

January 2, 1993

Yesterday I got tied up with the problem of relating bivariant groups defined as the cohomology groups of a mapping complex

$$H^k(C, C') = H^k(\text{Hom}(C, C'))$$

with cup product induced by composing operators, to bivariant groups defined from  $H^0$  with cup product using the suspension automorphism. The latter construction is discussed in Verdier's paper on derived categories SGA 4 $\frac{1}{2}$  (at the beginning of the paper). He starts with ~~an~~ an additive category  $\mathcal{A}$  equipped with 'translation' automorphism  $\Sigma$  + defines

$$\text{Hom}^k(C, C') = \text{Hom}(C, \Sigma^k C')$$

with composition

$$(g: C' \rightarrow \Sigma^s C'') (f: C \rightarrow \Sigma^k C') = ((\Sigma^k g) f: C \rightarrow \Sigma^{k+s} C'')$$

I have the following thoughts about this. What's at issue here is a category whose Hom sets are  $\mathbb{Z}$  graded. We can do this with additive categories or discretely, i.e. ~~—~~  $\text{Hom}^*(x, x') = \text{disjoint union of } \text{Hom}^k(x, x'), k \in \mathbb{Z}$ . In the latter case this structure amounts to a functor from the category, call it  $\mathcal{C}$ , to the groupoid  $\mathbb{Z}$ . ~~Restricting to~~ Restricting to  $\text{Hom}^0$  is the same as taking the fibre  $\mathcal{C}^0$  over the unique

object of the groupoid  $\mathbb{Z}$ . ~~is~~ To say  $C$  arises from  $C^0$  and an automorphism means that we have a scinded fibred category.

It's tempting to think that systematic use of fibred category language might allow one to work with the category of spectra easily. I also feel one might profitably look at replacing  $\mathbb{Z}$  by its group ring in some way.

Return to problem. Supposing

$$H^k(C, C') = H^k(\text{Hom}(C, C'))$$

is used as defn, how do we identify

$$H^0(C, \Sigma^k C') \quad ; \quad H^k(C, C')$$

and show that the cup product on the right is  $g, f \mapsto (\Sigma^k f) g$  on the left.

Regard  $H^k(-, -)$  as a bifunctor on the category with morphisms defined via  $H^0$ . A natural transf.

$$H^0(C, \Sigma^k C') \longrightarrow H^k(C, C')$$

is equivalent to one

$$H^0(\Sigma^k C, C') \longrightarrow H^k(C, C')$$

is equivalent to one

$$H^0(C, C') \longrightarrow H^k(\Sigma^k C, C')$$

which by Yoneda is the same as giving

element  $\sigma_C^k \in H^k(\Sigma^k C, C)$

compatible with morphisms, i.e.  
 $\forall f: C \rightarrow C'$  we have

$$\boxed{f \circ \sigma_C^k = \sigma_{C'}^k (\Sigma^k f)} \quad \text{in } H^k(\Sigma^k C, C')$$

We need the following compatibility

$$\boxed{\sigma_C^{j+k} = \sigma_C^j \circ \sigma_{\Sigma^j C}^k}$$

$\downarrow$   $H^j(\Sigma^j C, C)$        $\downarrow$   $H^{j+k}(\Sigma^{j+k} C, \Sigma^j C)$

Now define the map

$$H^0(C, \Sigma^k C') \longrightarrow H^k(C, C')$$

by  $f \longmapsto \sigma_{C'}^k f$

Then  $H^0(C', \Sigma^j C'') \longrightarrow H^j(C', C'')$

$g \longmapsto \sigma_{C''}^j g$

Calculate product on the right:

$$\begin{aligned} (\sigma_{C''}^j g) (\sigma_{C'}^k f) &= \sigma_{C''}^j \sigma_{\Sigma^j C''}^k (\Sigma^k g) f \\ &= \sigma_{C''}^{j+k} ((\Sigma^k g) f) \end{aligned}$$

Because  $\sigma_C^0 = \text{id}_C$  +  $\mathbb{Z}$  is a group these maps  $f \mapsto \sigma_{C'}^k f$  are bijections.

January 14, 1993

I want to summarize some observations made while trying to understand how bivariate cyclic cohomology  $HC^*(M, M')$  together with cup product reduces to degree zero and the suspension. Simple situation: the bivariate cohomology with cup product

$$H_1^*(M, M') = H^*(\text{Hom}_1(M, M'))$$

$$H_5^*(P, P') = H^*(\text{Hom}_5(P, P'))$$

for mixed complexes and  $S$ -modules.

The first point (included in paper) is that these ~~are~~ are equivalent to graded homotopy categories  $\text{Ho}^* \mathcal{C}_1, \text{Ho}^* \mathcal{C}_5$ , that there are canonical elements

$$\sigma_{k, M} \in H_1^{-k}(M, M[k]), \quad \sigma_{k, P} \in H_5^{-k}(P, P[k])$$

which allow one to



a) define  $\Sigma$  as an automorphism of  $\text{Ho} \mathcal{C}_1, \text{Ho} \mathcal{C}_5$

b) identify the graded homotopy categories with the graded cats constructed from the ordinary homotopy categories and  $\Sigma$

This is a mouthful, but the upshot is that ~~it~~ it is better to ~~start~~ start with the graded homotopy categories than to obtain them by constructing  $\Sigma$  as a functor. This view



is also supported by the idea that the graded categories are bifibred categories over the group  $\mathbb{Z}$  in some sense.

Next consider the functors  $B, \wedge \otimes -$ . The second point is that one should think of adjoint functors between two categories as a ~~box~~ bifunctor which is representable in either variable, and then it is natural to form the union of the <sup>two</sup> categories glued together with new maps given by the bifunctor. In the example being considered the bifunctor is

$$H_{\mathbb{Z}}^*(P, M) = H_{\Lambda}^*(\wedge \otimes P, M) = H_S^*(P, BM)$$

so we have  $(H_0^*(C_S)) \cup (H_0^*(C_{\Lambda}))$  with three kinds of maps:  $H_{\Lambda}^*(M, M')$ ,  $H_S^*(P, P')$ ,  $H_{\mathbb{Z}}^*(P, M)$ . In

this category we still have  $\Sigma$  on objects and the  $\sigma$  classes for objects, so we conclude that  $(H_0^*(C_S)) \cup (H_0^*(C_{\Lambda}))$  reduces to degree 0 and  $\Sigma$ .

It's not necessary to specify the canonical isomorphism  $B(M[k]) \cong (BM)[k]$ .

A moral here is that we should probably start thinking in terms of categories whose Hom sets are complexes like

$$\text{Hom}_{\Lambda}(M, M'), \quad \text{Hom}_{\mathbb{Z}}(P, M), \quad \text{Hom}_S(P, P').$$

January 18, 1993

List ideas which might be relevant for the program (future program) of constructing homotopy equivalences with the aid of connections.

It seems that the example  $C_A \rightleftharpoons C_S$  should be studied very carefully, actually the homotopy categories  $HoC_A \rightleftharpoons HoC_S$  are better.

We have adjoint functors  $X \xrightleftharpoons[G]{F} Y$  in the homotopy category situation such that the following holds. The adjunction map  $\alpha_x: FGX \rightarrow X$  is an isomorphism when  $X = FY$ , and  $\beta_y: Y \rightarrow GFY$  is an isomorphism when  $Y = GX$ . Thus from

$$\begin{array}{ccc} FX & \xrightarrow{F(\beta_y)} & FGFY \xrightarrow[\sim]{\alpha_{FY}} FY \\ GX & \xrightarrow[\sim]{\beta_{GX}} & GFGX \xrightarrow{G(\alpha_x)} GX \end{array}$$

(recall these compositions are the identity)

these conditions are equivalent to

$$\begin{array}{l} F(\beta_y) \text{ isom} \quad \forall Y \\ G(\alpha_x) \text{ isom} \quad \forall X. \end{array}$$

Is it interesting to allow isomorphisms in the graded homotopy category which are inhomogeneous? Units in a bivariate cohomology ring?

Suppose  $T$  is an operator on  $V$  satisfying a polynomial relation  $(fg)(T) = 0$  where  $f, g \in \mathbb{C}[X]$  are relatively prime.

Claim

(i)  $V = \text{Ker}_V f(T) \oplus f(T)V$

where  $f(T)$  is invertible on  $f(T)V$

(ii) Let  $P = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$ ,  $R = \begin{pmatrix} 0 & 0 \\ 0 & f(T)^{-1} \end{pmatrix}$  relative to this splitting. Then  $P, R$  are polynomials in  $T$ .

(iii)  $\text{Ker}_V f(T) = g(T)V$ ,  $\text{Ker}_V g(T) = f(T)V$ .

This is standard starting from a choice of  $a, b \in \mathbb{C}[x]$  such that

$$af + bg = 1.$$

One gets  $P = (bg)(T)$ . Then  $f(T)P = 0$

$$1 - P = (af)(T) = a(T)f(T) = f(T)a(T)$$

so  $\text{Im } P \subset \text{Ker } f(T) \subset \text{Ker}(1-P) \subset \text{Im } P$

$$\text{Im}(1-P) \subset \text{Im } f(T) \subset \overset{\text{Ker } P}{\text{Im } P} \subset \text{Im}(1-P)$$

etc. But what is worth mentioning is the link with special contracting homotopies. Write  $f$  for  $f(T)$  etc. ~~Let~~ Let

$$d = \begin{pmatrix} & g \\ f & \end{pmatrix} \quad h = \begin{pmatrix} & a \\ b & \end{pmatrix}$$

Then

$$\begin{aligned} dh + hd &= \begin{pmatrix} gb & \\ & fa \end{pmatrix} + \begin{pmatrix} af & \\ & bg \end{pmatrix} \\ &= \begin{pmatrix} gb + af & 0 \\ 0 & fa + bg \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \end{aligned}$$

Making this contracting homotopy special;

$$hdh = \begin{pmatrix} a & \\ b & \end{pmatrix} \begin{pmatrix} gb & 0 \\ 0 & fa \end{pmatrix} = \begin{pmatrix} 0 & afa \\ bgb & 0 \end{pmatrix}$$

then gives the operator  $R = afa$  which is 0 on  $PV$  and the inverse of  $f$  on  $P^\perp V$ :

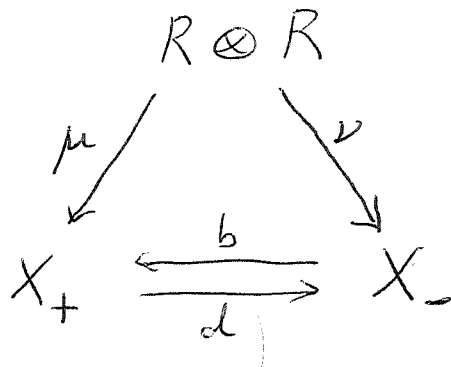
$$(afa)P = (afa)(gb) = 0$$

$$f(afa) = fa(1 - gb) = fa = 1 - P.$$

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In connection with the structure on  $X(R)$  the following seems to be worth recording. We have two pairings



$$\mu(x \otimes y) = xy$$

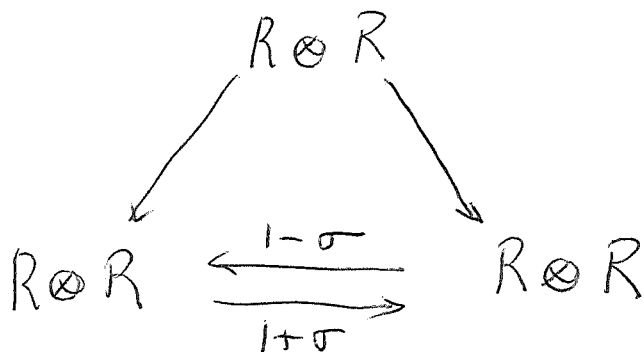
$$\nu(x \otimes y) = \eta(xdy)$$

such that

$$b\nu(x \otimes y) = \mu(x \otimes y) - \mu(y \otimes x)$$

$$d\mu(x \otimes y) = \nu(x \otimes y) + \nu(y \otimes x)$$

The universal situation is



This is the degree 2 piece of the  $X$ -complex of  $T(V)$  in the case  $V = R$ . The other pieces are related to associativity properties which I haven't yet mentioned, namely

$$\mu(xy, z) = \mu(x, yz)$$

$$\nu(x, yz) = \nu(xy, z) + \nu(zx, y)$$

Consider  $C(A)_n = A^{\otimes n+1}$ ,  $n \geq 0$   
 with differential  $b$ , let  $s(a_0, \dots, a_n) = (1, a_0, \dots, a_n)$ .  
 We have

$$[b, s] = \underbrace{[b', s]}_1 + [c, s]$$

$$cs(a_0, \dots, a_n) = c(1, a_0, \dots, a_n) = (-1)^{n+1} (a_n, a_0, \dots, a_{n-1})$$

$$\therefore \boxed{cs = -\lambda}$$

$$sc(a_0, \dots, a_n) = (-1)^n (1, a_n, a_0, a_1, \dots, a_{n-1})$$

Thus

$$[b, s] = 1 - \kappa \quad \text{where}$$

$$\kappa = \lambda - sc$$

$$\kappa(a_0, \dots, a_n) = (-1)^n (a_n, a_0, \dots, a_{n-1})$$

$$+ (-1)^{n-1} (1, a_n, a_0, a_1, \dots, a_{n-1})$$

so we have defined the Karoubi operator on unnormalized chains.

Does  $\kappa$  on  $A^{\otimes n+1}$  satisfy a polynomial identity? Let

$$W_i = A^{\otimes i-1} \otimes 1 \otimes A^{\otimes n-i} \subset A^{\otimes n+1}, \quad 0 \leq i \leq n$$

and recall that  $W_1 + W_2 + \dots + W_n$  is the degenerate subspace. Also recall that

$$A^{\otimes n+1} / W_1 + \dots + W_n = A \otimes \bar{A}^{\otimes n} = \Omega^n A$$

Take  $\xi = (a_0, \dots, a_{i-1}, 1, a_{i+1}, \dots, a_n) \in W_i$  with  $1 \leq i \leq n-1$ .

Then 
$$\kappa \xi = (-1)^n (a_n, a_0, \dots, a_{i-1}, 1, a_{i+1}, \dots, a_{n-1})$$
  

$$+ (-1)^{n-1} (1, a_n, a_0, \dots, a_{i-1}, 1, a_{i+1}, \dots, a_{n-1}) \in W_{i+1}$$

If  $i = n$ ;  $\xi = (a_0, \dots, a_{n-1}, 1)$ , then



degree, hence we spectral decomposition  
into ~~generalized~~ ~~ergenspaces~~ ~~generalized~~ ~~ergenspaces~~

$$C = \bigoplus_{z \in \mathbb{C}} \underbrace{\bigcup_n \text{Ker}((K-z)^n; C)}_{C_z}$$

which are subcomplexes. From the polynomial relation we know that

$$C_z = \begin{cases} \text{Ker}((K-1)^2; C) & z = 1 \\ \text{Ker}((K-1); C) & z = j \text{ root of unity } \neq 1. \\ \bigcup_n \text{Ker}(K^n; C) & z = 0 \\ 0 & z \text{ otherwise} \end{cases}$$

Consider next the homology of  $C_z$ . Now in general we know that the spectral decomposition restricts to that of any  $K$ -invariant subspace or quotient space. Thus

$$H_* C = \bigoplus_z H_* C_z$$

is the spectral decomposition of  $K$  acting on  $H_* C$ .

But  $K$  is the identity on  $H_* C$  since it is homotopic to the identity on  $C$ . Thus

$$\boxed{C_z \text{ is acyclic } z \neq 1}$$

Let's now use  $[b, s] = 1 - K$  to construct a contracting homotopy for  $C_z, z \neq 1$ . One has to proceed differently than in the case of  $\Omega$  since  $s$  doesn't commute with  $K$ . Let  $P_z$  be the spectral projection onto  $C_z$ .

$$[b, P_z s P_z] = P_z [b, s] P_z = P_z (1 - K) P_z = (1 - K) P_z$$



Thus  $(1-K)$  on  $C_z$  is homotopic to zero with homotopy operator  $P_z s P_z$ .

If  $z \neq 1$ , then  $1-K$  is invertible on  $C_z$  and we get a contracting homotopy using the Green's operator  $G$  for  $1-K$  as follows

$$[b, GP_z s P_z] = G[b, P_z s P_z] = G(1-K)P_z = P_z.$$

Lumping all  $z \neq 1$  together, we proceed as follows. Let  $P = P_1$ ,  $P^\perp = 1-P$ . Then

$$\begin{aligned} [b, GP^\perp s P^\perp] &= GP^\perp [b, s] P^\perp \\ &= GP^\perp (1-K) P^\perp \\ &= P^\perp \end{aligned}$$

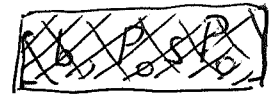
so that  $GP^\perp s P^\perp = G s P^\perp$  is a contracting homotopy for  $P^\perp C$ .

Notice now that we have a proof of the normalization theorem for the Hochschild complex. Namely,  $C_0$  is the degenerate subcomplex (here  $C_0 = \bigcup \text{Ker}(K^n; C)$ ) and we have shown that it is acyclic.

~~Let's go over this carefully. Put  $D = C_0 = W_1 + W_2 + \dots$  for the degenerate subspace. Then  $K$  on  $D$  is locally nilpotent, and it is invertible on  $C/D$ . We are using the spectral projection  $P_0$  to split  $C$  into  $P_0^\perp C \cong C/D$  and  $P_0 C = D$ . Also we need the inverse of  $1-K$  on  $D$  which is given by the geometric series. We want to contract  $D$ . We use~~

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$$[b, s] = 1-K$$



$$[b, P_0 s P_0] = P_0 (1 - \kappa) P_0 = (1 - \kappa) P_0$$

$$[b, \sum_{n \geq 0} \kappa^n P_0 s P_0] = P_0.$$

There is something noncanonical going on which I would like to understand. I have noticed that  $(1 - \lambda) s N_1$  is not Connes's B operator on  $C(A)$ . However Kassel has nicely observed that one should consider  $s$  to be any contracting homotopy for  $b'$ , and in this ~~perspective~~ perspective it makes sense for an H-unital algebra. We also have besides the  $s$  above, which puts a 1 in front, the operator which puts 1 on the right with the appropriate sign.

Let's work this out using the description  $C(A) = T_A(A \otimes A)$  with  $b'$  the degree -1 derivation extending the product  $A \otimes A \rightarrow A$ . Let  $\xi = 1 \otimes 1$ , so that  $b'(\xi) = 1$ . Then

$$s(x) = \xi x \quad b'(\xi x) = x - \xi b'x$$

Put  $h(x) = (-1)^{|x|} x \xi$ . Then

$$b'hx = b'(-1)^{|x|} x \xi = \underbrace{(-1)^{|x|} (b'x)}_{-h b'x} \xi + x$$

$$\text{so } h(a_0, \dots, a_n) = (-1)^n (a_0, \dots, a_n, 1)$$

$$\lambda h(a_0, \dots, a_n) = -(1, a_0, \dots, a_n) = -s(a_0, \dots, a_n)$$

and  $\boxed{h = -\lambda^{-1} s}$ .

If we use this contracting homotopy for  $C^{b'}$ , then the corresponding

$B$  operator is

$$\begin{aligned} B &= (1-\lambda)(-\lambda^{-1}s)N_\lambda \\ &= (1-\lambda^{-1})sN_\lambda \end{aligned}$$

$$\begin{aligned} \text{and } (1-\lambda^{-1})s(a_0, \dots, a_n) & \\ &= (1-\lambda^{-1})(1, a_0, \dots, a_n) \\ &= (\bullet 1, a_0, \dots, a_n) \bullet - (-1)^{n+1}(a_0, \dots, a_n, 1) \end{aligned}$$

This is Connes  $B_0$  operator (transposed to chains).

Suppose we use  $h$  in place of  $s$  as a homotopy operator. ~~Observe~~ Observe

$$\begin{aligned} (ch)(a_0, \dots, a_n) &= c(-1)^n(a_0, \dots, a_n, 1) \\ &= -(a_0, \dots, a_n) \end{aligned}$$

$$\therefore \boxed{ch = -1} \quad \left( \text{Recall } \boxed{cs = -1} \mid \boxed{h = -\lambda^{-1}s} \right)$$

$$\begin{aligned} \text{Then } [b, h] &= [b', h] + [c, h] \\ &= 1 + ch + hc = hc \end{aligned}$$

and  $(hc)^2 = h(-1)c = -hc$ . So  $-hc$  is an idempotent. In fact

$$(-hc)h = h$$

so that  $\text{Im}(-hc) = \text{Im}(h) = \boxed{A^{\otimes n} \otimes 1} = W_n$  in degree  $n$ . Thus all we learn is that  $W_n$  is acyclic.

Any further progress in this direction seems to require an understanding of the possible contracting homotopies  $h$  such that  $[b', h] = 1$ .

We recall the map ~~XXXXXXXXXXXXXXXXXXXX~~ 53

$$\tilde{\Omega} \tilde{A} \xrightarrow{(1 \ (1-\lambda)h)} C(A)$$

Check:

$$\begin{aligned} (1 \ (1-\lambda)h) \begin{pmatrix} b & (1-\lambda) \\ & -b' \end{pmatrix} &= (b \ (1-\lambda)(1-hb')) \\ &= (b \ (1-\lambda)b'h) = b (1 \ (1-\lambda)h) \end{aligned}$$

$$(1 \ (1-\lambda)h) \begin{pmatrix} 0 & 0 \\ N_\lambda & 0 \end{pmatrix} = ((1-\lambda)h N_\lambda \ 0)$$

$$= \underbrace{((1-\lambda)h N_\lambda)}_{\text{the } B \text{ you must use in order}}$$

that  $(1 \ (1-\lambda)h)$  is a map of mixed complexes.

Observe that

$$(1 \ (1-\lambda)h) \underbrace{\begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}}_{d \text{ on } \tilde{\Omega} \tilde{A}} = ((1-\lambda)h \ 0)$$

so if we could find  $h$  such that

$$[b', h] = 1 \quad ((1-\lambda)h)^2 = 0,$$

Then  $d$  on  $\tilde{\Omega} \tilde{A}$  would descend to  $(1-\lambda)h$   
and  $K$  would descend to give a  $K$  on  $C(A)$ .

