\lambda_j) f^- = \prod (1-\bar{\lambda}_j z) f^+$$

$$\prod \frac{1}{1-\bar{\lambda}_j z} f^- = \prod \frac{1}{z-\lambda_j} f^+$$

$$\frac{z-\lambda}{1-\bar{\lambda}z} f^- = f^+$$

$$f^- = \frac{1}{z-\lambda} = \frac{1}{z} + \frac{\lambda}{z^2} + \frac{\lambda^2}{z^3} + \dots$$

$$647 \quad \prod_{j=1}^d \frac{1}{z - \lambda_j} f^+ \in H^-$$

provided f^+ is a poly of degree $< d$ } ,

Given $S = \frac{z - \lambda}{1 - \bar{\lambda}z}$, what is $SH^- \cap H^+$

note that ~~that is~~ $\frac{1}{1 - \bar{\lambda}z}$ preserves H^+ .

$$\frac{1}{z - \lambda} \in H^-$$

so

$$\frac{z^j}{\prod_{k=1}^d (z - \lambda_k)} \in H^- \quad \text{for } 0 \leq j < d$$

$$\underbrace{\prod_{k=1}^d \left(\frac{z - \lambda_k}{1 - \bar{\lambda}_k z} \right)}_S \cdot \underbrace{\frac{z^j}{\prod_{k=1}^d (z - \lambda_k)}}_{f_-} = \underbrace{\frac{z^j}{\prod_{k=1}^d (1 - \bar{\lambda}_k z)}}_{f_+}$$

so now what? Back to

$$\begin{aligned} H^+ &\supset SH^+ & Y &= H^+ \ominus zSH^+ \\ \vee U & & & = V \oplus zX = X \oplus SV \\ zH^+ &\supset zSH^+ & & \\ & \quad \quad \quad zX & & \end{aligned}$$

continue with this example $\mathbb{C} \oplus zX = X \oplus S\mathbb{C}$

where $X = SH^- \cap H^+ = \frac{\{\text{polys degree } < d\}}{\prod_{k=1}^d (1 - \bar{\lambda}_k z)}$

648 Another idea I've forgotten from the past is that there should be an analogue of this factorization of S in general. Why? The idea is that H^+/SH^+ is a f.d. module over $\mathbb{C}[z]$, so you have the Jordan ^{normal} form, etc. Back to

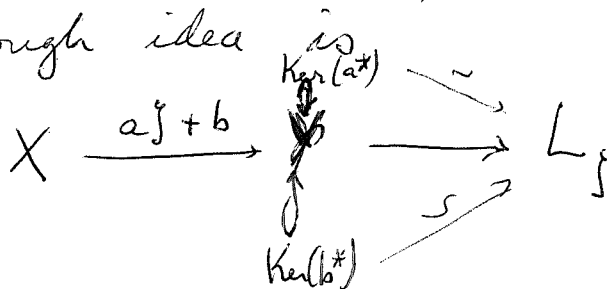
$$\mathbb{C}1 \oplus zX = X \oplus \mathcal{S}\mathbb{C}$$

$$Y = \mathbb{C}1 \oplus z \frac{\bigoplus_{i=0}^{d-1} \mathbb{C}z^i}{\prod (1-\bar{\lambda}_k z)} = \frac{\bigoplus_{i=0}^{d-1} \mathbb{C}z^i}{\prod (1-\bar{\lambda}_k z)} \oplus \mathbb{C} \frac{\prod (z-\lambda_k)}{\prod (1-\bar{\lambda}_k z)}$$

and
$$X = \frac{\bigoplus_{i=0}^d z^i \mathbb{C}}{\prod (1-\bar{\lambda}_k z)}$$
 . It gets clearer

What you need to see is the ~~atp~~ K -module and the line bundle corresponding to the clutching function S . Can you find ~~any~~ maps $X \Rightarrow Y$? I would actually like a pencil of surjections $Y \xrightarrow{a^*+b} X$. ~~Not a pencil~~

Let's proceed backwards. Suppose we have a partial unitary $X \xrightarrow[a]{b} Y$, say X is a subspace of Y of codim 1 in Y and $b: X \rightarrow Y$ is an isometry. ~~unitary~~ What should S be? Some ~~isom~~ ~~isom~~ between $\text{Ker}(a^*)$ and $\text{Ker}(b^*)$ depending on S . Rough idea is



649 It's amazing how little progress on these ideas ^{you} have ~~been~~ made. $x \xrightarrow{a} y$ The remarkable fact that a partial unitary \bar{b} leads to a S -operator between the complements. $S(z): \text{Ker}(a^*) \rightarrow \text{Ker}(b^*)$. Actually S may only be defined up to a constant of absolute value 1.

$$\mathbb{C}1 \oplus \frac{\mathbb{C}z}{1-\bar{\lambda}z} = \frac{\mathbb{C}}{1-\bar{\lambda}z} \oplus \mathbb{C} \frac{z-\lambda}{1-\bar{\lambda}z}$$

You could try writing the corresponding invertible 2×2 matrices passing between these decompositions

$$\begin{array}{ccccccc}
 \oplus & z^{-1}\text{Ker}(b^*) & \oplus & \overbrace{\text{Im}(a) \oplus \text{Ker}(a^*)}^X \oplus z\text{Ker}(a^*) & \oplus & & \oplus \\
 & \searrow & \searrow^z & \searrow^{ba^*} & \searrow^z & & \searrow \\
 \oplus & z^{-1}\text{Ker}(b^*) & \oplus & \underbrace{\text{Ker}(b^*) \oplus \text{Im}(b)}_V \oplus z\text{Ker}(a^*) & \oplus & & \oplus \\
 & & & \underbrace{\quad\quad\quad}_{zX} & & &
 \end{array}$$

Can we look for an eigenfunction wrt \bar{z} .

You want something ψ satisfying $\bar{z}\psi = \psi$.

Go back to $H = H^- \oplus H^+ = H^- \oplus X \oplus \underbrace{S H^+}_{S V \oplus S_z H^+}$

~~Do you want~~

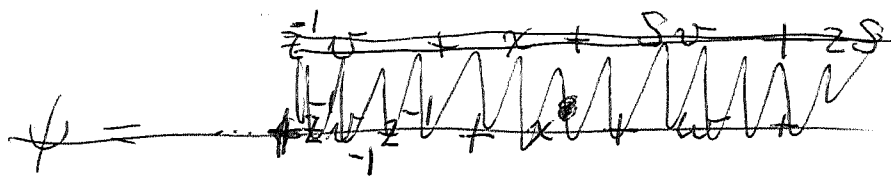
Look for an eigenvector wrt \bar{z}
 $\bar{z}\psi = \psi$. Consider

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$$H = H^- \oplus \underbrace{X \oplus S(V)}_{V \oplus zX} \oplus S_z H^+$$

The eigenvector should be $\sum_{n < 0} \bar{z}^{-n} \psi_n \in H^-$

You have



$$\psi = \dots + z^{-2}v_{-2} + z^{-1}v_{-1} + \underbrace{x + w_0}_{v_0 + z x'} + zw_0 + z^2w_2 + \dots$$

$$z^{-1}\psi = \dots + z^{-1}v_{-2} + v_{-1} + z^{-1}x + z^{-1}w_0 + z^{-1}zw_1$$

$$z^{-1}v_{-2} = v_{-1}$$

$$z^{-1}w_0 = w_1$$

$$z^{-1}v_{-1} = v_0$$

$$z^{-1}w_1 = w_2$$

$$z^{-1}x = x'$$

~~Notice~~ Notice all the v_{-n} for $n < 0$ are determined by v_0 : $v_{-n} = z^n v_0$ $\left. \begin{matrix} n \leq 0 \end{matrix} \right\}$ Also

$w_n = z^{-n} w_0$, $n \geq 0$. ~~of~~ Remaining eqns are

$$z^{-1}x = x'$$

$$x + w_0 = v_0 + z x'$$

To solve $x + w_0 = v_0 + z^{-1}z x$. Simple equation

$$(1 - z^{-1}z)x = v_0 - w_0$$

~~of eqns~~ This is an eigenvalue problem associated to a partial unitary. Reformulate

start with $Y \xrightarrow{a} X \xrightarrow{b} Y$

set $V = Y \ominus X$
 $\text{Ker}(a^*)$

$W = Y \ominus \text{Im} b = \text{Ker}(b^*)$, then given

$\lambda \in \mathbb{C}$ solve

$$(a - \lambda b)x = v - w$$

Better is

$$X \xrightarrow{a - \lambda b} Y \longrightarrow E_\lambda$$

are you assuming no bound states

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$$Y \xleftarrow{a} X \xrightarrow{b} Y$$

$$a^*a = 1$$

$$b^*b = 1$$

$$(a - b^*b)x = 0$$

$$ax = b^*x$$

$$\|x\| = \|b^*\| \|x\| \Rightarrow \|b^*\| = 1.$$

bound states.

So you ~~can now~~ analyze a partial isometry $Y \xleftarrow{a} X \xrightarrow{b} Y$

$$a^*a = 1$$

$$b^*b = 1$$

IDEA Can you use partial outgoing subspaces to understand a port split into two? ~~This idea suggested~~ This idea suggested by bound states. What is an outgoing subspace^M for H equipped with unitary U ? First $UM \subset M$ and second $\bigcap_{n \geq 0} U^n M = \emptyset$.

Then if $V = M \ominus U(M)$ one has an isom.

$$L^2(S^1, V) \simeq \overline{\bigcup_{n \in \mathbb{Z}} U^n M}$$

smallest closed inv. subspace containing M .

Hilbert space theory tells us I think that any (H, U) splits into a part absolute wrt Lebesgue meas and ~~a~~ a part singular wrt Lebesgue measure. Anyway what next? In the scattering situation how do you tell ~~what~~ when

VGSIX	19.12	14.12	20.76	21.66	21.66
FRESX	20.76	16.03	21.66	21.66	21.66
MNEWX	14.12	16.03	21.66	21.66	21.66
NBGSX	14.12	16.03	21.66	21.66	21.66
KWGSX	28.50	16.03	21.66	21.66	21.66
5REAX	28.50	16.03	21.66	21.66	21.66

bound states and so forth

$$(a - \zeta^{-1}b)(x) = 0 \quad x \neq 0$$

$$ax = \zeta^{-1}bx \quad \|x\| = |\zeta^{-1}| \|x\| \quad |\zeta| = 1.$$

So what ^{should} happens is that we can split off $\mathbb{C}x$ from both X and Y . ~~So how and~~
~~going to handle~~ $L = \mathbb{C}x$ $aL = bL$. Assume
 a inclusion, b given isometry. Then $(\zeta a - b)\mathbb{C}x = 0$
 $b\mathbb{C}x \subset \mathbb{C}x$ and $b = \text{mult by } \zeta \text{ on } \mathbb{C}x$. Then
 you split off $\mathbb{C}x$ $Y = \mathbb{C}x \oplus Y'$
 $X = \mathbb{C}x \oplus X'$

So what happens is that ~~we have~~
 when we have a partial unitary $X \xrightleftharpoons[b]{a} Y$
 then ~~we have~~ after splitting off a max. unitary
~~we have~~

$$0 \longrightarrow X \xrightarrow{a - \zeta^{-1}b} Y \longrightarrow E_\zeta \longrightarrow 0$$

for all $\zeta \in \mathbb{C}P^1$. $V = Y \ominus aX = \text{Ker}(a^*)$

$$W = Y \ominus bX = \text{Ker}(b^*)$$

Claim for each $\zeta \in S^1$ $v \in V, w \in W \exists! x \in X$

$$\Rightarrow (a - \zeta^{-1}b)x = v - w.$$

~~The point is that one has~~ ~~this correct dimensionally?~~ ~~is~~

$$\underbrace{\text{Ker}(a^*)}_V + \underbrace{\text{Ker}(b^*)}_W + \underbrace{(a - \zeta^{-1}b)X}_d = \underbrace{Y}_{d+r} \quad ? \quad \text{NO}$$

$$Y = V \oplus X = X \oplus W$$

Suppose $y \perp$ to $\text{Ker}(a^*), \text{Ker}(b^*), (a - \zeta^{-1}b)X$

$$a^*y = \zeta^{-1}b^*y \quad y \perp \text{Ker}(a^*) = \text{Im}(a)^\perp \quad b^*y = y$$

means $y \in \text{Im}(a)$ so $a^*y = y$

653 $y \in aX$ $y = ax_1$ $y = bx_2$

$a^*y = x_1$ $b^*y = x_2$

$x_1 = \bar{J}^{-1}x_2$ ~~etc~~

$\bar{J}^{-1}ax_2 = ax_1 = bx_2$ leading to a bound state
 The upshot is that ~~etc~~ given $X \xrightarrow{b} Y$

partial unitary, ~~etc~~ not containing bound states we can always solve, ~~etc~~
 $(V = X^\perp, \text{ ~~etc~~ } W = (bX)^\perp = \text{Ker}(b^*))$ uniquely,

$\forall \sigma, \forall (\sigma, \omega) \in V \times W, \exists! x \quad (a - \bar{J}^{-1}b)x = \sigma - \omega. \quad \text{NO}$

You need $V \oplus (a - \bar{J}^{-1}b)X = Y$ for $|\bar{J}| \geq 1$.

