

July 12, 1984.

66

Let's consider a connection  $D$  on  $pr_2^*(E)$  over  $Y \times M$ .  
It can be written

$$D = d' + \theta + D''$$

where  $D''$  is a family of connections in  $E$  parametrized by  $Y$ , and where  $\theta$  is a 1-form on  $Y$  with values in  $\text{End } E$ . The curvature is

$$D^2 = \underbrace{d'\theta + \theta^2}_{\Omega} + [d' + \theta, D''] + (D'')^2$$

I want to consider now the case where  $M = S^1$ .  
In this case  $(D'')^2 = 0$  and so the <sup>odd character</sup> forms on  $Y$  obtained by integrating  $\text{tr}(e^{D^2})$  over  $M$  are very simple, namely

$$\int_M \text{tr}(e^{\Omega} [d' + \theta, D''])$$

I know I can write this as an equivariant form for  $G$  acting on  $A$ ,  $G$  and  $A$  being associated to the trivial rank  $r$  bundle  $E$  over  $S^1$ .

I now want to relate these <sup>odd</sup> character forms to two different things. First of all there is the family of ~~character forms~~ left-invariant even forms on  $G$  one obtains by the transgression process. Secondly there is the map  $A \rightarrow U$  given by monodromy which we know is equivariant wrt  $G \rightarrow U$  given by evaluation at the basepoint, where  $U$  acts on itself by conjugation.  $\blacksquare$  On  $(U, U)$  are odd equivariant forms, it seems. These can be pulled back to  $(G, A)$

Lets describe the transgression process.

We consider the  $\mathcal{G}$ -map  $\mathcal{G} \rightarrow A$  given by a point  $D_0$  of  $A$ . Thus we have the family  $g \mapsto g D_0 g^{-1}$  of connections on  $A$  param. by elts of  $\mathcal{G}$ .  $\square$  The transgression of an equivariant form is computed as follows. One first lifts to  $A$ , this means forget the  $\mathcal{G}$ -part, write as a coboundary and restrict to  $\mathcal{G}$ . One also restricts to  $\mathcal{G}$  taking the universal  $\Theta$  to be the  $\Theta$  ~~on~~  $\mathcal{G}$  that descends; this means  $\Theta = g d' g^{-1}$  where  $d' = dg$ . In the present case, the equivariant forms come from connections on  $pr_2^*(E)$ , so we might as well look at the connections on  $pr_2^*(E)$  over  $\mathcal{G} \times M$ .

So the connection which corresponds to lifting to  $A$  and restricting to  $\mathcal{G}$  is

$$d' + g D_0 g^{-1} = g (d' + g^{-1} d' g + D_0) g^{-1}$$

The connection which descends is

$$d' + g d' g^{-1} + g D_0 g^{-1} = g (d' + D_0) g^{-1}$$

To express the fact that both connections compute the same classes we use the linear path between them:

$$g (d' + t\omega + D_0) g^{-1} \quad \omega = g^{-1} d' g$$

and the standard formula (need curvature

$$(d' + t\omega + D_0)^2 = D_0^2 + t[D_0, \omega] + (t^2 - t)\omega^2$$

and the fact that  $D_0^2 = 0$  over  $S^1$ :

$$\text{tr } e^{[D_0, \omega]} - \text{tr } 1 = d \int_0^1 dt \text{tr} (e^{t[D_0, \omega] + (t^2-t)\omega^2} \omega)$$

Now  $[D_0, \omega]^2 = 0$  and  $\omega^2$  commutes with  $\omega$  so taking the part of degree 1 in  $M$  on both sides we have

$$\boxed{\text{tr } [D_0, \omega] = d' \int_0^1 dt \text{tr} (e^{(t^2-t)\omega^2} \omega t [D_0, \omega]) + d'' \int_0^1 dt \text{tr} (e^{(t^2-t)\omega^2} \omega)}$$