Compute  $K^{-1}$  on  $\Omega$  and lift

$$\begin{aligned} & K^{-1}(a_0 da_1 \cdots da_n) \\ &= K^{-1}(d(a_0 a_1) da_2 \cdots da_n - da_0 a_1 da_2 \cdots da_n) \\ &= (-1)^{n-1} da_2 \cdots da_n d(a_0 a_1) + (-1)^n a_1 da_2 \cdots da_n da_0 \end{aligned}$$

Define  $K^*(a_0, \dots, a_n)$

$$\begin{aligned} &= (-1)^n (a_1, a_2, \dots, a_n, a_0) + (-1)^{n-1} (1, a_2, \dots, a_n, a_0 a_1) \\ &= \lambda^{-1}(a_0, \dots, a_n) + (-1)^{n-1} s(a_2, \dots, a_n, a_0 a_1) \\ &= \text{---} + s \lambda^{-1}(a_0 a_1, a_2, \dots, a_n) \\ &= \text{---} + s \lambda^{-1} c (-1)^n (a_1, a_2, \dots, a_n, a_0) \\ &= \text{---} + s \lambda^{-1} c \lambda^{-1}(a_0, \dots, a_n). \end{aligned}$$

Thus we have

$$K = \lambda - sc$$

$$K^* = \lambda^{-1} + s \lambda^{-1} c \lambda^{-1}$$

Recall the identities

$$cs = -\lambda$$

$$c \lambda^{-1} s = 1$$

$$\begin{aligned} KK^* &= (\lambda - sc)(\lambda^{-1} + s \lambda^{-1} c \lambda^{-1}) \\ &= 1 + \lambda s \lambda^{-1} c \lambda^{-1} - sc \lambda^{-1} - \underbrace{s(cs) \lambda^{-1} c \lambda^{-1}}_{-\lambda + s c \lambda^{-1}} \end{aligned}$$

$$KK^* = 1 + \lambda s \lambda^{-1} c \lambda^{-1}$$

- a projection ~~with~~ with image =  $\text{Im}(\lambda s)$   
 since  $(-\lambda s \lambda^{-1} c \lambda^{-1})(\lambda s) = -\lambda s \lambda^{-1} \underbrace{cs}_{-\lambda} = \lambda s$

$$\begin{aligned} K^*K &= (\lambda^{-1} + s \lambda^{-1} c \lambda^{-1})(\lambda - sc) \\ &= 1 - \lambda^{-1} sc + \cancel{s \lambda^{-1} c} - \cancel{s \lambda^{-1} c \lambda^{-1} sc} \\ &= 1 - \lambda^{-1} sc \end{aligned}$$

a projection with image =  $\text{Im}(\lambda^{-1} s)$   
 since  $(\lambda^{-1} sc) \lambda^{-1} s = \lambda^{-1} s$ .

The preceding page is probably misguided as there's no reason for  $K^*$  to commute with  $b$ .

A better approach is to start with a homotopy operator joining  $K^{-1}$  to the identity on  $\Omega$  and lift this homotopy operator. Thus on  $\Omega$  we have

$$[b, -K^{-1}d] = -K^{-1}(1-K) = 1 - K^{-1}$$

and  $-K^{-1}d = d(-K^{-1})$ . So what should I lift  $-K^{-1}d$  to?

$$\begin{aligned} (K^{-1}d)(a_0 da_1 \dots da_n) &= K^{-1} da_0 \dots da_n \\ &= (-1)^n da_1 \dots da_n da_0 \end{aligned}$$

$$\Leftrightarrow (-1)^n (1, a_1, \dots, a_n, a_0)$$

$$= s\lambda^{-1}(a_0, \dots, a_n)$$

This yields  $-s\lambda^{-1}$  as lifting

The other possibility is  $-\lambda^{-1}$  which we know is the other <sup>contracting</sup> homotopy operator for  $b'$ . We have

$$[b, -\lambda^{-1}s] = \underbrace{[b', -\lambda^{-1}s]}_{\downarrow} \bullet - \underbrace{c\lambda^{-1}s - \lambda^{-1}sc}_{\downarrow}$$

$$\therefore [b, -\lambda^{-1}s] = -\lambda^{-1}sc = 1 - (1 + \lambda^{-1}sc)$$

$$\text{Note } K^*s = (\lambda^{-1} + s\lambda^{-1}c\lambda^{-1})s = \lambda^{-1}s + s\lambda^{-1}$$

so maybe you want to combine  $-s\lambda^{-1}$  and  $-\lambda^{-1}s$

Note that if  $h^2 = 0$ , then  $b$  commutes with  $[b, h]$ .

Consider  $[b, s] = 1 - K$ ,  $K = \lambda - sc$   
 on  $C(A)$ . We saw that  $K^n(K^{n-1})(K^{n+1}) = 0$   
 on  $C_n = A^{\otimes n+1}$ , with  $K^n = 0$  on  $D_n = W_1 + \dots + W_n$   
 and  $(K^{n-1})(K^{n+1}) = 0$  on  $C_n/D_n$ , hence  $K$   
 invertible on  $C_n/D_n$ . We know then that  
 $C_n$  splits into  $C_n = K_n \oplus D_n$  where  $K$  is  
 invertible on  $K_n$ . It seems that this splitting  
 is what one obtains from the normalization theorem.  
 To check this carefully.

Recall that  $C$  is a simplicial module  
 where the faces are

$$d_i(a_0, \dots, a_n) = (\dots, a_i a_{i+1}, \dots) \quad 0 \leq i \leq n-1$$

$$d_n(a_0, \dots, a_n) = (a_n a_0, a_1, \dots, a_{n-1})$$

and the degeneracies are

$$s_i(a_0, \dots, a_n) = (\dots, a_i, 1, a_{i+1}, \dots) \quad i=0, \dots, n.$$

I think this is OKAY. If so then

$$\begin{aligned} D_n &= \sum_{i=0}^{n-1} s_i C_{n-1} \\ &= \sum_{i=1}^n W_i \end{aligned}$$

$$\begin{aligned} s_i C_{n-1} &= s_i A^{\otimes n} = A^{\otimes i+1} \otimes 1 \otimes A^{\otimes n-i-1} \\ &= W_{i+1} \text{ in } A^{\otimes n+1} \end{aligned}$$

so ~~the~~ complement to  $D_n$  in  $C_n$  is  $\bigcap_{i=0}^{n-1} \text{Ker } d_i$

I think.

Calculate the ~~behavior~~ behavior of the  $d_i$  to  $K$

Recall  $K(a_0, \dots, a_n) = (-1)^n (a_n, a_0, \dots, a_{n-1}) + (-1)^{n-1} (1, a_n a_0, a_1, \dots, a_{n-1})$

Then  $\boxed{d_0 K = 0}$

Suppose next  $1 \leq i \leq n-1$ . Then

$$\begin{aligned}
 & (-1)^i d_i \mathcal{K}(a_0, \dots, a_n) \\
 &= (-1)^i d_i (-1)^n (a_n, a_0, \dots, a_{n-1}) + (-1)^i d_i (-1)^{n-1} (1, a_n, a_0, a_1, \dots, a_{n-1}) \\
 &= (-1)^{i+n} (a_n, a_0, \dots, a_{i-1}, a_i, \dots, a_{n-1}) + (-1)^{i+n-1} (1, a_n, a_0, a_1, \dots, a_{i-1}, a_i, \dots, a_{n-1}) \\
 &= (-1)^{i+1} \mathcal{K}(a_0, \dots, a_{i-1}, a_i, \dots, a_n) \\
 &= \mathcal{K}(-1)^{i-1} d_{i-1} (a_0, \dots, a_n). \quad \boxed{d_0 \mathcal{K} = 0}
 \end{aligned}$$

Thus we have  $\boxed{(-1)^i d_i \mathcal{K} = \mathcal{K}(-1)^{i-1} d_{i-1} \quad 1 \leq i \leq n-1}$

So if  $\xi \in \bigcap_{i=0}^{n-1} \text{Ker } d_i$ , then we have

$$d_i \mathcal{K} \xi = 0 \quad \text{for } i=0, 1, \dots, n-1$$

so it's clear that  $\mathcal{K}\left(\bigcap_{i=0}^{n-1} \text{Ker } d_i\right) \subset \left(\bigcap_{i=0}^{n-1} \text{Ker } d_i\right)$ . Thus

it seems so that the complement to  $D_n$  given by the simplicial normalization theorem is stable under  $\mathcal{K}$ , so this must be ~~the generalized eigenspace corresponding to the set of nonzero eigenvalues.~~  
 the generalized eigenspace corresponding to the set of nonzero eigenvalues.



April 15, 1993About Connes's  $B_0$ .

Let's write out what might go in the revision of part 2.

I think one should consider cochains  $f$  ( $f \in (\Omega A)^*$ ) satisfying  $fd = 0$  in preference to cocycles  $fb + fB = 0$ . The <sup>first</sup> reason is that  $f(b+B) = 0 \implies fbd = -fBd = 0$ , so  $fd = 0$  is a less restrictive condition. Secondly  $fd = 0$  is a homogeneous condition, i.e. it holds iff it holds for all the homogeneous components.

Next we have

Lemma: Assume  $fd = 0$ . Then TFAE

- 1)  $f$  harmonic:  $fP = f$
- 2)  $f$   $K$ -invariant:  $f(1-K) = 0$
- 3)  $fd$   $K$ -invariant:  $fd(1-K) = 0$ .

It's perhaps better to consider the three conditions on their own, and to discuss implications between them. Obviously

$$f \text{ } K\text{-invariant} \implies fd \text{ } K\text{-invariant} \quad (\text{as } [d, K] = 0)$$

$$\implies f \text{ harmonic} \quad \left( \begin{array}{l} \text{as } \text{Im}(1-K) \supset \\ \text{Im } P^\perp \\ \text{or } P^\perp = (1-K)E \end{array} \right)$$

Claims:

Ⓐ If  $fbB = 0$ , then ( $f$  harm.  $\implies f$   $K$ -invariant)

Ⓑ If  $fd = 0$ , then ( $fd$   $K$ -inv  $\implies f$   $K$ -invariant)

Why? Can suppose  $f$  homogeneous:  $f \in (\Omega^n A)^*$ . Then the identity  $E^{n(n+1)} = 1 + \text{tr } B$  on  $\Omega^n A$  shows  $K$  has

~~finite order~~ finite order

on  $\Omega^n / bB\Omega^n$ , hence  $P$  is given by averaging wrt  $K$ . Thus when  $f bB = 0$

$$fP = \frac{1}{n(n+1)} \sum_0^{n(n+1)-1} f k^t$$

$$\text{so } fP = f \iff f(1-K) = 0.$$

(b) Can suppose  $f \in (\Omega^n)^*$ . Since

$$f(1-K) = f(bd + db) = fdb$$

we have to show  $fdb = 0$ . But if  $fd$  is  $K$ -invariant, then

$$fdb = \frac{1}{n+1} fBb = -\frac{1}{n+1} fbB = -\frac{1}{n+1} fbd \sum_{j=0}^{n-1} k^j = 0.$$

~~A longer argument is that  $f b d \Rightarrow f k^t = f$  on  $\Omega^n$ , so  $Pf = \frac{1}{n} \sum_0^{n-1} f k^t$~~

A longer argument uses

$$\begin{aligned} f - fP &= f d G b + f b d G \\ &= f d (1-K) G^2 b \end{aligned}$$

so that  $fd$   $K$ -invariant  $\implies f$  harmonic.

Then note that  $f b d = 0 \implies f b B = \sum f b d k^t = 0$

so part (a) yields  $f$   $K$ -invariant.

An additional point ~~related to~~ the longer argument is that

$$\begin{aligned} f b d = 0 &\implies f k^n = f \quad \text{as } k^n = 1 + b d k^{-1} \text{ on } \Omega^n \\ &\implies fP = \frac{1}{n} \sum_{j=0}^{n-1} f k^j \end{aligned}$$

(This point is suggested by the desire to link  $P$  to Connes's normalizing process.)

Next consider  $A = \tilde{a}$  where  $a$  is unital, and identify  $\tilde{\Omega}\tilde{a}$  with  $C(a) \oplus \Sigma C(a)$ , more precisely

$$(\tilde{\Omega}\tilde{a})_n = a^{\otimes n+1} \oplus a^{\otimes n}$$

where  $a^{\otimes n} = 0$  for  $n \leq 0$ . We have Kasparov's map of mixed complexes

$$J = \begin{pmatrix} 1 & (1-\lambda)h \end{pmatrix} : \tilde{\Omega}\tilde{a} \longrightarrow C(a)$$

where  $h$  is a contracting homotopy for  $b'$ :

$$[b', h] = 1$$

and  $C(a)$  has the differentials  $b$  and  $B = (1-\lambda)hN_\lambda$ .

We obtain Connes's  $B$  by taking  $h = -\lambda^{-1}s$ .

We have  $B = B_0 N_\lambda$  where  $B_0 = (1-\lambda)(-\lambda^{-1}s) = (1-\lambda^{-1})s$ .

Let's record Connes's identity

$$\circledast \quad bB_0 + B_0 b' = 1 - \lambda$$

$$\begin{aligned} \text{i.e. } bB_0 + B_0 b' &= b(1-\lambda)h + (1-\lambda)hb' \\ &= (1-\lambda)(b'h + hb') = 1 - \lambda \end{aligned}$$

Claim: Pulling back via  $J = \begin{pmatrix} 1 & B_0 \end{pmatrix}$  gives a bijection between

$$1) \quad \psi \in C(a)^* \text{ such that } \begin{cases} \psi b B_0 = 0 \\ \psi B_0 (1-\lambda) = 0 \end{cases}$$

$$2) \quad f \in (\tilde{\Omega}\tilde{a})^* \text{ such that } \begin{cases} f b d = 0 \\ f d (1-k) = 0 \end{cases}$$

Proof. Let  $f = (\psi \varphi)$  and note that

$$\begin{aligned}
 fbd &= (\psi \ \varphi) \begin{pmatrix} b & 1-\lambda \\ & -b' \end{pmatrix} \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \\
 &= \begin{pmatrix} \psi b & \psi(1-\lambda) - \varphi b' \end{pmatrix} \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \\
 &= (\psi(1-\lambda) - \varphi b' \ 0)
 \end{aligned}$$

$$fd = (\psi \ 0) \quad fd(1-\kappa) = (\psi(1-\lambda) \ 0)$$

Suppose  $\psi$  given satisfying  $\psi b B_0 = \psi B_0 (1-\lambda) = 0$ .  
 Then  $J\psi = (\psi \ \underbrace{\psi B_0}_{\varphi})$ . Clearly we have  
 $fd(1-\kappa) = 0$ . To get  $fbd = 0$  we must  
 show  $\psi(1-\lambda) = \varphi b'$ . But by  $\otimes$

$$\psi(1-\lambda) = \psi B_0 b' + \cancel{\psi b B_0}^0$$

Conversely, <sup>suppose</sup> given  $f = (\psi \ \varphi)$  satisfying  
 $fbd = 0$ ,  $fd(1-\kappa) = 0$ , i.e.  $\psi(1-\lambda) = \varphi b'$ ,  $\psi(1-\lambda) = 0$ .

~~We will show~~ We will show  $\varphi b = 0$ . Two proofs:

~~1. We know (see above) that~~ We know (see above) that

$fbd = 0$ ,  $fd(1-\kappa) = 0 \implies fdb = 0$ . But

$$fdb = (\psi \ \varphi) \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} b & 1-\lambda \\ & -b' \end{pmatrix} = (\psi \ 0) \begin{pmatrix} b & 1-\lambda \\ & -b' \end{pmatrix} = (\varphi b \ \varphi(1-\lambda))$$

so  $\varphi b = 0$ . Directly, <sup>can</sup> assume  $\varphi \in (C(A)_n)^*$ . Then

$$\varphi N_\lambda = (n+1)\varphi, \quad \text{and}$$

$$(n+1)\varphi b = \varphi N_\lambda b = \varphi b' N_\lambda = \psi(1-\lambda) N_\lambda = 0.$$

so  $\varphi b = 0$ . Then we have using  $b = b' + c$ ,  $c\lambda^{-1} = 1$

$$\varphi = \varphi c\lambda^{-1} = -\varphi b' \lambda^{-1} = \psi(1-\lambda)(-\lambda^{-1}) = \psi B_0$$

so  $f = \psi J$ . Clearly we have  $\psi B_0 = \psi$  is  $\lambda$ -invariant, so it remains to check that  $\psi b B_0 = 0$ . But again by  $*$  we have

$$\psi(1-\lambda) = \underbrace{\psi B_0}_{b'} + \psi b B_0$$

so we win.

---

We see that  $B_0$  is analogous to  $d$  in some sense. First of all  $B_0$  on  $C(a)$  lifts  $d$  on  $\Omega a$ . Secondly, although  $d = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$  on  $\bar{\Omega} \tilde{a}$  does not descend to  $C(a)$ , the operator  $\begin{pmatrix} 0 & 0 \\ 1 & B_0 \end{pmatrix}$  does descend to  $B_0$ :

$$\begin{aligned} J \begin{pmatrix} 0 & 0 \\ 1 & B_0 \end{pmatrix} &= \begin{pmatrix} 1 & B_0 \end{pmatrix} \begin{pmatrix} 0 & 0 \\ 1 & B_0 \end{pmatrix} \\ &= \begin{pmatrix} B_0 & B_0^2 \end{pmatrix} \\ &= B_0 J \end{aligned}$$

The ~~big~~ big problem is that  $B_0^2 \neq 0$ .

Question: We have analyzed  $\kappa$  on  $C(a)$  defined by  $[b, s] = 1 - \kappa$ . Recall  $\kappa = \lambda - sc$ . What about  $[b, B_0]$ ?

We have

$$\begin{aligned} [b, B_0] &= \cancel{[b, B_0]} + B_0 b' + B_0 c \\ &= 1 - \lambda + (1 - \lambda^{-1})sc = 1 - (\lambda - sc) - \lambda^{-1}sc \end{aligned}$$

(The following might be useful:

$$[b, \beta_0] = 1 - \lambda - \lambda^{-1}sc + \lambda(\lambda^{-1}sc) \\ = (1 - \lambda)(1 - \lambda^{-1}sc).$$

Let's analyze the "new" Karoubi type operator

$$\lambda^{-1}sc + \lambda^{-1}sc = K + \lambda^{-1}sc$$

Note that the identity  $cl^{-1}s = 1$  implies  $\lambda^{-1}sc$  is a projector with image

$$\text{Im } \lambda^{-1}c = \mathbb{A}^{\otimes n} \otimes 1 = W_n \subset \mathbb{A}^{\otimes n+1}$$

and  $\text{Ker}(\lambda^{-1}sc) = \text{Ker}(c)$ .

Recall that  $KW_i \subset W_{i+1}$  ~~for  $1 \leq i \leq n-1$~~

for  $1 \leq i \leq n-1$  and that  $KW_n = 0$ . Now

$\lambda^{-1}sc$  carries  $\mathbb{A}^{\otimes n+1}$  into  $W_n = \mathbb{A}^{\otimes n} \otimes 1$ . Thus

$K + \lambda^{-1}sc$  carries  $W_i + \dots + W_n$  into  $W_{i+1} + \dots + W_n$

for  $1 \leq i \leq n-1$ , and it is the identity on  $W_n$ .

Since  $K + \lambda^{-1}sc \equiv K$  modulo  $W_1 + \dots + W_n$  we

thus see that  $K' = K + \lambda^{-1}sc$  satisfies the

polynomial relation

$$(K' - 1) K'^{n-1} (K'^n - 1) (K'^{n+1} - 1) = 0 \text{ on } (C(\mathbb{A}))_n = \mathbb{A}^{\otimes n+1}$$

Discussion: My feeling at this point is that further calculations with  $C(a)$  are unlikely to lead anywhere on the simplicial normalization problem for entire cyclic cohomology, i.e. whether the Getzler-Szenes and Connes definitions are equivalent.

Most promising approach: We know (I think) that there are heqs for the entire topology

$$\begin{array}{ccc} \bar{\Omega} \tilde{A} & & \Omega A \\ \downarrow & & \downarrow \\ P \bar{\Omega} \tilde{A} & & P \Omega A \end{array}$$

On the other hand the harmonic complexes perhaps can be understood in terms of  $X(R\tilde{A})$  and  $X(RA)$  respectively. ~~□~~ I think the key step will be to construct a lifting

$$\hat{\Omega} A \longrightarrow \hat{\Omega} \tilde{A}$$

corresponding to the nonunital homomorphism  $A \rightarrow \tilde{A}$ . This should be related to lifting  $\hat{R}A$  into  $\hat{R}(\tilde{A})$ , a problem I started looking at while at MIT. (I recall that there were some choices (non canonical stuff) which were confusing.)

~~It seems that the specific choice of  $B_0$  is mostly irrelevant. Thus given  $f \in (Q\tilde{A})^*$  satisfying  $f b d = 0$ ,  $f d(1-k) = 0$  we know  $f = (\psi \varphi)$  where  $\psi(1-\lambda) = \varphi b'$ ,  $\varphi b = \varphi(1-\lambda) = 0$ . Now we know that  $\varphi = \psi B_0 = \psi(1-\lambda)(-\lambda^{-1}s)$~~

~~Suppose  $h \neq 0$   $[B, h] \neq \lambda$ .  
We would like to know that  $\varphi = \psi(1-\lambda)h$ .~~

I have noticed that the homotopy operator  $s$  works as well as  $-\lambda^{-1}s$ . First let's recall that we are studying  $f$  such that  $fbd = fdb = 0$ , which means the components  $(\psi, \varphi)$  satisfy

$$\psi(1-\lambda) = \varphi b' \quad \varphi b = 0 \quad \varphi(1-\lambda) = 0.$$

Suppose  $f = f_n$  i.e. we have  $f = (\psi_n, \varphi_{n-1})$ . Then we can think of  $f$  as a link between the cyclic cocycles  $\psi_n b$  and  $\varphi_{n-1}$ .

$$\begin{array}{ccc} & \psi_n b & \\ \uparrow \psi_n b & & \\ \psi_n & \xrightarrow{1-\lambda} & \\ & \downarrow -b' & \\ \varphi_{n-1} & \longrightarrow & n\varphi_{n-1} \end{array}$$

There seems to be a concept of a good  $h$ , one such that any  $(\psi, \varphi)$  as above is such that  $\varphi = \psi(1-\lambda)h$ . Notice that we have either

$$\varphi = -\varphi c s = \varphi b' s = \psi(1-\lambda) s$$

$$\varphi = \varphi c \lambda^{-1} s = -\varphi b' \lambda^{-1} s = \psi(1-\lambda) (-\lambda^{-1} s) = \psi B_0$$



April 17, 1993

Recall that we have an equivalence  
between

a)  $f \in (\bar{Q}\tilde{a})^*$  such that  $fbd = 0, fd(1-\kappa) = 0$

b)  $\psi \in C(a)^*$  such that  $\psi b B_0 = 0, \psi B_0(1-\lambda) = 0$

given by  $\psi \mapsto f = \psi J = (\psi \ \psi B_0)$ . Here

$B_0 = (1-\lambda)h$  where  $h$  is a suitable ~~matrix~~

contraction:  $b'h + hb' = 1$ , for example  $h = s$  or  $(-\lambda^{-1})s$ .

Review the proof:

The conditions in a) ~~are~~ mean, for  $f = (\psi \ \varphi)$ ,  
that  $\psi(1-\lambda) = \varphi b'$ ,  $\varphi(1-\lambda) = 0$

and they imply  $\varphi b = 0$ . Thus if  $\varphi = \psi B_0$

~~we~~ we have  $\varphi(1-\lambda) = 0 \iff \psi B_0(1-\lambda) = 0$ . Next  
from  $(1-\lambda) = B_0 b' + b B_0$  we have

$$\psi(1-\lambda) = (\psi B_0)b' + \psi b B_0$$

so that if  $\varphi = \psi B_0$ , then  $\psi(1-\lambda) = \varphi b' \iff \psi b B_0 = 0$ .

The only thing to show is that given  $f$  as in a)  
one has  $\varphi = \psi B_0$ . But from  $\varphi(1-\lambda) = \varphi b = 0$  we have

$$\varphi = -\varphi c s = \varphi b' s = \psi(1-\lambda) s$$

$$\varphi = \varphi c \lambda^{-1} s = -\varphi b' \lambda^{-1} s = \psi(1-\lambda) (-\lambda^{-1} s) = \psi(1-\lambda^{-1}) s$$

in the two cases  $h = s, (-\lambda^{-1})s$ .

Notice that we are trying to prove

$$\varphi = \varphi b' h \quad \text{or} \quad \varphi h b' = 0$$

for our contraction  $h$ . Thus if we have an  $h$   
with this property, then ~~we~~ also  $hb'h$  has this  
property, so there are lots of possibilities

It might be worthwhile to try to understand for a unital algebra  $A$  the possible contractions  $h$  for  $b'$  such that

$$\varphi b = \varphi(1 \cdot 1) = 0 \implies \varphi h b' = 0$$

This might be susceptible to the filtration of  $C^1(A)$  Jacek studies.