Let $y \perp V = (aX)^\perp$ i.e. $y = ax_1$

Let $y \perp (a - \bar{J}^{-1}b)X$ i.e. $(a^* - \bar{J}^{-1}b^*)y = 0$.

Then $(a^* - \bar{J}^{-1}b^*)ax_1 = 0$ or $x_1 = \bar{J}^{-1}b^*ax_1$

$\bar{J}x_1 = b^*ax_1 \Rightarrow |\bar{J}| < 1$. (if no bound states).

Similarly need $W \oplus (\bar{J}a - b)X = Y$ for $|\bar{J}| \leq 1$.

Let ~~etc~~ $\omega = (\bar{J}a - b)(x)$ $W = \text{Ker}(b^*)$

$\Rightarrow 0 = (\bar{J}b^*a - 1)x \Rightarrow b^*ax = \frac{1}{\bar{J}}x \Rightarrow |\frac{1}{\bar{J}}| < 1$.

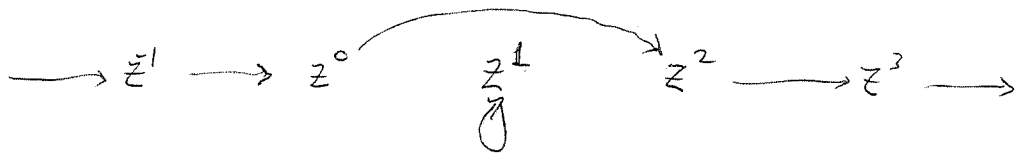
and thus you ^{get} trivialize ~~etc~~ over each disk, + scattering over the circle.

Explore idea of ~~etc~~ a partial port.

~~Wait~~ Wait go back over partial unitaries

~~Wait~~ Let's under

654 What ideas come to mind? How about perturbations? Suppose $U_0 = \text{mult by } z \text{ on } L^2(S^1)$ suppose U is a ~~finite~~ perturb of U_0



what should be happening?? Perturbation region is z^0, z^1 , probably. to what would you want

You again want incoming and outgoing subspace for U . Take $H^- = \bigoplus_{n \leq -1} z^n \mathbb{C}$ as before take zSH^+ to be $\bigoplus_{n \geq 2} z^n \mathbb{C}$, then Y as before

$$X = H^+ \ominus SH^+ = H^+ \cap S(H^-)$$

$$\begin{array}{ccc} H^+ & \supset & SH^+ \\ \cup & & \cup \\ zH^+ & \supset & zSH^+ \\ & & \cup \\ & & zX \end{array}$$

$$Y = V \oplus zX = X \oplus S(V)$$

$U = ~~U_0 P~~ U_0 P$ P should be ~~an op on~~ $z\mathbb{C} \oplus z\mathbb{C}$ extended by 1 . ~~Count dimensions~~ Possible P are elts of U_2 , $\dim 4$. Possible partial unitaries. V seems to be fixed as $z\mathbb{C}$; so that ~~$X = z\mathbb{C}$~~ $X = z\mathbb{C}$, so the only thing you can vary is a yielding $\dim 3$. Which should be correct because there is ~~only one~~ only one parameter needed to extend the partial unitary to a unitary.

Suppose
$$X \begin{array}{c} \xrightarrow{a} \\ \xrightarrow{b} \end{array} Y$$

$$\boxed{\dim = (d+r)^2 - r^2}$$

$$\text{or } 2dr + (d+r)^2 - r^2$$

655 possible $X^d \subset Y^{d+n}$ is $2dr$ 2 complex

possible $b: X^d \rightarrow Y^{d+n}$

$$\frac{U(d+n)}{U(r)} = \frac{d^2 + 2dr + r^2}{-r^2}$$

$$\left. \begin{array}{l} 2(d+n)-1 \\ + 2(d+n)-3 \\ \vdots \\ + 2(\overset{n}{\cancel{1}})-1 \end{array} \right) = \cancel{(d+n)}d$$

dim of partial unitaries $+ r^2 = (d+n)^2 + 2dr$

dim of partial unitaries = $\boxed{d^2 + 4dr}$

other way of counting yields $2dr$ for choice of X and then $\left| \frac{U(d+n)}{U(r)} \right| = (d+n)^2 - r^2 = d^2 + 2dr$

for the choice of $b: X \rightarrow Y$. Again $\boxed{d^2 + 4dr}$

In the example V is given so you get $d^2 + 2dr$, ~~not together~~

You are trying to analyze a perturbation of U_0 mult by z on $L^2(S^1)$, $U = U_0 P$ where the pert. P is unitary on $z^0\mathbb{C} \oplus z^1\mathbb{C}$ extended by 1 to the orth complement. Then $Y = z^0\mathbb{C} \oplus z^1\mathbb{C}$, $V = z^0\mathbb{C}$, $z^1\mathbb{C} = b$

$$z^{-1}\mathbb{C} \oplus z^0\mathbb{C} \oplus z^1\mathbb{C} \oplus z^2\mathbb{C}$$

$$\begin{array}{ccc} H^+ & \xrightarrow{X} & SH^+ \\ V & U & U & SV \\ UH^+ & \supset & USH^+ \\ & & \text{#(X)} \end{array}$$

You want to be carefully because $z^2\mathbb{C} \oplus z^3\mathbb{C} \oplus \dots$ is probably USH^+ ,

$U = U_0 P$ so

$$\begin{aligned} SH^+ &= P^{-1} U_0^{-1} (z^2\mathbb{C} \oplus z^3\mathbb{C} \oplus \dots) \\ &= P^{-1} (z\mathbb{C}) \oplus z^2\mathbb{C} \oplus \dots \end{aligned}$$

Note that $UH^+ = U_0 P H^+$
 $= U_0 H^+ = z\mathbb{C} \oplus \dots$

656 So what do we have? P is this given unitary on $z^0\mathbb{C} \oplus z^1\mathbb{C}$, what does it do?

~~So~~ We have $UH^+ = U_0PH^+ = U_0H^+ = z^0\mathbb{C} \oplus z^1\mathbb{C} \oplus \dots$

Start again $U = U_0P$ on $L^2(S^1)$, where P a unitary operator on $z^0\mathbb{C} \oplus z^1\mathbb{C} \oplus \dots \oplus z^k\mathbb{C}$ ~~and~~ extended ~~otherwise~~ by the identity on the orth complement.

The problem is to compute somehow the scattering operator, I want more namely ~~to~~ understand the associated vector bundle.

First question - can you find a partial unitary ~~z~~ it's going to be a restriction of U . You expect to get ~~the~~ X, Y . We have $U = U_0$

on $H^- = \bigoplus_{n < 0} z^n\mathbb{C}$ and on $\bigoplus_{k \geq k+1} z^k\mathbb{C}$. Recall

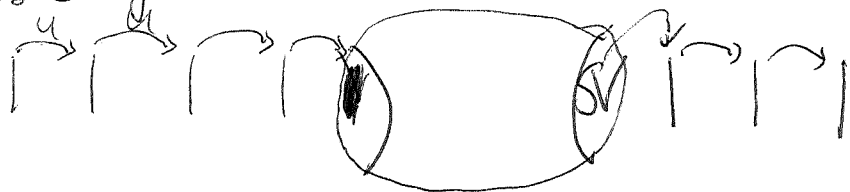
$$V = H^+ \ominus U(H^+) = H^+ \cap U(H^-) = H^+ \cap U_0(H^-) = z^0\mathbb{C}$$

Let us take tentatively the outgoing space UH^+ to be ~~the~~ $z^{k+1}\mathbb{C} \oplus z^{k+2}\mathbb{C} \oplus \dots$

V is what comes in from H^- under U

SV is what goes out under U into $\underbrace{UH^+}_{SV}$

Basic picture



$$V \oplus X = X \oplus SV$$

It's getting much clearer, but you a lot more to do.

657 In the perturbed situation?

~~Write~~ You have many things to straighten out. Equivalences pictures.

partial unitary

Start with H, U . ~~Suppose~~ suppose given H^-, H^+ closed subspaces \neq

- i) $U^{-1}H^- \subset H^-$, $\cap U^{-n}H^- = 0$, $H^-/U^{-1}H^-$ f.d.
- ii) $UH^+ \subset H^+$, $\cap U^n H^+ = 0$, H^+/UH^+ f.d.
- iii) $H^- \perp H^+$ and $H^- \perp H^+$ f. codim in H .

Then you have $V = H^- \ominus U^{-1}H^-$, $W = H^+ \ominus UH^+$

$$H^- = \bigoplus_{n \leq 0}^{(2)} U^{+n} V \quad H^+ = \bigoplus_{n > 0}^{(2)} U^n W.$$

So what do we have? Let $X = (H^- \oplus H^+)^\perp$

so that we have $H = H^- \oplus X \oplus H^+$. Next

claim that $U: V \oplus X \xrightarrow{\sim} X \oplus W$.

Proof. $H = \bigoplus_{n \leq 0} U^{+n} V \oplus X \oplus \bigoplus_{n > 0} U^n W$

$$\begin{array}{ccccccc} H & = & U^{-1}(H^+) & \oplus & V & \oplus & X & \oplus & H^+ \\ \downarrow U & & \downarrow U & & & & & & \downarrow U \\ H & = & H^+ & \oplus & X & \oplus & W & \oplus & U(H^+) \end{array}$$

so ~~U~~ U yields a "stable ism" of V and W

~~Now you want to determine~~ Contraction viewpoint.
The subspace $Y = X \oplus W$ cont

658 Let's go back to showing if $X \xrightarrow{a} Y$ partial unitary with no bound states i.e. ~~no~~ $0 \neq x \in X \rightarrow ax$ bx dependent, then

$$0 \longrightarrow X \xrightarrow{f_{a-b}} Y \longrightarrow E_f \longrightarrow 0$$

get holom vector bundle E over $\mathbb{C}P^1$, and it's ~~the~~ the bundle arising from the clutching fn. f .

~~First~~ First show $(f_{a-b})X \oplus \underbrace{\text{Ker}(a^*)}_{(aX)^\perp} = Y$

$$\text{If } (f_{a-b})(x) \in (aX)^\perp$$

$$\text{then } a^*(f_{a-b})(x) = 0$$

$$f_{a-b}x = a^*bx \quad \text{but } a^*b \text{ is a contraction}$$

$$\text{so } |f| \leq 1. \quad \text{If } |f| = 1, \text{ then}$$

$$f_{a-b}x = \underbrace{aa^*}_{\text{proj operator on } aX}bx$$

~~$$|f| \|ax\| = \|aa^*bx\| + \|bx - aa^*bx\|$$~~

$$\|aa^*bx\| < \|bx\| \text{ unless } bx \in aX$$

$$|f| \|x\| = \|f_{a-b}x\| \leq \|bx\| = \|x\| \Rightarrow aa^*bx = bx$$

$$f_{a-b}x = bx \quad \text{bd-state.} \quad \therefore |f| < 1.$$

$$\text{Then } \text{Ker}(a^*) \xrightarrow{\sim} E_f \quad \text{for } |f| \geq 1$$

trivializes E over $|f| \geq 1$

$$\text{and } \text{Ker}(b^*) \xrightarrow{\sim} E_f \quad \text{for } |f| \leq 1$$

~~and~~ and this relates to $S(f)$.

$$\text{No bdd states} \Rightarrow a^*b$$

$H^- = \bigoplus_{n \geq 0} u^{-n} V$, $H^+ = \bigoplus_{n \geq 0} u^n W$, $H = H^- \oplus X \oplus H^+$
 orthogonal where V, W, X f.d.

Fix \int an f.i.d. eigenvector

$$\dots + u^{-1} v_2 + v_1 + x + w_0 + u w_1 + u^2 w_2$$

$$+ \int^{-1} u^{-1} v_3 + \int^{-1} v_2 + \int^{-1} u(v_1) + \int^{-1} u(x) + \int^{-1} u w_0 + \int^{-1} u^2 w_1$$

make clearer $V \oplus X \xrightarrow{u} X \oplus W$

$$\left(u^{-1} H^- \oplus H^+ \right)^\perp \quad \left(H^- \oplus u H^+ \right)^\perp$$

$w_1 = \int^{-1} w_0$
 $w_2 = \int^{-1} w_1$

$v_{-2} = \int v_{-1}$
 $v_{-3} = \int^2 v_{-1}$
 $v_{-n} = \int^{n-1} v_{-1}$

$$u(v_{-1} + x) = \int(x + w_0)$$

$w_n = \int^{-n} w_0$

$u(x) - \int x = -u(v_{-1}) + \int w_0$

now to analyze this carefully

There are various things to examine. Solving this eigenvalue equation. Dilating to obtain H .
 Writing the 2×2 unitary matrix and its inverse describing relating the two decompositions.