Now integrate over  $S^1$  and one gets

$$d' \left\{ \int_M \int_0^1 dt \text{tr} (e^{(t^2-t)\omega^2} \omega t [D_0, \omega]) \right\} = 0$$

because  $\int_M \text{tr} [D_0, \omega] = \int_M d'' \text{tr}(\omega) = 0.$

Thus we find that the good left invariant  $2k$ -forms on  $\mathcal{Y}$  are

$$\boxed{\text{const} \int_M \text{tr} (\omega^{2k-1} [D_0, \omega])}$$

for  $D_0$  any point in  $\mathcal{A}$ . The constant is

$$\begin{aligned} \int_0^1 dt \frac{(t^2-t)^{k-1}}{(k-1)!} t &= \frac{(-1)^{k-1}}{(k-1)!} \underbrace{\int_0^1 t^k (1-t)^{k-1} dt}_{\beta(k+1, k)} \\ &= \frac{(-1)^{k-1}}{(k-1)!} \frac{k! (k-1)!}{(2k)!} = \boxed{\frac{(-1)^{k-1} k!}{(2k)!}} \end{aligned}$$

Thus for  $k=1$  and  $D_0 = d$  we get

$$\frac{1}{2} \int_{S^1} \text{tr}(\omega d\omega)$$

Recall the constant for <sup>the</sup> basic forms on  $GL_n$

$$\int_0^1 dt \text{tr}(e^{(t^2-t)\omega^2} \omega)$$

In degree  $2k-1$  we get

$$\text{tr}(\omega^{2k-1}) \underbrace{\int_0^1 dt \frac{(t^2-t)^{k-1}}{(k-1)!}}_{\frac{(-1)^{k-1}}{(k-1)!} \beta(k,k)} = (-1)^{k-1} \frac{(k-1)!}{(2k-1)!}$$

These two constants differ by a factor of 2.

Digression: The non Est formalism suggests there is a multiplicative  $K$ -theory fitting into ~~■~~ a long exact sequence

$$\rightarrow K_n^{\text{top}}(A) \rightarrow HC_n(A) \rightarrow K_n^{\times}(A) \rightarrow$$

which should somehow be related to Borel cohomology of  $GL(A)$ . The above should be viewed as a kind of analogue of the exponential sequence

$$0 \rightarrow \mathbb{Z} \rightarrow \mathbb{C} \rightarrow \mathbb{C}^{\times} \rightarrow 0$$

Also there should be a map

$$K_n^{\text{alg}}(A) \rightarrow K_{n-1}^{\times}(A).$$

~~What should the relation be with complex coefficients.~~ What should the relation be with complex coefficients. Taking primitives

in the van Est spectral sequence gives a long<sup>70</sup> exact sequence

$$\text{Hom}(K_{n,2}^{\text{top}} A, \mathbb{C}) \longleftarrow \text{HC}^n(A) \longleftarrow \text{Hom}(K_n^{\text{top}} A, \mathbb{C})$$

(Curious:  $\text{HC}^0(A)$  is related to  $K_2$  directly rather than  $K_0$ . A trace on  $A$  determines a closed 1-form on  $\text{GL}(A)$ , hence a linear functional on  $\pi_1 \text{GL}(A) = \pi_2 \text{BGL}(A) = K_2 A$ .)

Problem: Find the best way to construct the regulator maps  $K_{2n-1} \mathbb{C} \rightarrow \mathbb{C}^{\times}$ .

Method of Deligne cohomology.