---

The above equivalence seems too complicated to include in the revision of part 2. It seems better to consider  $T$  going from  $b+B$  cocycles in  $C(A)^*$  to those in  $(\Omega \tilde{A})^*$ .

May 7, 1993

I have noticed a link between simplicial normalization and special contractions in the sense of HPT.

Recall that the standard bimodule resolution (unnormalized) of  $A$ :

$$(1) \quad \longrightarrow A \otimes A \otimes A \xrightarrow{b'} A \otimes A \xrightarrow{b'} A \longrightarrow 0 \longrightarrow 0$$

is the DG algebra given by  $T_A(A \otimes A)$ , where the differential is the superderivation of degree  $-1$  extension the multiplication  $m: A \otimes A \rightarrow A$ . (This is also a DG coalgebra provided one shifts degrees by 1, namely the bar construction of  $A$ .)

If  $\eta = 1 \otimes 1$ , then  $b'(\eta^2) = 0$ , hence the two-sided ideal generated by  $\eta^2$  is a DG ideal. The quotient is the normalized standard resolution:

$$(2) \quad \longrightarrow A \otimes \bar{A} \otimes A \longrightarrow A \otimes \bar{A} \otimes A \longrightarrow A \otimes A \longrightarrow A \longrightarrow 0$$

and it's a DG algebra.

Another description of (1)+(2) is

$$T_A(A \otimes A) = A * \mathbb{C}[\eta]$$

$$T_A(A \otimes A) / (\eta^2) = A * (\mathbb{C}[\eta] / (\eta^2))$$

where  $\mathbb{C}[\eta]$  is the DG algebra generated by  $\eta$  of degree 1 with  $d(\eta) = 1$ .

Observe that

$$A * (\mathbb{C}[\eta] / (\eta^2)) \simeq A * \mathbb{C}[d] / (d^2)$$

$$\simeq \Omega A \tilde{\otimes} \mathbb{C}[d] / (d^2)$$

May 8, 1993

Let us consider the problem of ~~representing~~ representing a class in

$$\text{Ext}_A^n(M, N) = H^n(A, \text{Hom}(M, N))$$

explicitly by Hochschild cocycles. (Note that one has both non-normalized and normalized cocycles, which correspond to the two standard resolutions.)

Suppose the class is represented by

$$\begin{array}{ccccccc} \longrightarrow & P_n & \longrightarrow & \cdots & \longrightarrow & P_0 & \longrightarrow M \longrightarrow 0 \\ & \downarrow & & & & & \\ & N & & & & & \end{array}$$

where  $P$  is a projective resolution of  $M$ . Here  $M, N$  etc. are (left)  $A$ -modules. Then we have a diagram of bimodules

$$\begin{array}{ccccccc} \longrightarrow & \text{Hom}(M, P_n) & \longrightarrow & \cdots & \longrightarrow & \text{Hom}(M, P_0) & \longrightarrow \text{Hom}(M, M) \longrightarrow 0 \\ & \downarrow & & & & & \downarrow \\ & \text{Hom}(M, N) & & & & & \end{array}$$

where the sequence in the middle is exact.

Because  $P$  is a projective resolution of  $M$ , the complex  $\cdots \rightarrow P_1 \rightarrow P_0 \rightarrow M \rightarrow 0$  is acyclic, so as we work over a field, there exists a contraction  $h$ . This gives a right  $A$ -module contraction for the bimodule complex

$$\textcircled{*} \quad \cdots \rightarrow \text{Hom}(M, P_1) \rightarrow \text{Hom}(M, P_0) \rightarrow \text{Hom}(M, M) \rightarrow 0 \rightarrow \cdots$$

Let us now shift to the bimodule context.

Consider a complex  $Q_n^Q$  of  $A$  bimodules which is contractible as a complex of right  $A$ -modules, e.g.  $\textcircled{*}$ .

Look at  $\text{Hom}_{A^{\text{op}}}(Q, Q)$ .

This is a DG algebra, one has a homomorphism  $A \longrightarrow Z^0 \text{Hom}_{A^{\text{op}}}(Q, Q)$ ,

and an element  $h \in \text{Hom}_{A^{\text{op}}}^{-1}(Q, Q)$  with boundary 1. Here  $h$  is a contraction for  $Q$  as right  $A$ -module complex. Thus we get

$$A * \mathbb{C}[h] \longrightarrow \text{Hom}_{A^{\text{op}}}(Q, Q)$$

a homomorphism of DG algebras, where  $A$  has zero diff and  $\mathbb{C}[h]$  has differential such that  $d(h)=1$ .

If  $h$  is a special contraction, then we get

$$A * \mathbb{C}[h]/(h^2) \longrightarrow \text{Hom}_{A^{\text{op}}}(Q, Q)$$

But we have seen that  $A * \mathbb{C}[h]$  and  $A * \mathbb{C}[h]/(h^2)$  are the standard non-normalized and normalized bimodule resolutions of  $A$  respectively.

In the example  $\textcircled{*}$  we have a bimodule map  $A \longrightarrow Z_0 Q$ , hence a map

$$A * \mathbb{C}[h] \longrightarrow \text{Hom}_{A^{\text{op}}}(Q, Q) \longrightarrow \text{Hom}_{A^{\text{op}}}(A, Q) = Q$$

compatible with left  $A * \mathbb{C}[h]$  multiplication, right  $A$ -multiplication, and  $d$ .

Let's reformulate.  $A * \mathbb{C}[h]$  is a

DG algebra with grading  $|a|=0, |h|=1$  (lower indexing) and  $\partial a = 0, \partial h = 1$ . A DG module over  $A * \mathbb{C}[h]$  is a complex of  $A$ -modules together with a contraction. Thus if  $Q$  is a complex of bimodules with contraction respecting the right module structures, then  $Q$  becomes a left  $A * \mathbb{C}[h]$ , right  $A$  DG bimodule.

Consider now an  $A$ -module ~~complex~~ complex  $P$  which is a resolution of  $P_0$ :

$$\cdots \rightarrow P_2 \rightarrow P_1 \rightarrow P_0 \rightarrow 0 \rightarrow \cdots$$

and let  $h$  be a contraction of the underlying complex of vector spaces. Then  $P$  becomes a DG  $A * \mathbb{C}[h]$  module. Since the inclusion  $P_0 \hookrightarrow P$  is compatible with  $\partial$  <sup>and  $A$</sup>  we get a map of DG  $A * \mathbb{C}[h]$ -modules

$$(A * \mathbb{C}[h]) \otimes_A P_0 \rightarrow P$$

Next I want to discuss special contractions.

~~Consider~~ Consider the simplest example of an acyclic complex of  $A$ -modules which is nontrivial, namely, an exact sequence

$$0 \rightarrow P_2 \xrightarrow[\partial_2]{h_1} P_1 \xrightarrow[\partial_1]{h_0} P_0 \rightarrow 0$$

A contraction  $h = (h_1, h_0)$  is a pair satisfying

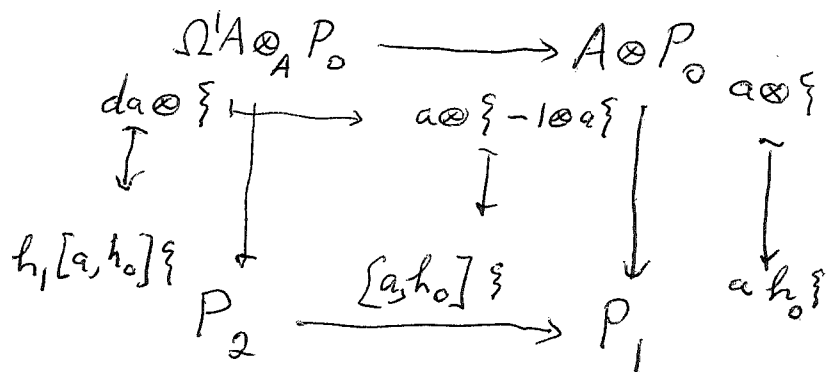
$$\begin{aligned} \partial_1 h_0 &= 1 \\ \partial_2 h_1 + h_0 \partial_1 &= 1 \\ h_1 \partial_2 &= 1 \end{aligned}$$

and it is special iff  $h_1 h_0 = 0$ . This is necessarily true:

~~so~~ so  $h_1 h_0 = (h_1 \partial_2)(h_0) = 0$

In this case the  $A * \mathbb{C}[h]$  module structure gives

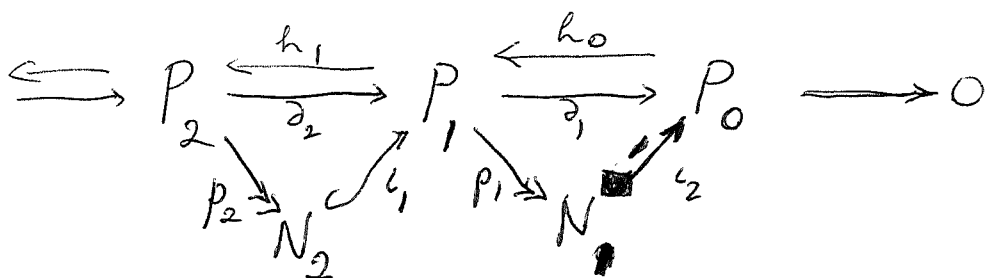
$$\begin{array}{ccccccc} 0 & \rightarrow & \Omega^1 A \otimes_A P_0 & \rightarrow & A \otimes P_0 & \rightarrow & P_0 \rightarrow 0 \\ & & \downarrow & & \downarrow & & \parallel \\ 0 & \rightarrow & P_2 & \rightarrow & P_1 & \rightarrow & P_0 \rightarrow 0 \end{array}$$



So the ultimate map  $\Omega^1 A \otimes_A P_0 \rightarrow P_2$  is  $a_0 da_1 \otimes \xi \mapsto a_0 h_1 [a_1, h_0] \xi$

The basic derivation is  $a \mapsto h_1[a, h_0] = h_1 a h_0$ .

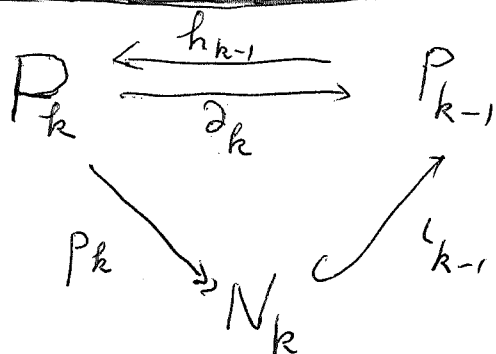
Next consider a longer complex



Note that from  $dh + kd = 1$  we get  $dh d = d$



Examine:



$$\begin{aligned}
 L_{k-1} P_k h_{k-1} L_{k-1} P_k & \\
 & = L_{k-1} P_k
 \end{aligned}$$

Since  $L_{k-1}$  injective,  $P_k$  surjective we have

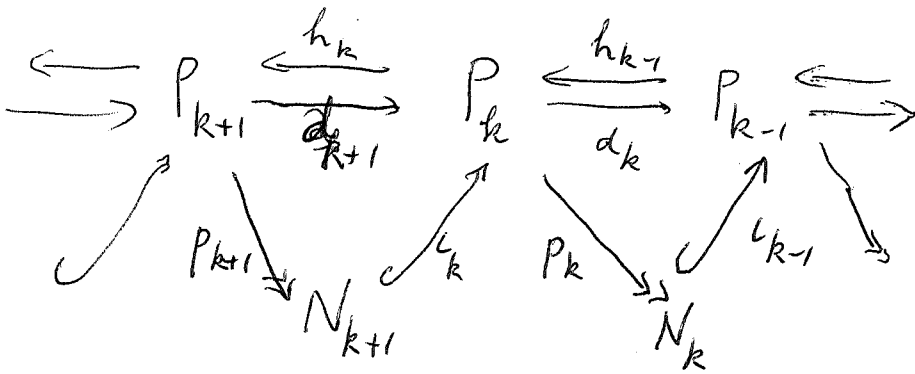
$$\boxed{P_k h_{k-1} L_{k-1} = 1}$$

Thus  $r_{k-1} = P_k h_{k-1}$

is a retraction for  $\iota_{k-1}$  and  $s_k = h_{k-1} \iota_{k-1}$  is a section of  $p_k$ .

Can ask whether  $h_{k-1} = s_k \circ \iota_{k-1} = h_{k-1} \iota_{k-1} p_k h_{k-1} = h_{k-1} \partial_k h_{k-1}$ . This is  $h = h d h$ , which holds exactly when  $h$  is special.

Let's repeat this starting with a complex with contraction



We have  $dh + hd = 1$  whence  $dhd = d$ . Then

$$d_k h_{k-1} d_k = d_k$$

$$\iota_{k-1} p_k h_{k-1} \iota_{k-1} p_k = \iota_{k-1} p_k$$

$$\Rightarrow \boxed{p_k h_{k-1} \iota_{k-1} = 1}$$

as  $p_k$  surj  $\iota_{k-1}$  inj

$\Rightarrow \begin{cases} r_{k-1} = \boxed{\phantom{p_k h_{k-1}}} p_k h_{k-1} \text{ is a retraction for } \iota_{k-1} \\ s_k \boxed{\phantom{h_{k-1} \iota_{k-1}}} = h_{k-1} \iota_{k-1} \text{ is a section of } p_k \end{cases}$

so  $\boxed{r_k \iota_k = 1 \mid p_k s_k = 0} \quad \forall k$

also  $\boxed{\iota_k r_k + s_k p_k = 1}$

as  $\iota_k r_k + s_k p_k = \iota_k p_{k+1} h_k + h_{k-1} \iota_{k-1} p_k = d_{k+1} h_k + h_{k-1} d_k = 1$



Now put  $h'_k = s_{k+1} r_k = h_k \underbrace{u_k}_{P_{k+1}} h_k$  74  
 $= h_k d_{k+1} h_k$ . Thus  $h' = h d h$  is a  
 special contraction. Then

$$r'_{k-1} = p_k h'_{k-1} = \underbrace{p_k h_{k-1} u_{k-1} p_k}_{1} h_{k-1} = p_k h_{k-1} = r_{k-1}$$

$$s'_k = h'_{k-1} u_{k-1} = h_{k-1} \underbrace{u_{k-1} p_k}_{1} h_{k-1} u_{k-1} = h_{k-1} u_{k-1} = s_k$$

This calculation shows that the process of taking a complex with contraction, ~~as~~ writing the ~~complex~~ as a splicing of short exact sequences, using the contraction to split the short exact sequences, then splicing these splittings to obtain a new contraction, is the same as the process of replacing a contraction  $h$  by the special contraction  $h d h$ .

Next note the analogy with HPT. We have a complex with  $A$ -module structure and contraction independent of each other. In HPT we have a complex with perturbed differential and contraction. (The two might become more similar in an appropriate cochain picture, since  $b' \theta = \theta^2$  in your old cochain theory.)

Consider for simplicity a double complex where the rows come with contractions.  $d =$  <sup>horizontal</sup> differential  
 $h =$  contraction,  $\theta$  vertical differential:

$$0 \leftarrow P_0 \xrightleftharpoons[h]{d} P_1 \xrightleftharpoons[h]{d} P_2 \xleftarrow{d}$$

Here the  $P_n$  are the columns of the double complex. The total complex has differential  $d - \theta$ . We then know ~~from~~ from HPT that the total complex is contractible.

Recall the formulas. There are many, at least three, namely

$$\tilde{h} = h \frac{1}{1-\theta h}, \quad h \frac{1}{1-[\theta, h]}, \quad \frac{1}{1-[h, \theta]} h$$

The last two are easy:

$$[d-\theta, h] = 1 - [\theta, h] = 1 - \theta h - h\theta = 1 - [h, \theta]$$

so one has  $[d-\theta, [\theta, h]] = 0$ . Thus

$$[d-\theta, h \frac{1}{1-[\theta, h]}] = [d-\theta, h] \frac{1}{1-[\theta, h]} = 1.$$

and similarly for  $\frac{1}{1-[\theta, h]} h$ . ~~the first~~

The first is harder:

$$\begin{aligned} [d-\theta, h \frac{1}{1-\theta h}] &= (1-\theta h - h\theta) \frac{1}{1-\theta h} + h \frac{1}{1-\theta h} \underbrace{[d-\theta, -\theta h]}_{-\theta^2 h + \theta + \theta^2 h - \theta h} \frac{1}{1-\theta h} \\ &= 1 - h\theta \frac{1}{1-\theta h} + h \frac{1}{1-\theta h} (1-\theta h) \theta \frac{1}{1-\theta h} \\ &= 1 \end{aligned}$$

These three choices for  $\tilde{h}$  coincide when  $h^2=0$ , e.g.

$$\begin{aligned} h \frac{1}{1-[\theta, h]} &= h \frac{1}{1-h\theta - \theta h + \theta h^2 \theta} = h \frac{1}{(1-\theta h)(1-h\theta)} \\ &= h \frac{1}{1-h\theta} \frac{1}{1-\theta h} = h \frac{1}{1-\theta h} \end{aligned}$$

Idea: Study carefully a filtered complex with contractions given on the layers, e.g. a bicomplex with horizontal contraction. The A-model structure might become a perturbation  $\theta$  of the differential via a suitable cochain calculus.

Cuntz's application to Nistor's bivariate Chern characters needs checking.

$Q = QA$ . As a vector space ~~is~~  
 this is  $\mathbb{N}$ -graded; let  $N$  be the ~~operator~~  
 corresponding degree operator:  $Nx = nx$  for  $x \in \Omega^n A$ .  
 Note  $N1 = 0$ . Write  $Q = Q_0 \oplus Q_1 \oplus \dots$   
 with  $Q_n = \Omega^n A$ .

Consider  $RQ$ . This depends only on  $Q$  as  
 a vector space with  $\perp$ . Claim the  $\mathbb{N}$  grading of  $Q$   
 inducing a corresponding  $\mathbb{N}$ -grading of  $RQ$ . Why?  
 One has an induced grading of  $TQ$  and the ideal  
 generated by  $\perp TQ - \perp Q$  is homogeneous since this  
 element has degree zero. Note that

$$RQ = RQ_0 * TQ_1 * TQ_2 * \dots$$

Put another way the linear operator  $N$  on  $Q$   
 extends to a derivation on  $TQ$  carrying the ideal  
 generated by  $\perp TQ - \perp Q$  into itself, so it induces  
 a derivation  $N$  of  $RQ$ . The eigenspaces of  $N$  then  
 yield the  $\mathbb{N}$ -grading of the algebra  $RQ$ .

One ~~has~~ <sup>next</sup> has an induced  $\mathbb{N}$ -grading of  $X(RQ)$   
 as supercomplex. The corresponding degree operator  
 is the Lie derivative  $L = L(1, N)$  associated to  $u = id$ ,  $\hat{u} = N$  on  $RQ$ .

Now Cuntz uses a different grading, namely  
~~the~~ the one obtained from the linear isomorphism

$$X(RQ) = \Omega Q = \bigoplus_n Q \otimes \bar{Q}^{\otimes n} \text{ and}$$

~~the~~ the grading on the latter coming from  
 the grading on  $Q$ . This isomorphism does the following:

$$\begin{aligned} \rho(x_0) \omega(x_1, x_2) \dots \omega(x_{2s-1}, x_{2s}) &\longleftrightarrow x_0 dx_1 \dots dx_{2n} \leftrightarrow (x_0, \dots, x_{2n}) \\ \int (\rho(x_0) \omega(x_1, x_2) \dots \omega(x_{2s-1}, x_{2s}) d[\rho(x)]) &\longleftrightarrow x_0 dx_1 \dots dx_{2n} dx \\ &\longleftrightarrow (x_0, \dots, x_{2n}, x). \end{aligned}$$

Let  $X(RQ) = \bigoplus E_n$  be Cuntz's grading. He ~~claims~~ that

$$(L - n) E_n \subset E_{n+2} + E_{n+4}$$

Go over the steps. Better, try to understand the filtration  $\mathcal{F}_I^p = \bigoplus_{n \geq p} E_n$  and why  $(L - p) \mathcal{F}_I^p \subset \mathcal{F}_I^{p+2}$ . The idea is that  $Q$  has the canonical  $J$ -adic filtration and that this induces a filtration on  $RQ$  and on  $X(RQ)$ .

Look at  $RQ$ . You have the  $J$ -adic filtration on  $Q$ . Does this induce a filtration on  $RQ$ , a decreasing filtration  $\mathcal{F}^p$  compatible with product?

Consider a decreasing filtration  $\mathcal{F}^p Q$  such that  $F^0 Q = Q$  and  $F^p Q \cdot F^q Q \subset F^{p+q} Q$ .

Better:  $Q = F^0 Q \supset F^1 Q \supset \dots$  such that  $\wedge$ .

There ~~there~~ <sup>should be</sup> an induced filtration on  $RQ$ .

Now in fact since  $RQ$  depends only on  $Q$  as vector space with  $\perp$  the filtration of  $RQ$  should depend only on the vector space filtration of  $Q$ . We might define it by

$$F^p RQ = \sum_{\substack{p_1 + \dots + p_n \geq p \\ \text{any } n}} \rho(F^{p_1} Q) \dots \rho(F^{p_n} Q)$$

What we have done is to take the induced filtration in  $TQ$ :

$$F^p(Q^{\otimes n}) = \sum_{p_1 + \dots + p_n \geq p} F^{p_1} Q \otimes \dots \otimes F^{p_n} Q$$

and take the image  filtration in  $RQ$ .

Another way to describe what's going on

is to split the filtration:

$$F^p Q = \bigoplus_{n \geq p} Q_n$$

assuming that this exists, i.e.  $\exists Q = \bigoplus_{n \geq 0} Q_n$   
 the above holds. Then we get a ~~degree~~  
 degree operator  $N$  on  $Q$  such that  $N1 = 0$ ,  
 so we get an extension of  $N$  to a derivation  
 on  $Q$  such that  $N_p(x) = p(Nx) \quad \forall x \in Q$ .  
 better, the grading of  $Q$  induces a grading  
 of the algebra  $RQ$ , and the degree operator for the  
 latter is the derivation  $N$  of  $RQ$  extending  $N$  on  $Q$ .