Examine dilating to obtain H . We have the C^* algebra $C(S^1)$ or group \mathbb{Z} . ~~Positive definite~~

You have $C(S^1)$ acting on H and a subspace Z (either X or $V \oplus X$) generating H under the action pos. def. fermion on $C(S^1)$ values in $\text{End}(Z)$.
 Given by $\{ \iota^* z^n \iota \}$ $i: Z \rightarrow H$. ~~The interesting~~

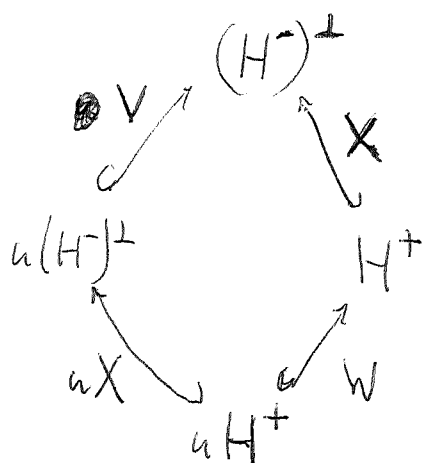
phenomena might be that $(\iota^* z^n \iota) = (\iota^* z \iota)^n$ for $n \geq 0$
 i.e. only the contraction operator $\iota^* z \iota$ on Z matters. $Z=X$ ~~does~~ won't work since X can be 0.
 Probably you have to take $Y = V \oplus X$.

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Forgotten point

X and $Y = X \oplus W$

are naturally modules over $\mathbb{C}[u]$



~~u~~ u kills V and W and should be a contraction on X

Analyze. You have

$$Y = X \oplus W = uV \oplus uX$$

eigenvalue equation ~~is to~~ to solve
is $f(x+w) = u(w) + u(x)$

But if $\iota^* : (H^-)^\perp \rightarrow X$ is compatible

$$\iota^*(u\xi) = f \iota^*\xi$$

$$\iota^*(u^n \xi) = f \iota^*(u^{n-1} \xi) = f^n \iota^*\xi$$

$$\iota^* u^n \iota x = f^n x.$$

Question. how much of H, u can you recover from (X, f) ?

let a notation.

$$H = H^+ \oplus X \oplus H^- \\ \cong \bigoplus_{n \leq 0} u^n V \quad \cong \bigoplus_{n \geq 0} u^n W$$

$$V \oplus X = (u^{-1}H^+ + H^-)^\perp$$

$$u(V \oplus X) = (H^+ + uH^-)^\perp = X \oplus W$$

eigenvectors for u

$$u^{-2}(v_2) + \int u^{-1}(v_1) + \int v_0 + \int x + \int w_0 + \int u(w_1)$$

$$\int u^{-1}(v_2) + \int v_1 + \int u(v_0) + u(x) + u(w_0)$$

$$w_2 = \int v_1 \\ v_1 = \int v_0$$

$$w_1 = \int w_0 \\ w_2 = \int w_1$$

$$u(v_0 + x) = f(x + w_0)$$

661 symmetric notation above. But put

$$Y = X \oplus W = u(V) \oplus u(X)$$

Let $a: X \rightarrow Y$ be the inclusion, $b: X \rightarrow Y$ the restriction of u to x . Then $X \xrightarrow[a]{a} Y$ is a partial unitary operator, $\text{Ker}(a^*) = W$
 $\text{Ker}(b^*) = u(V) = V'$

$$X \xrightarrow{Sa-b} Y$$

$$x \mapsto (S-u)x$$

~~$$(S-u)(x) = v'$$~~

$$(Sa-b)(x) = v'$$

$$(Sb^*a-1)x = 0$$

$$\Rightarrow x = 0 \quad \text{if } \|S\| < 1.$$

or if $\|S\| \leq 1$ and no bound states

Let $y \perp (Sa-b)X$ and $V' = \text{Ker}(b^*) = (bX)^\perp$

$$y = bx \perp (Sa-b)X \Rightarrow (Sa^*-b^*)bx = 0$$

$$\Rightarrow (Sa^*b-1)x = 0 \Rightarrow x=0 \quad \text{if } \|S\| < 1.$$

You would like a formula for S in terms of $c = b^*a$ on X .

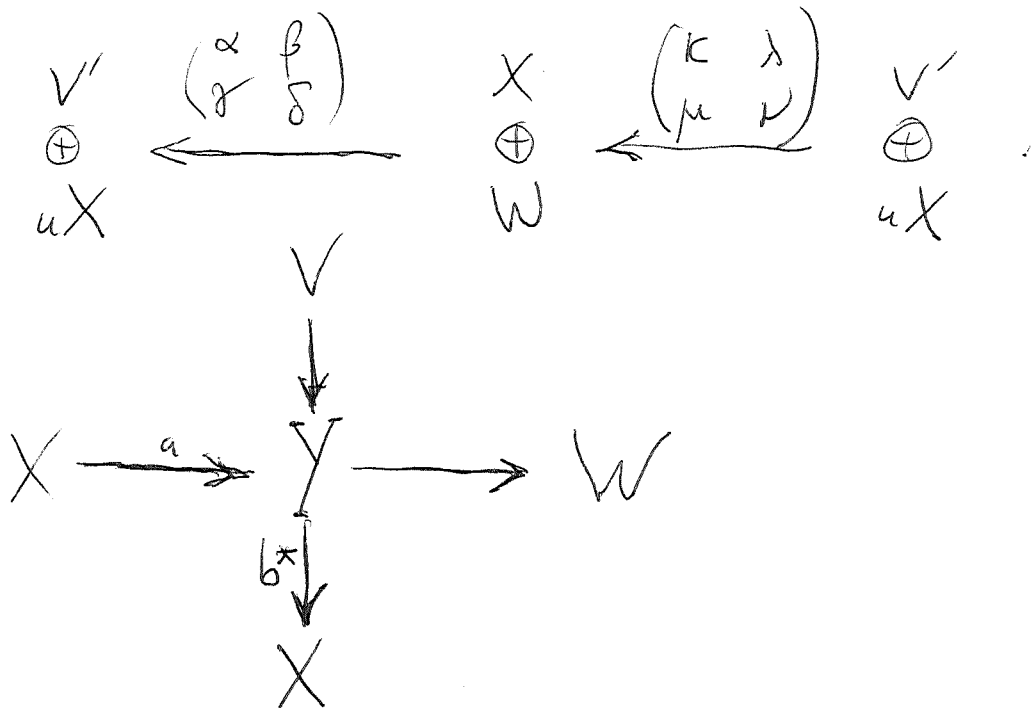
Philosophy: I would like (X, c) to determine the essential structure. ~~What puzzles me is the relation between~~
~~fact and~~

So study the structure $V \oplus X \xrightarrow[u]{u} X \oplus W$

So $u(V) \oplus u(X) = X \oplus W$. Set up the

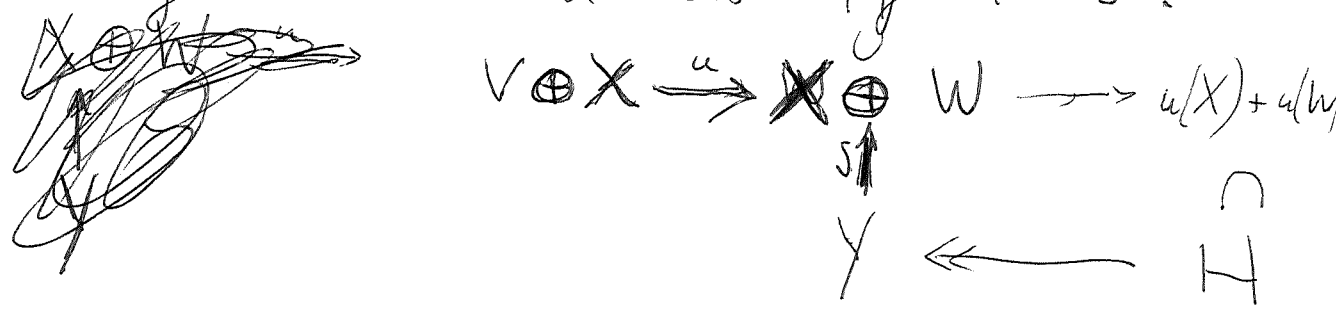
2×2 matrices.

Let $Y = X \oplus W = u(V) \oplus u(X)$



There is some linear algebra here ~~is~~ - basically you have two ^{orth} projections on the same space Y, this gives the usually Grassmannian parameters "characteristic values". But also you have an extra isomorphism between ~~two~~ ^{two} ~~summands~~ ~~from~~ opposite sides, ~~at~~ which allows composition, eigen values etc. You need to analyze this situation. Start with $X \xrightleftharpoons[b]{a} Y$ whence ~~at~~

operators $c = b^*a$, $c^* = a^*b$ on X. We know cc^* . Look - you have a contraction on Y, namely ba^* and its adjoint ab^* .

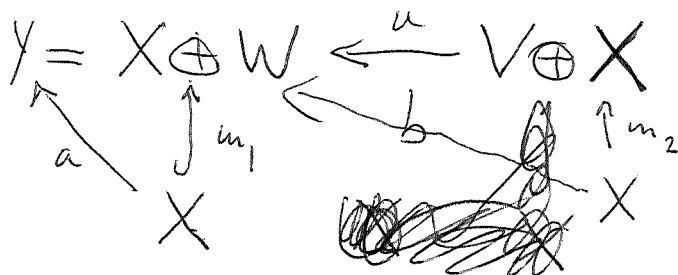


663

$$x + w \xrightarrow{u} u(x) + u(w) \xrightarrow{p_Y \text{ onto}} u(x)$$

$$x + w \xrightarrow{\quad} \underbrace{u^{-1}(x) + u^{-1}(w)}_{V \oplus X} \xrightarrow{p_Y}$$

Look at X first, namely take x
 apply u and project onto X ? We know
 that



so $u(x) = b(x) \xrightarrow{\quad} a^*b(x)$

$$x \xrightarrow{\quad} u^{-1}(x) \xrightarrow{\quad} m_2^* u^{-1}(x) = b^*a(x).$$

So on X we have the contraction operator
 a^*b induced by u
 b^*a ————— u^{-1} .

So far it's not clear that u^n ~~contracts~~ ^{compresses} to $(a^*b)^n$

~~You~~ You need a notation to make this
 clear.

$$H^- \oplus X \oplus H^+$$

$$\dots \oplus u^{-1}(V) \oplus V \oplus X \oplus W \oplus u(W) \oplus u^2(W) \oplus \dots$$

$$\underbrace{\hspace{15em}}_{(H^-)^+} \text{ and } u(H^-)^{\perp} = (uH^-)^{\perp} \subset (H^-)^{\perp}$$

$$(H^-)^{\perp} = X \oplus H^+$$

$$u(H^-)^{\perp} = (uH^-)^{\perp} \subset (H^-)^{\perp}$$

"
 $X \oplus H^+$

664. Life is hard.

begin again.

$$H = \underbrace{\dots \oplus u^{-1}V \oplus V}_{H^-} \oplus X \oplus \underbrace{W \oplus uW \oplus u^2W \oplus \dots}_{H^+}$$

$$(u^{-1}H^- \oplus H^+)^{\perp} = V \oplus X$$

$$\cong \downarrow u \qquad \cong \downarrow u$$

$$(H^- \oplus uH^+)^{\perp} = X \oplus W$$

$$(H^-)^{\perp} = X \oplus H^+ \quad \text{closed under } u$$

also H^+

so ~~u~~ induces ~~an~~ operator c on $(H^-)^{\perp}/H^+ \cong X$
~~in fact~~ ~~operator~~ u^n induces c^n on X .

~~$$c^n(x) = c^* u^n c x \quad \text{where } c: X \rightarrow (H^-)^{\perp}$$~~

~~$$c^* u^n c^* u^m c = c^* u^{n+m} c \quad \text{provided } n \geq 0$$~~

Let $i: X \rightarrow (H^-)^{\perp}$ be the inclusion
 i^* is the orthogonal projection onto X .

$\text{Ker}(c^*)$ is $(\text{Im } i)^{\perp} = H^+$.

