

~~June 27, 1988~~ June 27, 1988

Here seems to be the good construction of Cherns cocycles. We consider $C^*(A, A \star A)$ where $A \star A$ is regarded as a superalgebra.

Let $\theta \in C^1(A, A \star A)$ be the ~~connection~~ canonical homomorphism in,

If we identify $A \star A$ with Ω_A equipped with the \star product, then

$$\theta(a) = \underbrace{a}_{\theta^+(a)} + \underbrace{da}_{\theta^-(a)}$$

Let τ be a supertrace on $A \star A$. Then we ought to be able to combine it with N to obtain a ~~super~~ trace $\tilde{\tau}$ on $C^*(A, A \star A)$ with values in $C^*(A)$ such that $\delta \tilde{\tau} = + \tilde{\tau} \delta$.

If this is true, then the Cherns cocycles are given by $\tilde{\tau}((\theta^+)^n)$. In effect we have that the "connection" form θ is flat, so we have

$$[\delta + \theta^+, \theta^-] = 0$$

hence
$$\delta \tilde{\tau}((\theta^-)^n) = \tilde{\tau}[\delta + \theta^+, (\theta^-)^n] = 0$$

Notice that because $\tilde{\tau}$ is a ~~super~~ trace we ~~don't~~ don't have $\tilde{\tau}((\theta^-)^{2n}) = 0$, necessarily, because θ^- is even.

The signs here are really confused. It seems ridiculous to make the product in $C^*(A, A \star A)$ depend on the $\mathbb{Z}/2$ grading of $A \star A$. ??

Let's return to extensions and to the problem of the exact sequence

$$0 \longrightarrow \tilde{H}C_{2n-1}(A) \longrightarrow I^n/[I, I^{n-1}] \longrightarrow I^{n-1} \otimes_B \Omega'_B \otimes_B$$

The goal will be to give a direct proof of this spectral sequence where $B = T(A)/(1 - p(A)) = eCe$.

First we should recall how a trace on I^n vanishing on $[I, I^{n-1}]$ gives a cyclic cocycle. We consider the canonical map $f(a) = eae$ from A to B as a "connection" form $f \in C^1(A, B)$. The "curvature" is $(\delta_f + f^2)(a_1, a_2) = f(a_1)a_2 - f(a_2)a_1 \in C^2(A, I)$.

Then we have κ

$$\delta(\kappa^n) + [f, \kappa^n] = 0$$

in $C^{2n}(A, I^n)$. This ~~implies~~ implies that the image of $\kappa^n \in C^{2n}(A, I^n)$ in $C_A^{2n-1}(A, I^n/[B, I^n])$ is a cocycle.

Let's recall that $I^n/[B, I^n] \cong (I \otimes_B)^n$ has a natural action of $\mathbb{Z}/n\mathbb{Z}$ with quotient $I^n/[I, I^{n-1}]$. It seems that $I^n/[I, I^{n-1}] = (I \otimes_B)^n$ has a natural action of $\mathbb{Z}/2$, so perhaps $(I \otimes_B)^n$ has a natural action of $\mathbb{Z}/2$.

Let's begin by trying to prove

$$I^n/[I, I^{n-1}] \xrightarrow{\sim} \tilde{K}^{2n}/[\tilde{K}, \tilde{K}^{2n-1}]$$

where $\tilde{K} = CeC\bar{e}C + C\bar{e}CeC$ in C . Once this is proved then we will get a $\mathbb{Z}/2$ -action on the LHS from the $\mathbb{Z}/2$ action ε on C .

We propose to describe C via Ω_A with the $*$ product. Recall that we have $A * A \cong \Omega_A$ with $*$ product and where $i_{n,1} : A \rightarrow A * A$ and $\mathfrak{g} \mapsto F \mathfrak{g} F$ can be identified with

$$a \mapsto a + da$$

$$\omega \mapsto (-1)^{\deg \omega} \omega$$

respectively. We obtain C from $A * A$ by adjoining the element F .

Here's a model for C . Consider the subalgebra of $M_2(\Omega, *)$ consisting of $\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}$ such that $\alpha, \delta \in \Omega^{\text{ev}}$ and $\beta, \gamma \in \Omega^{\text{odd}}$. Let $F = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ and $\varepsilon = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$. Then C can be identified with this subalgebra such that the grading ε on C is given by conjugating with ε .

The nice thing about this model is that it fits nicely with the block description of C, \tilde{K} that we ~~used~~ used before. So \tilde{K}^{un} can be described as consisting of $\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}$ where the forms belong to $\Omega^{\geq \text{un}}$. ~~where~~

We now would like to calculate the quotient $\tilde{K}^{2n} / [C, \tilde{K}^{2n}]$. Because C is generated by the image of A and F we have

$$[C, \tilde{K}^{2n}] = [A, \tilde{K}^{2n}] + [F, \tilde{K}^{2n}]$$

$$\text{Now } \left[\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \right] = 2 \begin{pmatrix} 0 & \beta \\ -\gamma & 0 \end{pmatrix}$$

$$\left[\begin{pmatrix} a & 0 \\ 0 & a \end{pmatrix}, \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \right] = \begin{pmatrix} a * \alpha - \alpha * a & \dots \\ \dots & a * \delta - \delta * a \end{pmatrix}$$

Hence it follows that

$$\tilde{K}^{2n}/[C, \tilde{K}^{2n}] = \begin{pmatrix} \tilde{I}^n/[B, \tilde{I}^n] & 0 \\ 0 & \tilde{I}^n/[\bar{B}, \tilde{I}^n] \end{pmatrix}$$

where we have used that $[A, \tilde{I}^n] = [B, \tilde{I}^n]$ since A generates B . From this we can see an action of $\mathbb{Z}/n\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$ on $\tilde{K}^{2n}/[C, \tilde{K}^{2n}]$.

Next we want to divide out further by $[\tilde{K}, \tilde{K}^{2n-1}]$. ~~The~~ The ~~diagonal~~ diagonal blocks for \tilde{K}^{2n-1} and for \tilde{K}^{2n} are the same. Thus we ~~need~~ need only consider commutators

$$\left[\begin{pmatrix} 0 & \gamma \\ \beta & 0 \end{pmatrix}, \begin{pmatrix} 0 & \gamma' \\ \beta' & 0 \end{pmatrix} \right]$$

where $\beta, \gamma \in \Omega^{\text{odd}, \geq 1}$ and $\beta', \gamma' \in \Omega^{\text{odd}, \geq 2n-1}$.

Enough to look at

$$\left[\begin{pmatrix} 0 & \omega \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ \eta & 0 \end{pmatrix} \right] = \begin{pmatrix} \omega * \eta & 0 \\ 0 & -\eta * \omega \end{pmatrix}$$

where ω, η are odd of degrees ≥ 1 and $\geq 2n-1$, or $\geq 2n-1$ and ≥ 1 , respectively.

Here's how to define an action of $\mathbb{Z}/2\mathbb{Z}$ on $\tilde{I}^n/[B, \tilde{I}^n]$. Recall that

$$I = eCe\bar{c}Ce = eC\bar{e} \otimes_{\bar{B}} \bar{e}Ce$$

where $\bar{B} = \bar{e}C\bar{e}$. Thus

$$\tilde{I}^n/[B, \tilde{I}^n] \stackrel{\substack{\downarrow \\ B, \text{free}}}{=} (I \otimes_B)^n = (eC\bar{e} \otimes_{\bar{B}} \bar{e}Ce \otimes_B)^n$$

Now we have the autom. ε of C , which fixes A and changes F to $-F$; let's denote this $\varepsilon \mapsto \bar{\varepsilon}$.

Then we have the automorphism 1006
of $I^n/[B, I^n]$ given by

$$(\alpha_1 \otimes \beta_1 \otimes \dots \otimes \beta_n) \in (eC\bar{e} \otimes_B eC\bar{e} \otimes_B)^n$$

$$\downarrow$$

$$\textcircled{1} \quad (\bar{\alpha}_1 \otimes \bar{\beta}_1 \otimes \dots \otimes \bar{\beta}_n) \in (\bar{e}C\bar{e} \otimes_B eC\bar{e} \otimes_B)^n$$

$$\downarrow$$

$$(\bar{\beta}_1 \otimes \bar{\alpha}_2 \otimes \dots \otimes \bar{\alpha}_1) \in (eC\bar{e} \otimes_{\bar{B}} \bar{e}C\bar{e} \otimes_B)^n$$

The square of this is the backward cyclic permutation of order n on $I^n/[B, I^n] = (I \otimes_B)^n$.
Consequently the automorphism $\textcircled{1}$ is of order $2n$.

$\textcircled{2}$

Let τ be a linear functional on $I^n/[I, I^{n+1}]$,
i.e. τ is a linear functional on $\Omega^{\text{odd}, \geq 2n}$ such
that $\tau(\omega_1 * \omega_2) = \tau(\omega_2 * \omega_1)$ if $\deg(\omega_1) + \deg(\omega_2) \geq 2n$.
I claim there is another linear functional $\bar{\tau}$ on
 $I^n/[I, I^{n+1}]$ ~~uniquely~~ uniquely characterized by

$$\bar{\tau}(\eta_1 * \eta_2) = \tau(\eta_2 * \eta_1)$$

for $\eta_1, \eta_2 \in \Omega^{\text{odd}}$ with $\deg(\eta_1) + \deg(\eta_2) \geq 2n$.