So far we only use the linear structure of  $Q$ .  
 Suppose now that we use the alg structure and  
 the condition  $F^p Q \cdot F^q Q \subset F^{p+q} Q$ . Then we have  
 a linear isomorphism

$$RQ = \Omega^{\text{ev}} Q = \bigoplus_{n \geq 0} Q \otimes \bar{Q}^{\otimes 2n}$$

and there is a filtration on the right side induced  
 by the filtration on  $Q$ , ~~which~~ which gives rise to  
 the filtration

$$F^p = \sum_{\substack{p_0 + \dots + p_{2s} \geq p \\ \text{any } s}} \rho(F^{p_0} Q) \omega(F^{p_1} Q, F^{p_2} Q) \dots \omega(F^{p_{2s-1}} Q, F^{p_{2s}} Q)$$

The question is whether this agrees with the  
 filtration  $F^p RQ$ . Let's try to prove some  
 inclusions. First

$$\omega(x, y) = \rho(xy) - \rho(x)\rho(y)$$

$$\begin{aligned} \text{so } \omega(F^{p_1} Q, F^{p_2} Q) &\subset \rho(F^{p_1} Q F^{p_2} Q) + \rho(F^{p_1} Q)\rho(F^{p_2} Q) \\ &\subset \rho(F^{p_1+p_2} Q) + \rho(F^{p_1} Q)\rho(F^{p_2} Q) \end{aligned}$$

$$\subset F^{p_1+p_2}RQ + F^{p_1}RQ \cdot F^{p_2}RQ$$

~~conclude~~

Now  $\{F^p RQ\}$  is an algebra filtration  
so that we ~~can~~ conclude

$$\omega(F^{p_1}Q, F^{p_2}Q) \subset F^{p_1+p_2}RQ$$

hence

$$\mathcal{F}^p = \sum_{\substack{p_0+\dots+p_{25} \geq p \\ \text{any } s}} \underbrace{\rho(F^{p_0}Q)}_{F^{p_0}RQ} \underbrace{\omega(F^{p_1}Q, F^{p_2}Q)}_{F^{p_1+p_2}RQ} \dots \omega(F^{p_{25-1}}Q, F^{p_{25}}Q)$$

$$\boxed{\mathcal{F}^p \subset F^p RQ.}$$

Suppose we show that  $\rho(F^p Q) \mathcal{F}^q \subset \mathcal{F}^{p+q}$

Then

$$\rho(F^{p_1}Q) \dots \rho(F^{p_n}Q) \mathcal{F}^q \subset \mathcal{F}^{p_1+\dots+p_n+q}$$

so

$$F^p RQ \cdot \mathcal{F}^q \subset \mathcal{F}^{p+q}$$

and then applying this to  $1 \in \mathcal{F}^0$  we find

$$\boxed{F^p RQ \subset \mathcal{F}^p}$$

To prove  $\rho(F^p Q) \mathcal{F}^q \subset \mathcal{F}^{p+q}$  consider

$$\rho(F^p Q) \cdot \rho(F^{p_0}Q) \omega(F^{p_1}Q, F^{p_2}Q) \dots \omega(F^{p_{25-1}}Q, F^{p_{25}}Q)$$

where  $p_0 + \dots + p_{25} \geq q$ . suffices to show

$$\rho(F^p Q) \cdot \rho(F^{p_0}Q) \subset \rho(F^{p+p_0}Q) + \omega(F^p Q, F^{p_0}Q)$$

clear from

$$\rho \left( \begin{matrix} x \\ \rho \end{matrix} \right) \rho \left( \begin{matrix} y \\ \rho \end{matrix} \right) = \rho \left( \begin{matrix} xy \\ \rho \end{matrix} \right) - \omega(x, y)$$

$F^p Q \quad F^{p_0} Q \quad F^{p+p_0} Q$

Thus we have proved  $\boxed{F^p RQ = \mathcal{F}^p}$

The next step is to bring in parity. Cuntz claims that  $\mathcal{F}^p = E_p \oplus \mathcal{F}^{p+1}$  where  $(L-p)E_p \subset E_{p+2} + E_{p+4}$ . It seems that for the overall  $\mathbb{Z}/2$  grading  $L$  has the eigenvalues  $0, 2, 4, \dots$  on  $(RQ)_+$  and  $1, 3, 5, \dots$  on  $(RQ)_-$ . Consider

$$p(x_1) \cdots p(x_n)$$

where  $x_i \in Q$  is homogeneous. This is an eigenvector for  $L$  with eigenvalue  $\sum_{i=1}^n |x_i|$ , so the assertion seems clear.

In more detail consider  $\mathcal{F}^p / \mathcal{F}^{p+1}$  and the  $\mathbb{Z}/2$  grading of this. I claim this quotient is of parity  $p + 2\mathbb{Z}$ . Take a typical generator for  $\mathcal{F}^p$  namely  $p(x_1) \cdots p(x_n)$  where  $x_i \in F^{p_i}Q$  and  $\sum p_i \geq p$ . Modulo  $\mathcal{F}^{p+1}$  we can assume  $\sum p_i = p$  and that  $x_i \in Q_{p_i}$ , whence the parity of the element is  $p + 2\mathbb{Z}$ .

May 15, 1993

I want to try to make Joachim's method work in the case  $B = \mathbb{C}$ . Thus given  $A \xrightarrow[\bar{\alpha}]{\alpha} L$  congruent mod  $I$  and a trace on  $I^p$ , I want to produce classes in  $HC^{2n}(A)$  for  $n$  large enough. Now I think Connes cyclic cocycles

$$\text{tr}(\varepsilon F[F, \theta]^{2n+1}) = 2 \text{tr}(\varepsilon \theta[F, \theta]^{2n})$$

do this for  $2n+1 \geq p$ .

My idea: We have two liftings

$$\begin{array}{ccc} RA & \xrightleftharpoons[\bar{\alpha}\pi]{\alpha\pi} & L \\ \downarrow \pi & & \downarrow \\ A & \longrightarrow & L/I \end{array}$$

which are homotopic, specifically by  $u_t: RA \rightarrow L$   
 $u_t(\rho a) = (1-t)\alpha a + t\bar{\alpha}a$ . This homotopy gives rise to an odd operator

$$H: X(RA) \rightarrow X(L)$$

$$\text{such that } [\partial, H] = (\alpha\pi)_* - (\bar{\alpha}\pi)_*$$

$$H(F_{IA}^g X(RA)) \subset F_I^g X(L) \quad \forall g$$

It follows that  $H: F_{IA}^g X(RA) \rightarrow F_I^g X(L)$  is an odd map of supercomplexes for  $g \geq 1$  (recall that the kernel of  $\pi_*: X(RA) \rightarrow X(A)$  is  $F_{IA}^1 X(RA)$ ).

Now recall also that

$$\begin{aligned} \overline{HC}_{2n}(A) &= H_+(\bar{X}(RA)/F_{IA}^{2n}\bar{X}(RA)) \\ &\cong H_-(F_{IA}^{2n}\bar{X}(RA)) = H_-(F_{IA}^{2n}X(RA)) \end{aligned}$$



Thus we get a map

$$\begin{aligned} \overline{HC}_{2n}(A) &\stackrel{=}{=} H_-(F_{IA}^{2n} X(RA)) \rightarrow H_+(F_I^{2n} X(L)) \\ &= H_+(I^{n+1} + [I^n, R] \hookrightarrow \mathcal{L}(I^n dR)) \\ &\in I^{n+1} + [I^n, R] / [I^n, R] \\ &= I^{n+1} / I^{n+1} \cap [I^n, R] \end{aligned}$$

Notice that the last space is a quotient of  $I^{n+1} / [I^n, I]$ . There are two things to be desired: first we don't quite get Nistor's range, namely, a class in  $HC^{2n}$  for  $n+1 \geq p$ , and we don't get Connes's range:  $2n+1 \geq p$ .

Here seems to be the nature of Joachim's argument at least in the case  $B = \mathbb{C}$ .

We have  $A \xrightarrow{J} Q$  congruent modulo  $J$  (here  $Q = QA, J = JA$ ) and we have a trace  $\tau$  on  $J^m$ . Then we have

$$\begin{array}{ccc} X(RA) & \xrightarrow{\begin{smallmatrix} \iota_* - \iota_*^{\#} \\ \text{comm.} \end{smallmatrix}} X(RQ) & \longrightarrow X(Q) \\ & \searrow & \cup \\ & & F^1 X(Q) \\ & & \cup \\ & & \vdots \\ & & \cup \\ & & F^k X(Q) \end{array}$$

where  $F^p X(Q)$  is the filtration on  $X(Q)$  induced by the filtration  $F^p Q = J^p$  on  $Q$ . I think this means

$$F^k X(Q) = F_{J^k}^{2k} X(Q)$$

$$F^p X(Q)_+ = F^p Q = J^p$$

$$F^p \Omega'(Q)_\natural = \sum_{k=0}^p \natural(J^{p-k} d(J^k))$$

Now for  $k \geq 1$  we have

$$\begin{aligned} \natural(J^{p-k} d(J^k)) &\subset \natural(J^{p-k} \sum_{i=0}^{k-1} J^i dJ J^{k-1-i}) \\ &\subset \sum_{i=0}^{k-1} \natural(J^{k-1-i} J^{p-k} J^i dJ) = \natural(J^{p-1} dJ) \end{aligned}$$

Thus  $F^p \Omega'(Q)_\natural = \natural(J^p dQ + J^{p-1} dJ)$

which proves the claim.

Structure of Joachim's argument. He considers a derivation  $D$  on  $RQ$  and the corresponding Lie derivative  $L_D$  on  $X(RQ)$ . One has ~~the~~ the Cartan homotopy formula  $L_D = [D, h_D]$ , where

$$h_D(F^p_{IQ} X(RQ)) \subset F^{p-2}_{IQ} X(RQ)$$

He defines  $S_n$  ~~by~~ by a polynomial <sup>of degree  $n$</sup>  in  $D$  with constant term  $1$ . Thus  $S_n$  is homotopic to the identity and of order  $\leq$  ~~order  $2n$~~   $2n$  with respect to the  $IQ$  ~~adic~~ adic filtration.

Next he has  $j, \bar{j} : X(RA) \rightarrow X(RQ)$  which are of order zero. Therefore he has

$$X(RA) \xrightarrow{j, \bar{j}} X(RQ) \xrightarrow{S_n} X(RQ)$$

of order  $\leq$  ~~order  $2n$~~   $2n$ .

Next there is the filtration  $\{F^p\}$  on  $X(RQ)$  which is associated to eigenvalue decomposition for  $D$ .

The point is that we have this homomorphism  $Q \longrightarrow L(H) \otimes B$ , hence  $RQ \longrightarrow L(H) \otimes RB$ .

$$\begin{array}{ccc} \text{Now } RQ & \longrightarrow & L(H) \otimes RB \\ \downarrow & & \downarrow \\ Q & \longrightarrow & L(H) \otimes B \end{array}$$

commutes so  $IQ \longrightarrow L(H) \otimes IB$ . What about the other filtration? Assumption is that the ideal  $J = \mathfrak{q}A \subset Q$  maps to  $K \otimes B$ ,  $K = \mathfrak{m}$ .

Notation  $\varphi: Q \longrightarrow L(H) \otimes B$ . Then

$$\begin{array}{ccc} \text{we have } Q & \xrightarrow{\varphi} & L(H) \otimes B \\ \downarrow \rho & & \downarrow 1 \otimes \rho \\ RQ & \xrightarrow{\varphi_*} & L(H) \otimes RB \end{array}$$

$\varphi_*$  unique homomorphism such that

$$\varphi_*(\rho(x)) = (1 \otimes \rho) \varphi(x).$$

By assumption  $\varphi(Q^n) \subset K^n \otimes B$ ,  $K^n = (\mathfrak{m}^n)^n$ . Thus it would appear that  $\varphi_*(\mathfrak{q}^n A) \subset K^n \otimes RB$ .

Let's go over the steps.

We have  $A \implies L \otimes B$  congruent modulo  $J \otimes B$ , whence we have

$$\begin{array}{ccccc} A \implies Q & \xrightarrow{\varphi} & L \otimes B \\ \downarrow \rho & & \downarrow 1 \otimes \rho \\ RA \implies RQ & \xrightarrow{\varphi_*} & L \otimes RB \\ \cup & & \cup \\ IA & & IQ & & L \otimes IB \end{array}$$

$$\begin{array}{ccc} X(RA) \implies X(RQ) & \longrightarrow & X(L \otimes RB) \\ \cup & & \cup \\ F_{IA}^p(RA) \implies F_{IQ}^p(RQ) & \longrightarrow & F_{L \otimes IB}^p(X(L \otimes RB)) \end{array}$$

In the end we seem to be heading  
toward two ideals

$$J \otimes RB, L \otimes IB \subset L \otimes RB$$

We have  $\varphi_*: RQ \longrightarrow L \otimes RB$

$$\varphi_*(p(x)) = (1 \otimes p) \varphi(x)$$

Now what do we know about  $\varphi: Q \longrightarrow L \otimes B$   
answer  $\varphi(o_A) \subset J \otimes B.$  ~~XXXXXXXXXX~~

May 18, 1993

Let  $R = A * \mathbb{C}[h] \simeq T_A(A \otimes A)$ ,  
let  $I = Rh^2R$ . Then

$$R/I \stackrel{\square}{=} A * (\mathbb{C}[h]/(h^2)) \simeq \Omega A \tilde{\otimes} \mathbb{C}[d]/(d^2)$$

I would like to calculate  $gr_I^p R = \bigoplus_P I^p/I^{p+1}$ .

The first thing we would like to show is that  $I$  is flat as a (left)  $R$ -module. This implies that

$$I \otimes_R \dots \otimes_R I \xrightarrow{\sim} I^P$$

$$(I/I^2) \otimes_R \dots \otimes_R (I/I^2) \xrightarrow{\sim} I^P/I^{P+1}$$

and reduces us to understanding  $I/I^2$  as an  $R/I$ -module.

Consider

$$0 \longrightarrow \Omega_A^1 R \longrightarrow R \otimes_A R \longrightarrow R \longrightarrow 0$$

and the result

$$\Omega_A^1 T_A(M) = T_A(M) \otimes_A M \otimes_A T_A(M)$$

This tells us that  $\Omega_A^1 R = R \otimes_A \underbrace{(A \otimes A)}_{A \# A} \otimes_A R = R \otimes_A R$ .

We thus have an exact sequence  $\underbrace{R \otimes R}_{A \# R} \longrightarrow R \otimes_A R \longrightarrow R \longrightarrow 0$ .

$$*) \quad 0 \longrightarrow R \otimes R \longrightarrow R \otimes_A R \longrightarrow R \longrightarrow 0$$

$$1 \otimes 1 \longmapsto h \otimes 1 - 1 \otimes h$$

This sequence splits as a sequence of right  $R$ -modules. So if  $M$  is a  $R$ -module we have an exact sequence

$$0 \longrightarrow R \otimes M \longrightarrow R \otimes_A M \longrightarrow M \longrightarrow 0$$

This implies that if  $M$  is  $A$ -projective, then  $M$  is of projective dimension  $\leq 1$  over  $R$ .

Apply this to  $M = R/I \cong \Omega_A \otimes \mathbb{C}[d]/(d^2)$

which we know is free ~~as~~ as  $A$  module.

Thus  $R/I$  is of projective-dimension  $\leq 1$  as  $R$  module, implying that  $I$  is a projective  $R$ -module.

Next take the sequence

$$0 \rightarrow R \otimes R/I \rightarrow R \otimes_A R/I \rightarrow R/I \rightarrow 0$$

and tensor with  $R/I$  on the left to get

$$\begin{array}{ccccccc} \text{Tor}_1^R(R/I, R \otimes_A R/I) & \rightarrow & \text{Tor}_1^R(R/I, R/I) & \rightarrow & R/I \otimes R/I & \rightarrow & 0 \\ \parallel & & \parallel & & \searrow & & \\ 0 & & I/I^2 & & R/I \otimes_A R/I & \rightarrow & R/I \rightarrow 0 \end{array}$$

i.e.

~~$$0 \rightarrow I/I^2 \rightarrow R/I \otimes R/I \rightarrow R/I \otimes_A R/I \rightarrow R/I \rightarrow 0$$~~

$$\begin{array}{ccccccc} 0 & \rightarrow & I/I^2 & \rightarrow & R/I \otimes R/I & \rightarrow & R/I \otimes_A R/I \rightarrow R/I \rightarrow 0 \\ & & h^2 & \mapsto & h \otimes 1 + 1 \otimes h & & \text{(because } dh^2 = dh h + h dh) \end{array}$$

(Check: 
$$\begin{aligned} h \otimes 1 + 1 \otimes h &\mapsto h(h \otimes 1 - 1 \otimes h) + (h \otimes 1 - 1 \otimes h)h \\ &= h^2 \otimes 1 - 1 \otimes h^2 = 0 \\ &\text{as } h^2 = 0 \text{ in } R/I. \end{aligned}$$
)

Conclusion:  $I/I^2$  is the image of the  $R/I$  bimodule map

$$R/I \otimes R/I \rightarrow R/I \otimes R/I$$

$$1 \otimes 1 \mapsto h \otimes 1 + 1 \otimes h$$

~~$$\text{Why is this well-defined, i.e. } a(h \otimes 1 + 1 \otimes h) = (h \otimes 1 + 1 \otimes h)a$$~~



$d \in R/I$  centralizes  $d \otimes 1 + 1 \otimes d$   
 so the image is a quotient of  
 $\mathbb{C}[d] \otimes_{\mathbb{C}[d]} \mathbb{C}[d] = \mathbb{C}[d]$ .

In fact this is the image so we find

$$\begin{array}{ccc} R/I \otimes_{\mathbb{C}[d]} R/I & \xrightarrow{\sim} & I/I^2 \\ 1 \otimes 1 & \longmapsto & D^2 \end{array}$$

Our conclusion therefore is that

$$\begin{aligned} gr_I R &= T_{R/I} (R/I \otimes_{\mathbb{C}[d]} R/I) \\ &= A\langle d \rangle \langle D^2 \rangle / ([d, D^2] = 0) \end{aligned}$$

This is reasonable for the following reason. We know  $R = A\langle D \rangle = A * \mathbb{C}[D]$ , and  $\mathbb{C}[D]$  is additively the same as  $\mathbb{C}[d] \langle D^2 \rangle / ([d, D^2] = 0)$ .

At this point I would like to understand the meaning of this result better. It would be nice to explicitly identify  $R = A\langle D \rangle$  with  $gr_I R = A\langle d \rangle \langle D^2 \rangle / ([d, D^2] = 0)$ .

Note that

$$\begin{aligned} R/I \otimes_{\mathbb{C}[d]} R/I \otimes_{\mathbb{C}[d]} R/I &= (\Omega A \otimes_{\mathbb{C}[d]}) \otimes_{\mathbb{C}[d]} \dots \\ &= \Omega A \otimes \Omega A \otimes \Omega A \otimes \mathbb{C}[d] \end{aligned}$$

~~lifts~~ lifts into  $R$  by lifting  $a_0 da_1 \dots da_n$  into  $a_0 [D, a_1] \dots [D, a_n]$  and then sending

$$\omega \otimes \omega' \otimes \omega'' \otimes \begin{pmatrix} 1 \\ d \end{pmatrix} \quad \text{to} \quad \omega D^2 \omega' D^2 \omega'' \begin{pmatrix} 1 \\ D \end{pmatrix}$$



This means that if I fix a basis for  $\Omega A$ , then I get a basis for  $A\langle D \rangle$  consisting of products

$$\omega_0 D^2 \omega_1 D^2 \dots D^2 \omega_n \begin{cases} 1 \\ D \end{cases} \quad n \geq 0.$$

where  $\omega_0, \omega_1, \dots, \omega_n$  run over the given basis of  $\Omega A$ .

Formulas for left multiplication by  $a, D$  on  $R$  ~~is~~ relative to the decomposition

$$R = \bigoplus_{n \geq 0} (\Omega A \cdot D^2)^n \Omega A \otimes (\mathbb{Q} \oplus \mathbb{C}D)$$

$$D \cdot a = da + aD$$

$$\begin{aligned} D \cdot da &= D[D, a] \\ &= D[D, a] + [D, a]D - [D, a]D \\ &= (D(Da - aD) + (Da - aD)D) - daD \\ &= D^2 a - aD^2 - daD \end{aligned}$$

This tells how to ~~calculate~~ move  $D$  to the right in the product  $D \cdot a_0 da_1 \dots da_n$

Recall that we have a lifting

$$A\langle d \rangle \longrightarrow A\langle D \rangle$$

obtained by first ~~is~~ using the homomorphism

$$\begin{aligned} \bar{\Phi} : A\langle d \rangle &\longrightarrow A\langle D \rangle \otimes \mathbb{C}[\partial] && \partial^2 = 0 \\ a &\longmapsto a && \partial D + D\partial = 1 \\ d &\longmapsto D\partial D = D - D^2\partial && \partial a = a\partial \end{aligned}$$

$A\langle D \rangle \cong C[D]$  on  $A\langle D \rangle$  (where  $\partial$  is the superderivation on  $A\langle D \rangle$  such that  $\partial(D) = 1$ ) applied to 1.

Let's calculate the lifting

$$\begin{aligned}\underline{\Phi}(da) &= \underline{\Phi}(da - ad) \\ &= [D - D^2\partial, a] = [D, a] - [D^2, a]\partial\end{aligned}$$

Thus

$$\begin{aligned}\underline{\Phi}(da_1 \cdots da_n) &= ([D, a_1] - [D^2, a_1]\partial)([D, a_2] - [D^2, a_2]\partial) \cdots \\ &= [D, a_1] \cdots [D, a_n] \\ &\quad - [D^2, a_1]\partial [D, a_2] \cdots [D, a_n] \\ &\quad - [D, a_1][D^2, a_2]\partial [D, a_3] \cdots\end{aligned}$$

Now  $\partial [D, a] + [D, a]\partial = [\partial, [D, a]] = [[\partial, D], a] = [1, a] = 0.$

better:  $\partial(Da - aD) + (Da - aD)\partial = \partial Da - a\partial D + D\partial a - aD\partial$   
 $= a - a = 0$

Similarly  $[\partial, [D^2, a]] = [[\partial, D^2], a] = 0$ , so there are no second order & higher terms in  $\partial$ . We have

$$\begin{aligned}\underline{\Phi}(da_1 \cdots da_n) &= [D, a_1] \cdots [D, a_n] \\ &\quad + \left. \begin{aligned} &+ (-1)^{n-1} [D^2, a_1][D, a_2] \cdots \\ &+ (-1)^{n-2} [D, a_1][D^2, a_2] \cdots \\ &\quad \vdots \end{aligned} \right\} \partial \\ &= [D, a_1] \cdots [D, a_n] \\ &\quad + (-1)^n \left( \sum_{j=1}^n (-1)^{j-1} [D, a_1] \cdots [D^2, a_j] \cdots [D, a_n] \right) \partial \\ &= [D, a_1] \cdots [D, a_n] \\ &\quad + (-1)^n [D, [D, a_1] \cdots [D, a_n]] \partial\end{aligned}$$

$$\underline{\Phi}(da_1 \dots da_n \parallel d) =$$

$$\left( \begin{array}{l} [D, a_1] \dots [D, a_n] \\ + (-1)^n [D, [D, a_1] \dots [D, a_n]] \partial \end{array} \right) (D - D^2 \partial)$$

Let's apply these operators to 1.

$$\underline{\Phi}(da_1 \dots da_n)(1) = [D, a_1] \dots [D, a_n]$$

$$\underline{\Phi}(da_1 \dots da_n d)(1) = [D, a_1] \dots [D, a_n] D + (-1)^n \underbrace{[D, [D, a_1] \dots [D, a_n]]}_{\perp} \partial(D)$$

$$D[D, a_1] \dots [D, a_n] - (-1)^n [D, a_1] \dots [D, a_n] D$$

Thus we get the formulas for the lifting

$a_0 da_1 \dots da_n$	$\longmapsto$	$a_0 [D, a_1] \dots [D, a_n]$
$a_0 da_1 \dots da_n d$	$\longmapsto$	$(-1)^n a_0 D [D, a_1] \dots [D, a_n]$

Again consider  $R = A\langle D \rangle$  and  $R/I = A\langle d \rangle$

We have defined a left  $R/I$ -module structure on  $R$  using left multiplication by  $a \in A$  and letting  $d \mapsto D \circ D = D - D^2 \circ$ .

We ask whether there is a similar right  $R/I$ -module structure making  $R$  into a bimodule over  $R/I$ .

