

January 3, 1996

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Let  $F: \mathcal{X} \rightarrow \mathcal{Y}$  be an equivalence of categories, i.e. a fully faithful and essentially surjective functor. Then there is a quasi-inverse  $(G, \varepsilon, \eta)$  for  $F$ , which is unique up to canonical isomorphism and obtained as follows. ~~\_\_\_\_\_~~

$F$  essentially surjective means we can choose for each  $Y$  a  $G(Y)$  in  $\mathcal{X}$  together with an isomorphism  $\varepsilon_Y: FG(Y) \xrightarrow{\sim} Y$ . Because  $F$  is fully faithful we can define  $G$  uniquely on morphisms in  $\mathcal{Y}$  such that  $G$  becomes a functor and  $\varepsilon: FG \xrightarrow{\sim} \text{Id}$  is an isomorphism. Then we have  $\varepsilon \circ F: FG \xrightarrow{\sim} \text{Id}$ , so again as  $F$  is fully faithful, there is a unique isomorphism  $\eta: G \xrightarrow{\sim} \text{Id}$  such that  $F \circ \eta = \varepsilon \circ F$ . I claim that also  $\eta \circ G = G \circ \varepsilon$  holds.

Proof. By definition given  $v: Y \rightarrow Y'$ , then  $G(v): G(Y) \rightarrow G(Y')$  is the unique map such that

$$\begin{array}{ccc} FG(Y) & \xrightarrow{F(G(v))} & FG(Y') \\ \varepsilon_Y \downarrow \cong & & \cong \downarrow \varepsilon_{Y'} \\ Y & \xrightarrow{v} & Y' \end{array}$$

commutes. Thus  $G(\varepsilon_Y)$  is unique such that

$$\begin{array}{ccc} FGFGY & \xrightarrow{F(G(\varepsilon_Y))} & FG Y \\ \varepsilon_{FGY} \downarrow & & \downarrow \varepsilon_Y \\ FG Y & \xrightarrow{\varepsilon_Y} & Y \end{array}$$

commutes and as  $\varepsilon_Y$  is an isomorphism, this means  $G(\varepsilon_Y)$  is unique such that  $FG(\varepsilon_Y) = \varepsilon_{FGY}$ .

But  $\eta_X: GX \rightarrow X$  by definition is unique such that  $F(\eta_X) = \varepsilon_{FX}$ . Taking  $X = GY$ , we find  $\eta_{GY} = G(\varepsilon_Y)$  i.e.  $\eta \circ G = G \circ \varepsilon$ .

Notation: Given  $\xi: F \rightarrow F'$ ,  $\zeta: G \rightarrow G'$  of functors which can be composed we write  $\xi \cdot \zeta$  for the induced map on compositions:

$$\begin{array}{ccc}
 FG & \xrightarrow{F \cdot \zeta} & FG' \\
 \xi \cdot G \downarrow & \searrow \xi \cdot \zeta & \downarrow \xi \cdot G' \\
 F'G & \xrightarrow{F' \cdot \zeta} & F'G'
 \end{array}$$

Also we write  $F \cdot \zeta$  instead of  $\downarrow F \cdot \zeta$  (Maybe \* is a traditional notation).

Uniqueness of quasi-inverses. Let  $(G, \varepsilon, \eta)$ ,  $(G', \varepsilon', \eta')$  be two quasi-inverses for  $F$ .

Then we have

$$\begin{array}{ll}
 F \cdot \eta = \varepsilon \cdot F : FGF \rightarrow F & F \cdot \eta' = \varepsilon' \cdot F : FG'F \rightarrow F \\
 G \cdot \varepsilon = \eta \cdot G : GFG \rightarrow G & G' \cdot \varepsilon' = \eta' \cdot G' : G'FG' \rightarrow G'
 \end{array}$$

Now define  $\xi: G \simeq G'$  by either

$$\begin{array}{l}
 1) \quad G \xleftarrow{G \cdot \varepsilon'} GFG' \xrightarrow{\eta \cdot G'} G' \\
 1) \quad G \xleftarrow{\eta' \cdot G} G'FG \xrightarrow{G' \cdot \varepsilon} G'
 \end{array}$$

Let's check 1) is compatible with  $\varepsilon$ -maps:

$$\begin{array}{ccccc}
 FG & \xleftarrow{FG \cdot \varepsilon'} & FGFG' & \xrightarrow{\overbrace{\varepsilon \cdot F}^{F \cdot \eta \cdot G'}} & FG' \\
 \varepsilon \downarrow & & \varepsilon \cdot \varepsilon' \downarrow & & \downarrow \varepsilon' \\
 1 & = & 1 & = & 1
 \end{array}$$

and with  $\eta$ -maps:

$$\begin{array}{ccccc}
 GF & \xleftarrow{G \cdot \varepsilon' \cdot F} & GFG'F & \xrightarrow{\eta \cdot G'F} & G'F \\
 \eta \downarrow & & \downarrow \eta \cdot \eta' & & \downarrow \eta' \\
 1 & = & 1 & = & 1
 \end{array}$$

Next show  $1) = 1')$  by applying  $F$  to  $1')$ .  $\varepsilon' \cdot FG$

$$\begin{array}{ccccc}
 FG & \xleftarrow{F \cdot \eta' \cdot G} & FG'FG & \xrightarrow{FG' \cdot \varepsilon} & FG' \\
 \varepsilon \downarrow & & \varepsilon' \cdot \varepsilon \downarrow & & \downarrow \varepsilon' \\
 1 & = & 1 & = & 1
 \end{array}$$

Thus  $F$  applied to  $1)$  and  $1')$  yield the same map, namely  $(\varepsilon')^{\dagger} \varepsilon$ , so  $1) = 1')$  as  $F$  is fully faithful.

Jan. 4, 1996 ~~at the time of the~~

Let  $(G, \varepsilon, \eta)$  and  $(G', \varepsilon', \eta')$  be quasi-inverses for  $F$ :

$\varepsilon : FG \xrightarrow{\sim} 1$	$\varepsilon \cdot F = F \cdot \eta : FG F \rightarrow F$
$\eta : GF \xrightarrow{\sim} 1$	$G \cdot \varepsilon = \eta \cdot G : GFG \rightarrow G$
$\varepsilon' : FG' \xrightarrow{\sim} 1$	$\varepsilon' \cdot F = F \cdot \eta' : FG' F \rightarrow F$
$\eta' : G'F \xrightarrow{\sim} 1$	$G' \cdot \varepsilon' = \eta' \cdot G' : G'FG' \rightarrow G'$

Because  $F$  is fully faithful  $\exists!$   $\xi : G \rightarrow G'$  such that

$$\begin{array}{ccc}
 FG & \xrightarrow{F \cdot \xi} & FG' \\
 \varepsilon \downarrow & & \downarrow \varepsilon' \\
 1 & = & 1
 \end{array} \quad \text{commutes.}$$

Then

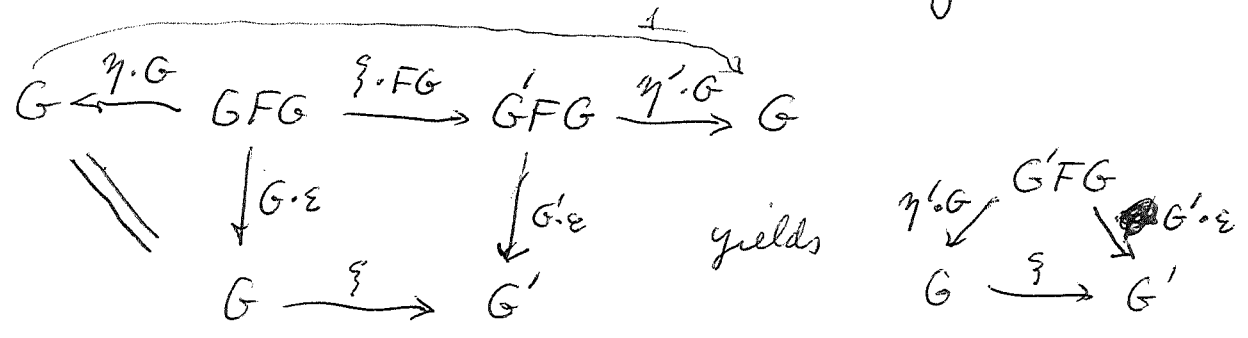
$FGF \xrightarrow{F \cdot \xi \cdot F} FG'F$	$\downarrow F \cdot \xi \cdot F$	$GF \xrightarrow{\xi \cdot F} G'F$
$\downarrow \varepsilon \cdot F = F \cdot \eta$	$\downarrow \varepsilon' \cdot F = F \cdot \eta'$	$\downarrow \eta$
$F = F$	$F$ comm.	$1 = 1$ comm.