In effect let's define

$$\bar{\tau}(a_0 da_1 \dots da_{2k}) = \tau(da_{2k} a_0 da_1 \dots da_{2k-1})$$

for $2k \geq 2n$. This formula defines $\bar{\tau}$ on $\Omega^{2k} = A \otimes \bar{A}^{\otimes 2k}$, and one has

$$\bar{\tau}(\eta da) = \tau(da \eta) \quad \begin{array}{l} a \in A \\ \eta \in \Omega^{\text{odd}, \geq 2n-1} \end{array}$$

Next suppose $\eta \in \Omega^{2k-1}$, ~~and~~ and let $2k-1 + 2l-1 \geq 2n$.

Then

$$\begin{aligned}
 \bar{\tau}(\eta * a_0 da_1 \dots da_{2l-1}) &= \tau(da_{2l-1}(\eta * a_0 da_1 \dots da_{2l-2})) \\
 &= \tau((da_{2l-1}) \overset{\eta}{\cancel{}} * a_0 da_1 \dots da_{2l-2}) \\
 &= \tau(a_0 da_1 \dots da_{2l-2} * da_{2l-1} \eta) \\
 &= \tau(a_0 da_1 \dots da_{2l-1} * \eta)
 \end{aligned}$$

showing $\bar{\tau}(\eta_1 * \eta_2) = \tau(\eta_2 * \eta_1)$ when η_1, η_2 are odd with $\deg(\eta_1) + \deg(\eta_2) \geq 2n$.

~~Next~~ Next let ω_1, ω_2 be even forms whose sum of degrees ~~is~~ $\deg(\omega_1) + \deg(\omega_2) \geq 2n$. I am supposing $n \geq 1$. To verify $\bar{\tau}(\omega_1 * \omega_2) = \bar{\tau}(\omega_2 * \omega_1)$ I can suppose $\deg \omega_2 > 0$, and then that $\omega_2 = a_0 da_1 \dots da_{2k}$.

$$\begin{aligned}
 \text{Then } \bar{\tau}(\omega_1 * a_0 da_1 \dots da_{2k}) &= \bar{\tau}((\omega_1 * a_0 da_1 \dots da_{2k-1}) * da_{2k}) \\
 &= \tau(da_{2k} * \omega_1 * a_0 da_1 \dots da_{2k-1}) \\
 &= \bar{\tau}(a_0 da_1 \dots da_{2k-1} * da_{2k} * \omega_1) = \bar{\tau}(a_0 da_1 \dots da_{2k} * \omega_1)
 \end{aligned}$$

and so it works.

$$\text{Prop: } I^n / [I, I^{n-1}] \xrightarrow{\sim} \tilde{K}^{2n} / [\tilde{K}, \tilde{K}^{2n-1}]$$

Proof: Let τ be a linear functional on $I^n / [I, I^{n-1}]$. We will show it extends to $\tilde{K}^{2n} / [\tilde{K}, \tilde{K}^{2n-1}]$. Let $\bar{\tau}$ be as above and define $\tilde{\tau}$ on \tilde{K}^{2n} by

$$\tilde{\tau} \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} = \tau(\alpha) + \bar{\tau}(\delta)$$

Here $\alpha, \delta \in \Omega^{\text{even}, \geq 2n}$ and $\beta, \gamma \in \Omega^{\text{odd}, \geq 2n+1}$. ~~Suppose~~ Suppose

$$\begin{pmatrix} \alpha_1 & \beta_1 \\ \gamma_1 & \delta_1 \end{pmatrix} \in \tilde{K} \quad \begin{pmatrix} \alpha_2 & \beta_2 \\ \gamma_2 & \delta_2 \end{pmatrix} \in \tilde{K}^{2n-1}$$

That is $\alpha_1, \delta_1 \in \Omega^{\text{even}, \geq 2}$ and $\alpha_2, \delta_2 \in \Omega^{\text{even}, \geq 2n-2}$ ¹⁰⁰⁸
 while $\beta_1, \gamma_1 \in \Omega^{\text{odd}, \geq 1}$ and $\beta_2, \gamma_2 \in \Omega^{\text{odd}, \geq 2n-1}$,

Then

$$\begin{aligned} \tilde{\tau} \begin{pmatrix} \alpha_1 \alpha_2 + \beta_1 \gamma_2 & \alpha_1 \beta_2 + \beta_1 \delta_2 \\ \gamma_1 \alpha_2 + \delta_1 \gamma_2 & \gamma_1 \beta_2 + \delta_1 \delta_2 \end{pmatrix} &= \tau(\alpha_1 \alpha_2 + \beta_1 \gamma_2) \\ &\quad + \bar{\tau}(\gamma_1 \beta_2 + \delta_1 \delta_2) \\ \tilde{\tau} \begin{pmatrix} \alpha_2 \alpha_1 + \beta_2 \gamma_1 & \alpha_2 \beta_1 + \beta_2 \delta_1 \\ \gamma_2 \alpha_1 + \delta_2 \gamma_1 & \gamma_2 \beta_1 + \delta_2 \delta_1 \end{pmatrix} &= \tau(\alpha_2 \alpha_1 + \beta_2 \gamma_1) \\ &\quad + \bar{\tau}(\gamma_2 \beta_1 + \delta_2 \delta_1). \end{aligned}$$

But we have seen that $\bar{\tau}(\delta_1 \delta_2) = \bar{\tau}(\delta_2 \delta_1)$

$\tau(\beta_1 \gamma_2) = \bar{\tau}(\gamma_2 \beta_1)$, $\bar{\tau}(\gamma_1 \beta_2) = \tau(\beta_2 \gamma_1)$ and so

these two $\tilde{\tau}$ values coincide. Thus we see that $\tilde{\tau}$ is defined on $\tilde{K}^{2n}/[\tilde{K}^0, \tilde{K}^{2n-1}]$. The uniqueness of $\tilde{\tau}$ is clear, which proves the proposition.

Next let's return to the cyclic $(2n-1)$ -cocycle associated to $\tau \in (I^n/[I, I^{n-1}])^\vee$. Actually we saw that we have a $2n$ -cochain in $C^{2n}(A, I^n)$, namely

$$\varphi(a_1, \dots, a_{2n}) = da_1 \cdots da_{2n}$$

which satisfies

$$\begin{aligned} (\delta\varphi)(a_1, \dots, a_{2n+1}) &= -d(a_1 a_2) da_3 \cdots da_{2n+1} \\ &\quad + da_1 d(a_2 a_3) da_4 \cdots \\ &\quad \dots \end{aligned}$$

$$+ (-1)^{2n} da_1 \cdots d(a_{2n} a_{2n+1})$$

$$= -a_1 da_2 \cdots da_{2n+1} + da_1 \cdots da_{2n} a_{2n+1}$$

June 30, 1988

Consider a Dirac operator D_0 over an odd dimensional manifold and let g be a gauge transformation of the coefficient bundle. Then there is an integer defined - it is the pairing of the odd K-homology class represented by D_0 with the odd K-cohomology class represented by g . The problem is to find a simple analytical expression for this "index".

It seems that APS explains this index in terms of "spectral flow" as follows. Using the linear path $(1-t)D_0 + t g^{-1} D_0 g$, $0 \leq t \leq 1$, together with the gauge transformation at the ends as a clutching function, we obtain a family of Dirac operators ~~on~~ on our odd manifold which is parametrized by S^1 . To this family is associated a spectral flow, which roughly is the ^{net} number of eigenvalues crossing 0, as t goes from 0 to 1. The spectral flow is also ~~the~~ the index of the family. This index is an odd K-cohomology class over the circle S^1 , hence it can be identified with an integer.

The superconnection character form for the index of the family is a 1-form on S^1 whose integral ~~gives~~ gives the index. This gives one analytical expression for the index.

Another ~~procedure~~ procedure is to compare the two operators D_0 and $g^{-1} D_0 g$ via the superconnection

$$\text{family } \begin{pmatrix} D_0 & 0 \\ 0 & g^{-1} D_0 g \end{pmatrix} + t \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$$

This should give ~~the index~~

a 1-form on $[0, \infty)$ whose integral is the index.

It might be possible to ~~get~~ get a geometric picture for the index using the Cayley transform interpretation of superconnection forms. Let's consider the setup abstractly. We have the skew adjoint operator X on H and the automorphism g . We use the path

$$(1-t)X + t g^{-1} X g = X + t g^{-1} [X, g]$$

~~is the integral of the 1-form~~

July 1, 1988

Problem: Let us consider a Dirac operator \mathcal{D}_0 over an odd dimensional compact manifold and a gauge transformation g on the coefficient bundle. Then there is an index defined - it is the pairing of the K-homology class represented by the Dirac operator with the K-cohomology class represented by the gauge transformation. The problem is to find nice analytical expressions for this index.

A nice analytical expression of heat operator type should yield \square by small-time (or Planck's constant) asymptotics an expression for the index as an integral over the manifold M of a characteristic differential form. One expects the Todd or \hat{A} class of M , multiplied by an odd degree character class associated to the given connection on the coeff. bundle and the gauge transf. g .

To be more specific suppose M spin, \square ~~is~~ S be the module of spinors, and let E be coefficient bundle. The \square Dirac operator \mathcal{D}_0 operates on $L^2(M, S \otimes E)$ and is obtained from the Clifford multiplication and a connection on $S \otimes E$:

$$C^\infty(M, S \otimes E) \xrightarrow[\text{connection}]{\square} C^\infty(M, T^* \otimes S \otimes E) \xrightarrow[\text{Cliff mult}]{\square} C^\infty(M, S \otimes E)$$

The connection on $S \otimes E$ is the tensor product of the connection on S obtained from the Levi-Civita connection and a given connection ∇ on E ,

To the autom. g of E belongs a sequence of odd cohomology classes; these are the character classes of the odd K-class represented by g . It is possible to represent these character classes by differential forms using the connection ∇ . However there

are several possible ways to do this. We will now review the different methods, since each one might lead to a different analytical expression for the index.