Let's shift to a more neutral notation. Let  $R = T_A(A \otimes A) = A\langle \xi \rangle$  where  $\xi = 1 \otimes 1$ .  $\partial$  is the degree -1 derivation such that  $\partial(\xi) = 1$ ,  $\partial(a) = 0$ . Let  $h$  be the operator of left multiplication by  $\xi$ :  $h(x) = \xi x$ . Then  $[\partial, h](x) = \partial(\xi x) + \xi \partial(x) = \partial(\xi)x - \xi \partial(x) + \xi \partial(x) = x$ , so  $[\partial, h] = 1$ .

Let  $k$  be right multiplication by  $\xi$  with sign:  $k(x) = (-1)^{|x|} x \xi$ . Then  $[\partial, k](x) = \partial((-1)^{|x|} x \xi) + k \partial(x) = (-1)^{|x|} \partial(x) \xi + x \partial(\xi) + (-1)^{|\partial(x)|} \partial(x) \xi = x$ , so  $[\partial, k] = 1$ .

Next  $[h, k](x) = h((-1)^{|x|} x \xi) + k(\xi x) = (-1)^{|x|} \xi x \xi + (-1)^{|\xi x|} \xi x \xi = 0$ . Thus  $[h, k] = 0$ .

Note that  $h$  commutes with right multiplication by  $a$  and  $k$  commutes with left multiplication by  $a$ .

The idea ~~is~~ to ~~use left mult.~~ use left mult. by  $a \in A$  and  $h \circ h$  to define left mult. by  $A\langle d \rangle$  on  $R$ , and to use right mult. by  $a \in A$  and  $k \circ k$  to define right mult. by  $A\langle d \rangle$  on  $R$ . This doesn't seem to work, since  $h \circ h$ ,  $k \circ k$  don't commute.

Let's compute

$$\begin{aligned}
 h\partial h k\partial k &= (h - h^2\partial)(k - k^2\partial) \\
 &= hk - \underbrace{h^2\partial k}_{1-k\partial} - hk^2\partial + \underbrace{h^2\partial k^2\partial}_{k^2\partial} \\
 &= hk - h^2 + h^2k\partial - hk^2\partial \\
 k\partial k h\partial h &= \underbrace{kh}_{-hk} - k^2 + \underbrace{k^2h\partial}_{hk^2} - \underbrace{kh^2\partial}_{h^2k} \\
 \therefore [h\partial h, k\partial k] &= -h^2 - k^2
 \end{aligned}$$

May 21, 1993:

Digression: Consider  $M$  a mixed complex such that  $H^b HB(M) = 0$ , i.e. the conclusion of Cennes's lemma. Then we have an exact sequence of complexes

$$0 \longrightarrow BM \hookrightarrow \text{Ker}(B; M) \longrightarrow \underbrace{\frac{\text{Ker}(B; M)}{BM}}_{\text{acyclic}} \longrightarrow 0$$

where the quotient is acyclic, so this sequence splits. This means there exists a decomposition

$$\text{Ker}(B; M) = BM \oplus L$$

compatible with  $b$  where  $L$  is contractible. Then

~~it follows that~~  $L$  is a sub mixed complex of  $M$ , and we have an exact sequence of mixed complexes

$$0 \longrightarrow L \longrightarrow M \longrightarrow M/L \longrightarrow 0$$

Next note that  $\text{Ker}(B; M) \longrightarrow \text{Ker}(B; M/L)$  is surjective, i.e. if  $x \in M$  is such that  $Bx \in L$ , then  $Bx \in BM \cap L = 0$ , so  $x \in \text{Ker}(B; M)$ . Thus we have

$$\text{Ker}(B; M/L) = \text{Ker}(B; M)/L$$

$$B(M/L) = BM \oplus L/L$$

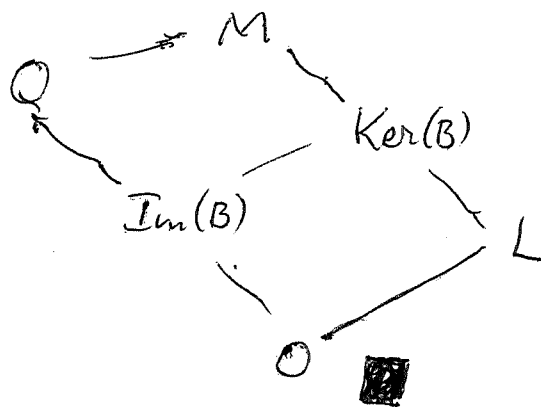
whence  $B$  is exact on  $M/L$ .

This means that  $M/L$  is a free mixed complex, so as  $M \twoheadrightarrow M/L$  is a qcis we have a ~~splitting~~ section of this map:

$$M = \square L \oplus M/L$$

Thus we have shown that any mixed complex satisfying the conclusion of Cennet's lemma is the direct sum of a mixed complex with  $B$  exact (i.e. a free mixed complex) and an acyclic complex with  $B=0$ .

Summarize: If  $M$  is such that  $H^b H^B M = 0$ , then we can choose a complement  $L$  to  $\text{Im } B \subset \text{Ker } B$  such that  $b(L) \subset L$ . Next we can choose a complement  $Q$  to  $L \subset M$  such that  $Q \square$  is closed under  $b, B$ . Picture



$h = \text{sa } \lambda$ 's.

In the example of  $C = C(a)$  we know  $\square$   $\text{Ker } B = (1-\lambda)C$ , so that

$$\text{Im}(B) \cong M/\text{Ker}(B) = C/(1-\lambda)C = C^\lambda,$$

so it appears that  $Q$  looks exactly the same as  $P\bar{\Omega}\tilde{a}$ . This leads me to expect that there should be some rather close connection between the two.

Let's review the preceding.

Suppose  $M$  is a mixed complex such that  $\text{Ker } B / \text{Im } B$  is acyclic wrt  $b$ . We claim that  $M$  splits into mixed subcomplexes

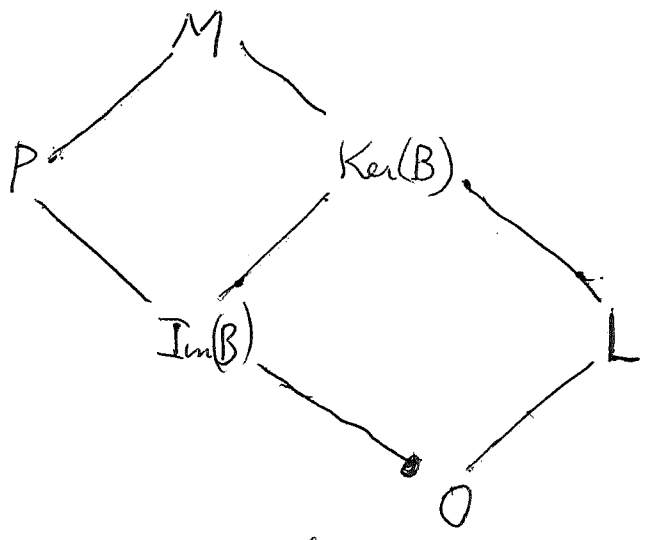
$\textcircled{*} \quad M = P \oplus L$

where  $B$  is exact on  $P$  and  $B=0$  on  $L$ .

Note then that for such a splitting we have  $\text{Ker}(B; M) = \text{Ker}(P; M) \oplus L$ ,  $BM = BP$ , and  $BP = \text{Ker}(P; M)$ , whence

$L = H(M, B)$ .

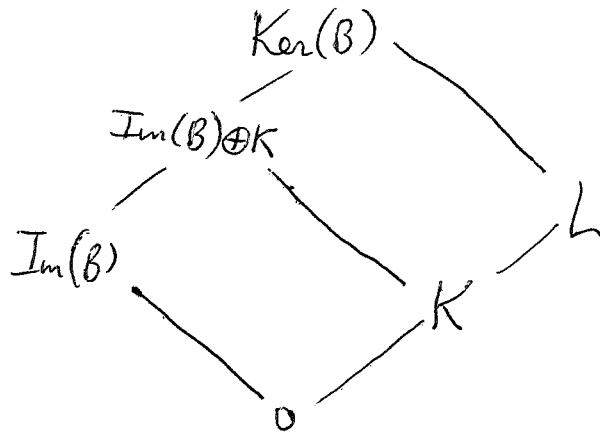
We have the following picture



Note that any subcomplex <sup>of  $M$</sup>  (wrt  $b$ ) contained in  $\text{Ker } B$  is a mixed subcomplex of  $M$ . Similarly any subcomplex of  $M$  containing  $\text{Im}(B)$  is a mixed subcomplex of  $M$ . So the ~~possibility~~ existence of the decomposition  $\textcircled{*}$  depends on whether ~~there are~~ there are complementary subcomplexes for  $\text{Im}(B) \subset \text{Ker}(B)$  and  $\text{Ker}(B)/\text{Im}(B) \subset M/\text{Im}(B)$ . In both cases this follows from the fact that  $\text{Ker}(B)/\text{Im}(B)$  is acyclic.

Another point is that if  $K$  ~~is~~ is an ~~acyclic~~ acyclic subcomplex of  $\text{Ker}(B)$  such that

$\text{Im}(B) \cap K = 0$ , then  $K$  can be extended to a complement  $L$  for  $\text{Im}(B)$  in  $\text{Ker}(B)$ . In effect we want to choose  $L$  so that we have



and this is possible because ~~Im(B) ⊕ K~~  $K, \text{Ker}(B)/\text{Im}(B)$  acyclic  $\Rightarrow \text{Ker}(B)/\text{Im}(B) \oplus K$  acyclic.

Now apply this to  $\bar{\Omega}\tilde{\alpha}$ . It seems that there is a splitting of the exact sequences

$$0 \rightarrow \Sigma C' \xrightarrow{\begin{pmatrix} -B_0 \\ 1 \end{pmatrix}} \bar{\Omega}\tilde{\alpha} \xrightarrow{(1 \ B_0)} C \rightarrow 0$$

compatible with both  $b, B$  in the case where

$$\begin{pmatrix} -B_0 \\ 1 \end{pmatrix} \Sigma C' \cap B(\bar{\Omega}\tilde{\alpha}) = 0. \quad \text{This holds for}$$

$h=s$  and  $-\lambda$ 's as we have seen. Details:

Suppose

$$\begin{pmatrix} -B_0 \\ 1 \end{pmatrix} x = \begin{pmatrix} 0 & 0 \\ N_\lambda & 0 \end{pmatrix} \begin{pmatrix} y \\ z \end{pmatrix} \quad \begin{matrix} x \in \Sigma C' \\ \begin{pmatrix} y \\ z \end{pmatrix} \in \bar{\Omega}\tilde{\alpha} \end{matrix}$$

$$\left. \begin{matrix} -B_0 x = 0 \\ x = N_\lambda y \end{matrix} \right\} \Rightarrow -B_0 N_\lambda y = 0$$

if  $h = -\lambda$ 's

$$\text{But } c(-B_0) = c(\square -1 + \lambda) \square (-\lambda \text{'s}) = 1 + \lambda$$

~~□~~ and if  $h=s$ , then

$$\square c\lambda^{-1}(-B_0) = c\lambda^{-1}(1-\lambda)s = 1 - (-\lambda) = 1 + \lambda$$

and  $(1+\lambda)N_\lambda = 2N_\lambda$ . Thus  $-B_0 N_\lambda y \Rightarrow N_\lambda y = 0 \Rightarrow x = 0$ .

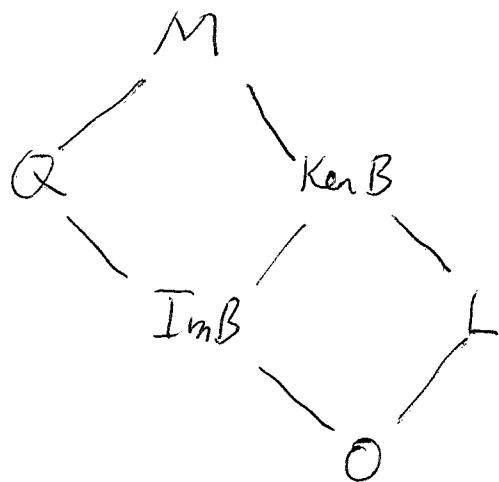


May 22, 1993

Recall that if  $M$  is a mixed complex such that  $\text{Ker } B / \text{Im } B$  is acyclic, then we have a splitting into mixed subcomplexes

$$M = Q \oplus L$$

where  $B$  is exact on  $Q$  and zero on  $L$ . ~~where~~  
 $Q$  and  $L$  are arbitrary subcomplexes such that the squares in



are bicartesian. Another point is that if  $K$  is a subcomplex of  $\text{Ker } B$  which is acyclic and such that  $\text{Im } B \cap K = 0$ , then  $K$  can be extended to such an  $L$ .

Now we want to apply this to  $M = \bar{\Omega} \tilde{a}$  and  $K = \text{Im} \begin{pmatrix} -B_0 \\ 1 \end{pmatrix}$ . Notation

$$M = \bar{\Omega} \tilde{a} = C \oplus C'$$

$$\text{Ker } B = (1-\lambda)C \oplus C'$$

$$\text{Im } B = 0 \oplus N_1 C'$$

$$C_n = A^{\otimes n+1}$$

$$C'_n = A^{\otimes n}$$

Here we have canonical choices for  $Q, L$  namely

$$Q = P \bar{\Omega} \tilde{a} \quad L = P^\perp \bar{\Omega} \tilde{a}$$



are described by linear maps  $\alpha': P^+M \rightarrow BM = PdM$ . We have

$$\alpha' = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} \quad \theta: (1-\lambda)C' \rightarrow N_\lambda C'$$

Now we want to choose  $\theta$  so that the corresponding graph of  $\alpha$  contains  $K$ .

We calculate the components of  $\begin{pmatrix} -B_0 \\ 1 \end{pmatrix} x$  relative to the decomposition  $\text{Ker } B = PdM \oplus P^+dM \oplus P^+bM$

$$Gdb \begin{pmatrix} -B_0 \\ 1 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ G_\lambda b & P_\lambda^+ \end{pmatrix} \begin{pmatrix} -(1-\lambda)h \\ 1 \end{pmatrix} = \begin{pmatrix} 0 \\ P_\lambda^+ - G_\lambda(1-\lambda)b'h \end{pmatrix} = \begin{pmatrix} 0 \\ (P_\lambda^+ h) b' \end{pmatrix}$$

$$bGd \begin{pmatrix} -B_0 \\ 1 \end{pmatrix} = \begin{pmatrix} P_\lambda^+ & 0 \\ -b'G_\lambda & 0 \end{pmatrix} \begin{pmatrix} -(1-\lambda)h \\ 1 \end{pmatrix} = \begin{pmatrix} -(1-\lambda)h \\ b'(P_\lambda^+ h) \end{pmatrix}$$

$$P \begin{pmatrix} -B_0 \\ 1 \end{pmatrix} = \begin{pmatrix} -B_0 \\ 1 \end{pmatrix} - \begin{pmatrix} 0 & -B_0 \\ (P_\lambda^+ h) b' + b'(P_\lambda^+ h) \end{pmatrix} = \begin{pmatrix} 0 \\ (P_\lambda^+ h) b' + b'(P_\lambda^+ h) \end{pmatrix}$$

Here we have used  $1 - [P_\lambda^+ h, b'] = [h - P_\lambda^+ h, b'] = [P_\lambda h, b']$ .

The condition that  $K \subset L$  means that

$$\begin{aligned} P \begin{pmatrix} -B_0 \\ 1 \end{pmatrix} &= \alpha' Gdb \begin{pmatrix} -B_0 \\ 1 \end{pmatrix} + \underbrace{\alpha'' bGd \begin{pmatrix} -B_0 \\ 1 \end{pmatrix}}_{b\alpha' Gd bGd = b\alpha' Gd} \\ \begin{pmatrix} 0 \\ [P_\lambda h, b'] \end{pmatrix} &= \begin{pmatrix} 0 \\ \theta(P_\lambda^+ h) b' \end{pmatrix} + \underbrace{\begin{pmatrix} b & 1-\lambda \\ -b' & 0 \end{pmatrix} \begin{pmatrix} 0 & 0 \\ \theta G_\lambda & 0 \end{pmatrix} \begin{pmatrix} -(1-\lambda)h \\ 1 \end{pmatrix}}_{\begin{pmatrix} b & 1-\lambda \\ -b' & 0 \end{pmatrix} \begin{pmatrix} 0 \\ -\theta P_\lambda^+ h \end{pmatrix} = \begin{pmatrix} 0 \\ b' \theta(P_\lambda^+ h) \end{pmatrix}} \end{aligned}$$

where  $(1-\lambda)\theta = 0$  as  $\theta$  has image in  $N_\lambda C'$ .

$$(P_\lambda h) b' + b' (P_\lambda h) = \theta (P_\lambda^\perp h) b' + b' \theta (P_\lambda^\perp h)$$

$$\text{or } [P_\lambda h - \theta P_\lambda^\perp h, b'] = 0$$

Suppose we ~~write~~ put  $\theta = P_\lambda \varphi (1-\lambda)$ , then

$$[P_\lambda (1 - \varphi (1-\lambda)) h, b'] = 0$$

The question is whether we can solve this ~~when~~ when  $\varphi$  is completely arbitrary of degree 0.

The problem has to do with the following data. One has a complex  $(C, b')$  with a contraction  $h$ . One also has a subcomplex of  $C$  namely  $N_\lambda C$ . The basic assumption is that the map

$$* \quad N_\lambda C \xrightarrow{i} C \xrightarrow{h} C \xrightarrow{1-\lambda} (1-\lambda)C$$

measuring the extent to which  $h$  fails to preserve the subcomplex is injective. Note that  $*$  is a map of complexes.

Let's try to study this situation by itself. Notation

$$0 \longrightarrow C' \xrightarrow[\substack{\leftarrow \dots \leftarrow \\ i}]{\substack{\rightarrow \dots \rightarrow \\ r}} C \xrightarrow[\substack{\leftarrow \dots \leftarrow \\ j}]{\substack{\rightarrow \dots \rightarrow \\ l}} C'' \longrightarrow 0$$

Denote the differential by  $d$ .  $r, l$  are "splittings" of  $C$ . Relative to this splitting we have

$$d = \begin{pmatrix} d_{11} & d_{12} \\ 0 & d_{22} \end{pmatrix} \quad h = \begin{pmatrix} h_{11} & h_{12} \\ h_{21} & h_{22} \end{pmatrix}$$

$$\begin{pmatrix} d_{11} & d_{12} \\ \textcircled{0} & d_{22} \end{pmatrix} \begin{pmatrix} h_{11} & h_{12} \\ h_{21} & h_{22} \end{pmatrix} = \begin{pmatrix} d_{11}h_{11} + d_{12}h_{21} + h_{11}d_{11} & d_{11}h_{12} + d_{12}h_{22} \\ d_{22}h_{21} + h_{21}d_{11} & d_{22}h_{22} + h_{21}d_{12} \end{pmatrix}$$

$$\begin{pmatrix} h_{11} & h_{12} \\ h_{21} & h_{22} \end{pmatrix} \begin{pmatrix} d_{11} & d_{12} \\ \textcircled{0} & d_{22} \end{pmatrix} = \begin{pmatrix} h_{11}d_{11} + h_{12}d_{22} & h_{11}d_{12} + h_{12}d_{22} \\ h_{21}d_{11} + h_{22}d_{22} & h_{21}d_{12} + h_{22}d_{22} \end{pmatrix}$$

It would be better to use the following notation

$$d = \begin{pmatrix} d' & f \\ 0 & d'' \end{pmatrix} \quad h = \begin{pmatrix} h' & h_{12} \\ g & h'' \end{pmatrix}$$

$$\begin{pmatrix} [d', h'] + fg & fh'' + h'f + d'h_{12} + h_{12}d'' \\ d''g + gd' & [d'', h''] + gf \end{pmatrix}$$

so  $dh + hd = I$  means

$$I = fg + [d', h']$$

$$d''g + gd' = 0$$

$$I = gf + [d'', h'']$$

(means  $g: C' \rightarrow C''$   
is a map of complexes)

$$fh'' + h'f + d'h_{12} + h_{12}d'' = 0$$

i.e.  $g, f$  are homotopy inverses, ~~and~~  $h', h''$  are the homotopies joining  $fg$  and  $gf$  to the identity. The last condition says that the homotopies  $h', h''$  are compatible with  $f$  up to homotopy.

May 25, 1993

Let us consider a module  $M$  with two submodules  $K, L$  such that  $K \cap L = 0$ . Then we have a nine diagram

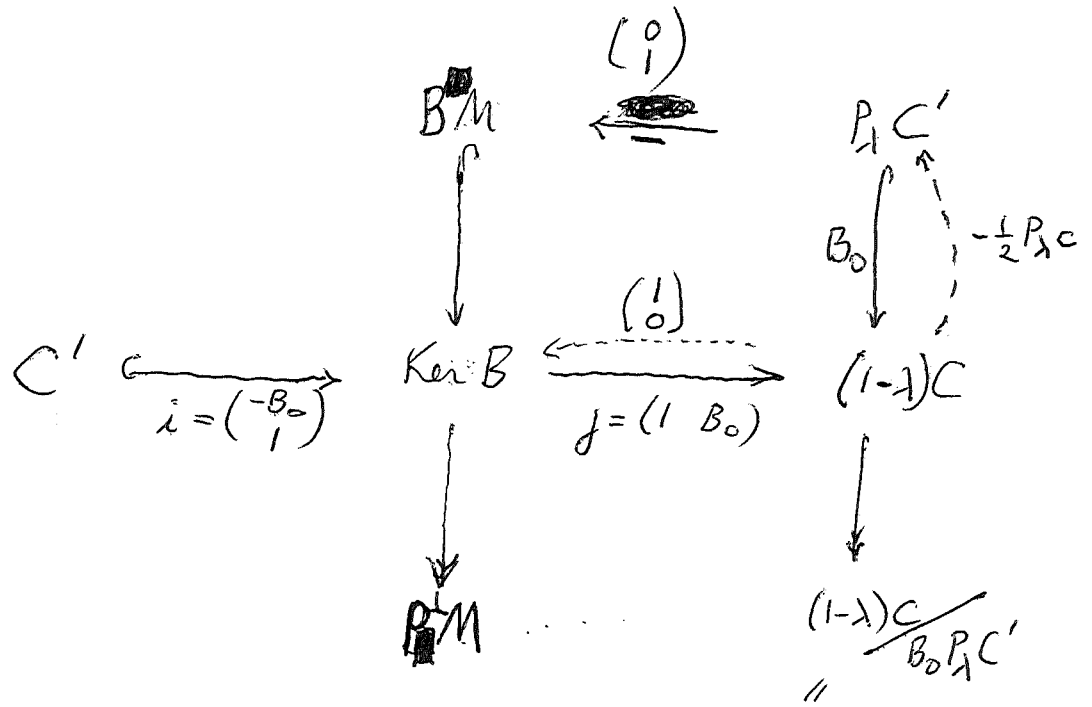
$$\begin{array}{ccccc}
 0 & \hookrightarrow & L & = & L \\
 \downarrow & & \downarrow & & \downarrow \\
 K & \hookrightarrow & M & \twoheadrightarrow & M/K \\
 \parallel & & \downarrow & & \downarrow \\
 K & \hookrightarrow & M/L & \twoheadrightarrow & M/(K+L)
 \end{array}$$

(Actually I first should have considered the general case and pointed out the equivalence of

- 1)  $K \hookrightarrow M/L$  injective
- 2)  $L \hookrightarrow M/K$  injective
- 3)  $K \cap L = 0$ .)

Suppose we give a retraction for  $K \hookrightarrow M/L$ . Then the bottom sequence splits. If we also give a retraction of  $M$  onto  $L$ , then we can lift  $M/(K+L)$  into  $M/L$  and then ~~lift~~ compose with the lifting of  $M/L$  into  $M$  to get a lifting of  $M/(K+L)$  into  $M$ . In particular we obtain a lifting of  $M/(K+L)$  into  $M/K$  so the right vertical sequence splits.