Karoubi's method using relative K-theory:

$$\begin{array}{ccccccc} K_{n+1}^{\text{top}} A & \longrightarrow & K_n^{\text{rel}} A & \longrightarrow & K_n^{\text{alg}} A & \longrightarrow & K_n^{\text{top}} A \\ & & \downarrow & & & & \\ & & \text{HC}_{n-1} A & & & & \end{array}$$

Check dimensions.

$$K_n^{\text{rel}} = \pi_n \text{Fiber} \{ \text{BGL}(A)^+ \rightarrow \text{BGL}(A)^+ \}$$

$$\text{HC}_{n-1}(A) = \text{Prim} \{ H_n(\text{ogl}_0(A)) \}$$

July 13, 1984

71

$$\begin{array}{ccccccc}
 K_{n+2}^{\text{top}} & \longrightarrow & K_{n+1}^{\text{rel}} & \longrightarrow & K_{n+1}^{\text{alg}} & \longrightarrow & K_{n+1}^{\text{top}} \\
 \downarrow S & & \downarrow & & \downarrow & & \\
 K_n^{\text{top}} & \xrightarrow{\alpha} & HC_n & \longrightarrow & ? & \longrightarrow & 
 \end{array}$$

One conjectures that the map  $\alpha$  coincides with the map defined by Connes. Connes' definition involves directly defining sequences stable under  $S$  in the cyclic cohomology attached to generators for  $K_0^{\text{top}}, K_1^{\text{top}}$ . The map  $\alpha$  defined by the above diagram is transpose ~~to~~ ~~the~~ process of taking a cyclic cocycle, interpreting it as a diff form on  $GL$ , and then integrating over spherical cycles.

Possibility: One might define the accessible algebraic  $K$ -theory  $K^{\text{acc}}$  as the fibre of the map  $\alpha$ . The correct degrees are

$$K_n^{\text{acc}} = \text{Fibre theory of } \{ K_n^{\text{top}} \longrightarrow HC_{n-2} \}$$

This is in the spirit of flat bundles - an element of  $K_n^{\text{acc}}$  will be a element in  $K_n^{\text{top}}$  with a trivialization of "order" depending on  $n$ .

To see what this means, recall

$$HC_{n-2} = \Omega^{n-2}/d \oplus H^{n-4} \oplus H^{n-6} \oplus \dots$$

and the map  $\alpha$  associates to an element of  $K$  its Chern character. ~~Thus~~ Thus  $K_n^{\text{acc}}$  consists of  $K$ -elements  $\xi$  equipped with a reason why the classes  $ch_0(\xi), ch_1(\xi), \dots, ch_{\frac{n-1}{2}}(\xi)$  are zero, assuming  $n$  is even.

Discussion of some difficulties with defining regulator maps  $K_{2m+1}^{alg} \mathbb{C} \rightarrow \mathbb{C}^\times$  and various generalizations.

These regulator maps can be defined simply on the K-group level as follows. Use Max's relative sequence (n even)

$$\begin{array}{ccccccc}
 K_{2m+2}^{top} \mathbb{C} & \longrightarrow & K_{2m+1}^{rel} \mathbb{C} & \longrightarrow & K_{2m+1}^{alg} \mathbb{C} & \longrightarrow & K_{2m+1}^{top} \mathbb{C} \\
 \parallel & & \downarrow & & & & \parallel \\
 \mathbb{Z} & & & & & & 0 \\
 & & HC_{2m}(\mathbb{C}) \simeq \mathbb{C} & & & & 
 \end{array}$$

■ In more elementary terms, an element of  $K_{2m+1}^{alg} \mathbb{C}$  can be realized by a flat vector bundle over a homotopy  $S^{2m+1}$ . An invariant <sup>closed</sup> form on  $GL_n \mathbb{C}$  then induces via the flat connection ~~at the bundle level~~ a closed form on the principal bundle of the vector bundle. The bundle is topologically trivial as  $\pi_{2m+1} BU = 0$ , and choosing a section we can pull back the form on the principal bundle to the homotopy sphere where it can be integrated to get a  $\frac{cx}{1}$  number. The ambiguity ~~in the trivialization~~ in the trivialization means the no. is well-defined in  $\mathbb{C}^\times$ .