The main thing we have to do is to compare the two connections ∇ and $g^{-1}\nabla g$. The obvious method is to use the linear path $\nabla_t = (1-t)\nabla + tg^{-1}\nabla g = \nabla + tg^{-1}[\nabla, g]$. The curvature of ∇_t is

$$\nabla_t^2 = \nabla^2 + t \underbrace{[\nabla, g^{-1}[\nabla, g]]}_{= [\nabla, g^{-1}][\nabla, g] + g^{-1}[\nabla^2, g]} + t^2 (g^{-1}[\nabla, g])^2$$

$$\nabla_t^2 = \nabla^2 + tg^{-1}[\nabla^2, g] + (t^2 - t)(g^{-1}[\nabla, g])^2$$

In general one has for a path of connections ∇_t the formula

$$\text{tr}(\nabla_1^2)^n - \text{tr}(\nabla_0^2)^n = d \left\{ \int_0^1 dt \, n \, \text{tr} \left(\dot{\nabla}_t (\nabla_t^2)^{n-1} \right) \right\}$$

In the present ~~case~~ example the LHS is zero so that the odd forms for $n \geq 1$

$$\int_0^1 dt \, n \, \text{tr} \left\{ g^{-1}[\nabla, g] \left((1-t)\nabla^2 + tg^{-1}\nabla^2 g + (t^2-t)(g^{-1}[\nabla, g])^2 \right)^{n-1} \right\}$$

are closed.

The other way to compare two connections is to consider the superconnection family

$$\tilde{\nabla}_t = \begin{pmatrix} \nabla_0 & 0 \\ 0 & \nabla_1 \end{pmatrix} + t \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$$

The curvature is

$$\tilde{\nabla}_t^2 = \begin{pmatrix} \nabla_0^2 & 0 \\ 0 & \nabla_1^2 \end{pmatrix} + t \begin{pmatrix} 0 & \nabla_1 - \nabla_0 \\ \nabla_1 - \nabla_0 & 0 \end{pmatrix} - t^2$$

Upon integrating the formula

$$\partial_t \text{tr}_\varepsilon (e^{\tilde{\nabla}_t^2}) = d \text{tr}_\varepsilon (\varepsilon \tilde{\nabla}_t e^{\tilde{\nabla}_t^2})$$

from 0 to ∞ we get

$$\text{tr} (e^{\nabla_0^2} - e^{\nabla_1^2}) = d \int_0^\infty dt \left\{ \text{tr} \begin{pmatrix} 0 & +1 \\ +1 & 0 \end{pmatrix} e^{\begin{pmatrix} \nabla_0^2 & 0 \\ 0 & \nabla_1^2 \end{pmatrix} + t \begin{pmatrix} 0 & \nabla_0 - \nabla_1 \\ \nabla_0 - \nabla_1 & 0 \end{pmatrix} - t^2} \right\}$$

If we conjugate:

$$\begin{pmatrix} 1 & 0 \\ 0 & g \end{pmatrix} \begin{pmatrix} \nabla & 0 \\ 0 & g^{-1} \nabla g \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & g^{-1} \end{pmatrix} = \begin{pmatrix} \nabla & 0 \\ 0 & \nabla \end{pmatrix}$$

$$\begin{pmatrix} 1 & 0 \\ 0 & g \end{pmatrix} \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & g^{-1} \end{pmatrix} = \begin{pmatrix} 0 & -g^{-1} \\ g & 0 \end{pmatrix}$$

we get

$$\tilde{\nabla}_t = \begin{pmatrix} \nabla & 0 \\ 0 & \nabla \end{pmatrix} + t \begin{pmatrix} 0 & -g^{-1} \\ g & 0 \end{pmatrix}$$

and

$$\tilde{\nabla}_t^2 = \begin{pmatrix} \nabla^2 & 0 \\ 0 & \nabla^2 \end{pmatrix} + t \begin{pmatrix} 0 & -[\nabla, g^{-1}] \\ [\nabla, g] & 0 \end{pmatrix} - t^2$$

So ~~we~~ we obtain the ^{closed} odd form

$$\int_0^\infty dt \text{tr} \left(\begin{pmatrix} 0 & g^{-1} \\ g & 0 \end{pmatrix} \exp \left(-t^2 + \nabla^2 + t \begin{pmatrix} 0 & -[\nabla, g^{-1}] \\ [\nabla, g] & 0 \end{pmatrix} \right) \right)$$

The third idea is to use Narasimhan-Ramanan to handle the connection. Thus we embed \bullet

$E \xrightleftharpoons[\alpha^*]{i} \tilde{V}$ so that $\nabla = i^* d i$ and we extend g on E by 1 on E^\perp to obtain $\tilde{g} = \begin{pmatrix} g & 0 \\ 0 & 1 \end{pmatrix}$ on \tilde{V} .

Thus we reduce to the case 1014
 where $(E, \nabla) = (\tilde{V}, d)$.

Let's calculate the odd forms where ∇ is flat. The ~~form~~ form of degree $(2n-1)$ obtained from $\text{tr}(\nabla_t^{2n})$ for the path $\nabla_t = \nabla + t g^{-1}[\nabla, g]$ is

$$\text{tr} (g^{-1}[\nabla, g])^{2n-1} \underbrace{\int_0^1 dt \, n(t^2-t)^{n-1}}_{n! (-1)^{n-1} \frac{(n-1)!}{(2n-1)!}}$$

In the superconnection case we want

$$\begin{aligned} & - \int_0^\infty dt \, \text{tr} \begin{pmatrix} 0 & g^{-1} \\ g & 0 \end{pmatrix} e^{-t^2} \frac{t^{2n-1}}{(2n-1)!} \begin{pmatrix} 0 & -[\nabla, g^{-1}] \\ [\nabla, g] & 0 \end{pmatrix}^{2n-1} \\ & = + \text{tr} \begin{pmatrix} g^{-1}[\nabla, g][\nabla, g^{-1}] \dots [\nabla, g] & 0 \\ 0 & g[\nabla, g^{-1}][\nabla, g] \dots [\nabla, g^{-1}] \end{pmatrix} (-1)^{n-1} \\ & \quad \times \int_0^\infty e^{-t^2} t^{2n-1} dt \frac{1}{(2n-1)!} \\ & = \text{tr} (g^{-1}[\nabla, g])^{2n-1} \frac{1}{(2n-1)!} \underbrace{\int_0^\infty e^{-u} u^n \frac{du}{2u}}_{\Gamma(n)} \\ & = (-1)^{n-1} \frac{(n-1)!}{(2n-1)!} \text{tr} (g^{-1}[\nabla, g])^{2n-1} \end{aligned}$$

Let's now look at an embedding

$$\diamond E \begin{matrix} \xrightarrow{i} \\ \xleftarrow{i^*} \end{matrix} \tilde{V} \quad \text{with} \quad \nabla = i^* d i. \quad \text{Then}$$

$$d = \begin{pmatrix} i^* d i & i^* d j \\ f^* d i & f^* d j \end{pmatrix} \quad \tilde{g} = \begin{pmatrix} g & 0 \\ 0 & 1 \end{pmatrix}$$

$$[d, \tilde{g}] = \begin{pmatrix} [\nabla, g] & -(g-1)(i^*d_j) \\ (j^*d_i)(g-1) & 0 \end{pmatrix}$$

$$\tilde{g}^{-1}[d, \tilde{g}] = \begin{pmatrix} g^{-1}[\nabla, g] & (g^{-1}-1)(-i^*d_j) \\ j^*d_i(g-1) & 0 \end{pmatrix}$$

Even-Odd: S comes with γ^μ, ϵ_S , E comes with X . ~~On $S \otimes E$~~ On $S \otimes E$ we have $\gamma^\mu \otimes 1, \epsilon_S \otimes X$ anti-commuting

Odd-odd: S comes with γ^μ , E with X
On $(S \otimes E)^{\oplus 2}$ we have

$$\epsilon = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \begin{pmatrix} 0 & \gamma^\mu \otimes 1 \\ \gamma^\mu \otimes 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & -iX \\ iX & 0 \end{pmatrix} \text{ anticommute}$$

We are concerned with the odd-even case which means that on $S \otimes E$ we have

$$(\gamma^\mu \otimes \epsilon) (\nabla_\mu^S \otimes 1 + 1 \otimes \nabla_\mu^E) + 1 \otimes X.$$

~~The first term~~ The first term is the direct sum of the Dirac on $S \otimes E^+$ with respect to ∇^{E^+} and minus the Dirac on $S \otimes E^-$ with respect to ∇^{E^-} .