~~$$u(x) = b(x) \oplus \overbrace{d(x)}^{e \in W}$$~~

$$u^2(x) = u(c(x)) + u(d(x))$$

$$= c^2(x) + d(c(x)) +$$

$$u(x) = b(x) \oplus d(x) \in X \oplus W$$

$$u^2(x) = b^2(x) + d(b(x)) + u(d(x)) \in X \oplus W \oplus uW$$

$$u^3(x) = b^3(x) + d(b^2(x)) + u(d(b(x))) + u^2(d(x))$$

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$$u^1 = b^1 x + db^3 x + udb^2 + u^2 db + u^3 d$$

$$u \xi = \overset{x}{b} \xi + \overset{w}{d} \xi$$

$$u = b + d$$

$$u^2 = ub + ud = (b+d)b + ud = b^2 + db + ud$$

$$u^3 = b^3 + db^2 + udb + u^2 d$$

$$u^4 = b^4 + db^3 + udb^2 + u^2 db + u^3 d$$

$$\therefore i^* u^n u = b^n$$

$(H^+)^{\perp} = H^- \oplus X$ incoming (~~stable~~ closed under u^{-1})

u^{-n} induces an operator on $(H^+)^{\perp}/H^- \simeq X \checkmark X$

$$u^{-1}(x) = \overset{V \oplus X}{\cancel{b^* x} + \cancel{d^* x}}$$

$$u^{-2}(x) = \overset{u^{-1}V}{\cancel{u^{-1}a^* x} + \overset{V}{\cancel{a^* x}} + \overset{X}{\cancel{b^{*2} x}}}$$

$$u^{-1}(x) = a^* x + b^* x$$

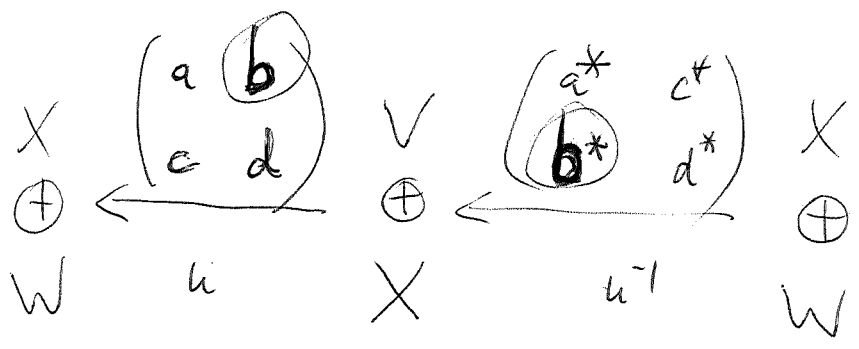
$$u^{-2}(x) = u^{-1} a^* x + a^* b^* x + b^{*2} x$$

~~Consider now the eigenvalue equation~~

Examine the matrices



$$u = \begin{pmatrix} & \\ & \end{pmatrix}$$



666 Now look at eigenvector equation

$$H = \underbrace{V \oplus X}_{\text{}} \oplus W$$

$$u(v+x) = f(x+w)$$

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} v \\ x \end{pmatrix} = f \begin{pmatrix} x \\ w \end{pmatrix}$$

$$av + bx = fx \quad ?$$

$$cv + dx = fw$$

$$(f-b)^{-1}av = fx$$

$$cv + d(f-b)^{-1}av = fw$$

$$S(f) v = f^{-1}cv + f^{-1}d(f-b)^{-1}av$$

Start again

H, u

$$H = H^- \oplus X \oplus H^+$$

$$u^{-1}H^- \subset H^-$$

~~indirect sum~~

$$V = H^- \ominus u^{-1}H^-$$

$$uH^+ \subset H^+$$

$$W = H^+ \ominus uH^+$$

$$H^+ = W \oplus uW \oplus u^2W \oplus \dots \quad \text{indirect sum.}$$

$$\text{provided } \bigcap u^n H^+ = 0$$

H^-

H^+

$$H: \dots \oplus u^{-1}V \oplus \underbrace{W \oplus X \oplus W \oplus uW \oplus u^2W \oplus \dots}_{H^+}$$

$$u: V \oplus X \xrightarrow{\sim} X \oplus W$$

assume V, X, W

f.d.

$$u^{-1}\sigma_{-1} + \sigma_0 \oplus \underbrace{x \oplus w}_{\text{}} + u\sigma_1 + \dots$$

$$u(\sigma_{-n}) = f\sigma_{-n+1}$$

$$\sigma_{-1} + (u(\sigma_0) + u(x)) + u\sigma_0$$

$$f^{-1}u(\sigma_{-1}) = \sigma_0$$

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$$\oplus u^{-1}V \oplus V \oplus (X \oplus W) \oplus uW \oplus \dots$$

$$\int u^{-1}v_1 + \int v_0 + \int (x + w_0) + \int u w_1 + \int u^2 w_2$$

$$u^{-1}v_2 + v_1 + u(v_0 + x) + \frac{u w_0}{\cancel{u w_0}} + u^2 w_1$$

$$v_1 = \int v_0$$

$$v_2 = \int v_1$$

$$u(v_0 + x) = \int (x + w_0)$$

$$w_0 = \int w_1$$

$$w_1 = \int w_2$$

$$u(v_0) - \int w_0 = (\int - u)(x)$$

How to study this equation.

Old method:

$$Y = X \oplus W = \overbrace{u(V)}^{V'} \oplus u(X)$$

Then there are two ^{isometric} maps

$$X \begin{matrix} \xrightarrow{a} \\ \xrightarrow{b} \end{matrix} Y$$

$$a(x) = x$$

$$b(x) = u(x)$$

$$a^*a = b^*b = 1$$

$$W = (aX)^\perp = \text{Ker}(a^*)$$

$$uV = (bX)^\perp = \text{Ker}(b^*)$$

To ~~understand~~ ^{understand} ~~solus~~ ^{solus}

$$(\int a - b)(x) = u^{-1} \overset{\text{Ker}(b^*)}{\int w} \in \text{Ker}(a^*)$$

YES.

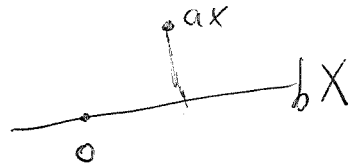
Claim $(\int a - b)X \oplus \text{Ker}(b^*) = Y$ for $|\int| < 1$

$$0 = b^*(\int a - b)x = \left(\int \underbrace{b^*a}_{\| \| \leq 1} - 1 \right) x$$

Assume $|\int| = 1$

then and $\exists x \neq 0$ $\int b^*a x = x$

~~$$\| b^*a x \| = \| a x \|$$~~



$$\Leftrightarrow ax \in bX \quad \text{i.e.} \quad \Leftrightarrow ax = b x' \quad \text{some } x'$$

$$\Rightarrow b^*a x = b^*b x' = x'$$

$$\Rightarrow b b^* a x = b b^* b x' = b x' = a x$$

$$\Rightarrow x = \int b^*a x = \int x'$$

$$\therefore \int a x = b x$$

$$(\int a - b)(x) \in \text{Ker}(b^*)$$

$|\int| < 1$. OK

~~$$(\int b^*a - 1)x = 0$$~~

$|\int| = 1$.

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$$\int \underbrace{b^* a}_x = x$$

$$\|b^* a x\| < \|x\|$$

unless $a x \in b X$

whence $b b^* a x = a x$

$$b b^* a x = a x \Rightarrow \int a x = b x$$

if $\|S\| \leq 1$, then no go unless

Stay away from bound states

~~Try to get~~ Go back to $(H^-)^\perp$

$$\underbrace{u^{-1} V \oplus V \oplus X \oplus W \oplus u W}_{H^-} \quad \underbrace{\hspace{10em}}_{H^+}$$

~~u H^-~~

$$u H^- \supset H^-$$

$$u(H^-)^\perp \subset (H^-)^\perp$$

$$u(H^+) \subset H^+$$

so u induces an endo of $(H^-)^\perp / H^+ = X \oplus H^+ / H^+ = X$.

similarly $u^* = u^{-1}$ induces endos of $(H^+)^\perp / H^- = X \oplus H^- / H^- = X$.

so what is really going on? Look at

$$H = H^- \oplus X \oplus H^+ \quad \text{restrict } u, u^{-1} \text{ to } X$$

Important point is that $u H^- \supset H^-$ so that

$$u(X) \subset X \oplus H^+ \quad \text{similarly } u^*(X) \subset H^- \oplus X$$

~~so write $u(x) = \alpha(x) + \beta(x)$ where~~

$$\text{Refine this result to } \begin{cases} u(x) \subset X \oplus W \\ u^*(x) \subset V \oplus X \end{cases}$$

$$\begin{cases} u(x) = \beta(x) + \delta(x) \\ u^*(x) = \alpha^*(x) + \beta^*(x) \end{cases}$$

$$u: W \oplus X \longrightarrow X \oplus W$$

$$\begin{array}{ccccc} X & \xleftarrow{u = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}} & V & \xleftarrow{u^* = \begin{pmatrix} \alpha^* & \beta^* \\ \gamma^* & \delta^* \end{pmatrix}} & X \\ \oplus & & \oplus & & \oplus \\ W & & X & & W \end{array}$$

concentrate on $\beta: X \rightarrow X$ induced by u on $X \oplus H^+$

Look solving $(I - \beta)$

669 Go back over $X \xrightarrow[a]{a} Y$ $Y = aX \oplus W = V \oplus bX$

$X \xrightarrow{\lambda a - b} Y \rightarrow E_\lambda$ vector bundle over $\mathbb{C}P^1$ provided no bound states.

$(\lambda a - b)X \oplus V' = Y$ for $|\lambda| \leq 1$
 $(\lambda a - b)X \oplus W = Y$ for $|\lambda| \geq 1$.

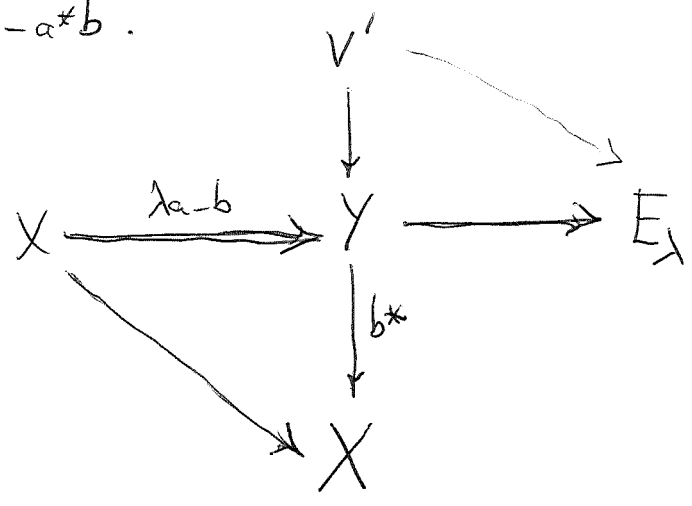
Check: $(\lambda a - b)x \in V' \iff (\lambda b^* a - 1)x = 0$
 If no bound states $b^* a x$ always has norm $< |x|$ so if $x \neq 0$.

$(\lambda a - b)x \in W \iff (\lambda - a^* b)(x) = 0 \implies x = 0$ for $|\lambda| \geq 1$.

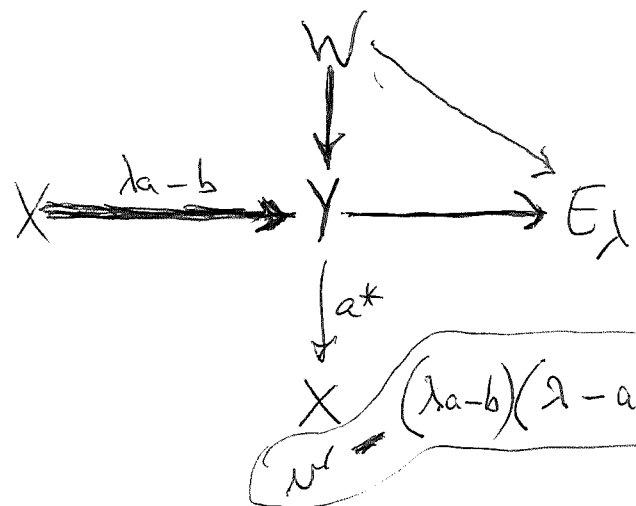
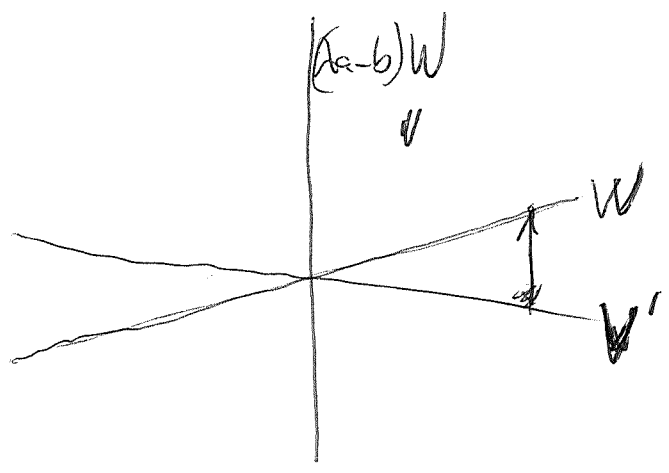
So we have trivializations $V' \xrightarrow{\sim} E_\lambda$ for $|\lambda| \leq 1$
 $W \xrightarrow{\sim} E_\lambda$ for $|\lambda| \geq 1$.

and for $|\lambda| = 1$ get an isom. $V' \simeq E_\lambda \simeq W$ depending on λ .
 If $\lambda = 0$, then can identify E_0 with $Y/bX = V'$

Somehow it would be nice to invert $\lambda b^* a - 1$ and $\lambda - a^* b$.