Hence we have  $\xi : (G, \varepsilon, \eta) \xrightarrow{\sim} (G', \varepsilon', \eta')$ . To get a

formula for  $\xi$  apply  $G$

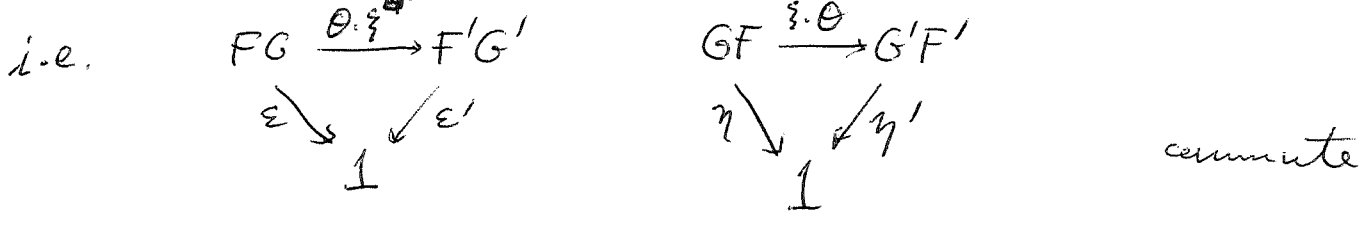
$G \xleftarrow{G \cdot \varepsilon} GFG \xrightarrow{GF \cdot \xi} GF G' \xrightarrow{G \cdot \varepsilon'} G$	hence
$\parallel \downarrow \eta \cdot G$	$GFG' \xrightarrow{\eta \cdot G'} G$
$G \xrightarrow{\xi} G'$	$G \xrightarrow{\xi} G'$

which is 1) on p85. Similarly



which is 1') on p85.

Next I want to ~~show~~ <sup>show</sup> the essential uniqueness of a quasi-inverse that given  $(F, G, \varepsilon, \eta)$ ,  $(F', G', \varepsilon', \eta')$  and  $\theta: F \xrightarrow{\sim} F'$  there is a corresponding  $\xi: G \xrightarrow{\sim} G'$  such that  $(\theta, \xi)$  is an isomorphism  $(F, G, \varepsilon, \eta) \xrightarrow{\sim} (F', G', \varepsilon', \eta')$



in other words we have  $\varepsilon = \varepsilon'(\theta \cdot \xi)$ ,  $\eta = \eta'(\xi \cdot \theta)$ .

The idea is that  $\theta: F \xrightarrow{\sim} F'$  makes  $G'$  into a quasi-inverse for  $F$ . More precisely we have an isom.

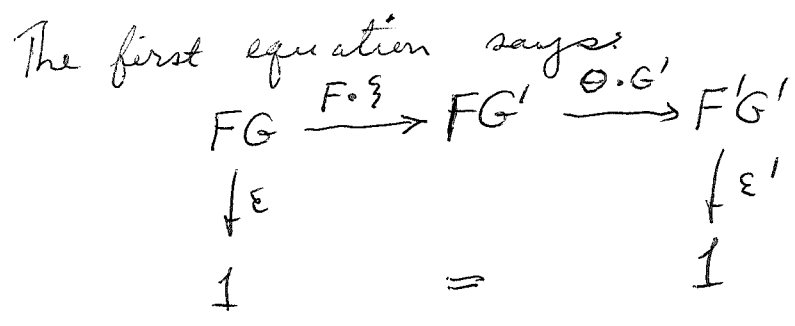
$$(\theta, 1) : (F, G', \varepsilon'(\theta \cdot G'), \eta'(G \cdot \theta)) \xrightarrow{\sim} (F', G', \varepsilon', \eta')$$

Then we know there is a  $\xi: G \rightarrow G'$  such that

$$(1, \xi) : (F, G, \varepsilon, \eta) \xrightarrow{\sim} (F, G', \varepsilon'(\theta \cdot G'), \eta'(G \cdot \theta))$$

i.e.

$$\begin{aligned}
 \varepsilon &= \varepsilon'(\theta \cdot G')(F \cdot \xi) & \text{and} & \quad \eta = \eta'(G' \cdot \theta)(\xi \cdot F) \\
 &= \varepsilon'(\theta \cdot \xi) & & \quad = \eta'(\xi \cdot \theta).
 \end{aligned}$$



This determines  $\xi$  since the other maps are isomorphisms and  $F$  is fully-faithful

March 12, 1996

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I want to record formulas involved in the equivalences:

$$\begin{array}{ccc} \text{mod}(\mathbb{R}) & \begin{array}{c} \xrightarrow{\text{extn}} \\ \xleftarrow{\text{res}} \end{array} & \text{mod}(\mathbb{H}) \\ \parallel & & \parallel \\ \text{mod}(\mathbb{C}[\sigma_4]) & \begin{array}{c} \xrightarrow{H \otimes -} \\ \xleftarrow{H \otimes -} \end{array} & \text{mod}(\mathbb{C}[\sigma_-]) \end{array}$$

First consider the adjoint functor relations

(1) 
$$\text{Hom}(H \otimes V, W) = \text{Hom}(V, H^* \otimes W)$$
$$\text{Hom}(V, H \otimes W) = \text{Hom}(H^* \otimes V, W)$$

where  $H$  is a finite-diml vector space. The adjunction maps in the former arise from the canonical maps

$$\begin{array}{ll} \alpha: H \otimes H^* \longrightarrow \mathbb{C} & h \otimes h^* \longmapsto (h|h^*) = (h^*|h) \\ \beta: \mathbb{C} \longrightarrow H^* \otimes H & 1 \longmapsto \sum e_i^* \otimes e_i \end{array}$$

The adjunction maps in the latter arise from the canonical maps

$$\begin{array}{ll} \alpha': H^* \otimes H \longrightarrow \mathbb{C} & h^* \otimes h \longmapsto (h^*|h) \\ \beta': \mathbb{C} \longrightarrow H \otimes H^* & 1 \longmapsto \sum e_i \otimes e_i^* \end{array}$$

obtained from the preceding via the flips. Notice that  $\alpha'\beta = \alpha\beta'$  is  $\text{tr}(1) = \dim H$ .

In the  $\mathbb{R}, \mathbb{H}$  situation,  ~~$\mathbb{H} \cong \mathbb{C}^2$~~   $H \cong \mathbb{C}^2$  comes equipped with a volume  $\wedge^2 H = \mathbb{C}$  which we use to identify  $H^*$  and  $H$ . We have a single adjoint functor relation

$$\text{Hom}(H \otimes V, W) = \text{Hom}(V, H \otimes W)$$

arising from the canonical maps

$$\alpha: H \otimes H \longrightarrow \mathbb{C} \quad h_1 \otimes h_2 \longmapsto h_1 \wedge h_2$$

$$\beta: \mathbb{C} \longrightarrow H \otimes H \quad 1 \longmapsto e_2 \otimes e_1 - e_1 \otimes e_2 \quad (\text{here } e_1 \wedge e_2 = 1)$$

Check: Let  $h = z_1 e_1 + z_2 e_2$  so that  $z_2 = e_1 \wedge h, z_1 = -e_2 \wedge h$

$$H \xrightarrow{\beta \otimes 1} H \otimes H \otimes H \xrightarrow{1 \otimes \alpha} H$$

$$h \longmapsto (e_2 \otimes e_1 - e_1 \otimes e_2) \otimes h \longmapsto e_2(e_1 \wedge h) - e_1(e_2 \wedge h) = h$$

$$H \xrightarrow{1 \otimes \beta} H \otimes H \otimes H \xrightarrow{\alpha \otimes 1} H$$

$$h \longmapsto h \otimes (e_2 \otimes e_1 - e_1 \otimes e_2) \longmapsto (h \wedge e_2)e_1 - (h \wedge e_1)e_2 = h$$

Notice that  $\mathbb{C} \xrightarrow{\beta} H \otimes H \xrightarrow{\alpha} \mathbb{C}$  is

$$1 \longmapsto e_2 \wedge e_1 - e_1 \wedge e_2 = -2(e_1 \wedge e_2) = -2. \quad (\text{I don't really understand this sign. Somehow it arises from the fact that the volume } \wedge^2 H = \mathbb{C} \text{ determines two isos. of } H \text{ with } H^*, \text{ i.e. } \wedge^2 H \subset H \otimes H \text{ and you can contract either factor of } H \text{ with } H^*; \text{ these two isos. have opposite sign. Perhaps also this sign is related to what happens with the Fourier transform.)}$$

Next recall  $\mathbb{C}[\sigma]_+ = \mathbb{C} + \mathbb{C}\sigma$  where  $\sigma z = \bar{z}\sigma$  and  $\sigma^2 = \pm 1$ .  $\mathbb{C}[\sigma]_- = \mathbb{H}$  where  $\sigma = j$ , so  $\text{mod}(\mathbb{H}) = \text{mod}(\mathbb{C}[\sigma]_-)$  trivially.  $\mathbb{C}[\sigma]_+ \cong M_2 \mathbb{R}$  so  $\text{mod}(\mathbb{R}) = \text{mod}(\mathbb{C}[\sigma]_+)$  is a Morita equivalence, the functors being  $V_n \longmapsto \mathbb{C} \otimes_{\mathbb{R}} V_n, V \longmapsto V^\sigma$ .