In the case of interest where we start with a Dirac \not{D} on $S \otimes E$ and a gauge transformation g , we obtain the family of skew-adjoint operators

$$X_t = \begin{pmatrix} \not{D} & 0 \\ 0 & -\not{D} \end{pmatrix} + t \begin{pmatrix} 0 & -g^{-1} \\ g & 0 \end{pmatrix}$$

on $\Gamma(S \otimes E)^{\oplus 2}$. We have

$$X_t^2 = \begin{pmatrix} \not{D}^2 & 0 \\ 0 & \not{D}^2 \end{pmatrix} - t^2 + t \begin{pmatrix} 0 & -[\not{D}, g^{-1}] \\ -[\not{D}, g] & 0 \end{pmatrix}.$$

We want to consider the superconnection $dt \partial_t + X_t \sigma$ over the line \mathbb{R} and integrate the associated character form over $[0, \infty)$. As

$$(dt \partial_t + X_t \sigma)^2 = X_t^2 + dt \dot{X}_t \sigma$$

we obtain the ~~number~~ number

$$u \int_0^\infty \text{tr}_S (\dot{X}_t \sigma e^{u X_t^2}) dt = \frac{1}{(2i)^{1/2}} u \int_0^\infty \text{tr} (\dot{X}_t e^{u X_t^2}) dt$$

Let's change signs slightly

$$X_t = \begin{pmatrix} \phi & 0 \\ 0 & -\phi \end{pmatrix} + t \begin{pmatrix} 0 & g^{-1} \\ -g & 0 \end{pmatrix}$$

$$X_t^2 = \begin{pmatrix} \phi^2 & 0 \\ 0 & \phi^2 \end{pmatrix} + t \begin{pmatrix} 0 & [\phi, g^{-1}] \\ [\phi, g] & 0 \end{pmatrix} - t^2$$

The candidate for the index is

$$\begin{aligned} & \int_0^\infty \text{tr}_s \left(e^{\underbrace{u(+dt \partial_t + X_t \sigma)}_{dt(\partial_t X_t) \sigma + X_t^2}} \right) \\ &= u \int_0^\infty dt \text{tr}_s \left((\partial_t X_t) \sigma e^{u X_t^2} \right) \\ &= u \bar{\sigma} \int_0^\infty dt \text{tr} \left\{ \begin{pmatrix} 0 & g^{-1} \\ -g & 0 \end{pmatrix} e^{u(\phi^2 - t^2 + t \begin{pmatrix} 0 & [\phi, g^{-1}] \\ [\phi, g] & 0 \end{pmatrix})} \right\} \end{aligned}$$

We now expand the exponential obtaining

$$\begin{aligned} \sum_{n \geq 0} \left(u \bar{\sigma} \int_0^\infty dt e^{-ut^2} (ut)^{2n+1} \right) & \int_{t_0 + \dots + t_{2n+1} = 1} \text{tr} \begin{pmatrix} 0 & g^{-1} \\ -g & 0 \end{pmatrix} e^{ut_0 \phi^2} \begin{pmatrix} 0 & [\phi, g^{-1}] \\ [\phi, g] & 0 \end{pmatrix} \\ & \times e^{ut_1 \phi^2} \dots \dots \begin{pmatrix} 0 & [\phi, g^{-1}] \\ [\phi, g] & 0 \end{pmatrix} e^{ut_{2n+1} \phi^2} \end{aligned}$$

$$\begin{aligned} \text{Now } u \bar{\sigma} \int_0^\infty dt e^{-ut^2} (ut)^{2n+1} &= u \bar{\sigma} \int_0^\infty \frac{dt}{t} e^{-ut^2} (ut)^{2n+2} \\ &= \bar{\sigma} \int_0^\infty \frac{dt}{2t} e^{-ut} (u)^{2n+2} t^{n+1} = \frac{\bar{\sigma}}{2} \frac{\Gamma(n+1)}{u^{n+1}} u^{2n+2} \\ &= \frac{\bar{\sigma}}{2} \cancel{n!} n! u^{n+1} \end{aligned}$$

So our formula for the index seems to be

$$\sum_{n \geq 0} \frac{\bar{\sigma}}{2} n! u^{n+1} u^{2n} \underline{I}_n \quad \text{where}$$

$$I_n = \int_0^u \text{tr} \left(g^{-1} e^{ut_0 \phi^2} [\phi, g] \dots [\phi, g^{-1}] e^{ut_{2n} \phi^2} [\phi, g] e^{ut_{2n+1} \phi^2} \right) dt_0 \dots dt_{2n+1} = 1$$

$$- \int_0^u \text{tr} \left(g e^{ut_0 \phi^2} [\phi, g^{-1}] \dots [\phi, g^{-1}] e^{ut_{2n+1} \phi^2} \right) dt_0 \dots dt_{2n+1} = 1$$

One can simplify a bit by using the L.T.

$$\int_0^\infty e^{-\lambda u} I_n du = \text{tr} \left\{ g^{-1} \frac{1}{\lambda - \phi^2} [\phi, g] \frac{1}{\lambda - \phi^2} \left([\phi, g^{-1}] \frac{1}{\lambda - \phi^2} [\phi, g] \frac{1}{\lambda - \phi^2} \right)^n \right\} \\ - \text{tr} \left\{ g \frac{1}{\lambda - \phi^2} [\phi, g^{-1}] \frac{1}{\lambda - \phi^2} \left([\phi, g] \frac{1}{\lambda - \phi^2} [\phi, g^{-1}] \frac{1}{\lambda - \phi^2} \right)^n \right\}$$

Note

$$\prod_{j=1}^n \int_0^\infty e^{-\lambda x_j} f_j(x_j) dx_j = \int_0^\infty \int_0^\infty e^{-\lambda(x_1 + \dots + x_n)} f_1(x_1) \dots f_n(x_n) dx_1 \dots dx_n$$

set $y_k = x_k + \dots + x_n$

$$= \int_0^\infty dy_1 \int_0^{y_1} dy_2 \dots \int_0^{y_{n-1}} dy_n e^{-\lambda y_1} f_1(y_1 - y_2) \dots f_{n-1}(y_{n-1} - y_n) f_n(y_n)$$

set $y_1 = u$ and $y_k = ut_k$

$$= \int_0^\infty du e^{-\lambda u} u^{n-1} \int_0^1 dt_2 \int_0^{t_2} dt_3 \dots \int_0^{t_{n-1}} dt_n f_1(u(1-t_2)) \dots f_n(u t_n)$$

$$= \int_0^\infty du e^{-\lambda u} \left(u^{n-1} \int_{t_1 + \dots + t_n = 1} f_1(ut_1) \dots f_n(ut_n) \right)$$

" "

$$(f_1 * \dots * f_n)(u)$$

This seems to be too messy to deal with

Return to Kasparov theory. I want 1020

to examine the index in the odd case thoroughly, from as many angles as possible.

The abstract situation is the following. One has a Hilbert space H and an involution η modulo compacts. Given a unitary g on H preserving η , there is an index \square defined. In fact the index is a homomorphism

$$U_{\text{res}}(H, \eta) \longrightarrow \mathbb{Z}.$$

A natural question is what is the graded analogue? One might start with a graded $H = H^+ \oplus H^-$ and an odd involution η modulo compacts. But then it is not so obvious what the analogue of g should be. Possibly one should look at even projectors commuting with η modulo compacts. To simplify suppose η has index zero, whence it can be represented by an odd involution F , i.e. $F = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ relative to an isom. $H^+ = H^-$. Then we are looking at the space of pairs of projectors (e, e') on H^+ which are congruent modulo compacts. We want to consider only those pairs such that the image and kernel ^(of e' , hence also e) are infinite-dimensional.

This spaces fibres over the ~~contractible~~ space of all e' and the fibre is the restricted Grassmannian, so again we have a space of the homotopy type $\mathbb{Z} \times BU$.

It might be better to consider a ^{more} concrete situation where one is given a Dirac operator on a manifold M . This represents an element of $K(C(M), \mathbb{C})$ and the index map is the pairing with various elements of $K(\mathbb{C}, C(M))$.

Traditionally elements of $K(\mathbb{C}, C(M))$, that is, K -cohomology of M , are represented by maps from M to ^{the} classifying BU .

spaces U or $\mathbb{Z} \times BU$. ~~These~~ The usual models for these spaces are unitary matrices $\equiv 1$ modulo compacts and the restricted Grass of involutions congruent to a fixed one modulo compacts. However in the AS paper one has other Fredholm operator models which are apparently better ~~for~~ ~~the~~ for the purpose of the Kasparov cup products.

Look at the ungraded case. The K-homology class is represented by a Hilbert space representation H of $C(M)$ with an F modulo compacts computing with elements of $C(M)$ modulo compacts.

July 5, 1988

~~Let's~~ Let's fix an F on $L^2(M, S) = H$,
whence we have an element α of $KK(\mathbb{C}(M), \mathbb{C})$,
and let us consider pairing with α :

$$KK(\mathbb{C}, \mathbb{C}(M)) \longrightarrow KK(\mathbb{C}, \mathbb{C})$$

~~Example~~ To fix the ideas consider the
ungraded case where M is odd dimensional.
Then we ~~can~~ can represent any element of
 $KK^1(\mathbb{C}, \mathbb{C}(M))$ by a map $g: M \rightarrow U(V)$ with
 V finite dimensional, or with V infinite-dimensional
but with $U(V)$ replaced by unitaries $\equiv 1 \pmod{K}$.
In either of these cases we form $L^2(M, S \otimes \tilde{V}) =$
 $H \otimes V$ and compare $\tilde{F} = F \otimes 1$ with ~~with~~
 g on $L^2(M, S \otimes \tilde{V})$. It seems that g preserves
 \tilde{F} modulo compacts, so the index is defined.

The other way to represent an element of
 $KK^1(\mathbb{C}, \mathbb{C}(M))$ is by a map $M \xrightarrow{A} \mathcal{F}_1 =$
self adj. contractions ess. spectrum $\{-1, +1\}$ on V infinite-
dimensional. Then we would have to construct
the Kasparov cup product.