What is going on?
 you have two complements for $(\lambda a - b)X$
 V' and W



start with v'

$v' = (\lambda a - b)(\lambda - a^* b)^{-1} a^* v' = S(\lambda) v'$

670 So how might I proceed?

Go back to symmetric viewpoint

$$H = H^- \oplus X \oplus H^+ \quad \text{orth d.s.}$$

$$\mathbb{R}H^+ \subset H^+$$

$$\mathbb{R}H^- \subset H^-$$

~~H^- \oplus H^+~~

$$V = H^- \ominus uH^-$$

$$W = H^+ \ominus uH^+$$

$$H = uH^- \oplus V \oplus X \oplus H^+ \quad \rightsquigarrow$$

$$= \text{H}^- \oplus X \oplus W \oplus uH^+$$

$$u: V \oplus X \longrightarrow X \oplus W$$

$$\begin{array}{ccc}
 X & \xleftarrow{\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}} & V \oplus X \\
 \oplus & & \oplus \\
 W & \xleftarrow{\begin{pmatrix} \alpha^* & \gamma^* \\ \beta^* & \delta^* \end{pmatrix}} & X \oplus W
 \end{array}$$

$$(H^-)^\perp = X \oplus H^+ \quad \text{stable under } u$$

u induces an ~~operator~~ operator on

$$(H^-)^\perp / H^+ = X \oplus H^+ / H^+ \cong X$$

you get u on $(H^-)^\perp$ reduced to β on X

u^n on H compresses to β^n on X

u^{*n} on H compresses to $(\beta^*)^n$ on X .

Can you use this to understand the largest u, u^{-1} invariant subspace of H containing X .

Start with $v \in V$ apply u to get $\begin{pmatrix} \alpha(v) \\ \gamma(v) \end{pmatrix} \in \begin{matrix} X \\ W \end{matrix}$

Try $v + x$

$$X \oplus W$$

$$uV \oplus uX$$

$$u(v+x) = \lambda(x+w)$$



671 Feb 16, 1998

Review partial unitary

$$X \xrightarrow[b]{a} Y$$

$$\|ax\| = \|x\| = \|bx\|$$

$$a^*a = 1, \quad b^*b = 1$$

(IDEA. A partial unitary can be completed to a unitary which has a characteristic polynomial, equivalently a ~~the~~ positive divisor on S^1 (of degree $d+r$). get a map $U(r) \rightarrow \text{deg } d+r \text{ div}$)

$$X \xrightarrow{a-\lambda b} Y \longrightarrow E_\lambda \longrightarrow 0$$

$$aX \oplus W$$

$$V \oplus \text{~~W~~ } \oplus bX$$

$$(a-\lambda b)X \oplus \text{Ker}(a^*) = Y$$

$$|\lambda| \leq 1$$

$$(a-\lambda b)X \oplus \text{Ker}(b^*) = Y$$

$$|\lambda| \geq 1$$

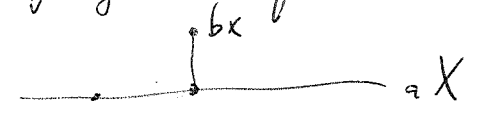
If $(a-\lambda b)x \in \text{Ker}(a^*)$

if no bound states

then $0 = (1-\lambda a^*b)x = 0$

$$\exists x \neq 0, \lambda \quad (a-\lambda b)x = 0 \Rightarrow |\lambda| = 1.$$

$aa^*bx = \text{projection of } bx \text{ on } aX$



Case 1. $bx \notin aX \Rightarrow \|aa^*bx\| < \|bx\| = \|x\|$

$$\|x\| = \|\lambda aa^*bx\| = |\lambda| \|aa^*bx\| \Rightarrow \|\lambda\| > 1.$$

Case 2. $bx \in aX \quad aa^*bx = bx$

$$x = \lambda aa^*bx$$

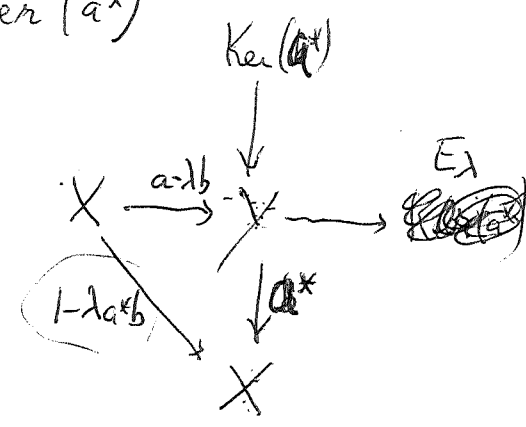
$$ax = \lambda aa^*bx = \lambda bx$$

bound state.

scattering op/ $V \oplus bW = aX \oplus W$

$$\text{Ker}(b^*) \longrightarrow Y / (a-\lambda b)X \xleftarrow[\text{isom for } |\lambda| \leq 1]{\text{Ker}(a^*)}$$

$$S(\lambda) : \text{Ker}(b^*) \longrightarrow \text{Ker}(a^*)$$



$$S(\lambda) = \left(1 - (a-\lambda b)(1-\lambda a^*b)^{-1}a^* \right) \Big|_{\text{Ker}(b^*)}$$

analytic for $|\lambda| \leq 1$.

672 Why unitary when $|\lambda|=1$.

Review $aX \oplus W = V \oplus bX$

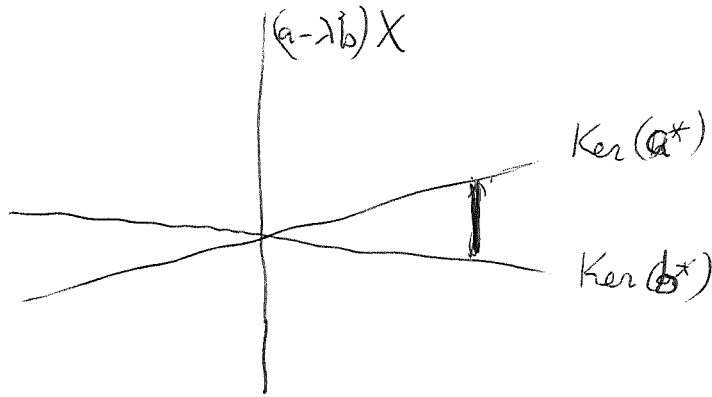
IDEA $\dim(X)=1$
 then a^*b is a scalar,
 so description should
 be easy

$$X \xrightarrow{a-\lambda b} Y \longrightarrow E_\lambda \longrightarrow 0$$

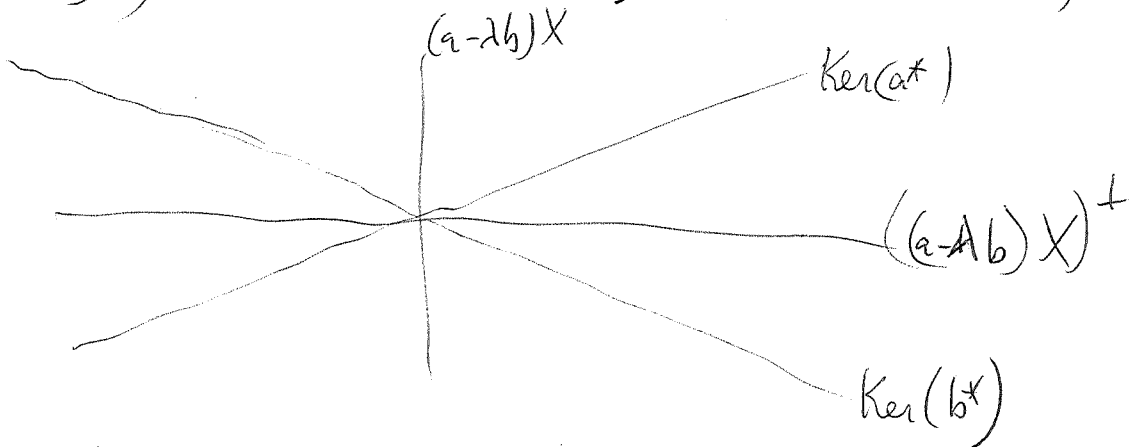
$$(a-\lambda b)X \oplus \text{Ker}(a^*) = Y \quad \text{for } |\lambda| \leq 1$$

$$(a-\lambda b)X \oplus \text{Ker}(b^*) = Y \quad \text{for } |\lambda| \geq 1$$

Assume $|\lambda|=1$.



$$((a-\lambda b)X)^\perp = \text{Ker}(a^* - \lambda b^*) = \text{Ker}(\lambda a^* - b^*) \quad \text{if } |\lambda|=1$$



$$\begin{aligned} & (a^* - \lambda b^*)(a - \lambda b) \\ &= a^*a - \lambda b^*a - \lambda a^*b + |\lambda|^2 b^*b \\ &= 1 - \lambda b^*a - \lambda a^*b \end{aligned}$$

$$aX \oplus W = V \oplus bX$$

$$\begin{aligned} v_1 + ba^{-1}x &= \int (x + w_0) \\ v_1 - \int w_0 &= (\int - ba^{-1})x \end{aligned}$$

eigenvector equation:

$$Y = aX \oplus W = V \oplus bX$$

$$ax + w = v + b$$

$$a^{-1}V \oplus X \oplus W \cong V \oplus X \oplus aW$$

$$\int a^{-1}v_1 + \int x + \int w_0 + \int u(w_1) = \int v_1 + \int w_0 + \int ba^{-1}x + \int u(w_0)$$

673 Go back to

$$H = H^{\oplus} \oplus X \oplus H^{\oplus}$$

$$\dots \oplus u^{-1}V \oplus V \oplus X \oplus W \oplus uW \oplus u^2W \oplus \dots$$

Claim: u yields an ^(unitary) isom $V \oplus X \xrightarrow{\sim} X \oplus W$

u -eigenvectors

$$+ u^{-2}(v_{-2}) + u^{-1}(v_{-1}) + v_0 + \boxed{x + w_0} + u(w_1) + u^2(w_2) + \dots$$

$$+ u^{-1}(v_{-2}) + v_{-1} + \boxed{u(v_0) + u(x)} + u(w_0) + u^2(w_1) + \dots$$

$$v_{-1} = \int v_0$$

$$v_{-2} = \int v_{-1}$$

$$\boxed{u(v_0 + x) = \int (x + w_0)}$$

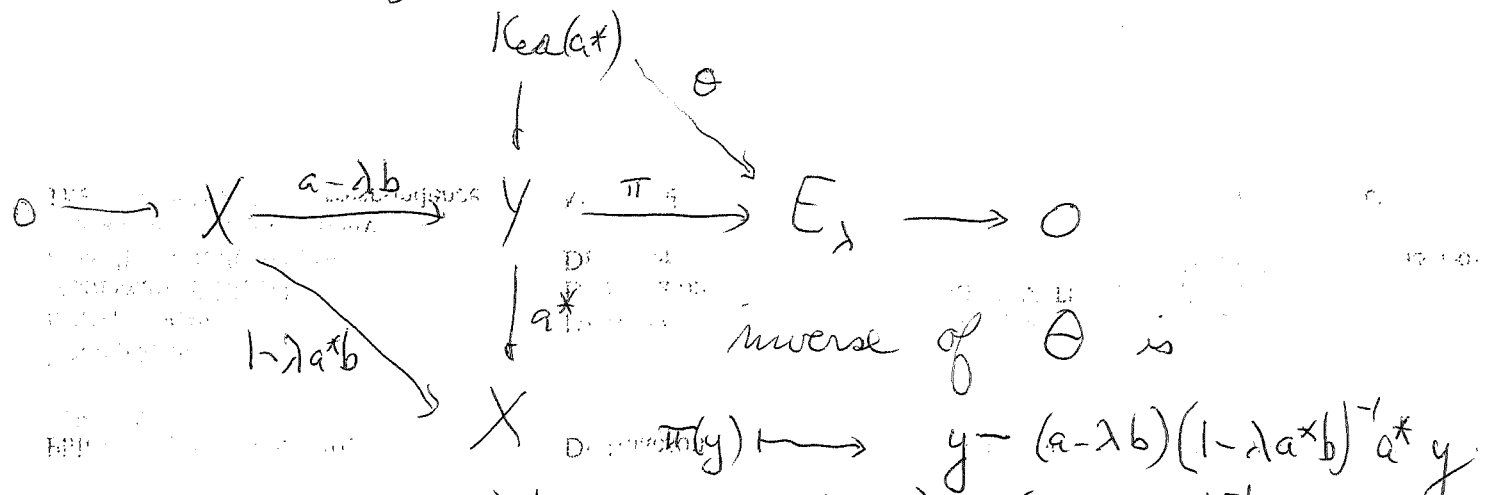
$$w_0 = \int w_1$$

$$w_1 = \int w_2$$

Claim: $\forall v \in V \exists x, w \in X \oplus W$ such that $u(v+x) = \int (x+w)$
 $\forall w \in W \exists x, v \in V$ such that $u(x+w) = \int (x+w)$