Now ~~take~~  $H = \mathbb{H} = \mathbb{C} + \mathbb{C}j$  ~~with~~ with  $\mathbb{C}$  acting by left multiplication and  $\wedge^2 H = \mathbb{C}$  given by  $1 \wedge j = 1$ .  $\sigma$  on  $H$  is left mult by  $j$ . (Reason for notation  $H$  is to avoid confusion arising from  $H \otimes_{\mathbb{C}} V$  when  $\mathbb{C}$  is left acting on  $H$ .)

If  $V \in \text{mod}(\mathbb{C}[\sigma]_+)$ , then  $H \otimes V$  equipped with  $\sigma \circ \sigma$  is in  $\text{mod}(\mathbb{C}[\sigma]_-)$ . Conversely  $W \in \text{mod}(\mathbb{C}[\sigma]_-) \Rightarrow H \otimes W \in \text{mod}(\mathbb{C}[\sigma]_+)$ .

Recall that restriction of scalars has both left and right adjoints. In the case of  $\mathbb{R} \subset \mathbb{C}$  these two adjoints are isomorphic:

$$\text{Hom}_{\mathbb{R}}(\mathbb{H}, V_{\mathbb{R}}^{\sigma}) = \underbrace{\text{Hom}_{\mathbb{R}}(\mathbb{H}, \mathbb{R})}_{\mathbb{H}^*} \otimes_{\mathbb{R}} V_{\mathbb{R}}^{\sigma} \xleftarrow{\sim} \mathbb{H} \otimes_{\mathbb{R}} V_{\mathbb{R}}^{\sigma}$$

where any nonzero element of  $\mathbb{H}^*$  yields an isom. In practice one takes a trace  $\tau: \mathbb{H} \rightarrow \mathbb{R}$  which is unique up to a scalar, since  $\mathbb{H} = \mathbb{R} \oplus [\mathbb{H}, \mathbb{H}]$ .

We use the following isomorphism

$$\mathbb{H} \otimes_{\mathbb{R}} V^{\sigma} \cong \mathbb{H} \otimes V$$

$$(z_1 1 + z_2 j) \otimes v \mapsto 1 \otimes z_1 v + j \otimes z_2 v = \begin{pmatrix} z_1 v \\ z_2 v \end{pmatrix}$$

to link the left adjoint (extension of scalars) from  $\text{mod}(\mathbb{R})$  to  $\text{mod}(\mathbb{H})$  with  $V \mapsto \mathbb{H} \otimes V$ .

Then we have isos. (this uses that  $\sigma$  compatible with  $\alpha, \beta$ )

$$\begin{aligned} \text{Hom}(\mathbb{H} \otimes V, W)^{\sigma} &= \text{Hom}(V, \mathbb{H} \otimes W)^{\sigma} \\ \parallel & \parallel \\ \text{Hom}_{\mathbb{H}}(\mathbb{H} \otimes V, W) &= \text{Hom}_{\mathbb{R}}(V^{\sigma}, (\mathbb{H} \otimes W)^{\sigma}) \\ \parallel & \\ \text{Hom}_{\mathbb{H}}(\mathbb{H} \otimes_{\mathbb{R}} V^{\sigma}, W) & \\ \parallel & \\ \text{Hom}_{\mathbb{R}}(V^{\sigma}, W) & \end{aligned}$$

By Yoneda this yields a canonical isomorphism

$$\rho: W \xrightarrow{\sim} (\mathbb{H} \otimes W)^{\sigma}$$

$$w \mapsto j \otimes w - 1 \otimes jw$$

where  $\rho$  stands for  $\text{res}_{\mathbb{R}}^{\mathbb{H}}$ . This identifies  $\rho$  with  $\mathbb{H} \otimes -$  from  $\text{mod}(\mathbb{C}[\sigma]_-)$  to  $\text{mod}(\mathbb{C}[\sigma]_+)$ .

$$\begin{array}{ccc}
 \text{Hom}(H \otimes W, V)^\sigma & = & \text{Hom}(W, H \otimes V)^\sigma \\
 \parallel & & \parallel \\
 \text{Hom}_{\mathbb{R}}((H \otimes W)^\sigma, V^\sigma) & & \text{Hom}_{\mathbb{H}}(W, H \otimes V) \\
 \parallel & & \parallel \\
 \text{Hom}_{\mathbb{R}}(\beta W, V^\sigma) & & \text{Hom}_{\mathbb{H}}(W, \mathbb{H} \otimes_{\mathbb{R}} V^\sigma) \\
 \parallel & & \parallel \\
 \text{Hom}_{\mathbb{H}}(W, \text{Hom}_{\mathbb{R}}(\mathbb{H}, V^\sigma)) & & 
 \end{array}$$

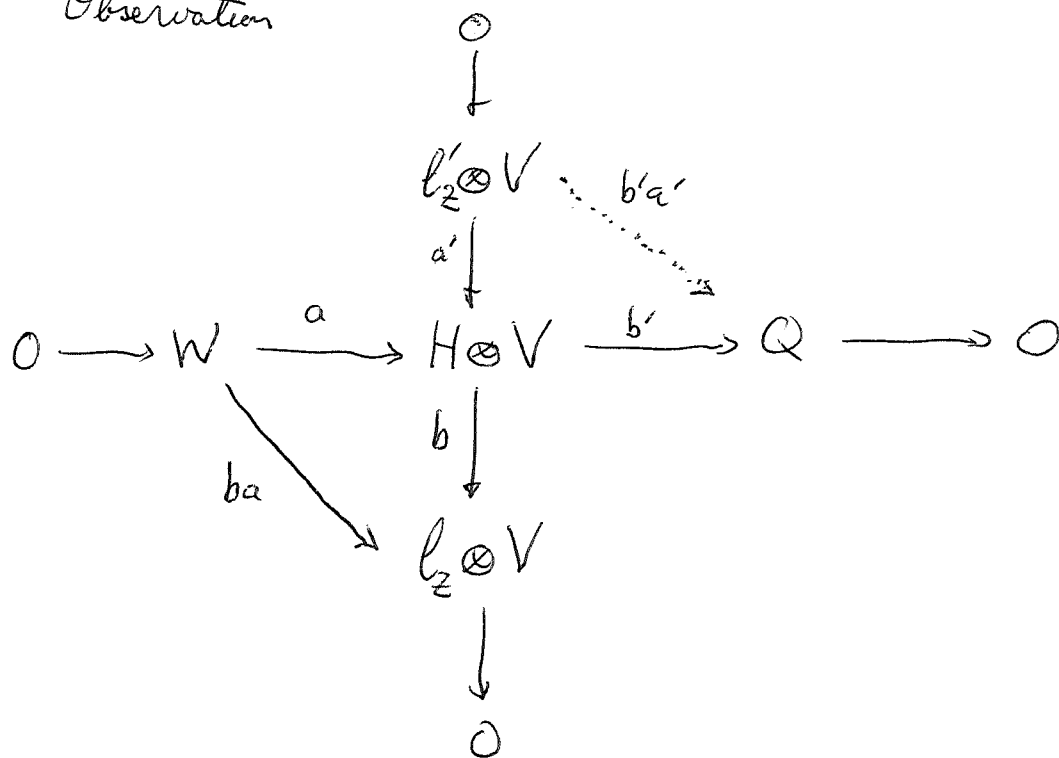
Thus we get a canon. isom.

$$\mathbb{H} \otimes_{\mathbb{R}} V^\sigma \simeq \text{Hom}_{\mathbb{R}}(\mathbb{H}, V^\sigma)$$

which amounts to an element  $\tau$  of  $\mathbb{H}^*$ , (take  $V^\sigma = \mathbb{R}$ ).  
 Calculation gives  $\tau(1) = -2$ ,  $\tau(i) = \tau(j) = \tau(k) = 0$ .