■ One sees that using the sphere in the above way is analogous to the way Witten constructs the Wess-Zumino action

$$\text{Map}(S^{2n}, U) \longrightarrow S^1$$

However we know that there is a generalization

of the regulator maps just defined on  $K$ -groups. (Although the generalization might be off by the usual integers:  $(-1)^{k-1} (k-1)!$ )

Given a flat vector bundle  $\xi$  over  $M$  we know that it is possible to define characteristic classes in  $H^{2k-1}(M, \mathbb{C}^\times)$ . These are the Chern classes defined via Deligne cohomology. Hence there ~~is~~ <sup>is a</sup> universal classes in

$$(*) \quad \bar{c}_k \in H^{2k-1}(GL(\mathbb{C})_g, \mathbb{C}^\times)$$

which maps under Bockstein to  $c_k$  in integral cohomology.

The idea behind the Deligne cohomology is that Chern classes ~~are~~ <sup>are</sup> integral classes ~~which~~ <sup>which</sup> when viewed in DR cohomology have a certain filtration. Thus given a flat bundle  $\xi$  over  $M$  one builds some sort of associated fibre bundle and does constructions with differential forms inside, somehow tied to the holomorphic structure of the projective bundle.

Presumably it is possible to define the class  $(*)$  in the continuous or Borel <sup>cochain</sup> cohomology

$$H_{\text{Borel}}^{2k-1}(GL(\mathbb{C})_g, \mathbb{C}^\times)$$

~~I tried to recall Graeme's construction~~ I tried to recall Graeme's construction of these. From the exponential sequence

$$\begin{array}{ccccccc} H_{\text{Borel}}^{2k-1}(G, \mathbb{Z}) & \rightarrow & H_{\text{Borel}}^{2k-1}(G, \mathbb{C}^\times) & \rightarrow & H_{\text{Borel}}^{2k-1}(G, \mathbb{C}^\times) & \rightarrow & H_{\text{Borel}}^{2k}(G, \mathbb{Z}) \\ \parallel & & \parallel & & \parallel & & \parallel \\ H_{\text{Borel}}^{2k-1}(BG, \mathbb{Z}) \approx 0 & & H_{\text{Borel}}^{2k-1}(g, k; \mathbb{C}) & & & & H_{\text{Borel}}^{2k}(BG, \mathbb{Z}) \end{array}$$



one can't produce the desired class in  $GL(\mathbb{C})$ ,  
but if  $G = U$ , then we get an isomorphism

$$H_{\text{Bor}}^{2k-1}(U, \mathbb{C}) \xrightarrow{\sim} H_{\text{Bor}}^{2k-1}(U, \mathbb{C}^\times) \xrightarrow{\cong} H^{2k}(BU, \mathbb{Z})$$

So it looks like one might easily define  $S^1$ -valued odd characteristic classes for a flat unitary bundle.

Possible procedure: I want to use the idea that emerged when I looked at the Witten construction, namely, to replace the <sup>even</sup> sphere by any manifold  $M$  and then to use a Dirac operator on  $M$  as realizing the basic homology class which gives the ultimate ~~number~~ number.

In this case we have a flat unitary bundle  $E$  over a odd <sup>dim</sup> manifold  $M$  and instead of getting a number out of a typical odd homology class, we will want to get a number out of a Dirac operator on  $M$ . The number should be independent of the connections used in the Dirac operator. So we should get an actual function on the space of connections  $\mathcal{A}$ , which is constant. The thing to take then is the difference of <sup>the</sup>  $\eta$ -invariant for the operator tensored with the flat bundle and the  $\eta$ -invariant <sup>of the operator</sup> tensored with a trivial bundle of the same rank.

Let's return to the map which goes from the space  $\mathcal{A}$  of connections on the trivial bundle over  $S^1$  to the unitary gp  $U = U_n$  obtained by taking parallel transport around the circle starting at the basepoint 0

$$\mathcal{A} \longmapsto T \left\{ e^{-\int_0^{2\pi} A dx} \right\}$$

This map identifies  $\mathcal{A}/\Omega U$  with  $U$ .