In the graded case we have to consider
representations of elements on $KK^0(\mathbb{C}, \mathbb{C}(M))$. The
simplest representation is by maps $M \rightarrow O(V)$, V
finite-dimensional. Here one reduces $F \otimes 1$ on
 $L^2(M, S) \otimes V$ by the idempotent. The next kind
of representation would be by maps from M
to a restricted Grassmannian. Now before one
can talk about the index map to \mathbb{Z} from
the restricted Grass one needs to have fixed at
least the zero component. It seems therefore

reasonable to require a basepoint ε to be given in the restricted Grass before one considers it to be a classifying space for K^0 .

Then we have $V = V^+ \oplus V^-$ a graded Hilbert space, and ~~we~~ we have a map $\overset{\mathcal{K}}{\Phi_x}$ from M to involutions congruent to ε_V modulo compacts. We form

$$L^2(M, S \otimes V) = L^2(M, S) \otimes V$$

and we would like to couple $F \otimes 1$ with the family $\{\Phi_x\}$ so as to obtain either a Fredholm operator or a point ~~in~~ in a restricted Grassmannian.

In $\tilde{H} = L^2(M, S) \otimes V = H \otimes V$ we have $\tilde{F} = F \otimes 1$ and $\varepsilon = \varepsilon_H \otimes \varepsilon_V$ and the ~~involutions~~ involution $\tilde{\Phi}$.

We want to combine $F, \tilde{\Phi}$ following the finite dimensional model. ?

Questions: 1) Fix a Fredholm module (H, F) over $C(M)$ (ungraded), + let g be a unitary autom of the ^{trivial} Hilbert space ^{bundle} V , which is $\equiv 1 \pmod{\mathcal{K}}$. Then ~~on~~ on $H \otimes V$, does $F \otimes 1$ ~~commute~~ ^{commute mod \mathcal{K}} with g ? For example, when $H = L^2(S^1)$ and F is the Hilbert transform, does $F \otimes 1$ on $H \otimes V = L^2(S^1, \tilde{V})$ commute mod \mathcal{K} with g ?

2) Suppose instead of $M \rightarrow U(V; 1)$ we take $M \rightarrow O(V, \varepsilon)$. How can one couple this to F ?

Recall the space of pairs (e, e') of projectors on H such that $e \equiv e' \pmod{\mathcal{H}}$ is homotopy equivalent to the restricted Grass, since it fibres over the contractible space of all projectors e' (we assume $\text{Im } e', \text{Ker } e'$ are ∞ -dim). Moreover we ~~know~~ know how to construct a map from this space to a restricted Grass. ~~Take~~ Namely we take the odd almost involutive contraction $\begin{pmatrix} 0 & e \\ e' & 0 \end{pmatrix}$ on $eH \oplus e'H$, we take its modified C.T. which gives an involution on $eH \oplus e'H$ which equals $-\varepsilon$ when $e=e'$, and then we extend the by $-\varepsilon$ on the orthogonal complement $(1-e)H \oplus (1-e')H$ in $H \oplus H$.

It seems this map ^{almost} lifts into the space \mathcal{F}_0 of odd almost involutive contractions. At least it does provide the almost-inv. contraction $\begin{pmatrix} 0 & ee' \\ e'e & 0 \end{pmatrix}$ on $eH \oplus e'H$. One then wants an isomorphism of the complements $(1-e)H$ and $(1-e')H$. What we can do is to add H and use the infinite repetition isomorphism

$$\begin{aligned} & (1-e)H \oplus H \oplus H \oplus \dots \\ &= (1-e)H \oplus (eH \oplus (1-e)H) \oplus (eH \oplus (1-e)H) \oplus \dots \\ &= ((1-e)H \oplus eH) \oplus ((1-e)H \oplus eH) \oplus \dots \\ &= H \oplus H \oplus \dots \end{aligned}$$

and similarly for e' .

July 7, 1988

Wave packet transform on \mathbb{R} . We consider $L^2(\mathbb{R})$ with the operators $q = x$ and $p = \frac{\hbar}{i} \partial_x$. We would like a way to associate operators on $L^2(\mathbb{R})$ to functions $f(q, p)$, i.e. a quantization procedure.

One method uses the holomorphic representation.

Here $L^2(\mathbb{R})$ is identified with the subspace of $L^2(\mathbb{C}, e^{-|z|^2} \frac{d^2z}{\pi})$ consisting of holomorphic functions, and $f(q, p)$ is identified with a smooth function $\|f(z, \bar{z})\|$ on \mathbb{C} . Quantization is then multiplication by f and projection back onto the holom. functions.

In the holom representation the generators are the coherent states $u_\lambda = e^{\lambda z} = e^{\lambda a^*} |0\rangle$. These states are complete but not independent. We have

$$\langle u_\lambda | u_\mu \rangle = e^{\bar{\lambda} \mu}$$

$$\int \frac{d^2\lambda}{\pi} e^{-|\lambda|^2} |u_\lambda\rangle \langle u_\lambda|$$

Let's look for similar things in $L^2(\mathbb{R})$. We ~~consider~~ consider

$$e^{-\frac{x^2}{2} + \lambda x}$$

If $\lambda = u + iv$ with $u, v \in \mathbb{R}$, then this

$$\text{is } e^{ivx} e^{-\frac{(x-u)^2}{2}} e^{-\frac{u^2}{2}}$$

which is a wave packet of wave number v centered around $x = u$. Thus to each $\lambda \in \mathbb{C}$ we have attached a wave packet.

We have

$$\begin{aligned} \langle e^{-\frac{x^2}{2} + \lambda x} | e^{-\frac{x^2}{2} + \mu x} \rangle &= \int e^{-x^2 + (\bar{\lambda} + \mu)x} dx \\ &= \sqrt{\pi} e^{+\frac{(\bar{\lambda} + \mu)^2}{2}} = \sqrt{\pi} e^{+\frac{\bar{\lambda}^2}{4} + \frac{\mu^2}{4} + \frac{1}{2}\bar{\lambda}\mu} \end{aligned}$$

so if we put

$$\varphi_\lambda = \pi^{-1/4} e^{-\frac{\lambda^2}{4}} e^{-\frac{x^2}{2} + \lambda x}$$

then we have

$$\langle \varphi_\lambda | \varphi_\mu \rangle = e^{\frac{1}{2}\bar{\lambda}\mu}$$

We also have

$$\begin{aligned} &\int d^2\lambda e^{-\frac{1}{2}|\lambda|^2} \varphi_\lambda(x) \overline{\varphi_\lambda(y)} \\ &= \int \frac{d^2\lambda}{\pi^{1/2}} e^{-\frac{1}{2}|\lambda|^2 - \frac{\lambda^2}{4} - \frac{\bar{\lambda}^2}{4} - \frac{x^2}{2} + \lambda x - \frac{y^2}{2} + \bar{\lambda}y} \\ &= \int \frac{d^2\lambda}{\pi^{1/2}} e^{-\frac{1}{4}(\lambda + \bar{\lambda})^2 + \lambda x + \bar{\lambda}y - \frac{x^2 + y^2}{2}} \\ &= \int \frac{du dv}{\pi^{1/2}} e^{-\frac{1}{4}(2u)^2 + u(x+y) + iv(x-y) - \frac{x^2 + y^2}{2}} \\ &= 2\pi \delta(x-y) \pi^{-1/2} \left(\int du e^{-u^2 + u(x+y)} \right) e^{-\frac{x^2 + y^2}{2}} \\ &= 2\pi \delta(x-y) e^{\frac{(x+y)^2}{4}} e^{-\frac{x^2 + y^2}{2}} = 2\pi \delta(x-y) \end{aligned}$$

$$\int \frac{d^2\lambda}{2\pi} e^{-\frac{1}{2}|\lambda|^2} \varphi_\lambda(x) \overline{\varphi_\lambda(y)} = \delta(x-y)$$

July 8, 1988

Let's return to index theory over the circle with a view toward understanding the analysis better.

We consider $L^2(S^1)$, $S^1 = \mathbb{R}/2\pi\mathbb{Z}$, Lebesgue measure $\frac{dx}{2\pi}$; it has orthonormal basis e^{inx} , $n \in \mathbb{Z}$.

We consider operators on $L^2(S^1)$ built up out of multiplication by functions of x and ~~the operator~~ functions of $p = \frac{h}{i}\partial_x$, where h is real. If f is a function defined on \mathbb{R} , then $f(p)$ is the diagonal operator

$$f(p) e^{inx} = f(nh) e^{inx}.$$

(Although this operator depends only on the values of f on the set $\mathbb{Z}h$, we want to treat h as a parameter, and so we want f to be defined on all of \mathbb{R} . Also we could take p to be $\frac{h}{i}(\partial_x + ia)$ with $a \in \mathbb{R}$, i.e. use a non-trivial constant coefficient connection.)

We have

$$f(p) * e^{inx} = e^{inx} * f(p + nh)$$

where $*$ denotes operator composition. Thus one is led to introduce the cross product algebra of functions of p by the integers, the integers being identified with the exponential functions $\{e^{inx}\}$.

One can generalize to a torus $M = \mathbb{R}^n/\Gamma$. One takes functions on $T^*M = M \times (\mathbb{R}^n)^*$ and associates operators to them.

July 10, 1988

We are reviewing index theory over the circle. I recall being stuck on how to couple a Dirac operator on S^1 to a loop $g: S^1 \rightarrow U(V)$. I ran into difficulty trying to define something like

$$\frac{1}{i} \partial_x + \frac{g-1}{g+1}$$

The reason for the difficulty ~~is~~ probably lies somewhere in the analysis - there's something ill-posed, some failure of transversality which would become clear if I had good control of the analysis.