Observation



The six term exact sequence of kernels and cokernels becomes

$$0 \longrightarrow \text{Ker}(ba) \longrightarrow \text{Ker}(b) \longrightarrow \text{Coker}(a) \longrightarrow \text{Coker}(ba) \longrightarrow 0$$

$$\begin{array}{ccc}
 & \parallel & \parallel \\
 & L_2' \otimes Q & \xrightarrow{\quad b'a' \quad} & Q
 \end{array}$$

so that it looks as if the complexes  $W \xrightarrow{ba} L_2 \otimes V$  and  $L_2' \otimes V \xrightarrow{b'a'} Q$  are quasi-isomorphic.

When you have more time examine this carefully. Recall something similar appeared in connection with Vaserstein's lemma, more specifically, when you proved Morita invariance for  $K'$ .

May 29, 1996

Canonical resolutions over  $P^1$ . If  $F$  is a regular sheaf over  $P^1$  then it has a resolution of the form

$$0 \rightarrow \mathcal{O}(-1) \otimes W \rightarrow \mathcal{O} \otimes V \rightarrow F \rightarrow 0$$

Tensor this short exact sequence with

$$0 \rightarrow \Lambda^2 H \otimes \mathcal{O}(-1) \rightarrow H \otimes \mathcal{O} \rightarrow \mathcal{O}(1) \rightarrow 0$$

to get

$$\begin{array}{ccccccc}
 & & 0 & & 0 & & 0 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 0 & \rightarrow & \Lambda^2 H \otimes \mathcal{O}(-2) \otimes W & \rightarrow & \Lambda^2 H \otimes \mathcal{O}(-1) \otimes V & \rightarrow & \Lambda^2 H \otimes F(-1) \rightarrow 0 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 0 & \rightarrow & H \otimes \mathcal{O}(-1) \otimes W & \rightarrow & H \otimes \mathcal{O} \otimes V & \rightarrow & H \otimes F \rightarrow 0 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 0 & \rightarrow & \mathcal{O} \otimes W & \rightarrow & \mathcal{O}(1) \otimes V & \rightarrow & F(1) \rightarrow 0 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 & & 0 & & 0 & & 0
 \end{array}$$

whence

$$\begin{array}{ccccccc}
 & & & & & & 0 \\
 & & & & & & \downarrow \\
 & & & & & & \Lambda^2 H \otimes H^0(F(-1)) \\
 & & & & & & \downarrow \\
 & & & & H \otimes V & \xrightarrow{\sim} & H \otimes H^0(F) \\
 & & & & \parallel & & \downarrow \\
 0 & \rightarrow & W & \rightarrow & H \otimes V & \rightarrow & H^0(F(1)) \rightarrow 0 \\
 & & & & & & \downarrow \\
 & & & & & & 0
 \end{array}$$

which identifies  $W \rightarrow H \otimes V$  with the map  $\Lambda^2 H \otimes H^0(F(-1)) \rightarrow H \otimes H^0(F)$  induced by  $\Lambda^2 H \otimes F(-1) \rightarrow H \otimes F$ .

Next suppose  $G$  is a negative vector bundle. Then it has a dual canonical resolution of the form

$$0 \rightarrow G \rightarrow \mathcal{O}(-1) \otimes W \rightarrow \mathcal{O} \otimes V \rightarrow 0$$

Again we ~~we~~ get by tensoring

$$\begin{array}{ccccccc}
 & & 0 & & 0 & & 0 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 0 & \rightarrow & \Lambda^2 H \otimes G(-1) & \rightarrow & \Lambda^2 H \otimes \mathcal{O}(-2) \otimes W & \rightarrow & \Lambda^2 H \otimes \mathcal{O}(-1) \otimes V \rightarrow 0 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 0 & \rightarrow & H \otimes G & \rightarrow & H \otimes \mathcal{O}(-1) \otimes W & \rightarrow & H \otimes \mathcal{O} \otimes V \rightarrow 0 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 0 & \rightarrow & G(1) & \rightarrow & \mathcal{O} \otimes W & \rightarrow & \mathcal{O}(1) \otimes V \rightarrow 0 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 & & 0 & & 0 & & 0
 \end{array}$$

whence

$$\begin{array}{c}
 H^0(G(1)) \\
 \downarrow \\
 \Lambda^2 H \otimes H^1(G(-1)) \xrightarrow{\sim} \underbrace{H^1(\Lambda^2 H \otimes \mathcal{O}(-2) \otimes W)}_W \\
 \downarrow \\
 H \otimes V \xrightarrow{\sim} H \otimes H^1(G) \\
 \downarrow \\
 H^1(G(1)) \\
 \downarrow \\
 0
 \end{array}$$

The problem is now to identify the map  $W \rightarrow H \otimes V$  arising from this diagram with the map on  $H^0$  induced by  $(\mathcal{O}(-1) \otimes W \rightarrow \mathcal{O} \otimes V)$  tensored with  $\mathcal{O}(1)$ .

We will construct various maps of complexes<sup>95</sup> linked by  $R\Gamma$ -cos. First map

$$\begin{array}{ccc} \Lambda^2 H \otimes G(-1)[1] & & \Lambda^2 H \otimes \mathcal{O}(-2) \otimes W[1] \\ \downarrow & \dashrightarrow & \downarrow \\ H \otimes G[1] & & (H \otimes \mathcal{O}(-1) \otimes W \rightarrow \mathcal{O}(1) \otimes V) \end{array}$$

where  $\dashrightarrow$  is essentially <sup>obtained</sup> from the first two rows of the  $3 \times 3$  diagram above. Second map

$$\begin{array}{ccc} \Lambda^2 H \otimes \mathcal{O}(-2) \otimes W[1] & & (H \otimes \mathcal{O}(-1) \otimes W \rightarrow \mathcal{O} \otimes W) \\ \downarrow & \dashrightarrow & \downarrow \\ (H \otimes \mathcal{O}(-1) \otimes W \rightarrow \mathcal{O}(1) \otimes V) & & (H \otimes \mathcal{O}(-1) \otimes W \rightarrow \mathcal{O}(1) \otimes V) \end{array}$$

Third map is inclusion

$$\begin{array}{ccc} (H \otimes \mathcal{O}(-1) \otimes W \rightarrow \mathcal{O} \otimes W) & & \mathcal{O} \otimes W \\ \downarrow & \longleftarrow & \downarrow \\ (H \otimes \mathcal{O}(-1) \otimes W \rightarrow \mathcal{O}(1) \otimes V) & & \mathcal{O}(1) \otimes V \end{array}$$

One can check that the dotted arrows induce isos on  $R\Gamma$  for both source + target of the vertical arrow. So applying  $R\Gamma$  we get a commutative square

$$\begin{array}{ccc} \Lambda^2 H \otimes H^1(G(-1)) & \xrightarrow{\sim} & W \\ \downarrow & & \downarrow \\ H \otimes H^1(G) & \xrightarrow{\sim} & H \otimes V \end{array}$$

as desired.

August 1, 1996

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Consider the problem of Morita invariance of K-theory for h-unital rings, but restrict one of the rings to be unital. Suppose then  $A$  is unital and  $(P, Q)$  is a form dual pair over  $A$ .  $B = P \otimes_A Q$  is h-unital iff  $P \otimes_A Q = P \otimes_A Q$ , e.g. if either  $P$  or  $Q$  is flat over  $A$ . ~~If~~  $Q$  is flat, then  $Q$  is an inductive limit of fg free modules, and similarly for  $P$ .

Note that surjectivity of  $Q \otimes P \rightarrow A$  means  $\exists p_i, q_i$  with  $\sum_{i=1}^n q_i p_i = 1$ . In this case, replacing  $(P, Q)$  by  $(P, Q)^n$  and  $B$  by  $M_n B$  we reduce to the case where  $\exists p \in P, q \in Q$  with  $qp = 1$ . Then  $(P, Q) = (A, A) \oplus (X, Y)$ ,  $X = \{x \in P \mid xq = 0\}$ ,  $Y = \{y \in Q \mid yq = 0\}$ , so  $B = \begin{pmatrix} A & Y \\ X & X \otimes_A Y \end{pmatrix}$  and the pairing  $Y \otimes X \rightarrow A$  can be arbitrary. Also  $e = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$  is an idempotent in  $B$  such that  $A = eBe$ ,  $P = Be$ ,  $Q = eB$ , so we have the familiar Morita context

$$\begin{pmatrix} A = eBe & eB \\ Be & BeB = B \end{pmatrix}$$

as examined in connection with Dugger's theorem.