Thm: Assume u has bound states $x \neq 0 \in \text{Ker}(u - \int)$
 Let $|\beta| = 1$. Then $\forall v \in V \exists ! w \in W$ such that $u(v+w) = \beta v$

before today's lecture - idea



$$y - (a - \lambda b)(1 - \lambda a^* b)^{-1} a^* y$$

$$= 1 - (a - \lambda b)(1 - \lambda a^* b)^{-1} a^* = 1 - (a - \lambda b) a^* (1 - \lambda b a^*)^{-1}$$

$$= 1 - (a a^* - \lambda b a^*) (1 - \lambda b a^*)^{-1}$$

$$= \cancel{[1 - \lambda b a^* - a a^* + \lambda b a^*]} [1 - \lambda b a^* - a a^* + \lambda b a^*] (1 - \lambda b a^*)^{-1}$$

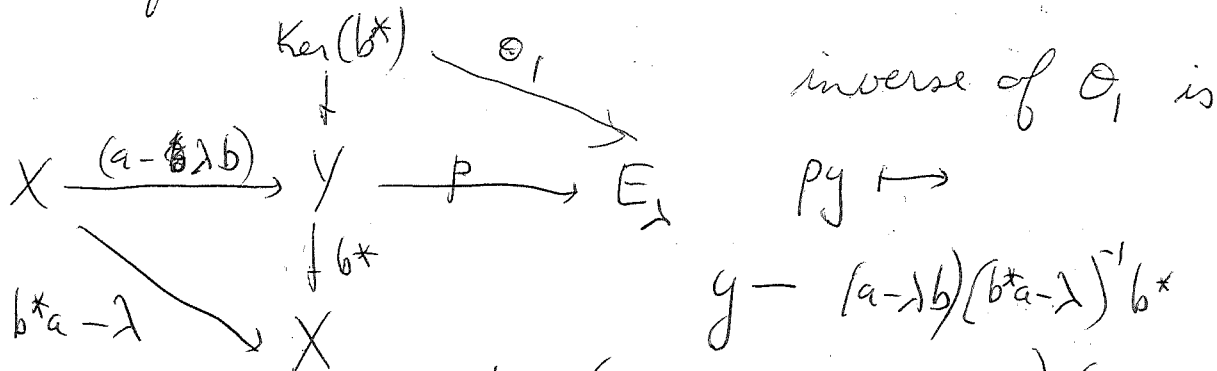
674 so the inverse of $\text{Ker}(a^*) \rightarrow E_1$ is

$$\text{~~the~~ } (1 - aa^*)(1 - \lambda ba^*)^{-1}$$

projection on $\text{Ker}(a^*) = (a^*)^\perp$

Go back to $V \oplus X \sim X \oplus W$

Get other formula



$$\begin{aligned}
 1 - (a - \lambda b)b^*(ab^* - \lambda)^{-1} &= (ab^* - \lambda - (a - \lambda b)b^*)(ab^* - \lambda)^{-1} \\
 &= -\lambda(1 - bb^*)(ab^* - \lambda)^{-1} = (1 - bb^*)(1 - \lambda^{-1}ab^*)^{-1}
 \end{aligned}$$

There has to be a simple way to get this.

$$(1 - aa^*)(1 - \lambda ba^*)^{-1}(1 - bb^*)(1 - \lambda^{-1}ab^*)^{-1}$$

$$\begin{aligned}
 (1 - \lambda ba^*)^{-1}(1 - \lambda^{-1}ab^*)^{-1} &= (1 - \lambda ba^*)bb^*(1 - \lambda^{-1}ab^*)^{-1} \\
 &= b(1 - \lambda a^*b)(1 - \lambda^{-1})^{-1}
 \end{aligned}$$

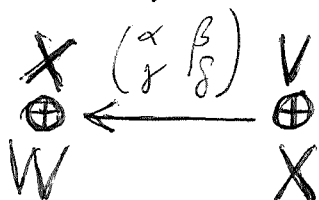
Go back to eigenvalue equation.

$$W \oplus X \oplus W$$

$$u(v_{-1}) + v_0 + x + w_0 + u(w_1)$$

$$u^2(v_{-2} + v_{-1} + u(v_0 + w_0)) + u(w_0) + u^2(w_1)$$

$$u(v_0 + x) = \lambda(x + w_0)$$



$$\alpha v + \beta x = \lambda x$$

$$\gamma v + \delta x = \lambda w$$

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$$\therefore \boxed{x = (\lambda - \beta)^{-1} \alpha v}$$

$$\lambda v + \delta (\lambda - \beta)^{-1} \alpha v = \lambda w$$

$$\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \begin{pmatrix} v \\ x \end{pmatrix} = \lambda \begin{pmatrix} v \\ w \end{pmatrix}$$

$$\begin{pmatrix} v \\ x \end{pmatrix} = \lambda \begin{pmatrix} \alpha^* & \gamma^* \\ \beta^* & \delta^* \end{pmatrix} \begin{pmatrix} v \\ w \end{pmatrix}$$

~~W = V~~

$$x = \lambda \beta^* v + \lambda \delta^* w$$

$$\boxed{x = ((1 - \lambda \beta^*)^{-1} \delta^* w}$$

$$\alpha \alpha^* + \beta \beta^* = 1$$

Thus

$$x = (\lambda - \beta)^{-1} \alpha v$$

$$x = (1 - \lambda \beta^*)^{-1} \delta^* w$$

~~W = V~~

$$\begin{array}{ccc} X & \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} & V \\ \oplus & \longleftarrow & \oplus \\ W & \sim & X \end{array}$$

Set

$$Y = \alpha X \oplus \overline{W}$$

$$Y = V \oplus \overline{X}$$

$\text{Ker}(\alpha^*)$

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Anyway

$$u^{-1} \text{Ker}(b^*) \oplus aX \oplus \text{Ker}(a^*)$$

$$\begin{array}{c} \nearrow b^{-1} \\ \text{Ker}(b^*) \oplus bX \oplus u \text{Ker}(a^*) \end{array}$$

$$\begin{array}{ccccc} u^{-1}(\sigma_1) & u^{-1}(\sigma) & ax + w_0 & u(w_1) & \\ & \searrow & \searrow & \searrow & \\ & u^{-1}(\sigma_1) & \sigma_0 + bx & \oplus & u(w) \end{array}$$

~~equation~~
eigenvector
equation

$$ax + w = \lambda(\sigma + bx)$$

$$(a - \lambda b)x + \underbrace{w}_{\text{Ker } a^*} = \underbrace{\lambda \sigma}_{\text{Ker } b^*}$$

$$a^*(a - \lambda b)x = \lambda a^* \sigma$$

$$\begin{aligned} x &= (1 - \lambda a^* b)^{-1} \lambda a^* \sigma \\ &= \lambda a^* (1 - \lambda b a^*)^{-1} \sigma \end{aligned}$$

$$b^*(a - \lambda b)x + b^* w = 0$$

$$(b^* a - \lambda)x + b^* w = 0$$

$$x = (\lambda - b^* a)^{-1} b^* w = b^* (\lambda - a b^*)^{-1} w$$

$$\begin{aligned} w &= \lambda \sigma - (a - \lambda b) \cancel{\lambda a^* (1 - \lambda b a^*)^{-1} \sigma} x \\ &= \lambda \sigma - (a - \lambda b) \lambda a^* (1 - \lambda b a^*)^{-1} \sigma \end{aligned}$$

$$\cancel{(\lambda a^* (1 - \lambda b a^*)^{-1} \sigma, (1 - \lambda a^* b)^{-1} a^* \sigma)}$$

$$\begin{aligned} (x, x) &= (\lambda a^* (1 - \lambda b a^*)^{-1} \sigma, x) \\ &= \bar{\lambda} (\sigma, (1 - \lambda a b^*)^{-1} a x) \end{aligned}$$

677 Feb 17. $Y = aX \oplus W = W \oplus bX$

$$X \xrightarrow{(a-\lambda b)} Y \longrightarrow E_\lambda \longrightarrow 0$$

$$(a-\lambda b)X \oplus \text{Ker}(a^*) = Y \quad \neq$$

$$\begin{array}{ccccccc}
 & & & \text{Ker}(a^*) & & & \\
 & & & \downarrow & & & \\
 0 & \longrightarrow & X & \xrightarrow{a-\lambda b} & Y & \longrightarrow & E_\lambda \longrightarrow 0 \\
 & & \searrow & & \downarrow a^* & & \\
 & & & & X & & \\
 & & & & \downarrow 1-\lambda a^* b & &
 \end{array}$$

$(a-\lambda b)(1-\lambda a^* b)^{-1} a^*$ projects onto $(a-\lambda b)X$ with kernel $\text{Ker}(a^*)$

$$\begin{aligned}
 (a-\lambda b) a^* (1-\lambda b a^*)^{-1} &= (a a^* - \lambda b a^*) (1-\lambda b a^*)^{-1} \\
 &= \cancel{1} - (1 - a a^*) (1-\lambda b a^*)^{-1}
 \end{aligned}$$

So $(1 - a a^*) (1 - \lambda b a^*)^{-1}$ projects onto $\text{Ker}(a^*)$ with kernel $(a-\lambda b)X$.

$$\begin{aligned}
 &= a - \lambda b + \lambda b a^* (a - \lambda b) + (\lambda b a^*)^2 (a - \lambda b) \\
 &\quad \Rightarrow \lambda b - \lambda^2 b a^* b + \lambda^2 b a^* b a^* a
 \end{aligned}$$

$$\therefore (1 - \lambda b a^*)^{-1} (a - \lambda b) = a$$

Clearly $(1 - \lambda b a^*) a = (a - \lambda b)$

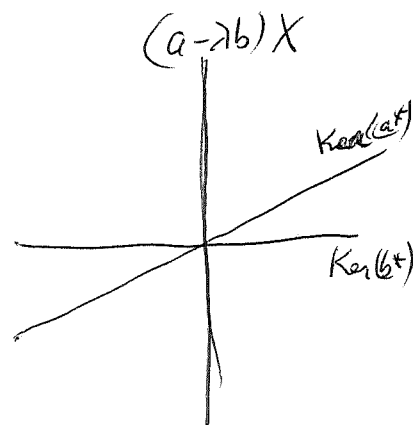
$$a = (1 - \lambda b a^*)^{-1} (a - \lambda b)$$

$$(1 - a a^*) (1 - \lambda b a^*)^{-1} y = 0$$

$$(1 - \lambda b a^*)^{-1} y = a x$$

$$y = (1 - \lambda b a^*) a x = (a - \lambda b) x$$

$$\therefore S(\lambda) \sigma = (1 - a a^*) (1 - \lambda b a^*)^{-1} \sigma \quad ?$$



678 Other approach from last night -
 eigenvector equation $Y = aX \oplus W = V \oplus bX$

$$ax + w = \lambda(\sigma + bx)$$

$$(a - \lambda b)x + w = \sigma'$$

$$(a - \lambda b)X \oplus \text{Ker}(a^*) = Y$$

Suppose $(a - \lambda b)x + w = \sigma'$ (then $w = S(\lambda)\sigma'$)

$$(1 - \lambda a^*b)x + 0 = a^*\sigma'$$

$$x = (1 - \lambda a^*b)^{-1} a^* \sigma'$$

$$= a^* (1 - \lambda b a^*)^{-1} \sigma'$$

~~$$b^*(a - \lambda b)^{-1} b^* w$$~~

$$(a - \lambda b)x = (a a^* - \lambda b a^*) (1 - \lambda b a^*)^{-1} \sigma'$$

$$w = \left(1 - (-1 + a a^* + 1 - \lambda b a^*) (1 - \lambda b a^*)^{-1} \right) \sigma'$$

$$w = (1 - a a^*) (1 - \lambda b a^*)^{-1} \sigma'$$

analytic
for $|\lambda| \leq 1$.