Let us now apply these ideas to the following situation:



Note that

$$(-\frac{1}{2}P_\lambda c) B_0 = -\frac{1}{2}P_\lambda c (1-\lambda^{-1})s = -\frac{1}{2}P_\lambda (-\lambda-1) = P_\lambda$$

so that  $\boxed{(-\frac{1}{2}P_\lambda c) B_0 = 1 \text{ on } P_\lambda C'}$

Thus  $B_0(-\frac{1}{2}P_\lambda c)$  projects onto  $B_0 P_\lambda C'$  so its kernel is a complex for  $BC \subset (1-\lambda)C = \text{Ker}(B \text{ on } C)$

This kernel is the image of

~~$1 - B_0(-\frac{1}{2}P_\lambda c)$~~   $1 - B_0(-\frac{1}{2}P_\lambda c)$  on  $(1-\lambda)C$

and it is the image of

$$(1 + \frac{1}{2} B_0 P_\lambda c)(1-\lambda) : C \rightarrow (1-\lambda)C$$

I want a subcomplex of  $(1-\lambda)C$  complementary to  $BC$ , so I would like to find a retraction  $r$  of  $(1-\lambda)C$  onto  $BC$  which commutes with the differential.

Consider the retraction at hand  $-\frac{1}{2}B_0 P_\lambda c : (1-\lambda)C \rightarrow BC$



$$P_\lambda b(1-\lambda) = P_\lambda(1-\lambda)b' = 0$$

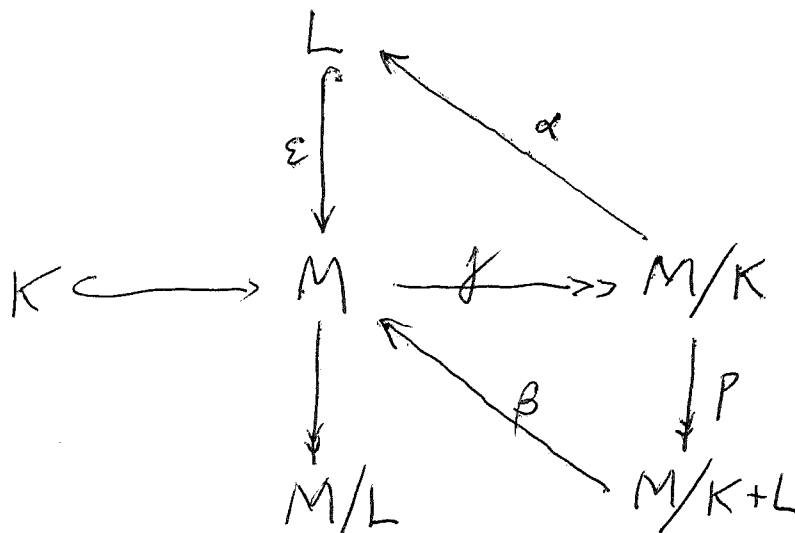
so that  $P_\lambda c = -P_\lambda b'$  on  $(1-\lambda)c$ .

Thus our retraction is

$$-\frac{1}{2}B_0 P_\lambda c = \frac{1}{2}B_0 P_\lambda b' : (1-\lambda)c \rightarrow BCC(1-\lambda)c.$$

The differential  $\triangle$  on  $(1-\lambda)c$  is  $b$ .

Logical structure of what we want to do



$$\boxed{pj\varepsilon = 0}$$

Assume  $\alpha$  such that

$$\boxed{\alpha j \varepsilon = I_L}$$

Then  ~~$j\varepsilon\alpha$~~   $j\varepsilon\alpha$  is a projection on  $M/K$  with image  $j\varepsilon L$ , so  $I_{M/K} - j\varepsilon\alpha$  is a projection whose image is a lift of  $M/(K+L)$ . If we further lift this into  $M$  we get the map  $\beta$  satisfying

$$\boxed{j\beta p + j\varepsilon\alpha = I_{M/K}}$$

Then  $\alpha j \beta p = \alpha - \alpha j \varepsilon \alpha = \alpha - \alpha = 0$  so

$$\boxed{\alpha j \beta = 0}$$

Then we get two projections on  $M$

$\varepsilon\alpha_j$  and  $\beta\rho_j$  which annihilate each other. ~~1~~ Note

$$\beta\rho_j\beta\rho_j = \beta\rho(1 - j\varepsilon\alpha)j = \beta\rho_j$$

so  $\beta\rho_j$  is a projection. So we know that

$$1_M - \varepsilon\alpha_j - \beta\rho_j$$

is a projection. Since

$$\begin{aligned} j(1_M - \varepsilon\alpha_j - \beta\rho_j) \\ = j - (j\varepsilon\alpha + j\beta\rho)j = j - j = 0 \end{aligned}$$

The image of this projection is contained in  $\text{Ker } j = K$ , and in fact the image is clearly  $K$ . Now

$$(\varepsilon\alpha_j + \beta\rho_j)\varepsilon = \varepsilon\alpha_j\varepsilon = \varepsilon$$

$$(\varepsilon\alpha_j + \beta\rho_j)\beta = \beta\rho_j\beta \uparrow = \beta$$

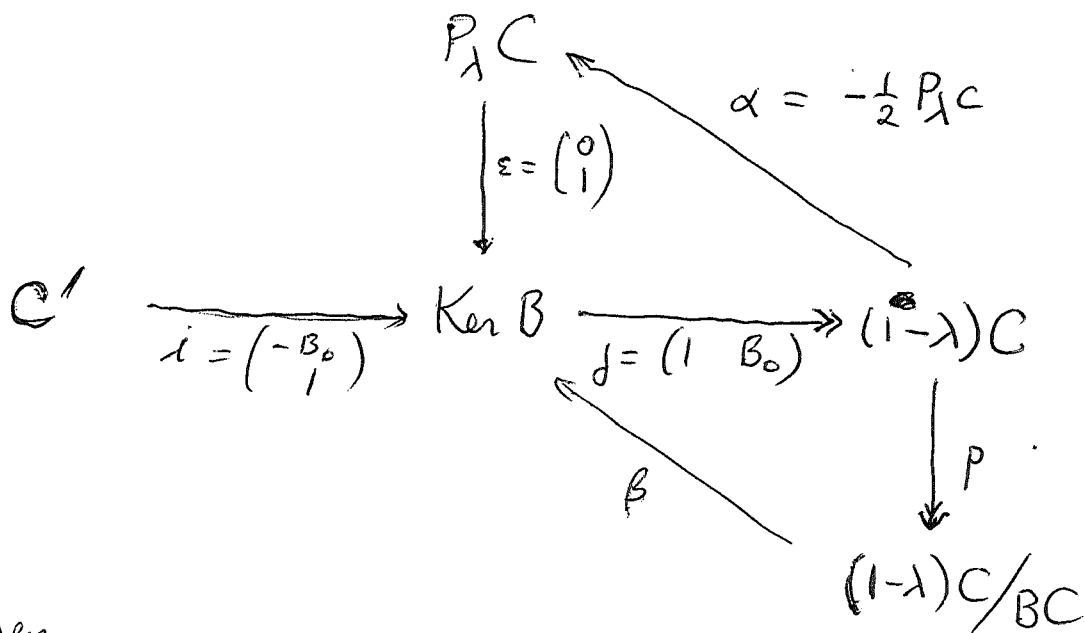
$$\beta\rho_j\beta\rho = \beta\rho(1 - j\varepsilon\alpha) = \beta\rho + \rho \quad \text{surjective}$$

Thus  $1_M - \varepsilon\alpha_j - \beta\rho_j$  is the projection onto  $K$  with kernel  $\varepsilon L + \beta(M/K + L)$ .

Now let's apply this

$$\text{Recall } cB_0 = c(1-\lambda)^s = -\frac{1}{2}(1+\lambda)$$

$$\text{so } -\frac{1}{2}P_1 c B_0 = P_1 \frac{1+\lambda}{2} = P_1$$



Then

$$\begin{aligned} 1_{(1-\lambda)C} - j \epsilon \alpha &= 1 - \begin{pmatrix} 1 & B_0 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} \begin{pmatrix} -\frac{1}{2} P_\lambda C \end{pmatrix} \\ &= 1 + \frac{1}{2} B_0 P_\lambda C \end{aligned}$$

~~is a projection~~ is a projection on  $(1-\lambda)C$  whose image is a lifting of  $(1-\lambda)C/BC$ . Use the section  $\begin{pmatrix} 1 \\ 0 \end{pmatrix}$  of  $j$  and define  $\beta$  by

$$\beta p = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \left( 1 + \frac{1}{2} B_0 P_\lambda C \right)$$

Then

$$\epsilon \alpha j = \begin{pmatrix} 0 \\ 1 \end{pmatrix} \begin{pmatrix} -\frac{1}{2} P_\lambda C \end{pmatrix} \begin{pmatrix} 1 & B_0 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ -\frac{1}{2} P_\lambda C & P_\lambda \end{pmatrix}$$

$$\begin{aligned} \beta p j &= \begin{pmatrix} 1 \\ 0 \end{pmatrix} \left( 1 + \frac{1}{2} B_0 P_\lambda C \right) \begin{pmatrix} 1 & B_0 \end{pmatrix} \\ &= \begin{pmatrix} 1 + \frac{1}{2} B_0 P_\lambda C & B_0 P_\lambda^\perp \\ 0 & 0 \end{pmatrix} \end{aligned}$$

$$1_{\text{Ker } B} - \epsilon \alpha j - \beta p j = \begin{pmatrix} -\frac{1}{2} B_0 P_\lambda C & -B_0 P_\lambda^\perp \\ \frac{1}{2} P_\lambda C & P_\lambda^\perp \end{pmatrix} = \begin{pmatrix} -B_0 \\ 1 \end{pmatrix} \begin{pmatrix} \frac{1}{2} P_\lambda C & P_\lambda^\perp \end{pmatrix}$$

$$\begin{aligned} \begin{pmatrix} \frac{1}{2}P_1 C & P_1^\perp \end{pmatrix} \begin{pmatrix} -B_0 \\ 1 \end{pmatrix} &= \left(-\frac{1}{2}P_1 C\right) B_0 + P_1^\perp \\ &= P_1 + P_1^\perp = \mathbb{1}. \end{aligned}$$

so that we have a projection onto  $K = iC'$ .

At this point we have explicitly decomposed  $\text{Ker } B$  into

$$K = iC' \quad , \quad \varepsilon P_1 C = \text{Im } B, \quad \begin{pmatrix} 1 \\ 0 \end{pmatrix} (1 + \frac{1}{2} B_0 P_1 C) (1 - \lambda) C$$

The problem is that the latter is not a subcomplex.

Review: Consider  $M$  a mixed complex satisfying the conclusion of Connes's lemma. Let  $K \subset {}_B M$  satisfy  $bK \subset K$ ,  $K$  is acyclic w.r.t  $b$ ,  $K \cap BM = 0$ . Then we know the quotient mixed complex  $C = M/K$  satisfies the conclusion of Connes's lemma (better terminology: has Connes's property). Why?

The key point is to show  ${}_B M \rightarrow (M/K)$  is surjective. Clear, because if  $x \in M$  satisfies  $Bx \in K$ , then  $Bx \in K \cap BM = 0$ , so  $x \in {}_B M$ . We have exact sequences

$$\begin{array}{ccccc}
 & & BM & \xrightarrow{\sim} & BC \\
 & & \downarrow & & \downarrow \\
 \square & K & \xrightarrow{i} & M & \xrightarrow{j} & C \\
 & \parallel & & \downarrow & & \downarrow \\
 & K & \xrightarrow{\quad} & {}_B M / BM & \xrightarrow{\quad} & {}_B C / BC
 \end{array}$$

Note  $BM \xrightarrow{\sim} BC$  because it is surjective and the kernel is  $BM \cap K = 0$ . The bottom exact sequence where  $K, {}_B M / BM$  are acyclic by assumption, shows  ${}_B C / BC$  is acyclic.

Consider the ~~problem~~ problem of extending  $K$  is a ~~subcomplex~~ subcomplex of  ${}_B M$  which is complementary to  $BM$ . This can be done as follows. Because  $K$  is contractible the bottom exact sequence splits, so we ~~can~~ can lift  ${}_B C / BC$  into  ${}_B M / BM$ . Because  ${}_B M / BM$  is contractible we can lift  ${}_B M / BM$  into  ${}_B M$ . Thus by composing these liftings we find a subcomplex  $Q$  of  ${}_B M$  which is complementary to  $K \oplus BM$ . Thus  $K \oplus Q$  is a complement to  $BM$  inside  ${}_B M$ .

Next let's carry this out concretely in the Connes situation where  $M = \tilde{\Omega} \tilde{a}$  and  $K = \begin{pmatrix} -B_0 \\ 1 \end{pmatrix} \epsilon'$ . Recall the picture

$$\begin{array}{ccccc}
 P_\lambda C & & & & \\
 \downarrow \epsilon = \begin{pmatrix} 0 \\ 1 \end{pmatrix} & \swarrow \alpha = t & & & \\
 C' \xrightarrow{i = \begin{pmatrix} -B_0 \\ 1 \end{pmatrix}} \text{Ker } B & \xrightarrow{j = \begin{pmatrix} 1 & B_0 \end{pmatrix}} & (1-\lambda)C & & \\
 \downarrow & \swarrow \beta & \downarrow P & & \\
 \text{Ker } B / \text{Im } B & \xrightarrow{\quad} & (1-\lambda)C / BC & & 
 \end{array}$$

Put  $t = -\frac{1}{2} P_\lambda c$ .

Then  $t B_0 = P_\lambda (-\frac{1}{2}) c (1-\lambda) s$   
 $= P_\lambda \frac{\lambda+1}{2} = P_\lambda$

$$\boxed{t B_0 = P_\lambda}$$

$$(B_0 t)^2 = B_0 P_\lambda t = B_0 t$$

Thus  $B_0 t$  is a projection on  $(1-\lambda)C = {}_B C$  with image  $BC$ .

Also  $1 - B_0 t$  is a projection onto a lift of  $(1-\lambda)C / BC$ .

Now define

$$\beta p = \begin{pmatrix} 1 \\ 0 \end{pmatrix} (1 - B_0 t) = \begin{pmatrix} 1 - B_0 t \\ 0 \end{pmatrix}$$

Then

$$\beta p j = \begin{pmatrix} 1 - B_0 t \\ 0 \end{pmatrix} \begin{pmatrix} 1 & B_0 \end{pmatrix} = \begin{pmatrix} 1 - B_0 t & B_0 - B_0 P_\lambda \\ 0 & 0 \end{pmatrix}$$

$$\epsilon \alpha j = \begin{pmatrix} 0 \\ 1 \end{pmatrix} t \begin{pmatrix} 1 & B_0 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ t & P_\lambda \end{pmatrix}$$

$$1 - \beta p j - \epsilon \alpha j = \begin{pmatrix} B_0 t & -B_0 P_\lambda^\perp \\ -t & P_\lambda^\perp \end{pmatrix} = \begin{pmatrix} -B_0 \\ 1 \end{pmatrix} \begin{pmatrix} -t & P_\lambda^\perp \end{pmatrix}$$

Note  $\begin{pmatrix} -t & P_\lambda^\perp \end{pmatrix} \begin{pmatrix} -B_0 \\ 1 \end{pmatrix} = P_\lambda + P_\lambda^\perp = 1$

so  $\begin{pmatrix} -t & P_\lambda^\perp \end{pmatrix}$  is a retraction ~~onto~~ onto  $iC' = K$ .

Also  $\begin{pmatrix} -t & P_\lambda^\perp \end{pmatrix} \epsilon = \begin{pmatrix} -t & P_\lambda^\perp \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} = P_\lambda^\perp = 0$  on  $P_\lambda C$

so  $\begin{pmatrix} -t & P_\lambda^\perp \end{pmatrix}$  kills the image of  $\epsilon$ .

Now the defect with  $\alpha, \beta$  is that they are not compatible with the differentials. The image of  $\beta$  is not a subcomplex of  $\text{Ker } B$ . The idea is to use the contractibility of  $C'$  so as to modify  $\beta$  modulo  $\text{Im } B$  to become compatible with the differentials. ■

Let's compute the discrepancy of  $\beta \circ P$  wrt the differentials.

$$\begin{pmatrix} b & 1-\lambda \\ & -b' \end{pmatrix} \begin{pmatrix} 1-B_0 t \\ 0 \end{pmatrix} - \begin{pmatrix} 1-B_0 t \\ 0 \end{pmatrix} b = \begin{pmatrix} -[b, B_0 t] \\ 0 \end{pmatrix}$$

We know the image of this is contained in  $K \oplus \text{Im } B$ , in fact this is a map of complexes

$$(1-\lambda)C \xrightarrow{P} (1-\lambda)C/BC \xrightarrow{[b, \beta]} iC' \oplus P_\lambda C$$

We know the projection of  $iC' \oplus P_\lambda C$  onto  $iC'$  is given by  $\begin{pmatrix} -t & P_\lambda^+ \end{pmatrix}$ , so we get

$$\begin{pmatrix} -t & P_\lambda^+ \end{pmatrix} \begin{pmatrix} -[b, B_0 t] \\ 0 \end{pmatrix} = t[b, B_0 t]$$

from  $(1-\lambda)C$  to  $C'$ . But

$$\begin{aligned} t[b, B_0 t] &= t b B_0 t - t B_0 t b \\ &= t(-B_0 b')t - t b && (1-\lambda)t=0 \\ &= -(P_\lambda b' t + t b) \\ &= -(b' t + t b) \end{aligned}$$

Recall that  $b' N_\lambda = N_\lambda b$  says  $b'(P_\lambda C) \subset (P_\lambda C) \therefore P_\lambda b' P_\lambda = b' P_\lambda$  and  $P_\lambda b' t = b' t$ .

Check  $(b' t + t b) b + (-b')(b' t + t b) = 0$ .

(Note that  $b' t + t b$  ■:  $(1-\lambda)C \rightarrow C'$  is of degree  $-1$ ). Thus  $b' t + t b$  is compatible with the differentials

$$\begin{aligned}
 (b't + tb)B &= (b't + tb)B_0 N_1 \\
 &= b' P_1 N_1 + t(-B_0 b') N_1 \\
 &= b' N_1 - \underbrace{P_1 b' N_1}_{P_1 N_1 b = N_1 b = b' N_1} \\
 &= 0
 \end{aligned}$$

so that  $b't + tb : (1-\lambda)C/BC \longrightarrow C'$  is well-defined.

Next because  $C'$  is contractible we can write  $b't + tb$  ~~as~~ as a coboundary:

$$\begin{aligned}
 (-b')(-h)(b't + tb) &\bullet - (-h)(b't + tb)b \\
 &= \underbrace{b'hb't}_{b'} + b'h'tb + hb'tb = b't + tb.
 \end{aligned}$$

so now we modify  $\beta$  to  $\tilde{\beta}$  defined by

$$\tilde{\beta}_p = \begin{pmatrix} 1 \\ 0 \end{pmatrix} (1 - B_0 t) + \begin{pmatrix} -B_0 \\ 1 \end{pmatrix} (-h)(b't + tb)$$

Then

$$\begin{pmatrix} b & 1-\lambda \\ -b' \end{pmatrix} \tilde{\beta}_p = \begin{pmatrix} b(1 - B_0 t) \\ 0 \end{pmatrix} + \begin{pmatrix} -B_0 \\ 1 \end{pmatrix} (-b')(-h)(b't + tb)$$

$$(\tilde{\beta}_p) b = \begin{pmatrix} (1 - B_0 t) b \\ 0 \end{pmatrix} + \begin{pmatrix} -B_0 \\ 1 \end{pmatrix} (-h)(b't + tb) b$$

$$\begin{pmatrix} b & 1-\lambda \\ -b' \end{pmatrix} (\tilde{\beta}_p) - (\tilde{\beta}_p) b = \begin{pmatrix} 0 \\ b't + tb \end{pmatrix} : (1-\lambda)C/BC \longrightarrow BM.$$

$$\tilde{\beta}_p = \begin{pmatrix} 1 - B_0 t + B_0 h b't + B_0 h t b \\ -h(b't + tb) \end{pmatrix}$$



~~and~~ summarizing we find that

$$\tilde{\beta}_p: (1-\lambda)C \longrightarrow \text{Ker } B \quad \text{kills } BC$$

and satisfies  $[b, \tilde{\beta}_p]: (1-\lambda)C \longrightarrow \text{Im } B$ . Also it lifts  $(1-\lambda)C/BC$  into  $\text{Ker } B$ . Now apply  $p^\perp = 1-P$  to  $\tilde{\beta}_p$ . This does not change  $\tilde{\beta}_p$  modulo  $P\text{Ker } B = \text{Im } B$ , so  $P^\perp \tilde{\beta}_p$  is also a lifting of  $(1-\lambda)C/BC$  into  $\text{Ker } B$ . But now we have

$$[b, P^\perp \tilde{\beta}_p] = P^\perp [b, \tilde{\beta}_p] = 0$$

so that the image of  $P^\perp \tilde{\beta}_p$  is a subcomplex of  $\text{Ker } B$  which is complementary to  $K \oplus BM$ .

One has  $P \tilde{\beta}_p = \begin{pmatrix} 0 \\ \gamma \end{pmatrix} \quad \gamma: (1-\lambda)C/BC \rightarrow P_1 C$

$$\begin{aligned} \text{so } j P^\perp \tilde{\beta}_p &= j \tilde{\beta}_p - j P \tilde{\beta}_p \\ &= 1 - B_0 t + B_0 \gamma = 1 - B_0 (t - \gamma) \end{aligned}$$

Thus  $\tilde{t} = t - \gamma: (1-\lambda)C/BC \rightarrow P_1 C$  gives a better retraction of  $(1-\lambda)C$  onto  $BC$ .

~~Now one can represent the above ~~splitting~~ splitting of  $\text{Ker } B$  into three parts using  $\tilde{t}$  instead of  $t$  and obtain a splitting of  $\text{Ker } B = K \oplus M \oplus \text{Im } P^\perp$~~

It seems in fact that we have actually split  $(1-\lambda)C = \underset{B}{C}$  into  $BC$  and a complementary subcomplex  $Q$ . Then ~~we~~ we can lift this  $Q$  into  $\text{Ker } B$ .

May 28, 1993

Nistor application. Suppose given

$$A \rightrightarrows L \otimes B \longrightarrow L \otimes B / J \otimes B$$

 $\tau$  given  
on  $J^p$ .