Let's review this result. Start with  $R, e = e^2 \in R$ ,  $A = eRe$ ,  $P = Re$ ,  $Q = eR$ ,  $B = ReR$ . Hypotheses are:  $Re \otimes_A eR \xrightarrow{\sim} B$  (i.e.  $B$  firm) and  $eR \in P(A)$ . We have functors

$$\begin{array}{ccccc} \text{mod}(R) & \longrightarrow & \text{mod}(A) & \xrightarrow{\sim} & M(B) \subset \text{mod}(R) \\ L & \longmapsto & eL & & N \longmapsto N \\ & & M & \longmapsto & Re \otimes_A M \end{array}$$

which induce

$$\mathcal{P}(R) \longrightarrow \mathcal{P}(A) \xrightarrow{\sim} \mathcal{P}(B) \subseteq \mathcal{P}(R)$$

Here  $\mathcal{P}(B) \cong \mathcal{P}(R, B)$  is the full subcategory of small projectives in  $\mathcal{M}(R, B)$ , i.e.  $L \in \mathcal{P}(R)$  such that  $L = BL$ .

We have some obvious maps

$$\begin{array}{ccccc} K_* (\mathcal{P}(B)) & \xrightarrow{\quad} & K_* (\mathcal{P}(R)) & \longrightarrow & K_* (\mathcal{P}(R/B)) \\ & \searrow \sim & \downarrow & & \\ & & K_* (\mathcal{P}(A)) & & \end{array}$$

Now  $B = Re \oplus_A eR$ ,  $eR \in \mathcal{P}(A) \implies B \in \mathcal{P}(B)$ , so we have a resolution by f.g projective modules

$$0 \longrightarrow B \longrightarrow R \longrightarrow R/B \longrightarrow 0.$$

This should imply that any object  $V$  in  $\mathcal{P}(B)$  has a

$$0 \rightarrow P_1 \rightarrow P_0 \rightarrow V \rightarrow 0 \quad \text{with } P_i \in \mathcal{P}(R).$$

Hence by resolution we get a map  $K_* (\mathcal{P}(R/B)) \rightarrow K_* (\mathcal{P}(R))$

Claim  $K_* (\mathcal{P}(R/B)) \rightarrow K_* (\mathcal{P}(R)) \rightarrow K_* (\mathcal{P}(R/B))$  is the identity. Given  $0 \rightarrow P_1 \rightarrow P_0 \rightarrow V \rightarrow 0$   $\text{proj } R\text{-res.}$

of  $V \in \mathcal{P}(R/B)$  one has

$$0 \rightarrow \text{Tor}_1^R (R/B, V) \rightarrow P_1/BP_1 \rightarrow P_0/BP_0 \rightarrow V \rightarrow 0$$

This  $\text{Tor} = 0$  since  $V$  is a summand of  $(R/B)^n$  and  $\text{Tor}_1^R (R/B, R/B) = B/B^2 = 0$ .

At this point we know  $K_* (\mathcal{P}(B))$  and  $K_* (\mathcal{P}(R/B))$  are direct summands of  $K_* (\mathcal{P}(R))$ . Consider the exact sequence of functors

$$0 \rightarrow B \otimes_B L \rightarrow L \rightarrow L/BL \rightarrow 0$$

from  $\mathcal{P}(R)$  to  $\mathcal{P}'(R)$  (= modules admitting length  $\leq 1$  resolutions from  $\mathcal{P}(R)$ ). ~~By~~ By additivity and  $K_*(\mathcal{P}(R)) \xrightarrow{\sim} K_*(\mathcal{P}'(R))$ , we get that

$$K_*(R) \rightarrow K_*(\mathcal{P}(B)) \oplus K_*(R/B) \xrightarrow{\sim} K_*(R)$$

is the identity. It follows that

$$K_*(\mathcal{P}(B)) \oplus K_*(R/B) \xrightarrow{\sim} K_*(R) \\ \parallel \\ K_*(A)$$

I think this is correct. When  $R = \tilde{B}$  we then get  $K_*(A) = K_*(\mathcal{P}(B)) \xrightarrow{\sim} K_*(B) \stackrel{\text{def}}{=} K_*(\tilde{B})/K_*(\mathbb{Z})$ , which is the Morita invariance ~~result~~ I am after.

Let's now return to the original setting  $\begin{pmatrix} A & Q \\ P & B \end{pmatrix}$  with  $A$  unital, ~~and~~  $Q \otimes P \rightarrow A$ ,  $P \otimes_A Q = B$ , and suppose  $Q \in \mathcal{P}(A)$ . Now  $Q$  is a generator for  $\text{mod}(A)$  since we have  $Q \otimes P \rightarrow A$ , so without affecting the Morita invariance question we should be able to replace  $A$  by the max unital ring  $A' = \text{Hom}_A(Q, Q)^{\text{op}}$ . We have to impose the maps given by

$$\begin{pmatrix} A' & Q^* \\ Q & A \end{pmatrix} \quad \begin{pmatrix} A & Q \\ P & B \end{pmatrix}$$

where  $Q^* = \text{Hom}_A(Q, A)$ .

$$\begin{pmatrix} A' & Q^* & Q^* \otimes_A Q \\ Q & A & Q \\ P \otimes_A Q & P & B \end{pmatrix} \quad \therefore \begin{pmatrix} A' & Q^* \otimes_A Q = A' \\ P \otimes_A Q = B & B \end{pmatrix}$$

This transformation reduces us to a Morita 99 context (put  $A'$  for  $A$ ) of the form

$$\begin{pmatrix} A & Q=A \\ P=B & B \end{pmatrix}$$

where  $P$  can be any  $A^{\text{op}}$ -module.  The pairing  $A \otimes P \rightarrow A$  which must be surjective is given by an  $A^{\text{op}}$ -module map  $f: P \rightarrow A$ , namely  $a \otimes p \mapsto af(p)$ . Surjectivity means the right ideal  $f(P)$  in  $A$  generates  $A$  in the sense that  $Af(P) = A$ . Suslin's excision theory should take care of the surjection  $P \otimes_A Q \rightarrow f(P) \otimes_A Q$ , so the important case to consider is when  $P=B$  is a right ideal in  $A$  such that  $AB=A$ .

Let's try to understand the case where  $B$  is a right ideal in  $A$  unital and  $\exists y \in A, x \in B$  such that  $yx = 1$ .



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Recall setup:  $\begin{pmatrix} A & A \\ B & B \end{pmatrix}$   $A$  unital,  $B$  right ideal in  $A$  satisfying  $AB = A$ .

We know the following.

• This Morita context is *sfirin*, being associated to the *sfirin* dual pair  $(B, A)$  over  $A$  where the pairing is  $A \otimes B \rightarrow A$ ,  $a \otimes b \mapsto ab$ . Hence  $A \otimes_B B \xrightarrow{\sim} A$ .

• Since  $A$  is unital we know  $B \in \mathcal{P}(B)$ ,  $A \in \mathcal{P}(B^{\circ})$  are dual to each other and  $A \xrightarrow{\sim} \text{Hom}_{B^{\circ}}(A, A)$ ,  $A \xrightarrow{\sim} \text{Hom}_B(B, B)^{\circ}$ .

• functors on modules

$$\begin{array}{c} \downarrow \\ \mathcal{M}(B) \subset \text{mod}(\tilde{B}) \longrightarrow \text{mod}(A) \xrightarrow{\sim} \mathcal{M}(B) \subset \text{mod}(\tilde{B}) \end{array}$$

$$\mathcal{P}(B) \subset \mathcal{P}(\tilde{B}) \longrightarrow \mathcal{P}(A) \xrightarrow{\sim} \mathcal{P}(B) \subset \mathcal{P}(\tilde{B})$$

$$L \longmapsto A \otimes_B L \longmapsto B \otimes_A A \otimes_B L = B \otimes_B L$$

So just from  $\mathcal{P}(A) \xrightarrow{\sim} \mathcal{P}(B) \subset \mathcal{P}(\tilde{B}) \longrightarrow \mathcal{P}(A)$   
 $V \longmapsto B \otimes_A V \longmapsto A \otimes_B B \otimes_A V = V$

we find  $\boxed{K_*(A) \xrightarrow{i} K_*(\tilde{B}) \xrightarrow{j} K_*(A) \text{ is the identity.}}$

$j$  is induced by  ~~$B \otimes_A L$~~   $L \mapsto A \otimes_B L$ , i.e. extension of scalars wrt  $\tilde{B} \rightarrow A$ , so  $j$  is induced by this homomorphism. Now  $i$  is induced by  $V \mapsto B \otimes_A V$ , where  $B$  is regarded as a representation of  $A$  in  $\mathcal{P}(B)$ ; in fact we have  $A = \text{Hom}_B(B, B)^{\circ}$ .  $\square$  If we choose an embedding of  $B$  as a direct summand of  $\tilde{B}^n$ , then we get a homomorphism  $A \rightarrow M_n(\tilde{B})$ . This homomorphism induces  $i$ .