$$w = (1 - a a^*) \left(1 + \lambda b a^* + \lambda^2 b a^* b a^* + \dots \right) \sigma'$$

$$H: \dots u^{-1}V \oplus V \oplus (aX \oplus W) \oplus uW \oplus \dots$$

$$(uV \oplus \underbrace{bX}_{u(aX)})$$

$$u(ax) = b.$$

$$\begin{aligned} & \left(u^{-2}v_{-2} + u^{-1}v_{-1} + v_0 + ax + w_0 + u\omega_1 + u^2\omega_2 \right) \\ & \left(u^{-2}v_{-3} + u^{-1}v_{-2} + v_{-1} + u\sigma_0 + bx + u\omega_0 + u^2\omega_1 \right) = \xi \\ & = u(\xi) \end{aligned}$$

$$u(\xi) = \lambda^{-1} \xi$$

$$v_{-1} = \lambda^{-1} v_0$$

$$w_0 = \lambda^{-1} \omega_1$$

$$v_{-2} = \lambda^{-2} v_{-1}$$

$$w_1 = \lambda^{-1} \omega_2$$

$$\therefore v_{-n} = \lambda^{-n} v_0, \quad \omega_n = \lambda^{+n} \omega_0$$

$$u\sigma_0 + bx = \lambda^{-1}(ax + w_0)$$

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$$ax + w_0 = \lambda(uv_0 + bx)$$

$$(a - \lambda b)x + \underbrace{w_0}_{\text{Ker}(a^*)} = \underbrace{\lambda uv_0}_{\text{Ker}(b^*)}$$

try different thing.

$$\begin{aligned} H &= \dots \oplus u^{-1}V \oplus V \oplus X \oplus W \oplus uW \oplus \dots \\ \xi &= u^{-2}v_2 + v_1 + \boxed{x + w_0} + u(w_1) + \dots \\ u(\xi) &= u^{-1}v_3 + v_2 + \boxed{uv_1 + ux} + u(w_0) \end{aligned}$$

$$u(\xi) = \lambda^{-1}\xi$$

$$\lambda v_2 = v_1$$

$$\lambda w_0 = w_1$$

$$\lambda v_3 = v_2$$

$$\lambda w_1 = w_2$$

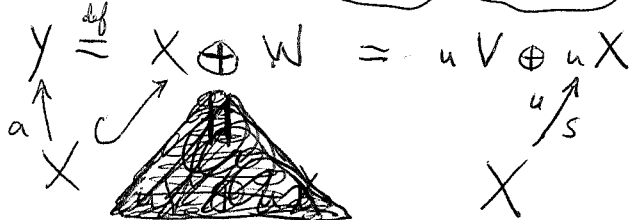
$$\lambda(uv_1 + ux) = x + w_0$$

$$(1 - \lambda u)x + w_0 = \lambda uv_1 = u(\lambda v_1)$$

$$\dots \oplus u^{-1}V \oplus V$$

You may want to set this up as follows

$$H: \dots \oplus u^{-1}V \oplus Y \oplus X \oplus W \oplus uW \oplus u^2W$$



a inclusion
of X in $Y = X \oplus W$
b is $u: X \rightarrow uX$
 $< Y$.

$$Y = aX \oplus \text{Ker}(a^*)$$

$$= \text{Ker}(b^*) \oplus bX$$

$$(a - \lambda b)(x) = 0 \quad x \neq 0 \quad \text{"bound state"}$$

$$\|x\| = \|ax\| = \|\lambda bx\| = |\lambda| \|x\| \Rightarrow |\lambda| = 1.$$

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resolvent.

if no bound state then

 $(a - \lambda b) : X \rightarrow Y$ injective

Claim

$$(a - \lambda b)X \oplus \text{Ker}(a^*) = \boxed{Y} \quad |\lambda| \leq 1$$

$$(a - \lambda b)X \oplus \text{Ker}(b^*) = Y \quad |\lambda| \geq 1$$

$$Y = aX \oplus \overset{u}{\parallel} \text{Ker}(a^*)$$

$$\parallel$$

$$\text{Ker}(b^*) \oplus bX$$

$$\downarrow u$$

$$aa^*v + (1 - aa^*)v$$

$$\swarrow \quad \searrow$$

$$u = ba^* \quad u(1 - aa^*)v$$

$$\downarrow$$

$$ba^*v \quad u(1 - aa^*)v$$

$$v = aa^*v + (1 - aa^*)v$$

$$\downarrow u$$

$$ba^*v + u(1 - aa^*)v$$

$$\parallel$$

$$aa^*ba^*v + (1 - aa^*)ba^*v + u(1 - aa^*)v$$

$$\downarrow a$$

$$(ba^*)^2v + u(1 - aa^*)ba^*v + u^2(1 - aa^*)v$$

$$\downarrow u$$

$$(ba^*)^3v + u(1 - aa^*)(ba^*)^2v + u^2(1 - aa^*)(ba^*)v + u^3(1 - aa^*)v$$

$$u^n(v) = \underbrace{aa^*(ba^*)^n}_{aX} v + u(1 - aa^*)(ba^*)^{n-1}v + \dots + u^n(1 - aa^*)v$$

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$$\|v\|^2 = \|(ba^*)^n v\|^2 + \|(1-aa^*)(ba^*)^n v\|^2 + \|(ba^*)^{n-1} v\|^2 + \dots + \|(1-aa^*)v\|^2$$

How does this relate to ~~the~~

$$(1-aa^*)(1-ba^*)^{-1}v$$

OK. $aX \oplus \text{Ker}(a^*)$

$\text{Ker}(b^*) \oplus bX$

$$y = aa^*y + (1-aa^*)y$$

$$u(y) = ba^*y + u(1-aa^*)y$$

$$= aa^*ba^*y + (1-aa^*)ba^*y + u(1-aa^*)y$$

$$u^2(y) = (ba^*)^2y + u(\quad)ba^*y + u^2(1-aa^*)y$$

Suppose X is 1-dimensional, let x_0 be a unit vector

You get a positive def form. on \mathbb{Z} ; $(x_0, u^n x_0)$

The ^{u, u^{-1} inv.} subspace gen. by x is of rank 1. ~~It~~
~~is invariant~~ It should ~~be~~ split off

Feb 19. Try to get control of scattering

To under $\dim(X) = 1$, the degree, algebraically what do you have on the sheaf level.

$$0 \rightarrow \mathcal{O}(-1) \otimes X \rightarrow \mathcal{O} \otimes Y \rightarrow E \rightarrow 0$$

$\quad \quad \quad \downarrow \quad \quad \quad \downarrow$
 $\quad \quad \quad 1 \quad \quad \quad 1+r$

So you have in fact $X \xrightarrow{a} Y$ so you can split off the orthogonal complement of $aX + bX$. So the real scattering can occur at most in 1 dimension. Let's check this.

$$V \oplus X \oplus W$$

$$aX \oplus W = Y$$

$$W = (aX)^\perp$$

$$\parallel \\ V' \oplus bX$$

$$V' = (bX)^\perp$$

Let $Y_0 = V' \cap W$, so that $Y_0^\perp = (aX + bX)^\perp$.

The point should be that the scattering data namely $V \oplus X \xrightarrow{\sim} X \oplus W$ determine H, u, H^+, H^- up to canonical isom. So you ~~then~~ end up splitting off \blacksquare $Y_0 = V' \cap W$

Next? Assume V, W have dim $n = 2$

Feb 20. ~~Pattern~~ Idea: X together with the contracting operator induced by u determines the ^{nontrivial} scattering. Consider

$$Y = \begin{array}{c} aX \oplus W \\ V' \oplus bX \end{array}$$

Let $Y_0 = V' \cap W = \text{Ker}(a^*) \cap \text{Ker}(b^*)$

Then Y splits into $Y_0 \oplus Y_0^\perp$, where ~~aX, bX~~
 ~~W~~ $Y_0^\perp = aX + bX$. Thus $X \xrightarrow[b]{a} Y$ splits

into $(0 \rightarrow Y_0) \oplus (X \xrightarrow[b]{a} Y_0^\perp)$. This restricts to the case of ~~trivial~~ scattering where $\underbrace{X=0 \text{ and}}_{u: V \xrightarrow{\sim} U}$ plus $Y = aX + bX$, whence X generates \mathcal{H} .

683 Go back to examples.

First thing is to take $\mathbb{C}[z, z^{-1}] \otimes X$
and to equip it with the inner product

$$\begin{aligned} (\mathbb{A}^n x_1, \mathbb{A}^m x_0) &= (x_1, \mathbb{A}^{m-n} x_0) = \left(\sqrt{x_1}, \sqrt{\mathbb{A}^{m-n} x_0} \right) \\ &= \begin{cases} (x_1, \mathbb{A}^{m-n} x_0) & m \geq n \\ (x_1, (\mathbb{A}^*)^{n-m} x_0) & m \leq n \end{cases} \end{aligned}$$

$$(\mathbb{A}^n x_1, \mathbb{A}^n x_0) = \int z^n \left(\sum_i \dots \right) \frac{d\theta}{2\pi}$$

$$\mathbb{A}^n = \begin{cases} \mathbb{A}^n & n \geq 0 \\ (\mathbb{A}^*)^{-n} & n \leq 0 \end{cases}$$

$$= \int z^n \left(\sum_{k \geq 0} z^{-k} \mathbb{A}^k + \sum_{k \geq 1} z^{+k} (\mathbb{A}^*)^k \right) \frac{d\theta}{2\pi}$$

~~...~~ $= \frac{1}{1-z^{-1}\mathbb{A}} + \frac{z\mathbb{A}^*}{1-z\mathbb{A}^*}$

~~$\frac{1}{1-z^{-1}\mathbb{A}} \left(\frac{1}{1-z^{-1}\mathbb{A}} + \frac{z\mathbb{A}^*}{1-z\mathbb{A}^*} \right) \frac{1}{1-z\mathbb{A}^*}$~~

$$\frac{1}{1-z^{-1}\mathbb{A}} \left(1 - z\mathbb{A}^* + (1-z^{-1}\mathbb{A}) z\mathbb{A}^* \right) \frac{1}{1-z\mathbb{A}^*}$$

$$= \frac{1}{1-z^{-1}\mathbb{A}} \left(1 - z\mathbb{A}^* \right) \frac{1}{1-z\mathbb{A}^*}$$

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$$\begin{aligned} & \frac{1}{1-z^{-1}g} + \frac{zg^*}{1-zg^*} \\ &= \frac{1}{1-zg^*} \left((1-z^{-1}g) + (1-zg^*) \right) \frac{1}{1-z^{-1}g} \\ &= \frac{1}{1-zg^*} (1-g^*g) \frac{1}{1-z^{-1}g} \end{aligned}$$

So we have the possibility of ~~replacing~~ getting rid of the measure. Basically we have

$$\begin{aligned} \iota^* f(u) \iota &= \int \frac{1-g^*g}{(1-z^{-1}g)(1-zg^*)} f(z) \left(\frac{dz}{2\pi i z} \right) \\ &= \left\langle \frac{\sqrt{1-g^*g}}{1-zg^*}, \frac{\sqrt{1-g^*g}}{1-zg^*} f(z) \right\rangle_{L^2} \end{aligned}$$

so you find the dilator of (X, g) is \simeq

$$L^2(S^1, X) \text{ via } f(u) \leftrightarrow \frac{\sqrt{1-g^*g}}{1-zg^*} f(z)$$

somewhere there are wave operators.

Where are V, W ?

so let's try to analyze an example for class.

X one dim. $Y = aX + bX$ assume

critical number is $\langle bx_0, ax_0 \rangle = \langle x_0, (b^*a)x_0 \rangle$.