The usual quasi-homomorphism situation. The first case to understand is when  $B = \mathbb{C}$ . In this case we can suppose  $L = QA$ ,  $J = \text{of } A$ . Joachim's idea is to use

$$X(RA) \rightrightarrows X(RQ)$$

and the Lie derivative + Cartan homotopy associated to the derivation of  $RQ$  arising from the standard grading of  $Q = \Omega A$ . The idea I would like to pursue is to make use of the graded algebra

$$\bigoplus_{n \geq 0} J^n u^n \subset L[u]$$

to handle traces on the powers  $J^n$ . Joachim uses the fact that (in the general case where  $B$  is present) ~~is~~ the obvious homom.

$$Q \xrightarrow{v} L \otimes B$$

carries  $\text{of }^n A \longmapsto J^n \otimes B$ , so that when we consider the homomorphism

$$RQ \xrightarrow{v'} L \otimes RB$$

such that ~~is~~  $v' \rho = (1 \otimes \rho) v$ , then  $v'$  carries the degree  $n$  subspace  $R_n$  of  $RQ$  into  $J^n \otimes RB$ . ~~is~~

~~is~~ so we have homomorphisms

$$RA \rightrightarrows RQ = \bigoplus_n R_n \longrightarrow \left( \bigoplus_n J^n \right) \otimes RB$$

$$X(RA) \rightrightarrows X(RQ) \longrightarrow \left(\bigoplus_n J^n\right)_\mathbb{Z} \otimes X(RB)$$

Recall that  $\left(\bigoplus_n J^n\right)_\mathbb{Z} = L_\mathbb{Z} \oplus \bigoplus_{n \geq 1} J^n / [J, J^{n-1}]$

Let's now take  $B = \mathbb{C}$ . In this case  $\left(\bigoplus_n J^n\right)_\mathbb{Z}$  is even, so we are working essentially with traces on  $RA$  and  $RQ$  up to homotopy. Let's calculate the homomorphisms

$$RA \xrightarrow[\theta_x]{\theta_x} RQ \longrightarrow \bigoplus_n J^n u^n \quad \begin{array}{l} L = \mathbb{C} \\ J = \mathfrak{o}_\mathbb{C} \end{array}$$

$$\begin{aligned} p(a) &\longmapsto p(\theta a) = p(a) + p(da) \longmapsto a + (da)u \\ &\longmapsto p(\theta^* a) = p(a) - p(da) \longmapsto a - (da)u \end{aligned}$$

$$\begin{aligned} w(a_1, a_2) &\longmapsto a_1 a_2 + d(a_1, a_2)u \\ &\quad - (a_1 + da_1)u \circ (a_2 + da_2)u \\ &= a_1 a_2 + d(a_1 a_2)u \\ &\quad - a_1 a_2 + da_1 a_2 - (a_1 da_2 + a_1 da_2)u + da_1 da_2 u^2 \\ &= (da_1 da_2)(1 - u^2) \end{aligned}$$

Thus we have the ~~homomorphism~~ homomorphisms

$$RA \ni a_0 da_1 \dots da_{2n} \longmapsto a_0 da_1 \dots da_{2n} (1 - u^2)^n \pm da_0 \dots da_{2n} u (1 - u^2)^n \in \bigoplus_{n \geq 0} \mathfrak{o}_\mathbb{C}^n u^n$$

The next step is to consider traces on the algebra  $\bigoplus \mathfrak{o}_\mathbb{C}^n u^n$ . The commutator quotient space is

$$\left(\bigoplus \mathfrak{o}_\mathbb{C}^n u^n\right)_\mathbb{Z} = \mathbb{Q}_\mathbb{Z} \oplus \bigoplus_{n \geq 1} \left(\mathfrak{o}_\mathbb{C}^n / [\mathfrak{o}_\mathbb{C}, \mathfrak{o}_\mathbb{C}^{n-1}]\right) u^n$$

However the traces we are interested in when pulled back via  $a_0 da_1 \dots da_{2n} \longmapsto da_0 \dots da_{2n} u (1 - u^2)^n$

have to vanish on  $\mathbb{I}A^N$  for some  $N$ .

Analytic motivation says we want to start with a linear functional on  $\mathfrak{o}_\mathfrak{p}^p / [\mathfrak{o}_\mathfrak{p}, \mathfrak{o}_\mathfrak{p}^{p-1}]$  and use the same linear functional on  $\mathfrak{o}_\mathfrak{p}^n$  for  $n \geq p$ .

So suppose we are given  $\tau$  on  $\mathfrak{o}_\mathfrak{p}^p / [\mathfrak{o}_\mathfrak{p}, \mathfrak{o}_\mathfrak{p}^{p-1}]$ ,

Then we can construct the following sort of traces  $T$  on  $\bigoplus_{n \geq 0} \mathfrak{o}_\mathfrak{p}^n u^n$ . For  $n < p$  we define  $T(\mathfrak{o}_\mathfrak{p}^n u^n) = 0$ , and for  $n \geq p$  we ~~take~~ take  $T(x u^n) = \tau(x) \cdot c_n$ . In other words  $T$  is a sort of tensor product of  $\tau$  and a linear functional  $f$  on  $\mathbb{C}[u]$  such that  $f(u^n) = 0$  for  $n < p$ .

We want  $T$  when pulled back via the  <sup>$\frac{1}{2} \times$  the</sup> difference of the two homomorphisms  $RA \rightarrow \bigoplus \mathfrak{o}_\mathfrak{p}^n u^n$  to vanish on some power of  $\mathbb{I}A$ . Thus

$$\tau(da_0 \cdots da_{2n}) f(u(1-u^2)^n) = 0$$

for  $n \geq q$ . Notice that we can suppose  $f$  is odd.

$$\begin{aligned} \text{Next note that } (1-u^2)^{n+1} &= (1-u^2)^n - u^2(1-u^2)^n \\ (1-u^2)^{n+2} &= (1-u^2)^n - 2u^2(1-u^2)^n + u^4(1-u^2)^n \end{aligned}$$

$$\text{i.e. } \sum_{n \geq q} \mathbb{C} u(1-u^2)^n = \mathbb{C}[u^2] u(1-u^2)^\delta. \quad \text{This means}$$

that  $f$  is a distribution supported at  $u = \pm 1$ , which implies it has the form

$$f(u^n) = \frac{1}{2} (\delta_1 - \delta_{-1}) (\text{poly in } D) (u^n) \quad D = u \frac{d}{du}$$

Now let us look at Joachim's choice:

$$\mu^{(k)} \blacksquare = \frac{1}{2} (\delta_1 - \delta_{-1}) (1-D) (1 - \frac{D}{3}) \cdots (1 - \frac{D}{2k-1})$$

Then  $\mu^{(k)}$  kills even powers of  $u$  and  $u, u^3, \dots, u^{2k-1}$  as well as  $u(1-u^2)^n$  for  $n > k$ . The latter is true because differentiation lowers the order of vanishing by 1. Thus we have

$$\mu^{(k)}(u(1-u^2)^n) = \begin{cases} 0 & \text{for } k \neq n \\ \left[ \frac{(-1)^k}{1 \cdot 3 \cdots (2k-1)} u(1+u)^k \frac{D^k(1-u)^k}{(-1)^k k!} \right]_{u=1} \\ = \frac{2^k k!}{1 \cdot 3 \cdots (2k-1)} & \text{for } k = n. \end{cases}$$

Apply  $\mu^{(k)} \otimes \tau$  to  $RA \rightarrow \bigoplus g^n u^n$  sending  $a_0 da_1 \cdots da_{2n} \mapsto \begin{pmatrix} p a_0 q a_1 \cdots q a_{2n} \\ + q a_0 \cdots q a_{2n} u \end{pmatrix} (1-u^2)^n$  and we get a cochain with only the component

$$\tau(q a_0 \cdots q a_{2k}) \cdot \frac{2^k k!}{1 \cdot 3 \cdots (2k-1)}$$

This is a reduced cyclic  $2k$ -cocycle. (This is not quite the  $\frac{b+B}{b+B}$  cocycle associated to the trace on  $RA$  because we still should divide by  $\frac{1}{k!}$  as part of the rescaling.)

May 30, 1993

Recall the situation with a quasi-homom.

$$A \begin{array}{c} \xrightarrow{\theta} \\ \xrightarrow{\bar{\theta}} \end{array} L \otimes B \longrightarrow (L/J) \otimes B \quad \tau \text{ on } J^p$$

We get maps linear sending  $\perp$  to  $\perp$

$$(1) \quad A \xrightarrow{\quad} \frac{\theta + \bar{\theta}}{2} a \pm \left( \frac{\theta - \bar{\theta}}{2} a \right) u \in L \otimes B \oplus (J \otimes B) u$$

which extend to homoms.

$$(2) \quad RA \xrightarrow{\quad} \left( \bigoplus J^n u^n \right) \otimes RB.$$

and maps of complexes

$$X(RA) \xrightarrow{\quad} \left( \bigoplus J^n u^n \right) \otimes X(RB)$$

~~XXXXXXXXXX~~ We then use the trace  $\tau$  on  $J^p$  to get a trace  $\tilde{\tau}$  on  $\bigoplus J^n u^n$ , whence we have a map of complexes  $X(RA) \longrightarrow X(RB)$ .

The problem is to control ~~IA~~ IA and the filtrations on the  $X$ -complexes. Notice that unless we specialize  $u$  to  $\pm 1$ , the linear maps (1) are not homomorphisms, hence the homoms. (2) do not carry IA to IB. The solution of the problem should arise by working infinitesimally around  $u = \pm 1$ .

The idea is to look at quotients of  $\bigoplus J^n u^n$  on which the ~~trace~~ trace  $\tilde{\tau}$  makes sense. ~~XXXXXXXXXX~~

R. We have

$$\begin{array}{ccc} \bigoplus_{n \geq 0} J^n u^n & \subset & L[u] \\ \downarrow & & \downarrow \\ L \oplus J u & \subset & L[u]/(1-u^2) \xrightarrow[u \mapsto (1,-1)]{\sim} L \times L \end{array}$$

Also

$$\begin{array}{ccc} \bigoplus_{n \geq 0} J^n u^n & \subset & L[u] \\ \downarrow & & \downarrow \\ \bigoplus_{n \in 2k+1} J^n u^n & \subset & L[u]/(1-u^2)^{k+1} \xrightarrow{\sim} L[u]/(u-1)^{k+1} \otimes L[u]/(u+1)^{k+1} \end{array}$$

Note that

$$\frac{1}{2}(\delta_1 - \delta_{-1})(1-D)(1-\frac{D}{3}) \cdots (1-\frac{D}{2k-1}) : L[u] \longrightarrow L$$

kills  $Lu^{2n}$  for all  $n$  and  $Lu, Lu^3, \dots, Lu^{2k-1}$ .

Also it kills the ideal  $(u^2-1)^{k+1}L[u]$ , and we know it's nontrivial on  $Lu(u^2-1)^k$ . We can understand this map (really it amounts to a linear functional on  $\mathbb{C}[u]$ ) as the <sup>odd</sup> linear functional ~~on~~ on  $\mathbb{C}[u]$  obtained from the one on  $L[u]/(u-1)^{k+1}$  and the corresponding one on  $L[u]/(u+1)^{k+1}$  (via  $u \mapsto -u$ ) which vanishes on  $u, u^3, \dots, u^{2k-1}$  and is non-trivial. The dimensions check since  $L[u]/(u-1)^{k+1}$  has dim.  $k+1$ .

What I want to look at concerns the behavior of the canonical filtrations on  $X$  complexes associated to the homom.

$$\begin{array}{ccc} RA & \longrightarrow & \left( \bigoplus_{n \geq 0} J^n u^n \right) \otimes RB \\ & & \downarrow \\ & & L[u]/(u-1)^{k+1} \otimes RB \end{array}$$

What's going on is that we have a variation of a homomorphism  $RA \longrightarrow L \otimes RB$

Consider  $(R, \mathcal{I})$  and  $(S, \mathcal{J})$ , and a homomorphism  $R \xrightarrow{p^t} S[[t]]$ . To begin we consider the weakest assumption:  $p^t(\mathcal{I}) \subset \mathcal{J} + S[[t]]t$ , i.e.  $p_0(\mathcal{I}) \subset \mathcal{J}$ . Then

$$\begin{aligned} \mathcal{I} &\longrightarrow \mathcal{J} + St + St^2 + \dots \\ \mathcal{I}^2 &\longrightarrow \mathcal{J}^2 + \mathcal{J}t + St^2 + \dots \\ \mathcal{I}^3 &\longrightarrow \mathcal{J}^3 + \mathcal{J}^2t + \mathcal{J}t^2 + St^3 + \dots \end{aligned}$$

so we have

$$\boxed{p_k(\mathcal{I}^n) \subset \mathcal{J}^{n-k}}$$

Consider the map of supercomplexes

$$p_*^t : X(R) \longrightarrow X(S)[[t]]$$

I would like to understand the behavior of this map with respect to the adic filtrations on the  $X$  complexes. Specifically to show that

$$(p_*^t)_n : X(R) \longrightarrow X(S)$$

(the coefficient of  $t^n$ ) carries  $F_{\mathcal{I}}^p X(R)$  into  $F_{\mathcal{J}}^{p-2n} X(S)$ .

The idea is to reduce to the case of a derivation, more precisely, to the case where  $(S, \mathcal{J}) = (R, \mathcal{I})$  and

$$p^t = e^{tD} : R \longrightarrow R[[t]] \quad \text{with } D \text{ a derivation of } R.$$

In this case  $p_*^t = e^{tL_D}$ , where  $L_D$  is the Lie derivative on  $X(R)$  belonging to  $D$ , and

$$(p_*^t)_n = L_D^n, \text{ so the desired result follows from}$$

$$L_D F_{\mathcal{I}}^p X(R) \subset F_{\mathcal{I}}^{p-2} X(R).$$



$$R \hookrightarrow DR \xrightarrow{e^{tD}} DR[[t]] \longrightarrow S[[t]]$$

of  $p^t$ .

~~Recall  $DR$  is the universal algebra with derivation generated by  $R$ . Also  $R \hookrightarrow DR$  is left adjoint to  $S \hookrightarrow S[[t]]$ .~~

Recall  $R \hookrightarrow DR$  is left adjoint to  $S \hookrightarrow S[[t]]$ :

$$\text{Hom}_{\text{algs}}(DR, S) = \text{Hom}_{\text{algs}}(R, S[[t]])$$

$DR$  is generated by canonical linear maps  $p_k: R \rightarrow DR$ ,  $k \geq 0$  subject to the relations saying that  $p^t = \sum p_k t^k: R \rightarrow DR[[t]]$  is a homomorphism.  $DR$  has a canonical grading wrt  $N$  where  $|p_k(x)| = k$  and a canonical derivation  $D$  of degree  $+1$  such that  $p_k = \frac{D^k}{k!} p_0$ .  $DR$  is the universal algebra with derivation generated by  $R$ .

Starting with  $I \subset R$  we obtain an ideal  $J$  in  $DR$  defined as the ideal generated by  $p_0(I)$ . ~~Since the~~ since the

homom.  $DR \xrightarrow{\varphi} S$  carrying the universal  $p^t = e^{tD} p_0: R \rightarrow DR[[t]]$  to  $p^t: R \rightarrow S[[t]]$  carries  $p_0: R \rightarrow DR$  to  $p_0: R \rightarrow S$ , we have  $\varphi(J \text{ in } DR) = \varphi(\text{ideal gen by } p_0 I) \subset \text{ideal gen by } \varphi p_0(I) = p_0(I) \text{ in } S \subset J$ .

Thus ~~the~~  $\varphi: DR \rightarrow S$  carries the situation  $R \xrightarrow{p^t} DR[[t]]$ ,  $I \rightarrow J + DR[[t]]t$  (i.e.  $p_0: I \rightarrow J$ ) in the given  $R \xrightarrow{p^t} S[[t]]$ ,  $p_0: I \rightarrow J$ .

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Consider two homs.  $A \xrightarrow[\theta^*]{\theta} L$  congruent  
 modulo the ideal  $J \subset L$ . Form the poly  
 family of homs

$$u^t: RA \longrightarrow L$$

$$u^t p(a) = pa + tga \quad p = \frac{\theta + \theta^*}{2} \quad g = \frac{\theta - \theta^*}{2}$$

The curvature of  $p+tg$  is

$$\begin{aligned} (p+tg)b' - (p+tg)^2 &= p^2 + g^2 + t(pg + gp) - (p^2 + t(pg + gp) + t^2g^2) \\ &= (1-t^2)g^2 \end{aligned}$$

Thus  $p+tg$  is a homomorphism for  $t = \pm 1$  and  
 a hom modulo  $J^2$ .  $u_t: (RA, IA) \longrightarrow (L, J^2)$   
 is not restricted, although to  $(L, J)$  it is.

Let  $H: X(RA) \longrightarrow X(L)$  be the odd operator  
 such that  $[\partial, H] = u'_* - u_*^{-1}$  given by the  
 integrated Cartan homotopy formula for  $u^t$ ,  $t \in [-1, 1]$ .  
 Then  $H(F_{IA}^p X(RA)) \subset F_{J^2}^{p-1} X(L)$  and  $H$   
 restricted to  $F_{IA}^p X(RA)$  commutes with  $\partial$   
 for  $p \geq 1$ , because  $u_*^{\pm 1}$  factor through the quotient  
 $X(RA)/F_{IA}^1 X(RA) = X(A)$ . Thus we get induced  
 maps for  $m \geq 1$

$$\begin{aligned} \overline{HC}_{2m} A &= H_-(F_{IA}^{2m} X(RA)) \longrightarrow H_+(F_{J^2}^{2m-1} X(L)) \\ &= H_+(J^{2m} \iff \not\{ J^{2m}dL + J^{2m-2}d(J^2) \}) \\ &\subset J^{2m}/[J^{2m-2}, J^2] \end{aligned}$$

Remarks: 1) The above construction is motivated by the idea that given

$$\begin{array}{ccc}
 \begin{array}{c} \theta \\ \nearrow \\ \theta' \\ \nearrow \\ A \end{array} & \begin{array}{c} L \\ \downarrow \\ L/J \end{array} & \begin{array}{c} \tau \text{ on } J^P \end{array} \\
 & \longrightarrow & 
 \end{array}$$

$\tau$  defines an odd class on  $L/J$  which becomes trivial on  $A$  for two reasons and the difference of these reasons is an even class on  $A$ .

2) The above construction seems to break down when  $\tau$  is defined on  $J$  and one wants to obtain the element of  $H^1 A = \bar{A}^*$  given by  $\tau$ . My feeling is that this difficulty arises because we use ~~odd cocycles~~ <sup>odd cocycles</sup> on the subcomplexes  $F_{IA}^P X(RA)$ , and we perhaps want to transgress them to even cocycles on  $X^P(RA, IA)$ . Notice that extending ~~a cocycle~~ <sup>a cocycle</sup> on  $F_{IA}^P X(RA)$  ~~by zero~~ <sup>by zero</sup> on  $\Omega^{\geq P} A$  and then applying the boundary yields a cocycle supported in a single degree. More precisely, let  $f$  be an odd cocycle on  $F_{IA}^{2m} X(RA) = b\Omega^{2m+1} + \Omega^{2m+1} + \dots$ . Then  $f = (f_{2m+1}, f_{2m+3}, \dots)$ , where  $f_{2m+1} b = f_{2m+3} B$ ,  $f_{2m+3} b = f_{2m+5} B$ , etc. Then ~~extending~~ <sup>extending</sup>  $f$  by  $f_{2m-1} = f_{2m-3} = \dots = 0$  and applying  $B-b$  gives  $f_{2m+1} B$  in degree  $2m$  and all other components 0. This is a reduced cyclic cocycle:  $(f_{2m+1} B)b = -f_{2m+1} b B = -f_{2m+3} B^2 = 0$ .

Lemma:  $I \subset R$  ideal. Then the canonical map  $X(S \otimes R) \rightarrow S_{\frac{1}{2}} \otimes X(R)$  carries  $F_{S \otimes I}^P X(S \otimes R)$  into  $S_{\frac{1}{2}} \otimes F_I^P X(R)$ .

Proof:  $(S \otimes I)^P = S \otimes I^P \subset S \otimes R$ .

$$[(S \otimes I)^n, (S \otimes R)] \subset [S \otimes I^n, S \otimes R] \\ \subset S \otimes [I^n, R]$$

$$\text{Thus } (S \otimes I)^{n+1} \longrightarrow S_{\frac{1}{2}} \otimes I^{n+1}$$

$$[(S \otimes I)^n, (S \otimes R)] \longrightarrow S_{\frac{1}{2}} \otimes [I^n, R]$$

Also in  $\Omega_S^1(S \otimes R) = S \otimes \Omega^1 R$  we have

$$(S \otimes I)^{n+1} d(S \otimes R) \subset S \otimes I^{n+1} \cdot S \otimes dR \subset S \otimes I^{n+1} dR$$

$$(S \otimes I)^n d(S \otimes I) \subset S \otimes I^n \cdot S \otimes dI \subset S \otimes I^n dI.$$

Suppose now that we are given algebras  $R, R', L$  ~~an~~ an ideal  $J \subset L$  and homomorphisms

$$R \xrightarrow[\bar{\theta}]{\theta} L \otimes R' \quad \text{congruent modulo } J \otimes R'.$$

Consider then  $R \xrightarrow{(\theta, \bar{\theta})} (L \oplus J) \otimes R'$

where  $L \oplus J$  is the semi-direct product algebra in which  $J^2 = 0$  and  $g = \bar{\theta} - \theta$ . This is a homomorphism, so we get an induced map

$$(\theta, g)_* : X(R) \longrightarrow (L \oplus J)_{\frac{1}{2}} \otimes X(R')$$

NO

Now  $(L \oplus J)_\mathbb{Z} = L_\mathbb{Z} \oplus J/[L, J]$

In particular we get a map

$$X(R) \longrightarrow \mathbb{Z} J/[L, J] \otimes X(R')$$

which we might think of as a Lie derivative  $L(\theta, \dot{\theta})$ , where  $\theta: R \rightarrow L \otimes R'$  and  $\dot{\theta} = \bar{\theta} - \theta: R \rightarrow J \otimes R'$  is a derivation relative to  $\theta$ . Thus

NO

$$L(\theta, \dot{\theta})(x) = \dot{\theta}(x) \in J \otimes R' \longrightarrow J/[L, J] \otimes R'$$

$$\begin{aligned} L(\theta, \dot{\theta})_\mathbb{Z}(x dy) &= \cancel{\mathbb{Z}(\dot{\theta}x dy + \theta x d\dot{\theta}y)} \in \mathbb{Z}(\dot{\theta}x dy + \theta x d\dot{\theta}y) \\ &\in \mathbb{Z}(J \otimes R' \cdot L \otimes \Omega R' + L \otimes R' \cdot J \otimes \Omega R') \\ &\longrightarrow \mathbb{Z} J/[L, J] \otimes \Omega R'_\mathbb{Z} \end{aligned}$$

The next point is that if  $I \subset R$ ,  $I' \subset R'$  are ideals compatible with  $\theta, \bar{\theta}$ :

$$\begin{array}{ccc} R & \xrightarrow{\quad} & L \otimes R' \\ \downarrow & & \downarrow \\ R/I & \xrightarrow{\quad} & L \otimes R'/I' \end{array}$$

Then we have

$$\begin{array}{ccc} R & \xrightarrow{(\theta, \bar{\theta})} & (L \oplus J) \otimes R' \\ \downarrow & & \downarrow \\ R/I & \longrightarrow & (L \oplus J) \otimes R'/I' \end{array}$$

i.e.  $(\theta, \bar{\theta})$  carries  $I$  into  $(L \oplus J) \otimes I'$ , so by the lemma above

$$(\theta, \gamma)_* : X(R) \longrightarrow (L_{\natural} \oplus J/[J, L]) \otimes X(R') \quad 127$$

carries  $F_I^p X(R)$  into  $(L_{\natural} \oplus J/[J, L]) \otimes F_{I'}^p X(R')$ .

At this point then we have the bivariant Chern character of degree 0, i.e.

$$\text{given } A \implies L \otimes B \quad \text{congruent mod } J \otimes B$$

we have corresponding homomorphisms

$$RA \implies L \otimes RB \quad \text{cong mod } J \otimes RB$$

carrying  $IA$  into  $L \otimes IB$ . From the above discussion we get

$$X(RA) \longrightarrow J/[J, L] \otimes X(RB)$$

carrying  $F_{IA}^p X(RA)$  to  $J/[J, L] \otimes F_{IB}^p X(RB)$  for all  $p$ . Thus a trace on  $J$  as  $L$ -bimodule determines a map of towers  $\mathcal{X}_A \rightarrow \mathcal{X}_B$  of order  $\leq 0$ .