For example suppose  $\exists y \in A, x \in B$  satisfying  $yx=1$ . Then we have

$$\tilde{B} = By \oplus \tilde{B}(1-xy)$$

Why?  $1-xy$  is idempotent and  $\cdot(1-xy)$  kills  $By$ .

$$\tilde{b} = \underbrace{\tilde{b}xy}_{\in B} + \tilde{b}(1-xy) \in By + \tilde{B}(1-xy).$$

Also we have  $B \xrightarrow{\cdot y} By \xrightarrow{\cdot x} B$  is the identity so  $\cdot y : B \xrightarrow{\sim} By$ .

It's better to give the pair of maps of  $B$ -modules

$$B \xrightarrow{\cdot y} \tilde{B} \xrightarrow{\cdot x} B \quad \text{with composition } 1.$$

The corresponding homomorphism  $A \rightarrow \tilde{B}$  is then

$$a \mapsto xay. \quad \text{Check: } (xa_1y)(xa_2y) = xa_1a_2y.$$

Now our problem becomes showing that

$$K_*(\tilde{B}) \xrightarrow{f} K_*(A) \xrightarrow{i} K_*(\tilde{B}) \quad \text{is projection onto}$$

$K_*(B)$ . ~~Just show the~~ Look at this from the

viewpoint of  $H_*(GL(-))$ . Use Suslin's result

that because  $B$  is  $h$ -unital  $H_*(GL(B))$  is the

homology of the fibre of  $BGL(\tilde{B})^+ \rightarrow BGL(\mathbb{Z})^+$ . Then

it seems we want to know that the homomorphism

$$B \hookrightarrow A \longrightarrow B \\ a \mapsto xay$$

induces the identity on  $H_*(GL(B))$ .

Another way to say this might be the obvious representations of  $GL_n(B)$  on  $B^n$  and  $\tilde{B}^n$  in  $P(\tilde{B})$  have the same stable characteristic classes. Somehow

you want to deduce this from the exact sequence

$$0 \rightarrow B^n \rightarrow \tilde{B}^n \rightarrow \mathbb{Z}^n \rightarrow 0$$

Consider the chain of homoms.

$$\begin{array}{c} \hookrightarrow A \longrightarrow B \hookrightarrow A \longrightarrow B \hookrightarrow A \longrightarrow \\ a \mapsto xay \end{array}$$

Notice that  $A \longrightarrow A, a \mapsto xay$  is a non-unital ring homomorphism between unital rings. Is it a isomorphism? We have M. equiv. given by

$$\begin{pmatrix} A & Ay \\ xA & xAy \end{pmatrix}$$

Setting:  $B \subset A$  unital,  $BA = B, \exists y \in A, x \in B$  st.  $yx = 1$ .

We have homomorphisms:  $A \longrightarrow B, a \mapsto xay$  and the inclusion  $B \subset A$ . These induce maps  $BGL(A)^+ \longrightarrow BGL(B)^+$  and  $BGL(B)^+ \longrightarrow BGL(A)^+$ . The question is whether they are inverse up to homotopy. Look at the compositions.

Consider  $A \xrightarrow{\phi} A, a \mapsto xay$ . This is a non-unity preserving homomorphism, but it still induces group homomorphisms  $GL_n(A) \longrightarrow GL_n(A)$  for all  $n$ . How? If  $e = \phi(1) = xy$ , then one has a homom. of unital rings  $A \longrightarrow eAe$  followed by the inclusion  $eAe \subset A$ . The idea is that  $eAe \in \mathcal{P}(A)$  has  $\text{Hom}_A(Ae, Ae) = eAe$ , so  $\mathcal{P}(eAe)$  is equivalent to the full Karoubian subcat of  $\mathcal{P}(A)$  which is generated by  $Ae$ . ~~□~~ We get the functor

$$\mathcal{P}(A) \longrightarrow \mathcal{P}(eAe) \subset \mathcal{P}(A)$$

$$V \mapsto Ae_{\phi} \otimes_A V \mapsto Ae_{\phi} \otimes_A V$$

Here  $Ae_{\phi}$  means  $Ae$  with  $A$  acting on the right via  $\phi$ .

Let's calculate this for  $\phi(a) = xay$ . Note that  $\therefore Ae = Ay$

$$Ae = Axy \subseteq Ay \quad \text{and} \quad Ay \subseteq Ayxy \subseteq Axy$$

Take  $V = A^n$ . Then  $Ay_{\phi} \otimes_A A^n \xrightarrow{\sim} Ay_{\phi}^n$ . Now

you choose a split embedding of  $A_y$  into a free  $A^m$  in order to get a representation of  $\text{Aut}(V)$  by matrices. In this case

$$A_y \oplus A(1-xy) \simeq A$$

$$(axy, a(b-xy)) \longleftarrow a$$

$$(a_1y, a_2(b-xy)) \longmapsto a_1y + a_2(b-xy)$$

We have isom.

$$A_y \otimes_A M \simeq M$$

$$a'y \otimes m \longmapsto a'yx^m = a'm$$

$$y \otimes m \longleftarrow m$$

Check:  $a'y \otimes m \mapsto a'm \leftarrow y \otimes a'm$   
 ~~$m \mapsto y \otimes m \mapsto m$~~ ,  $y \otimes a'y \otimes m$ .

Take  $M=A$  get isom

Better  $A \rightarrow A_y, a' \mapsto a'y$

note that  $a'y \phi(a) = a'yxay = a'ay$ , so right mult by  $a$  in  $A$  corresp. to right mult by  $xay$  on  $A_y$ .

$$A_y \otimes_A \simeq A$$

$$a'y \longmapsto a'yx = a'$$

$$a'y \longleftarrow a'$$

If  $g \in \text{Aut}_A(A^n)$ , then you get induced autom on  $A_y \otimes_A (A_y)^n \simeq (A_y)^n \xrightarrow{x} A^n$ ;  $g = 1 + \alpha$  on  $A^n$  becomes  $1 \otimes (1 + \alpha)$  on  $A_y \otimes_A A^n$ , i.e.  $\phi(1 + \alpha)$  on  $A_y^n$ , to which

you add  $1-xy$  on  $A(1-xy)$ . Thus we get  $1-xy + x(1+\alpha)y = 1 + x\alpha y$ .

This calculation identifies the effect of the homom.

$a \mapsto xay$  on  $\text{GL}_n(A)$  with what one gets from the functor

$$P(A) \rightarrow P(Ae) \subset P(A)$$

$$V \longmapsto A_{e \otimes_A} V$$

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Assume  $B = B^2$  such that  $B \in \mathcal{P}(B)$ , i.e.

$B$  is a fg proj  $\tilde{B}$ -module which is firm (since  $B = BB$ ).

We have functors

$$\mathcal{P}(B) \subset \mathcal{P}(\tilde{B}) \longrightarrow \mathcal{P}(B)$$

$$L \longmapsto B \otimes_B L = BL$$

whose composition is  $\cong \text{id}$ . On the other hand  $B$  is a generator from  $\mathcal{P}(B)$ , so one has an equivalence

$$\mathcal{P}(A) \xrightarrow{\sim} \mathcal{P}(B), \quad V \longmapsto B \otimes_A V \quad \text{where } A = \text{Hom}_B(B, B)^{\text{op}}.$$

Consequently  $K_* (\mathcal{P}(B)) = K_* A$ . The above functors give maps  $K_* A \xrightarrow{c} K_* \tilde{B} \xrightarrow{d} K_* A$  with composition

the identity. Consider next the other composition  $K_* \tilde{B} \xrightarrow{e} K_* A \xrightarrow{f} K_* \tilde{B}$  induced by  $L \longmapsto B \otimes_B L = BL$ .