This is too slow. ~~My guess~~

What do you want to do? Start with

$H = H^- \oplus X \oplus H^+$. Then split off bound states.

$$\text{Then have } L^2(S^1, V) \xleftarrow{\sim} H \xrightarrow{\sim} L^2(S^1, W)$$

685 Given $H = H^- \oplus X \oplus H^+$ with
 $z^{-1}H^- \in H^-$, $(\cap z^{-n}H^- = 0)$, $V = H^- \oplus z^{-1}H^-$
 get $W^-: H \rightarrow L^2(S', V)$

$$\begin{array}{ccc}
 L^2(S', V) & \longleftrightarrow & H & \longleftrightarrow & L^2(S', W) \\
 \text{"} & & \cup & & \parallel \\
 \bigoplus_{n \in \mathbb{Z}} z^n V & \simeq & \bigoplus_{n \in \mathbb{Z}} u^n V & & \\
 & & \bigoplus_{n \in \mathbb{Z}} u^n W & \simeq & \bigoplus_{n \in \mathbb{Z}} z^n W
 \end{array}$$

More complicated thing is ? Assume no bound states
 You know what about X ?

$$\begin{array}{ll}
 u^{-1}(H^-) \subset H^- & u(H^+) \subset H^+ \\
 H^- \subset uH^- & u(H^+)^{\perp} \supset (H^+)^{\perp} \\
 (H^-)^{\perp} \supset u(H^-)^{\perp} & (H^+)^{\perp} \supset u^{-1}(H^+)^{\perp} \\
 \cancel{X+H^+} \supset u(X+H^+)
 \end{array}$$

Can write

$$\begin{array}{l}
 H = u^{-1}H^- \oplus V \oplus X \oplus H^+ \\
 \quad \quad \quad \searrow \quad \quad \quad \swarrow \\
 H = H^- \oplus X \oplus W \oplus uH^+ \\
 u: V \oplus X \xrightarrow{\simeq} X \oplus W
 \end{array}$$

End result.

$L^2(S', X)$ first consider invariant closure of X

$$\begin{array}{ccc}
 L^2(S', V) & \longleftrightarrow & H & \longleftrightarrow & L^2(S', W) \\
 \text{inv. closure of } V & & & & \text{inv. clos of } W
 \end{array}$$

686 Keep on trying

You should be able to ~~also~~ get in general the inv. clos. of X to $L^2(S', \mathcal{X}, d\mu)$.

You know that ~~the~~ u^n, u^{*n} on H compress to $\gamma^n, (\gamma^*)^n$ on X for all $n \geq 0$.

$$\mathcal{K} = \begin{matrix} \ast \\ u \\ \ast \end{matrix} \quad u: X \rightarrow H$$

$$L^2(S', X; \frac{1}{1-\gamma^*} (1-\gamma^*\gamma) \frac{1}{1-\bar{z}^{-1}\gamma}) \quad \text{where are the subtleties?}$$

~~Assume~~ Assume nice behavior of $\frac{1}{1-\bar{z}^{-1}\gamma}$ on S' .
 say $\|\gamma^n\| < 1$ for some n . This is equiv. to no bound states

So you learn that a partial unitary operator ^(in fin) should reduce to a contraction. Specifically given γ on X with $\|\gamma\| \leq 1$, ~~and~~ $\|\gamma^n\| < 1$ for some n , ~~then~~ then it ^{should} come from a ^{unitary} partial unitary $X \xrightarrow[a]{b} Y$ ~~such~~ such that $aX + bX = Y$. How? I guess you

define $\|ax_1 + bx_2\|^2 = \|x_1\|^2 + (x_1, \underbrace{a^*b}_{\gamma} x_2) + (x_2, \underbrace{b^*a}_{\gamma^*} x_1) + \|x_2\|^2$
 and complete. $= \|x_1 + \gamma x_2\|^2 + \underbrace{\|x_2\|^2}_{(x_2, (1-\gamma^*\gamma)x_2)}$

Maybe you are dilating $\begin{pmatrix} 0 & \gamma^* \\ \gamma & 0 \end{pmatrix}$ on $X \oplus X$

$$\begin{pmatrix} \sqrt{1-\gamma^*\gamma} & \gamma^* \\ \gamma & -\sqrt{1-\gamma\gamma^*} \end{pmatrix}$$

687 ~~You~~ You can always ~~find~~ dilate a cont.
 $\gamma: X \rightarrow X'$ to a unitary, namely

$$\begin{array}{ccc}
 \left(\begin{array}{cc} \gamma & \sqrt{1-\gamma\gamma^*} \\ \sqrt{1-\gamma^*\gamma} & \gamma^* \end{array} \right) & & \left(\begin{array}{cc} \gamma & \sqrt{1-\gamma^*\gamma} \\ -\sqrt{1-\gamma\gamma^*} & \gamma^* \end{array} \right) \\
 \left(\begin{array}{cc} \gamma & \sqrt{1-\gamma^*\gamma} \\ -\sqrt{1-\gamma\gamma^*} & \gamma^* \end{array} \right) & & \left(\begin{array}{cc} \gamma & \sqrt{1-\gamma\gamma^*} \\ \sqrt{1-\gamma^*\gamma} & \gamma^* \end{array} \right) \\
 \left(\begin{array}{cc} \sqrt{1-\gamma\gamma^*} & \gamma^* \\ \gamma & -\sqrt{1-\gamma^*\gamma} \end{array} \right) & & \left(\begin{array}{cc} \sqrt{1-\gamma^*\gamma} & \gamma^* \\ \gamma & -\sqrt{1-\gamma\gamma^*} \end{array} \right)
 \end{array}$$

It dilates to

$$\begin{array}{ccc}
 X & \left(\begin{array}{cc} \sqrt{1-\gamma\gamma^*} & -\gamma^* \\ \gamma & \sqrt{1-\gamma^*\gamma} \end{array} \right) & X \\
 \oplus & \longleftarrow & \oplus \\
 X' & & X'
 \end{array}$$

Assume ~~that~~ given $X \xrightarrow[a]{a} Y$ s.t. $a^*a = 1$ $b^*b = 1$ $aX + bX = Y$.

Then

$$\begin{aligned}
 \|ax_1 + bx_2\|^2 &= \|x_1\|^2 + \|x_2\|^2 + (ax_1, bx_2) + (bx_2, ax_1) \\
 &= \|x_1\|^2 + \|x_2\|^2 + (x_1, \underbrace{a^*b}_{\gamma} x_2) + (x_2, \underbrace{b^*a}_{\gamma^*} x_1) \\
 &= \|x_1\|^2 + \|x_2\|^2 + (x_1, \gamma x_2) + (\gamma x_2, x_1) + (\gamma x_2, \gamma x_2) \\
 &= \|x_1 + \gamma x_2\|^2 + (x_2, (1-\gamma^*\gamma)x_2) - \|\gamma x_2\|^2 \\
 &= \|x_1 + \gamma x_2\|^2 + \|\sqrt{1-\gamma^*\gamma} x_2\|^2 \\
 &= \|\gamma^* x_1 + x_2\|^2 + \|\sqrt{1-\gamma\gamma^*} x_1\|^2
 \end{aligned}$$

So you find

688 You complete $X \oplus X$ with this norm to obtain Y and define a, b to be the inclusions u_1, u_2 followed by proj. to Y . ~~It~~ a^* should be

$$ax_1 + bx_2 \mapsto x_1 + \gamma x_2$$

$$(ax_1, ax_1 + bx_2) = (x_1, x_1 + \gamma x_2) \quad \text{obviously -}$$

and b^* is $ax_1 + bx_2 \mapsto \gamma^* x_1 + x_2$. We see that kernel a^* is $\simeq \sqrt{1 - \gamma^* \gamma} X$
kernel b^* is $\simeq \sqrt{1 - \gamma \gamma^*} X$.

Need examples now with small X .

If X has $\dim 1$ so do V, W unless there's trivial scattering. You have ^m mind 2 ports.

~~It's~~ It's the question of coupling.

trans. line. has both left and right movers.

I think you want this on ~~an~~ ribbon graph

I want to put something on the $SL_2(\mathbb{Z})$ tree.

there are two kinds of vertices, need 2 port and a 3 ports with cyclic symmetry.

Let's first consider a 2 port, and try to see what occurs with X of $\dim 1$. ~~It's~~ ~~something~~ so

V and W are of $\dim 2$ and are split into lines, and X generally will link \square a line in V with one in W . Good point. \square $V \oplus bX = aX \oplus W$. It

looks confusing. You want an analytic matrix depending on \mathbb{Z} . So how do I proceed? ~~It's~~

~~It's~~ Let's describe the general situation

contraction of γ on X leads to a ~~splitting~~ splitting according to $1 - \gamma^* \gamma$ and $1 - \gamma \gamma^*$. But I'm assuming $\dim(X) = 1$, so you should get simply?

You have V, W

689 Try again We begin with V, W of dim 2. and we to end up with a scattering operator ~~S~~

$$S(A): V \rightarrow W \quad Y = \cancel{a}V \oplus bX = aX \oplus W$$

$$w \in V \quad y = \cancel{a^*}y + (1 - \cancel{a^*})y \quad \text{component of } \sigma \text{ in } W$$

$$u(y) = ba^*y + u(1 - \cancel{a^*})y$$

$$u^2(y) = (ba^*)^2 y + u(1 - \cancel{a^*})ba^*y + u^2(1 - \cancel{a^*})y$$

You want to write this in terms of σ $y = \cancel{a^*}b$
 basically $y = a^*y + (1 - \cancel{a^*})y \in aX + \text{Ker}(a^*)$

idea: Suppose you have $S(A): V \rightarrow W$, you split V, W somehow to represent ~~left and right~~ left and right and then calculate the transfer matrix, which can ~~be~~ be iterated with a length of transmission line. What do you get?

Another idea: Compare $U(p, q)$ with $U(p+q)$ in general.

First do for $p=q=1$. $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in U(2)$

~~$$\begin{pmatrix} a & b \\ c & d \end{pmatrix}^{-1} = \begin{pmatrix} a & b \\ c & d \end{pmatrix}^* = \begin{pmatrix} a^* & c^* \\ b^* & d^* \end{pmatrix}$$~~

~~$$g = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \in U(1,1) \text{ means } g^* \varepsilon g = \varepsilon \quad \alpha$$~~

~~$$g^{-1} = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}^{-1} = \varepsilon g^* \varepsilon = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} \alpha^* & \beta^* \\ \gamma^* & \delta^* \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$~~

~~$$= \begin{pmatrix} \alpha^* & -\beta^* \\ -\gamma^* & \delta^* \end{pmatrix}$$~~

~~$$\begin{aligned} \alpha^* \alpha - \beta^* \beta &= 1 & \alpha^* \beta - \beta^* \delta &= 0 \\ -\gamma^* \alpha + \delta^* \gamma &= 0 \end{aligned}$$~~

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$g = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in U(1,1)$ means

$$g^* \varepsilon g = \varepsilon$$

$$\varepsilon g^* \varepsilon = g^{-1}$$

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix}^{-1} = \begin{pmatrix} a^* & -c^* \\ -b^* & d^* \end{pmatrix}$$

$$aa^* - bb^* = 1$$

$$-ac^* + bd^* = 0$$

$$ca^* - db^* = 0$$

$$dd^* - cc^* = 1.$$

$$\begin{pmatrix} x_1 \\ y_1 \end{pmatrix} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} x_2 \\ y_2 \end{pmatrix}$$

$$y_1 = cx_2 + dy_2$$

$$x_1 = ac^{-1}y_1 - ac^{-1}dy_2 + by_2$$

$$x_2 = c^{-1}y_1 - c^{-1}dy_2$$

$$x_1 = ac^{-1}y_1 + (b - ac^{-1}d)y_2$$

Is $\begin{pmatrix} c^{-1} & c^{-1}d \\ ac^{-1} & b - ac^{-1}d \end{pmatrix} \in U(2)$?

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix}^{-1} = \begin{pmatrix} d & -b \\ -c & a \end{pmatrix} \frac{1}{\Delta} = \begin{pmatrix} \bar{a} & -\bar{c} \\ -\bar{b} & \bar{d} \end{pmatrix}$$

$$\bar{a} = \frac{d}{\Delta} \quad \bar{c} = +\frac{b}{\Delta}$$

$$\bar{b} = +\frac{c}{\Delta} \quad \bar{d} = \frac{a}{\Delta}$$

~~$$\begin{pmatrix} c^{-1} & c^{-1}d \\ ac^{-1} & b - ac^{-1}d \end{pmatrix} = \begin{pmatrix} \Delta & \\ & \end{pmatrix}$$~~

$$b - ac^{-1}d = \frac{bc - ad}{c} = \frac{-\Delta}{c}$$

~~$\frac{1}{c} \begin{pmatrix} c^{-1} & c^{-1}d \\ ac^{-1} & b - ac^{-1}d \end{pmatrix}$~~

$$\bar{c}^{-1}c^{-1}d + \bar{a}\bar{c}^{-1}(b - ac^{-1}d) \stackrel{?}{=} 0$$

~~$$\frac{1}{\Delta} \begin{pmatrix} c^{-1}d \\ b - ac^{-1}d \end{pmatrix} = \frac{1}{\Delta} \begin{pmatrix} \frac{d}{c} \\ \frac{-\Delta}{c} \end{pmatrix}$$~~

Yes.

$$\frac{1 + |d|^2}{|c|^2} = \frac{|c|^2}{|c|^2} = 1.$$

$$|ac^{-1}|^2 + \left| \frac{\Delta}{c} \right|^2$$

$$= \frac{|a|^2 + 1}{|c|^2} = \frac{|c|^2}{|c|^2} = 1$$