It seems likely that a way around the difficulty can be found by working in two dimensions. We see ~~two~~ two approaches. First one can replace the loop g by a family of Dirac's over an auxiliary circle parametrized by the given circle. Thus an element u of $U(V)$ is to be replaced by "the" ~~connection~~ connection in the trivial bundle \tilde{V} over the circle with the monodromy u . After making suitable choices we find a Dirac operator on the torus $S^1 \times S^1$ which should be the coupling of $\frac{1}{i} \partial_x$ and g .

Secondly we can work over the cotangent bundle $T^*(S^1) = S^1 \times \mathbb{R}$. We use \mathfrak{W}_2 algebras, symbol algebras, which are constructed from functions on $T^*(S^1)$, and which operate in various ways on $L^2(S^1)$.

~~This formalism links index theory of KDO's on S^1 and the K-theory of $T^*(S^1)$. Specifically it gives a map from the K-theory of $T^*(S^1)$ to~~

Let's follow the second approach which assigns operators on $L^2(S^1)$ to functions on $T^*(S^1) = S^1 \times \mathbb{R}$. We consider an extension of algebras.

$$0 \rightarrow \mathcal{A} \rightarrow \tilde{\mathcal{A}} \rightarrow C^\infty(\underbrace{S^1 \times \{\pm 1\}}_{\substack{\text{cosphere} \\ \text{bundle of } S^1}}) \rightarrow 0$$

\mathcal{A} consists of smooth functions $f(h, x, p)$ on $\mathbb{R} \times \underbrace{S^1 \times \mathbb{R}}_{T^*(S^1)}$ which are rapidly decreasing as $p \rightarrow \infty$, whereas $\tilde{\mathcal{A}}$ consists of $f(h, x, p)$ which tend rapidly to constant functions in p as $p \rightarrow +\infty$ or $-\infty$. We know how given a connection $\partial_x + ia$ on S^1 and $h \neq 0$ to define a homomorphism

$$\tilde{\mathcal{A}} \rightarrow \mathcal{L}(L^2(S^1))$$

such that \mathcal{A} gets mapped to smooth kernel operators. An element of $\tilde{\mathcal{A}}$ can be expanded as a Fourier series in x

$$\sum_{n \in \mathbb{Z}} e^{inx} f_n(h, p)$$

and the algebra structure is determined by the rule

$$f_n(h, p) * e^{ikx} = e^{ikx} f_n(h, p + hk)$$

Let's assume we understand the above algebra extension and concentrate on the index theory.

Let's consider an extension of algebras

$$0 \rightarrow I \rightarrow R \rightarrow A \rightarrow 0$$

where R operates on H so that I acts as operators in a certain Schatten class.

In this case we have an index map

$$K_1(A) \xrightarrow{\partial} K_0(I) \rightarrow K_0(\mathcal{K}(H)) = \mathbb{Z},$$

and we have various trace formulas for the index. Let's review these.

Let us start with an element of $K_1(A)$; it can be represented by an invertible matrix u over A . The connecting homomorphism ∂ is defined as follows. One lifts u to $p \in R$ and u^{-1} to $q \in R$. Then we have

$$\begin{aligned} qp &= 1 - x && \text{with } x \in I \\ pq &= 1 - y && \text{with } y \in I \end{aligned}$$

$$pqp = p - y p = p - px \Rightarrow px = yp$$

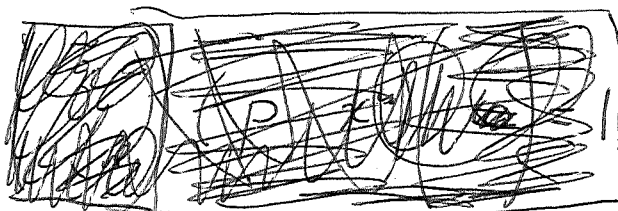
$$qpq = q - xq = q - qy \Rightarrow xq = qy$$

~~We have the index formula~~
~~Index = $\text{tr}(x^n) - \text{tr}(y^n)$~~
~~where n is large enough so the traces are defined. To prove this we,~~

Set $\tilde{q} = (1 + x + \dots + x^{2n-1})q$ so that

$$\tilde{q}p = 1 - x^{2n}$$

Then we have



~~$$\begin{pmatrix} p & x^n \\ q & x^n \end{pmatrix} \begin{pmatrix} p \\ x^n \end{pmatrix} = 1$$~~

so that ~~the~~

$$e = \begin{pmatrix} p \\ x^n \end{pmatrix} \begin{pmatrix} \tilde{q} & x^n \end{pmatrix} = \begin{pmatrix} p\tilde{q} & px^n \\ x^n\tilde{q} & x^{2n} \end{pmatrix}$$

is a projector $\equiv \text{mod } I^n$ to $e_0 = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$.

The connecting homomorphism takes $[u] \in K_1(A)$ into the difference $[e] - [e_0] \in K_0(I)$.

Note that $[e] - [e_0]$ ~~is~~ is a well-defined class in $K_0(I^n)$. If trace is defined on I^n we have

$$\text{tr}(e - e_0) = \text{tr} \begin{pmatrix} p\tilde{q} - 1 & x^{2n} \\ x^n\tilde{q} & x^{2n} \end{pmatrix}$$

$$\begin{aligned} p(1+x+\dots+x^{2n-1})\tilde{q} &= (1+y+\dots+y^{2n-1})(p\tilde{q}) \\ &= 1-y^{2n} \end{aligned}$$

$$\therefore \text{tr}(e - e_0) = \text{tr}(x^{2n}) - \text{tr}(y^{2n}).$$

July 11, 1988

Consider an algebra extension with a map to the Calkin extension

$$\begin{array}{ccccccc} 0 & \longrightarrow & I & \longrightarrow & R & \longrightarrow & A \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & \mathcal{K}(H) & \longrightarrow & \mathcal{L}(H) & \longrightarrow & \mathcal{L}(H) \longrightarrow 0 \end{array}$$

Given an invertible matrix u over A , one lifts it to p over R . Then p is a Fredholm operator on H , so there is an index defined.

It seems that the fact that a Fredholm operator has closed image and finite dimensional kernel + cokernel is a basic fact from analysis. One might try to define the index via the connecting homomorphism

$$K_1(A) \xrightarrow{\partial} K_0(I) \longrightarrow K_0(\mathcal{K}(H)) = \mathbb{Z}.$$

This starts from u ; one lifts u and u^{-1} to p and q respectively. Replacing q by $\tilde{q} = [1 - (1 - qp)]q$ if necessary one can suppose $qp = 1 - \beta\alpha$ with $\beta, \alpha \in I$.

Then

$$e = \begin{pmatrix} p \\ \alpha \end{pmatrix} \begin{pmatrix} q & \beta \end{pmatrix} \quad \text{and} \quad e_0 = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$$

are projectors over $I^+ = \mathbb{C} \oplus I$ which are congruent mod I , so $[e] - [e_0]$ is a class in $K_0(I)$. But now one still has to assign an index to two projectors on H which are congruent modulo compacts. The method is to

look at the projection

$$e(H^{\oplus 2}) \xrightarrow{e_0 e} e_0(H^{\oplus 2})$$

which is Fredholm, and take the index.

(In the ~~case~~ case being considered $e(H^{\oplus 2})$ and $e_0(H^{\oplus 2})$ can be identified with H and then $e_0 e$ becomes p_0 .) Thus one still ends up using the basic fact about Fredholm operators.

Remark: Index formula: Suppose e, e_0 are two ~~operators~~ projectors on H which differ by ~~an~~ an operator in a Schatten class. Then we have

$$\text{Index}([e] - [e_0]) = \text{tr} (e - e_0)^{2n+1}$$

for n large enough so the index is defined.

Proof when e, e_0 are self-adjoint. Set $F = 2e - 1$, $\varepsilon = 2e_0 - 1$. We want the index

of $e_0 e$: $e_0 H \rightarrow e H$. One has

$$\text{Ker}(e_0 e) = \underbrace{eH}_{F=1} \cap \underbrace{(e_0 H)^\perp}_{\varepsilon=-1}$$

$$\text{Ker}(e e_0) = \underbrace{e_0 H}_{\varepsilon=1} \cap \underbrace{(eH)^\perp}_{F=-1}$$

note:
 $(e_0 e)^* = e e_0$;
 think of $e_0 e$
 as $f^* \iota$

$$\begin{aligned} \text{so } \text{Index} &= -\text{tr} (\varepsilon \text{ on } g = -1 \text{ eigenspace}) & g &= F\varepsilon \\ &= -\text{tr} (\varepsilon f(g)) \end{aligned}$$

where f is a function with $f(1) = 0$, $f(-1) = 1$, such that $f(g) \in \mathcal{L}^1$.

$$\text{Take } f(g) = \text{a power of } \frac{2-g-g^{-1}}{4} = \frac{1-g}{2} \frac{1-g^{-1}}{2}$$

$$\frac{2-g-g^{-1}}{4} = \frac{2-F\varepsilon-\varepsilon F}{4} = \left(\frac{F-\varepsilon}{2}\right)^2$$

$$\begin{aligned} \text{So } \text{Index} &= +\text{tr}(-\varepsilon)\left(\frac{F-\varepsilon}{2}\right)^{2n} = \text{tr}\left(\frac{F-\varepsilon}{2}\right)F\left(\frac{F-\varepsilon}{2}\right)^{2n-1} \\ &= \text{tr}F\left(\frac{F-\varepsilon}{2}\right)^{2n} \end{aligned}$$

$$\therefore \text{Index} = \text{tr}\left(\frac{F-\varepsilon}{2}\right)^{2n+1} = \text{tr}(e-e_0)^{2n+1}$$

Next we want to discuss the possible purpose of this discussion. We are interested in the problem of coupling a Dirac on S^1 with a loop $g: S^1 \rightarrow U(V)$. This means that I would like a construction which produces an element of the restricted Grassmannian of a Hilbert space close to $L^2(S^1)$. ~~_____~~

~~_____~~

We are going to proceed as follows.