One has functorial exact sequences from  $\mathcal{P}(\tilde{B})$  to  $\mathcal{P}(B)$

$$\begin{array}{ccccccc}
 & & 0 & & 0 & & \\
 & & \downarrow & & \downarrow & & \\
 & & B \otimes_{\mathbb{Z}} \bar{L} & = & \tilde{B} \otimes_{\mathbb{Z}} \bar{L} & & \\
 & & \downarrow & & \downarrow & & \\
 0 & \longrightarrow & BL & \longrightarrow & F(L) & \longrightarrow & \tilde{B} \otimes_{\mathbb{Z}} \bar{L} \longrightarrow 0 \\
 & & \parallel & & \downarrow & & \\
 0 & \longrightarrow & BL & \longrightarrow & L & \longrightarrow & \bar{L} \longrightarrow 0 \\
 & & & & \downarrow & & \downarrow \\
 & & & & 0 & & 0
 \end{array}$$

where  $F(L) = L \times (B \otimes_{\mathbb{Z}} \bar{L})$ . In  $K_0(\tilde{B})$  we have from the two exact sequences involving  $F(L)$ .

$$[F(L)] = [B \otimes_B L] + [\tilde{B}] r(L) = [B] r(L) + [L]$$

where  $r(L) = \text{rank}_{\mathbb{Z}}(\bar{L})$ . Write this 105

$$[L] = [B \otimes_B L] + ([\tilde{B}] - [B]) r(L)$$

This yields a direct sum decomposition

$$K_* \mathbb{Z}[A] \begin{array}{c} \xrightarrow{L} \\ \xleftarrow{J} \end{array} K_* \tilde{B} \begin{array}{c} \xleftarrow{(\tilde{B} \otimes_{\mathbb{Z}} -) - (B \otimes_{\mathbb{Z}} -)} \\ \xrightarrow{[\tilde{B}/B \otimes_B -]} \end{array} K_* \mathbb{Z}$$

in degree 0 at least. But it should hold for all degrees, since functorial exact sequences are additive.  $\therefore K_* \mathcal{P}(B) = K_* B \stackrel{ab}{=} K_*(\tilde{B})/K_*(\mathbb{Z})$ .

We want to understand the above arguments better. We have  $F(L) = F \otimes L$ , where  $F$  is the

$B$  bimodule  $F = \tilde{B} \times_{\mathbb{Z}} \tilde{B}$ ,  $b(x, y) = (bx, by)$ ,  $(x, y)b = (xb, 0)$

We have  $B$ -bimodule exact sequences

$$0 \rightarrow B \xrightarrow{b \mapsto (0, b)} F \xrightarrow{pr_1} \tilde{B} \rightarrow 0$$

$$0 \rightarrow B \xrightarrow{b \mapsto (b, 0)} F \xrightarrow{pr_2} \tilde{B}_\varepsilon \rightarrow 0$$

where  $\tilde{B}_\varepsilon, B_\varepsilon$  mean the right ~~action~~ action of  $B$  is ~~via~~ via the augmentation  $\varepsilon: \tilde{B} \rightarrow \mathbb{Z}$ . We can split these exact sequences compatibly with left  $B$ -action using  $\Delta: \tilde{B} \rightarrow F$ . Thus

$$F = (B, 0) \oplus \Delta \tilde{B} = (0, B) \oplus \Delta \tilde{B}$$

giving two isomorphisms of  $F$  with  $B \oplus \tilde{B}$  in  $\mathcal{P}(\tilde{B})$ . Take the former.  $F \simeq B \oplus \tilde{B}$

$$(u+v, v) \longleftarrow (u \bullet v)$$

$$(x, y) \longmapsto (x-y, y)$$

Then right mult by  $b$  is

$$(u \ v) \mapsto (u+v, v)b = (ub+vb, 0) \mapsto (ub+vb \ 0) = (u \ v) \begin{pmatrix} b & 0 \\ b & 0 \end{pmatrix}$$

Take the latter isom.

$$F \simeq B \oplus \tilde{B}$$

$$(v', u+v') \longleftarrow (u' \quad v')$$

$$(x, y) \longmapsto (y-x \quad x)$$

and right mult by  $b$  is

$$(u' \quad v') \longmapsto (v', u+v') \xrightarrow{b} (v'b, 0) \longmapsto (-v'b \quad v'b) = (u' \quad v') \begin{pmatrix} 0 & 0 \\ -b & b \end{pmatrix}$$

Observe  $(u' \quad v') \longmapsto (v', u+v') \longmapsto (-u' \quad u'+v') = (u' \quad v') \begin{pmatrix} -1 & 1 \\ 0 & 1 \end{pmatrix}$

$$\begin{pmatrix} -1 & 1 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} -1 & 1 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \quad \begin{pmatrix} -1 & 1 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} b & 0 \\ b & 0 \end{pmatrix} \begin{pmatrix} -1 & 1 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ -b & b \end{pmatrix}.$$

What does this mean? The first homomorphism

$$b \longmapsto \begin{pmatrix} b & 0 \\ b & 0 \end{pmatrix} \text{ from } B \text{ to } \text{Aut}_B(B \oplus \tilde{B}) = \begin{pmatrix} A & A \\ B & \tilde{B} \end{pmatrix}$$

arises from the exact sequence  $0 \rightarrow B \rightarrow F \rightarrow \tilde{B}_\varepsilon \rightarrow 0$ .

It extends to  $\begin{pmatrix} B & 0 \\ B & 0 \end{pmatrix}$  which by Suslin should be

$K$ -equivalent to  $\begin{pmatrix} B & 0 \\ 0 & 0 \end{pmatrix}$ . The second homomorphism

$b \longmapsto \begin{pmatrix} 0 & 0 \\ -b & b \end{pmatrix}$  extends to  $\begin{pmatrix} 0 & 0 \\ B & B \end{pmatrix}$  which should be  $K$ -equiv.

to  $\begin{pmatrix} 0 & 0 \\ 0 & B \end{pmatrix}$ . Since these are conjugate this ~~should~~ should

mean that the representations  $B \rightarrow A = \text{Aut}_B(B)$  and

$B \rightarrow \tilde{B} = \text{Aut}_B(\tilde{B})$  are somehow equivalent

Let  $A$  be a left ideal in  $R$  unital. Recall that a)  $R/A$  is projective  $\Leftrightarrow A$  has a ~~right~~ right identity:  $a = ae \quad \forall a.$

b)  $R/A$  is flat  $\Leftrightarrow$   ~~$A$~~   $A$  has local right identities:  $\forall a_1, \dots, a_n \exists a \quad a_j(1-a) = 0$  (resp. this holds for  $n=1$ .)

Suppose  $A$  is an ideal in  $R$  such that  $R/A$  is <sup>right</sup> flat, so that  $\forall$  modules  $M$

$$\del{A \otimes_R M} \xrightarrow{\sim} AM$$

Then taking  $M = R/A$  we get  $A \otimes_R R/A = A/A^2 = 0$

Also we have  $M = AM \Rightarrow M$  is firm.

Conversely assume these two conditions, and let  $M$  be any module. Since  $A = A^2$ ,  $AM = A(AM)$  so  $AM$  is firm. Also  $A \otimes_R (M/AM) = 0$ . So we <sup>have</sup> a diagram with exact rows

$$\begin{array}{ccccccc} & & & & 0 & & \\ & & & & \parallel & & \\ & & & & A \otimes_R (M/AM) & \longrightarrow & 0 \\ & & & & \downarrow & & \\ A \otimes_R AM & \longrightarrow & A \otimes_R M & \longrightarrow & A \otimes_R (M/AM) & \longrightarrow & 0 \\ \downarrow \cong & & \downarrow & & \downarrow & & \\ 0 & \longrightarrow & AM & \longrightarrow & M & \longrightarrow & M/AM \longrightarrow 0 \end{array}$$

showing that  $A \otimes_R M \xrightarrow{\sim} AM$  for all  $M$ .  $\therefore R/A$  is right flat.  $\therefore$

Prop.  $R/A$  is right flat for an ideal  $A$  iff  $A = A^2$  and  $M = AM \Rightarrow M$  is firm.

~~It would be better to formulate this independently of  $R$  as follows~~

Prop.  $A$  has local left identities  $\Leftrightarrow A = A^2$  and  $M = AM \Rightarrow M$  is firm for all modules  $M$ .