Here's a ~~variation~~ <sup>correct version</sup> of the preceding, where we ~~keep things symmetrical~~. Consider

$$A \longrightarrow (L + tJ) \otimes B$$

$$a \longmapsto pa + tga$$

where  $t^2 = 1$ . This is a homomorphism <sub>A</sub> hence we get an induced map

$$X(A) \longrightarrow (L + tJ)_{\natural} \otimes X(B)$$

But  $[L + tJ, L + tJ] = [L, L] + t[J, L] + [J, J] = [L, L] + t[J, L]$   
so that  $(L + tJ)_{\natural} = L_{\natural} \oplus tJ/[L, J]$

$$\begin{aligned} & (p+tg)b' - (p+tg)^2 \\ &= p^2 + g^2 + t(pg + gp) \\ & \quad - (p^2 + tpg + tgp + t^2g^2) \\ &= (1-t^2)g^2 \end{aligned}$$

Thus we get

$$X(A) \longrightarrow (L_{\mathbb{Z}} \oplus tJ/[L, J]) \otimes X(B)$$

Moreover if we do this for

$$\begin{array}{ccc} A & \longrightarrow & (L+tJ) \otimes B \\ \downarrow \rho & & \downarrow 1 \otimes \rho \\ RA & \xrightarrow{\psi} & (L+tJ) \otimes RB \\ \downarrow & & \downarrow \\ A & \longrightarrow & (L+tJ) \otimes B \end{array}$$

then it is clear that  $\psi$  carries  $IA$  into  $(L+tJ) \otimes IB$  and thus

$$\psi_* = X(RA) \longrightarrow (L_{\mathbb{Z}} + tJ/[L, J]) \otimes X(RB)$$

carries  $F_{IA}^p X(RA)$  into  $\otimes F_{IB}^p X(RB)$



Situation:  $A \xrightarrow[\theta]{\theta} L \otimes B$  cong. mod  $J \otimes B$

$S = \bigoplus_{n \geq 0} t^n J^n \subset L[t]$ . One has a

~~based linear map~~ based linear map

$$(*) \quad \begin{array}{ccc} A & \longrightarrow & S \otimes B \\ a & \longmapsto & pa + tga \end{array} \quad \begin{array}{l} p = \frac{\theta + \theta^\sigma}{2} : A \rightarrow L \otimes B \\ g = \frac{\theta - \theta^\sigma}{2} : \bar{A} \rightarrow J \otimes B \end{array}$$

with curvature  $(1-t^2)g^2 : \bar{A}^{\otimes 2} \rightarrow (1-t^2)J^2 \otimes B$ .

Let  $K$  be the ideal  $(1-t^2)J^n S = \sum_{n \geq 0} (1-t^2)t^n J^{n+2}$

in  $S$ . ~~based linear map~~ If we replace  $S$  by  $S/K^m$

in  $(*)$  we obtain a based linear map with nilpotent curvature.

Assuming  $A$  quasi-free there is a systematic way to straighten such maps to homomorphisms. Thus we get a ~~compatible family of homs.~~ compatible family of homs.

$$A \longrightarrow S/K^m \otimes B$$

whence a compatible family of maps of supercomplexes

$$X(A) \longrightarrow (S/K^m)_\natural \otimes X(B)$$

Let us now study  $S/K^m$  and  $(S/K^m)_\natural$ .

The following should be true

Prop: ~~The obvious maps~~ The obvious maps

$$\bigoplus_{n < 2m} t^n J^n \longrightarrow S/K^m$$

$$\mathbb{L}_\natural \oplus \bigoplus_{0 \leq n < 2m} t^n J^n / [J^{n-1}, J] \longrightarrow (S/K^m)_\natural$$

are isomorphisms.



Proof. Let's check for  $m=1$  carefully.  
We have for  $m=1$  a comm. square

$$\begin{array}{ccc} L \oplus tJ & \xrightarrow{\alpha} & S/K \\ \downarrow \beta & & \downarrow \\ L \oplus tL & \xrightarrow{\sim} & L[t]/(1-t^2)L[t] \end{array}$$

The top arrow  $\alpha$  is surjective because for  $t^n x \in t^n J^n$   $\xrightarrow{n \geq 2}$  we have  $t^n x = t^{n-2} x - (1-t^2)t^{n-2} x \equiv t^{n-2} x \pmod{K}$ . The square then shows that  $\alpha$  is an isomorphism. Next we have

$$\begin{aligned} (S/K)_\eta &= L + tJ / [L + tJ, L + tJ] \\ &= L + tJ / [L, L] + [J, J] + t[L, J] + t[L, J] \\ &= L_\eta + tJ / [0, L] \end{aligned}$$

For general  $m$  we have

$$\begin{array}{ccc} L \oplus tJ \oplus \dots \oplus t^{2m-1} J^{2m-1} & \xrightarrow{\alpha} & S/K^m \\ \downarrow \beta & & \downarrow \\ L \oplus tL \oplus \dots \oplus t^{2m-1} L & \xrightarrow{\sim} & L[t]/(1-t^2)^m L[t] \end{array}$$

$\alpha$  surjective because given  $t^n x \in t^n J^n$  for  $n \geq 2m$  we have

$$t^n x = \underbrace{t^{n-2m} (t^2-1)^m x}_{\in K^m} + \underbrace{t^{n-2m} (t^{2m} - (t^2-1)^m) x}_{\in t^{n-2} J^n + t^{n-4} J^n + \dots}$$

so by induction  ~~$t^n J^n$~~   $t^n J^n \subset \text{Im}(\alpha)$  for  $n \geq 2m$ .  
since  $S/K^m$  is generated by  $L, tJ$   
the comm. quotient space is obtained by dividing by

$$[L, L \oplus tJ \oplus \dots \oplus t^{2m-1}J^{2m-1}]$$

$$\subset [L, L] \oplus t[L, J] \oplus \dots \oplus t^{2m-1}[L, J^{2m-1}]$$

$$[tJ, L \oplus tJ \oplus \dots \oplus t^{2m-1}J^{2m-1}]$$

$$\subset t[J, L] \oplus t^2[J, J] \oplus \dots \oplus t^{2m-1}[J, J^{2m-2}] \oplus t^{2m}[J, J^{2m-1}]$$

However modulo  $K^m = (1-t^2)^m J^{2m}$  we have

$$\begin{aligned} t^{2m} X &\equiv \left( t^{2m} - (t^2)^m \right) X \\ &= \sum_{j=0}^{2m-2} t^{2j} \binom{2m-2}{j} X \end{aligned}$$

$$\begin{aligned} \text{So } t^{2m} [J, J^{2m-1}] &\subset [J, J^{2m-1}] + t^2 [J, J^{2m-1}] + \dots + t^{2m-2} [J, J^{2m-1}] \\ &\subset [L, L] + t^2 [J, J] + \dots + t^{2m-2} [J, J^{2m-2}] \end{aligned}$$

$$\begin{aligned} \text{Thus } (S/K^m)_7 &= L/[L, L] \oplus tJ/[L, J] \oplus t^2J^2/[J, J] \oplus \dots \\ &\oplus t^{2m-1}J^{2m-1}/[J, J^{2m-2}]. \end{aligned}$$

At this point we have lots of maps from  $X(A)$  to  $X(B)$ , ~~namely~~ namely, associated to continuous traces on  $S$  for the  $K$ -adic topology. The point is to ~~understand~~ understand when they are equivalent

Review: Assuming  $A$  quasi-free we have constructed compatible maps

$$X(A) \longrightarrow (S/K^{m+1})_7 \otimes X(B)$$

Moreover we have

$$L_7 \oplus tJ_{\#} \oplus \dots \oplus t^{2m+1}J_{\#}^{2m+1} \xrightarrow{\sim} (S/K^{m+1})_7$$

$$S/K^{m+1} \longrightarrow J_{\#}^{2m+1}$$

vanishing on the image of  $t^n J^n$  for  $0 \leq n < 2m+1$  and sending  $t^{2m+1} x$ ,  $x \in J_{\#}^{2m+1}$  to the image of  $x$  in  $J_{\#}^{2m+1}$ .

I claim we can compare these canonical traces for different  $m$  as follows, namely, ~~one has~~ a commutative square

$$\begin{array}{ccc} S/K^{m+1} & \xrightarrow{\text{canon}} & J_{\#}^{2m+1} \\ \downarrow 1 - \frac{D}{2m-1} & & \downarrow \frac{-2m}{2m-1} \text{ obvious map} \\ S/K^m & \xrightarrow{\text{canon}} & J_{\#}^{2m-1} \end{array}$$

$D = t \frac{d}{dt}$

suffices to check this on  $t^n J^n$  for  $0 \leq n \leq 2m+1$ .

For  $n < 2m-1$ ,  $1 - \frac{D}{2m-1}$  maps  $t^n J^n$  into the corresponding subspace of  $S/K^m$  which is killed by the canonical trace. For  $n = 2m-1$ ,  $1 - \frac{D}{2m-1}$  kills  $t^{2m-1} J^{2m-1}$  obviously. For  $n = 2m$ ,  $1 - \frac{D}{2m-1}$  maps  $t^{2m} J^{2m}$  into the even subspace of  $S/K^m$  which is killed by the canonical trace. For  $n = 2m+1$  and  $t^{2m+1} x \in t^{2m+1} J^{2m+1}$

$$\left(1 - \frac{D}{2m-1}\right) t^{2m+1} x = \left(1 - \frac{2m+1}{2m-1}\right) t^{2m+1} x = \frac{-2}{2m-1} t^{2m+1} x$$

$$\text{and } t^{2m+1} x - \underbrace{t(t^2-1)^m}_{\in K^m} x = \left(m t^{2m-1} + \text{lower powers of } t\right) x$$

is mapped by the canonical trace to  $m x$  in  $J_{\#}^{2m-1}$ .

Clear.

Proposition: Let  $S, T$  be algebras, let

$$\alpha: X(S \otimes T) \longrightarrow S_{\frac{1}{2}} \otimes X(T)$$

be the canonical map

$$\alpha(s \otimes t) = \frac{1}{2}(s) \otimes t$$

$$\alpha((s_1 \otimes t_1) d(s_2 \otimes t_2)) = \frac{1}{2}(s_1, s_2) \otimes \frac{1}{2}(t_1, t_2)$$

(Alternatively is the map from  $X(S \otimes T)$  to the relative complex  $X_S(S \otimes T) = S_{\frac{1}{2}} \otimes X(T)$ .)

Let  $I \subset S, J \subset T$  be ideals. Then for all  $p$  one has

$$\alpha \left( F_{S \otimes J + I \otimes T}^p X(S \otimes T) \right) \subset \sum_{i \geq 0} \frac{1}{2}(I^i) \otimes F_J^{p-2i} X(T)$$

Proof: Identify  $s \otimes 1, 1 \otimes t$  with  $s, t$  and suppress  $\otimes$  signs, so that  $s \otimes t = st = ts$ . One can suppose  $p \geq 0$  since  $F_J^p X(T) = X(T)$  for  $p < 0$ .

Then

$$\begin{aligned} F_{SJ+IT}^{2n+1} X(ST)_+ &= (SJ+IT)^{n+1} \\ &\subset \sum_{i=0}^{n+1} I^i J^{n-i} \\ &\xrightarrow{\alpha} \sum_{i=0}^{n+1} \frac{1}{2}(I^i) J^{n-i} \\ &= \sum_{i=0}^{n+1} \frac{1}{2}(I^i) F_J^{2n-2i} X(T)_+ \end{aligned}$$

for  $n \geq 0$ . Also  $\subset \sum_{i \geq 0} \frac{1}{2}(I^i) F_J^{2n+1-2i} X(T)_+$

$$F_{SJ+IT}^{2n} X(ST)_+ = (SJ+IT)^{n+1} + [(SJ+IT)^n, ST]$$

$$\subset \sum_0^{n+1} I^i J^{n+1-i} + \sum_0^n [I^i J^{n-i}, ST]$$

$$\subset [I^i, ST] J^{n-i} + I^i [J^{n-i}, ST]$$

$$\subset [I^i, S] T J^{n-i} + I^i S [J^{n-i}, T] \subset [I^i, S] J^{n-i} + I^i [J^{n-i}, T]$$

$$\begin{aligned}
F_{SJ+IT}^{2n} X(ST)_+ &\subset \sum_0^{n+1} I^i J^{n+1-i} + \sum_0^n ([I^i S] J^{n-i} + I^i [J^{n-i}, T]) \\
&\xrightarrow{\alpha} \sum_0^{n+1} \psi(I^i) J^{n-i+1} + \sum_0^n \psi(I^i) [J^{n-i}, T] \\
&\subset \sum_0^n \psi(I^i) F_J^{2n-2i} X(T)_+ + \psi(I^{n+1}) T \\
&\subset \sum_{i \geq 0} \psi(I^i) F_J^{2n-2i} X(T)_+
\end{aligned}$$

$$F_{SJ+IT}^{2n} X(ST)_- = \psi((SJ+IT)^n d(ST))$$

$$\subset \sum_{i=0}^n \psi(I^i J^{n-i} (dST + S dT))$$

$$\subset \sum_{i=0}^n \psi(I^i J^{n-i} (dS + dT))$$

$$\xrightarrow{\alpha} \sum_{i=0}^n \psi(I^i) \psi(J^{n-i} dT)$$

$$\subset \sum_{i \geq 0} \psi(I^i) F_J^{2n-2i} X(T)_-$$

$$F_{SJ+IT}^{2n+1} X(ST)_- = \psi((SJ+IT)^{n+1} d(ST) + (SJ+IT)^n d(SJ+IT))$$

$$\subset \sum_{i=0}^{n+1} \psi(I^i J^{n+1-i} (dS + dT)) +$$

$$\sum_{i=0}^n \psi(I^i J^{n-i} (dS J + S dJ + dIT + I dT))$$

$$\begin{aligned}
\subset \sum_{i=0}^{n+1} \psi(I^i J^{n+1-i} (dS + dT)) &+ \sum_{i=0}^n \psi(I^i J^{n+1-i} dS + I^i J^{n-i} dI) \\
&+ \sum_{i=0}^n \psi(I^i J^{n-i} dJ + I^{i+1} J^{n-i} dT)
\end{aligned}$$

$$\begin{aligned}
& \xrightarrow{\alpha} \sum_0^{n+1} \varphi(I^i) \varphi(J^{n+1-i} dT) \\
& \quad + \sum_0^n \varphi(I^i) \varphi(J^{n-i} dJ) + \underbrace{\sum_0^n \varphi(I^{i+1}) \varphi(J^{n-i} dT)}_{\sum_1^{n+1} \varphi(I^i) \varphi(J^{n+1-i} dT)} \\
& \subset \sum_0^{n+1} \varphi(I^i) \varphi(J^{n+1-i} dT + J^{n-i} dJ) \\
& \quad + \varphi(I^{n+1}) \varphi(\boxed{\phantom{J}} dT) \\
& \subset \sum_{i \geq 0} \varphi(I^i) F_J^{2n+1-2i} X(T)
\end{aligned}$$


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Application: Consider a homomorphism

$$u: R \longrightarrow S \otimes T$$

where  $S = S_0 + S_1 + \dots$  is a graded algebra.

Thus  $u = \sum u_n$  where  $u_n: R \longrightarrow S_n \otimes T$

are linear maps such that  $u_n b' = \sum u_i u_{n-i}$  in the evident sense. Note that

$$\dot{u} = \sum n u_n: R \longrightarrow S \otimes T$$

is a derivation relative to  $u$ .

Let  $I \subset R$ ,  $J \subset T$  be ideals such that  $u_0(I) \subset S_0 \otimes J$ . ~~Consider~~ Consider the ideals

$S^{>0} = \bigoplus_{n>0} S_n \subset S$  and  $J \subset T$ . Then

$$S \otimes J + S^{>0} \otimes T = S_0 \otimes J + S^{>0} \otimes T$$

and we have

$$u: I \longrightarrow S \otimes J + S^{>0} \otimes T$$

$$\dot{u}: \boxed{R} \longrightarrow S^{>0} \otimes T \subset S \otimes J + S^{>0} \otimes T$$

Thus we have a restricted situation and assuming  $R$  quasi-free and  $\phi$  given for  $R$  we know the odd map  $h^\phi(u, \bar{u}) : X(R) \rightarrow X(S \otimes T)$  carries  $F_I^P X(R)$  into  $F_{S \otimes J + S^{\geq 0} \otimes T}^P X(S \otimes T)$ .

Composing with  $\alpha$  shows that

$$\alpha h^\phi(u, \bar{u}) : X(R) \rightarrow S_J \otimes X(T)$$

carries  $F_I^P X(R)$  into  $\sum_{i \geq 0} \mathcal{L}((S^{\geq 0})^i) \otimes F_J^{P-2i} X(T)$

$$\subset \sum_{i \geq 0} \mathcal{L}(S_i^{\square} + S_{i+1}^{\square} + \dots) \otimes F_J^{P-2i} X(T)$$

$$\subset \mathcal{L}(S_0) \otimes F_J^P X(T) \oplus \mathcal{L}(S_1) \otimes F_J^{P-2} X(T) \oplus \dots$$

$$= \sum_{n \geq 0} \mathcal{L}(S_n) \otimes F_J^{P-2n} X(T).$$

(The term for  $n=0$  in  $\alpha h^\phi(u, \bar{u})$  should be zero as  $\bar{u}$  has image in  $S^{\geq 0} \otimes T$ .)

Special case I had in mind

$$R \xrightarrow{u_0 + \varepsilon u_1} J + \varepsilon T = (\mathbb{C} \oplus \mathbb{C}\varepsilon) \otimes T$$

$$I \longrightarrow J + \varepsilon T$$

i.e.  $u_0(I) \subset J$

Then I ~~found~~ find that

$$h^\phi(u_0, u_1) : X(R) \longrightarrow X(T)$$

~~which~~ which should be the coefficient of  $\varepsilon$  in  $h^\phi(u_0 + \varepsilon u_1, \varepsilon u_1)$ , carries  $F_I^P X(R)$  to  $F_J^{P-2} X(R)$ .

$$A \xrightarrow[\bar{\theta}]{\theta} L \otimes B \quad \text{cong mod } J \otimes B$$

$$\mathfrak{S} = \bigoplus_{i \geq 0} t^i J^i \subset \mathbb{C}[t] \otimes L$$

$$p = \frac{\theta + \bar{\theta}}{2} : A \longrightarrow L \otimes B$$

$$q = \frac{\theta - \bar{\theta}}{2} : \tilde{A} \longrightarrow J \otimes B$$

Consider based linear map

$$(1) \quad A \longrightarrow S \otimes B$$

$$a \longmapsto pa + tqa$$

The curvature is  $(1-t^2)q^2 : \tilde{A}^{\otimes 2} \longrightarrow (1-t^2)J^2 \otimes B$

$$K = (1-t^2)J^2 \cdot S \quad \text{ideal} \subset S.$$

Thus  $A \longrightarrow (S/K) \otimes B$  is a homomorphism.

From (1) we obtain

$$(2) \quad \begin{array}{ccc} RA & \longrightarrow & S \otimes RB \\ \uparrow \rho & & \uparrow 1 \otimes \rho \\ A & \xrightarrow{p+tg} & S \otimes B \end{array}$$

such that this square commutes.

$$\begin{array}{ccc} \text{Then } RA & \longrightarrow & S \otimes RB \\ \text{sends } IA & \longrightarrow & K \otimes RB + S \otimes IB \end{array}$$

so we get

$$(3) \quad \begin{array}{ccc} X(RA) & \longrightarrow & S_{\mathbb{Z}} \otimes X(RB) \\ F_{IA}^p X(RA) & \longrightarrow & \sum_{i \geq 0} \mathbb{Z} \langle K^i \rangle \otimes F_{IB}^{p-2i} X(RB) \end{array}$$



$$\bigoplus_{0 \leq n \leq 2m+1} t^n J^n \xrightarrow{\sim} S/K^{m+1}$$

$$\bigoplus_{0 \leq n \leq 2m+1} t^n J_n^\# \xrightarrow{\sim} (S/K^{m+1})_\#$$

Hence there is a canonical trace

$$(S/K^{m+1})_\# \longrightarrow J_\#^{2m+1}$$

which is specified by the properties that it kills  $t^n J^n$  for  $0 \leq n \leq 2m$  and sends  $\zeta(t^{2m+1}x)$ ,  $x \in J^{2m+1}$  to the image of  $x$  in  $J_\#^{2m+1}$ . Compatibility:

$$\begin{array}{ccc}
 S/K^{m+1} & \xrightarrow{\text{can.}} & J_\#^{2m+1} \\
 \downarrow 1 - \frac{D}{2m+1} & & \downarrow \frac{-2m}{2m-1} \text{ obvious map} \\
 S/K^m & \xrightarrow{\text{can.}} & J_\#^{2m-1}
 \end{array}$$

$D = t \frac{d}{dt}$

Better to define  $\tau_m: S/K^{m+1} \rightarrow J_\#^{2m+1}$  to be the trace vanishing on  $t^n J^n$  for  $0 \leq n \leq 2m$  and such that  $\tau_m(t^{2m+1}x) = \frac{(-1)^m 2^m m!}{1 \cdot 3 \cdots (2m-1)} \#(x)$  for  $x \in J^{2m+1}$ . Then we have for  $m \geq 1$

$$\begin{array}{ccc}
 S/K^{m+1} & \xrightarrow{\tau_m} & J_\#^{2m+1} \\
 \downarrow 1 - \frac{D}{2m+1} & & \downarrow i_\# \\
 S/K^m & \xrightarrow{\tau_{m-1}} & J_\#^{2m-1}
 \end{array}$$

$i: J_\#^{2m+1} \rightarrow J_\#^{2m-1}$   
the inclusion

$$\psi_*: X(RA) \longrightarrow X(S \otimes RB) \longrightarrow S_{\frac{1}{2}} \otimes X(RB)$$

$$F_{IA}^P X(RA) \longrightarrow F_{K \otimes RB + S \otimes IB}^P X(S \otimes RB) \longrightarrow \sum_{i \geq 0} \psi(K^i) \otimes F_{IB}^{P-2i} X(RB)$$

I need to consider  $D_* \psi_*$  where  $D =$  the derivation  $t \frac{d}{dt}$  on  $S$ , and  $D_*$  denoted the induced map (in this case on  $S_{\frac{1}{2}} \otimes X(RB)$ ).

One has  $\psi: RA \longrightarrow S \otimes RB$  and

$\dot{\psi} = (D \otimes 1)\psi: RA \longrightarrow S \otimes RB$ . We then get from the Cartan homotopy formula an odd map  $h = h(\psi, \dot{\psi}): X(RA) \longrightarrow X(S \otimes RB)$  such that

$$X(RA) \xrightarrow{\psi_*} X(S \otimes RB) \xrightarrow{D_*} X(S \otimes RB)$$

[ $\partial, h$ ]

Moreover  $h: F_{IA}^P X(RA) \longrightarrow F_{K \otimes RB + S \otimes IB}^{P-2} X(S \otimes RB)$ .

This gives

$$X(RA) \xrightarrow{\psi_*} S_{\frac{1}{2}} \otimes X(RB) \xrightarrow{D_*} S_{\frac{1}{2}} \otimes X(RB)$$

[ $\partial, h$ ]

where  $h: F_{IA}^P X(RA) \longrightarrow \sum_{i \geq 0} \psi(K^i) \otimes F_{IB}^{P-2-2i} X(RB)$