We start with an odd K-class on the cosphere bundle $S^1 \times \{\pm 1\}$ of S^1 . This will be represented by a pair g_+, g_- of invertible matrices over $C^\infty(S^1)$. To simplify we suppose $g_- = 1$. Thus we have the invertible matrix over $S^*(S^1) = S^1 \times \{\pm 1\}$ given by

$$u(x, p) = \begin{cases} 1 & p = -1 \\ g(x) & p = +1. \end{cases}$$

Now we would like to investigate ways to assign representatives for the index of u . Such representatives should lie in standard spaces of the homotopy type $\mathbb{Z} \times BU$, such as the restricted Grassmannian or the space of Fredholm operators. To get started let's consider the process of lifting

u to a ψ of order zero. By means of the alg. extension

$$0 \rightarrow \mathcal{A} \longrightarrow \tilde{\mathcal{A}} \longrightarrow C^\infty(S^1 \times \{\pm 1\}) \rightarrow 0$$

" $\{f(h, x, p)\}$

we can do the lifting in $\tilde{\mathcal{A}}$. Now it would be nice to ~~lift~~ lift u to a contraction P , so that when we come to solve

$$QP + \beta\alpha = 1$$

we can take $Q = P^*$ and $\beta = \alpha^*$. I don't know whether this is possible, however ~~we~~ we can look ~~at~~ at the case where $h=0$ to get some ideas. In this case $\mathcal{A}_0 = \mathcal{L}(S^1 \times \mathbb{R})$ and

we are using u to construct ~~an~~ an idempotent over $S^1 \times (\mathbb{R} \cup \{+\infty\}) = S^1 \times S^1$.

Thus we are looking at the connecting map

$$K^1(S^1 \times \{\pm 1\}) \xrightarrow{\partial} K_c^0(S^1 \times \mathbb{R}),$$

and we want to see what the standard formula for ∂ yields from u .

Let's fix $\tau: \mathbb{R} \rightarrow [-1, 1]$ a monotone function, $\tau = -1$ ~~for~~ for $p \ll 0$, and $\tau(p) = +1$ for $p \gg 0$. Then u lifts to

$$\begin{aligned} P(x, p) &= \frac{1 - \tau(p)}{2} + g(x) \frac{1 + \tau(p)}{2} \\ &= \frac{g+1}{2} + \frac{g-1}{2} \tau \end{aligned}$$

Then

$$P^* = \frac{g^{-1}+1}{2} + \frac{g^{-1}-1}{2} \tau$$

$$\begin{aligned}
 p^*p &= \frac{2+g+g^{-1}}{4} + \frac{2-g-g^{-1}}{4} \tau^2 \\
 &\quad + \underbrace{\left((g^{-1}+1)(g-1) + (g^{-1}-1)(g+1) \right) \frac{\tau}{4}}_{1+g-g^{-1}-1 + 1-g+g^{-1}-1} = 0 \\
 &= \frac{2+g+g^{-1}}{4} + \frac{2-g-g^{-1}}{4} (\tau^2 - 1 + 1) \\
 &= 1 - \frac{(1-g)(1-g^{-1})}{4} (1-\tau^2)
 \end{aligned}$$

so if we put

$$\alpha = \frac{g^{-1}}{2} \sqrt{1-\tau^2} \quad \beta = \alpha^*$$

we have $p^*p + \alpha \alpha^* = 1$, and so we obtain the projector

$$e = \begin{pmatrix} p \\ \alpha \end{pmatrix} \begin{pmatrix} p^* & \alpha^* \end{pmatrix}$$

which is the orthogonal projection on the image of

$$\begin{pmatrix} p \\ \alpha \end{pmatrix} = \begin{pmatrix} \frac{1-\tau}{2} + g \frac{1+\tau}{2} \\ \frac{g^{-1}}{2} \sqrt{1-\tau^2} \end{pmatrix} = \begin{pmatrix} \frac{g^{-1}\tau + g+1}{2} \\ \frac{g^{-1}}{2} \sqrt{1-\tau^2} \end{pmatrix}$$



We find a new embedding of the suspension of $U(V)$ into $Gr(V^{\otimes 2})$. Taking $V = \mathbb{C}$ we have the map

$$\begin{aligned}
 [-1, 1] \times \mathbb{T} &\longrightarrow \mathbb{C}P^2 \\
 (\tau, \zeta) &\longmapsto \frac{\tau}{\sqrt{1-\tau^2}} + \frac{1}{\sqrt{1-\tau^2}} \begin{pmatrix} \zeta+1 \\ \zeta-1 \end{pmatrix} \in i\mathbb{R}
 \end{aligned}$$

If we set

$$\tau = \frac{p}{\sqrt{1+p^2}}$$

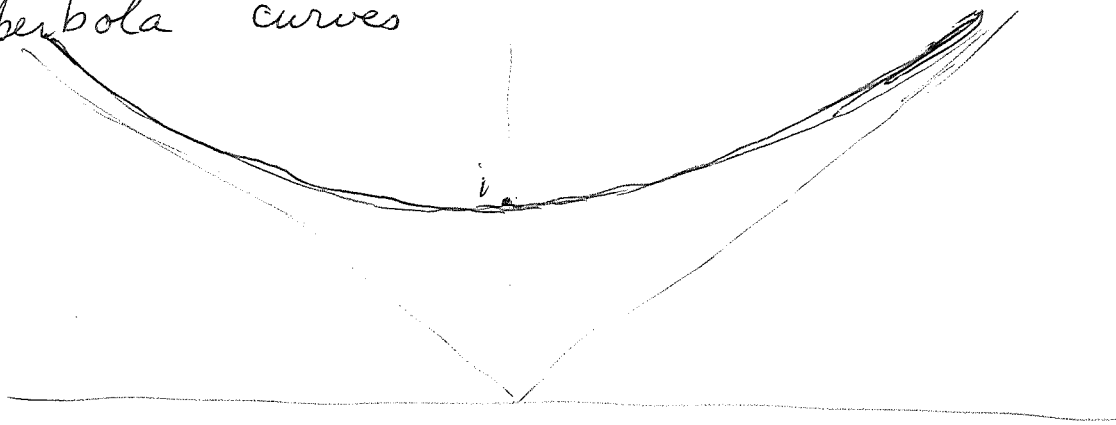
$$1-\tau^2 = 1 - \frac{p^2}{1+p^2} = \frac{1}{1+p^2}$$

we get the map

$$\mathbb{R} \times \mathbb{T} \longrightarrow \mathbb{C}P^2$$

$$(p, \mathcal{J}) \longmapsto p + \sqrt{1+p^2} \left(\frac{\mathcal{J}-1}{\mathcal{J}+1} \right)$$

For \mathcal{J} fixed, as p runs over \mathbb{R} we get hyperbola curves



The monodromy in the line bundle as we follow such a curve is related to the area inside

July 12, 1988

Yesterday we found another "periodicity" map $\Sigma U(V) \rightarrow Gr(V^{\oplus 2})$ using the formula for the connecting homomorphism in K theory. Like the map encountered with the operator $\frac{1}{i} \partial_x + \frac{g-1}{g+1}$, the monodromy along the suspension lines doesn't coincide exactly with g . This suggests looking at the map $\mathbb{R} \times U(V) \rightarrow Gr(V^{\oplus 2})$ given by

$$(p, g) \longmapsto \begin{cases} \text{Im} \begin{pmatrix} 1 \\ p \end{pmatrix} & p \leq 0 \\ \text{Im} \begin{pmatrix} 1 \\ pg \end{pmatrix} & p \geq 0 \end{cases}$$

The reason is that the monodromy as p goes from $-\infty$ to $+\infty$ is essentially g .

Let's review this. Over $Gr(W)$ let's consider \tilde{W} with the connection

$$\nabla = \frac{d + F \cdot d \cdot F}{2} = d + \frac{1}{2} F d F$$

Given a curve F_t in $Gr(W)$, the parallel transport along this curve is ~~essentially~~ a family

$$g_t: \begin{array}{ccc} \tilde{W}_{F_0} & \longrightarrow & \tilde{W}_{F_t} \\ \parallel & & \parallel \\ W & & W \end{array}$$

satisfying $(\partial_t + \frac{1}{2} F_t \dot{F}_t) g_t = 0$. As a check

let's verify that g_t preserves the involution along the curve i.e. that $g_t F_0 g_t^{-1} = F_t$. But

$$\partial_t \{ g_t^{-1} F_t g_t \} = -g_t^{-1} \dot{g}_t g_t^{-1} F_t g_t + g_t^{-1} F_t \dot{g}_t + g_t^{-1} \dot{F}_t g_t - \frac{1}{2} F_t \dot{F}_t g_t - \frac{1}{2} F_t \dot{F}_t g_t$$

$$= +g_t^{-1} \left[+\frac{1}{2} \underbrace{F_t \dot{F}_t F_t}_{-\dot{F}_t} - \frac{1}{2} \dot{F}_t \boxed{} + \dot{F}_t \right] g_t$$

$$= 0$$

So $g_t^{-1} F_t g_t$ is constant.