Assume  $A$  is such a ring. Then

$$M = AM \implies \text{Hom}_R(R/A, M) = 0.$$

In effect if  $K = \text{Hom}_R(R/A, M)$ , then  $AK = 0$  and

$$\begin{array}{ccccccc} \overset{0}{A} \otimes_A K & \rightarrow & A \otimes_A M & \xrightarrow{\sim} & A \otimes_A (M/K) & \rightarrow & 0 \\ \downarrow & & \downarrow & & \downarrow & & \\ 0 & \rightarrow & K & \rightarrow & M & \rightarrow & M/K \rightarrow 0 \end{array}$$

using  $M = AM$  and  $M/K = A(M/K)$ .  $\therefore K = 0$ .

Alternate proof using local left identities: Let  $Am = 0$ , write  $m = \sum a_i m_i$  and choose  $a \in A$  such that  $(1-a)a_i = 0$ . Then ~~the result follows~~  $m = am = 0$ .

Prop. Let  $A$  be a ring satisfying  $A = A^2$ . TFAE

- 1)  $A$  has local left identities
- 2)  $AM = M \implies M$  firm for all modules  $M$
- 3)  ${}_A M = \{m \mid Am = 0\}$  is zero for all firm modules

It remains to check  $3) \implies 2)$ . ~~the result follows~~ Take

~~the result follows~~ a module  $M$  s.t.  $AM = M$ . Then  $A \otimes_A M$  is firm and the kernel of  $A \otimes_A M \rightarrow AM$  is killed by  $A$ . By 3) the kernel is zero, and so  $M$  is firm.

Question: Is any idempotent ring Morita equivalent to a ring with local left identities?

Suppose  $\begin{pmatrix} A & Q \\ P & B \end{pmatrix}$  strictly firm such that  $B$  has local left identities. Then  $P$  as a  $B$ -module satisfying  $P = BP$  has local identities in the sense that  $\forall p_i, p'_i \exists b \in B$  such that  $(1-b)p'_i = 0$ . Conversely if this condition holds then as  $B = PQ$ , the ring  $B$  has local left identities. In this situation we also know that  $B$  is  $B^{\text{op}}$  flat, hence  $P$  is  $A^{\text{op}}$ -flat. Now, starting with  $A$  idempotent we have a sequential way to construct firm flat right modules  $P$ . Can

this be modified to yield local left identities or is there an obstruction?

I think we can arrange  $Q$  to be essentially free in the following sense. We want, starting from a finite set of  $p'_\mu$ , to construct  $b = \sum_i p_i g_i$  satisfying  $p'_\mu = \sum_i p_i (g_i p'_\mu)$  for all  $\mu$ . Here  $p_i, g_i$  can be added to what we already have. The function of  $g_i$  is to provide an  $A^{\text{op}}$ -linear map  $P \rightarrow A$  (or maybe  $\tilde{A}$ ).

~~the individual types of  $A$  being used~~ Imagine constructing  $P, Q$  inductively adding at each stage the necessary  $p_i, g_i$ . Then  $P$  is a flat firm module over  $A$  ~~and the  $g_i$  give~~ linear functionals on  $P$ . So we can replace the  $Q$  we might have with  $AF$ , where  $F$  is a free  $\tilde{A}$ -module whose basis elements map to the  $g_i$ . In other words we have  $F \otimes_{\tilde{A}} P \rightarrow A$  hence  $AF \otimes P \rightarrow A$ .

Consider  $A =$  maximal ideal in a valuation ring  $R$  such that the principal ideals are  $Rz^\epsilon$ ,  $\epsilon \in \mathbb{Q}$ . A firm flat  $A^{\text{op}}$ -module  $P$  is a torsion free  $R$ -module such that for any  $p \in P \exists \epsilon > 0, p_i \in P$  such that  $p = p_i z^\epsilon$ . Suppose we have a firm Morita context  $\begin{pmatrix} A & Q \\ P & B \end{pmatrix}$  where  $B$  has local left identities.

Then we know  $P$  is  $A^{\text{op}}$  flat firm and for every finite set  $p'_j \exists b = \sum p_i g_i$  such that

$$p'_j = \sum_i p_i g_i p'_j \quad \forall j$$

Take a single  $p'$ . We have  $p' \in \sum p_i R$  which is a torsion free finitely generated  $R$ -module.

Replacing <sup>the</sup>  $n$   $p_i$  by suitable linear combinations over  $R$ , we can assume they form an  $R$ -basis, and also that  $p' \in p_1 R$ .

Then  $p' = \sum p_i g_i p' \Rightarrow g_i p' = 0$  for  $i \neq 1$ . If  $p' = p_1 z^\varepsilon u$ , then  ~~$p' = p_1 z^\varepsilon u$~~   $p' = p_1 g_1 p'$ , so  $p_1 z^\varepsilon u = p_1 g_1 p_1 z^\varepsilon u$ , so  $p_1 = p_1 g_1 p_1$ , and so  $g_1 p_1 = 1 \in R$ . This contradicts the facts that  $g_i p_i \in A$ .

Try for a <sup>less computational</sup> ~~proof~~ proof as follows. The

condition  $p_j' = \sum_i p_i g_i p_j'$  says the  $B$ -module  $W =$

$\sum \tilde{B}_j'$  satisfies  $W \subset BW$ . So  $W$  is finitely generated

and  ~~$W = BW$~~ , so there should exist a simple object

in  $M(B)$ . Strictly speaking there's a non-nil simple

$B$ -module. But  $M(B) \simeq M(A)$  and  $A$  is a radical

ring so  $M(A)$  has no simple objects.

August 16, 1996

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Continue with the nondegeneracy question - whether any idempotent  $A$  is Morita equiv. to a  $B$  which injects into its multiplier ring.

I consider a special  $A$  where factoring:  $a = \sum a_i a_i'$  can be done explicitly and simply.

Let  $R$  be a valuation ring with value ~~group~~  $\mathbb{Z}$ . Let  $R$  be a valuation ring with value ~~group~~  $\mathbb{Z}$ , say there are powers  $z^\varepsilon$  for  $z \in \bigcup_{\varepsilon \in \mathbb{Z}} R z^\varepsilon$  so the principal ideals are  $\{Rz^\varepsilon\}$ . Let  $m = \bigcup_{\varepsilon > 0} Rz^\varepsilon$  be

the maximal ideal of  $R$ . Take  $A = m/mz$  and

let  $\bar{A} = m/Rz$ . Actually we start with  $\bar{A} \subset \bar{R} = R/Rz$  and note that  $A = m/mz = \bar{R} \otimes_R m$  is flat over  $\bar{R}$  and satisfies  $\bar{A}A = A$  so that  $A$  is firm flat over  $\bar{A}$ .

It should be clear from  $0 \rightarrow k \xrightarrow{z} A \rightarrow \bar{A} \rightarrow 0$  that  $A = \bar{A}^{(z)}$ . So we have a firm flat

commutative ring with a nonzero element  $z$  killed by  $A$ . When I consider a Morita context  $\begin{pmatrix} A & Q \\ P & B \end{pmatrix}$  this element  $z$  kills everything, creating difficulties with making things nondegenerate.

Claim there are firm flat  $A$ -modules  $M$  such that  ${}_A M = 0$ . Let  $F = \bigcup_{\varepsilon > t} Rz^\varepsilon$  where  $t$  is a real no.

$F$  is a flat  $R$ -module such that  $mF = F$  so  $M = F/Fz$  is a firm flat  $A$ -module. Let  $x \in F$  satisfy  $mx \in Fz$ . Up to units I can suppose  $x = z^\varepsilon$  with  $\varepsilon > t$ . Then  $z^{2-k} z^\varepsilon \in Fz \stackrel{(\forall k)}{\implies} 2^{-k} + \varepsilon \geq t+1$   $(\forall k) \implies \varepsilon \geq t+1$ . If  $t \notin \bigcup 2^{-n}\mathbb{Z}$  then  $\varepsilon > t+1$ , so  $x \in Fz$ . Thus in this case  $M = F/Fz$  has no nonzero element killed by  $A$ .

Let's take  $Q = F_t / F_t z$ ,  $F_t = \bigcup_{z \in \mathbb{Z}} R z^e$ . 112

I'd like to find an appropriate  $P$ . The obvious candidate which pairs nicely with  $Q$  is  $P = F_t / F_t z$ . The pairing  $Q \otimes P \rightarrow A$  is surjective and in fact it looks like  $Q \otimes_A P \xrightarrow{\sim} A$ , whence  $B = P \otimes_A Q$  is also  $A$ . So although I've managed to make  ${}_A Q$ ,  $P_{(A)}$  zero,  $B$  is still degenerate.