Now consider the path ~~curve~~

$$F_\theta = (\cos \theta) \varepsilon + (\sin \theta) \begin{pmatrix} 0 & g^{-1} \\ g & 0 \end{pmatrix} \quad 0 \leq \theta \leq \pi$$

which is the path $\text{Im} \begin{pmatrix} 1 \\ tg \end{pmatrix} \quad 0 \leq t \leq \infty$

with $t = \tan(\frac{\theta}{2})$. Then

$$\partial_\theta F_\theta = (-\sin \theta) \varepsilon + (\cos \theta) \begin{pmatrix} 0 & g^{-1} \\ g & 0 \end{pmatrix}$$

$$F_\theta \partial_\theta F_\theta = (\cos^2 \theta + \sin^2 \theta) \varepsilon \begin{pmatrix} 0 & g^{-1} \\ g & 0 \end{pmatrix} = \begin{pmatrix} 0 & g^{-1} \\ -g & 0 \end{pmatrix}$$

$$g_\theta = e^{-\frac{\theta}{2} (F_\theta \partial_\theta F_\theta)} = e^{\frac{\theta}{2} \begin{pmatrix} 0 & -g^{-1} \\ g & 0 \end{pmatrix}}$$

$$g_\theta = \begin{pmatrix} \cos(\theta/2) & -g^{-1} \sin(\theta/2) \\ g \sin(\theta/2) & \cos(\theta/2) \end{pmatrix}$$

Thus $g_\pi = \begin{pmatrix} 0 & -g^{-1} \\ g & 0 \end{pmatrix} : V^{\oplus 2} \rightarrow V^{\oplus 2}$

induces g on the fibres of the subbundle, i.e. g from $+1$ eigenspace of $F_0 = \varepsilon$ to the $+1$ eigenspace of $F_\pi = -\varepsilon$.

~~Suppose we take $g_t, g \in U(V)$ and define $R_{\theta,0} \rightarrow G_U(V^{\oplus 2})$ by $\text{Im} \begin{pmatrix} 1 \\ pg \end{pmatrix} \quad p \leq 0$ $\text{Im} \begin{pmatrix} 1 \\ pg_t \end{pmatrix} \quad p \geq 0$~~

Suppose given two loops $u_+, u_- : S^1 \rightarrow U(V)$ ¹⁰⁹⁰
 we define $S^1 \times (R \cup \infty) \rightarrow \mathcal{G}_2(V^{\oplus 2})$ by

$$(x, p) \mapsto \begin{cases} \text{Im} \left(p u_-(x) \right) & p \leq 0 \\ \text{Im} \left(p u_+(x) \right) & p \geq 0 \end{cases} \quad \otimes$$

This gives the monodromy

$$\tilde{V}_{-\varepsilon}^{\oplus 2} \xleftarrow{\begin{pmatrix} 0 & u_-^{-1} \\ -u_- & 0 \end{pmatrix}} \tilde{V}_{\varepsilon}^{\oplus 2} \xrightarrow{\begin{pmatrix} 0 & -u_+^{-1} \\ u_+ & 0 \end{pmatrix}} \tilde{V}_{\varepsilon}^{\oplus 2}$$

$$\begin{pmatrix} 0 & -u_+^{-1} \\ u_+ & 0 \end{pmatrix} \begin{pmatrix} 0 & -u_-^{-1} \\ u_- & 0 \end{pmatrix} = \begin{pmatrix} -u_+^{-1} u_- & 0 \\ 0 & -u_+ u_-^{-1} \end{pmatrix}$$

which gives the monodromy $-u_+ u_-^{-1}$ on the $+1$ eigenspace of $-\varepsilon$.

So the natural question is whether we can quantize the above K-class \otimes . Thus for example it is reasonable to look for a ψ DO on the circle with the symbol

$$\begin{cases} p u_-(x) & p \leq 0 \\ p u_+(x) & p \geq 0 \end{cases} ?$$

Getzler claimed one could apply heat kernel methods to this first order operator, but I am skeptical.

Let's go over the reasoning behind the above calculations. I am trying to ~~construct~~ couple ~~two~~ a loop $S^1 \rightarrow U(V)$ with a Dirac on S^1 so as to produce either a Fredholm op. or a point in a restricted Grassmannian. ~~What~~ I would like a

Construction which makes sense as ¹⁰⁴¹
 $h \rightarrow 0$ in which case we obtain
 an even K -class over $T^*(S')$. The
 class should be obtained from an
 invertible matrix over $S^*(S') = S' \times \{\pm 1\}$ via
 the connecting map

$$K^{-1}(S^*(S')) \xrightarrow{\partial} K_c^0(T^*(S'))$$

I have ~~the~~ examined various maps $T^*(S') \rightarrow Gr(V)$
 which should realize this ^{even} K -class.

July 13, 1988

Return to the problem of associating to an odd-dim Dirac operator \mathcal{D}_0 and a gauge transformation u an index $\in \mathbb{Z}$. Really the problem is to find an analytical expression for this index which is useful; hopefully, it will shed light on the cyclic class belonging to the Dirac operator.

Let's look at the topology first. We consider the linear path

$$X_t = (1-t)\mathcal{D}_0 + t u \mathcal{D}_0 u^{-1}$$

joining \mathcal{D}_0 to $u \mathcal{D}_0 u^{-1}$. The choice of path is harmless since the space of connections is contractible. (Abstractly we are going to pass from X_t to the essential involution

$$A_t = \frac{-iX_t}{\sqrt{1-X_t^2}}$$

and we then stay in the contractible space of such A which mod compacts are a fixed involution in the Caldeira algebra.)

We then take the Cayley transforms

$$g_t = \frac{1+X_t}{1-X_t}$$

This gives a path in $U^\infty(H, -1) =$ unitaries congruent to -1 mod compacts which starts with g_0 and ends with $u g_0 u^{-1}$. But the space of all unitaries in H is contractible, so we can deform u to the identity in $U(H)$ in essentially ~~one~~ one way up to homotopy. Thus we get a loop in

$$U^\infty(H, -1).$$



Such a loop represents an element of

$$\pi_1(U^\infty(H, -1)) = \pi_1(U) = \mathbb{Z}$$

Let's next look for a formula for this index. We have the C.T. map

$$t \mapsto g_t = \frac{1+x_t}{1-x_t} \quad 0 \leq t \leq 1$$

from the unit interval to $U^p(H, -1) \cong$ unitaries congruent to -1 modulo $L^p(H)$, where p is large enough. On $U^p(H, -1)$ we have superconnection forms depending on a parameter u , ^{$\text{Re}(u) > 0$} of odd degree, which are invariant under conjugation by elements of $U(H)$.

Let's look at the superconnection form of degree 1. In terms of X it is

$$u \bar{\sigma} \text{tr} (e^{uX^2} dX) \quad \bar{\sigma} = (2i)^{1/2}$$

and upon dividing by $(-2\pi i u)^{1/2}$ it should have integral periods. Let's check this over $U(1)$. $X = ia$

$$u(2i)^{1/2} \int_{-\infty}^{\infty} e^{-ua^2} ida = u(2i)^{1/2} i \frac{\sqrt{\pi}}{\sqrt{u}} = (-2\pi i u)^{1/2}$$

Therefore this superconnection ~~is~~ 1-form, when normalized, should be of the form $d \log \varphi$ where $\varphi: U^p(H, -1) \rightarrow \mathbb{T}$ is unique, if one requires $\varphi(-1) = 1$. It's clear that φ is first found as a map $\varphi_1: U(1) \rightarrow \mathbb{T}$ sending -1 to 1 , and then

$$\varphi(g) = \prod_i \varphi_1(g_i)$$

where the ξ_i are the eigenvalues of g different from -1 . 1044

So now it's clear that when we take the normalized superconnection 1-form on $U^P(H, -1)$, pull it back to $[0, 1]$ via g_t , and then integrate, we are just getting a number α such that

$$\frac{\varphi(g_1)}{\varphi(g_0)} = \exp(\alpha)$$

But $g_1 = u g_0 u^{-1}$, so we have $\exp(\alpha) = 1$, which means that α is essentially the index.

Thus we have the formula for the index

$$\text{Index} = \int_0^1 \frac{\sqrt{u}}{\sqrt{\pi} i} \text{tr} \left(e^{u X_t^2} dX_t \right) dt$$

Check:

$$\text{Index} \int_0^{\infty} \underbrace{e^{-\lambda u}}_{\frac{\sqrt{\pi}}{\lambda^{1/2}}} \sqrt{u} \frac{du}{u} = \int_0^1 \frac{1}{\sqrt{\pi} i} \text{tr} \left(\frac{1}{\lambda - X_t^2} dX_t \right) dt$$

$$\begin{aligned} \therefore \text{Index} &= \int_0^1 \frac{1}{2\pi i} \text{tr} \left(\frac{2}{1 - X_t^2} dX_t \right) dt \\ &= \frac{1}{2\pi i} \int_0^1 \text{tr} \left(g_t^{-1} \partial_t g_t \right) dt \end{aligned}$$

$$g = \frac{1+X}{1-X} = -1 + \frac{2}{1-X}$$

$$dg = \frac{2}{1-X} dX \frac{1}{1-X}$$

$$g^{-1} dg = \frac{2}{1+X} dX \frac{1}{1-X}$$

$$\text{tr}(g^{-1} dg) = \text{tr} \left(\frac{2}{1-X^2} dX \right)$$