Now I want to descend the trivial bundle over  $\mathcal{A} \times S^1$  to a bundle over  $U \times S^1$ . This means that given a point  $(A, x)$  of  $U \times S^1$  I have to give an  $n$ -dimensional vector space together with an identification of this vector space with the fibre of  $\text{pr}_2^*(E)$  over any  $(A, x) \in \mathcal{A} \times S^1$  mapping to  $(A, x)$  and the whole business should be  $\mathbb{Z}_2$ -equivariant.

It would be better to think of  $E$  as a given vector bundle over  $S^1$  without a trivialization. But one is given a trivialization over the basepoint  $0 \in S^1$ , so that the monodromy is defined for any connection. Now what is needed is a vector space attached to each  $(A, x) \in U \times S^1$ . Let's think of  $g$  as the class of connections with monodromy  $g$ . Given such a connection I can follow it back to the basepoint and so identify the fibre of  $\text{pr}_2^*(E)$  at  $(A, x)$  with the fibre  $E_0$  equivariantly under  $\Omega U = \mathbb{Z}_2$ . There is a problem as  $x$  crosses  $2\pi$  but then the given  $g$  tells how to make the identification smoothly.

So it is fairly clear how the bundle over  $\mathcal{A} \times S^1$  is to be descended. One uses the connection to push backward to the basepoint and one obtains the same clutching construction needed to define the

descended bundle  $\bar{E}$  over  $U \times S^1$ .

The next point is to take the connection defined on  $\bar{E}$  lift it back to  $pr_2^*(E)$  over  $A \times S^1$ . In terms of the trivialization of  $pr_2^*(E)$  which we have given over  $A \times (0, 2\pi)$ , it should be easy to write this connection down. So now I have a connection that descends and I can go thru the transgression process by joining this connection to the tautological connection on  $pr_2^*(E)$ . By the time one restricts to a  $G$ -orbit, the results ought to be the same as before.

Another project: Compare the invariant forms found on the loop group with the character forms on the Grassmannian under the "scattering map".

---

$$t^2 - t = (t - \frac{1}{2})^2 - \frac{1}{4}$$
$$\int_0^1 e^{(t^2 - t)x^2} x dt = e^{-\frac{1}{4}x^2} \int_0^1 e^{(t - \frac{1}{2})^2 x^2} x dt$$
$$= e^{-\frac{1}{4}x^2} \int_{-1/2}^{1/2} e^{t^2 x^2} x dt = e^{-\frac{x^2}{4}} \int_{-x/2}^{x/2} e^{t^2} dt$$
$$\sim \begin{cases} e^{\frac{y^2}{4}} (i\sqrt{\pi}) & \text{if } x = iy \quad y \rightarrow +\infty \\ e^{\frac{y^2}{4}} (-i\sqrt{\pi}) & \text{if } x = iy \quad y \rightarrow -\infty \end{cases}$$

July 14, 1984

77

Let's consider  $M = S^1$  and  $E = S^1 \times \mathbb{C}^2$  over  $M$ ,  
 $A =$  connections on  $E$ ,  $\mathcal{G} =$  gauge transf.,  $\mathcal{G}_0 =$  gauge  
transf = id at  $x = 0$ , whence  $\mathcal{G}_0 = \Omega U_2$ . Make  
 $\mathcal{G}$  act to the right on  $A$  by

$$g * A = g^{-1} dg + g^{-1} A g$$

Then we know that there is an isomorphism given by  
monodromy  $A / \mathcal{G}_0 \xrightarrow{\sim} U_2$

$$A \longmapsto T \left\{ e^{-\int_0^{2\pi} A} \right\}$$

Now consider the action of  $\mathcal{G}$  on the bundle  
 $\pi_2^*(E)$  over  $A \times M$ . A section of this bundle is  
smooth vector function  $\Phi(A, x)$  on  $A \times M$ ; the action  
of  $g \in \mathcal{G}$  on  $\Phi$  is

$$(g * \Phi)(A, x) = g(x) \Phi(g * A, x)$$

This ~~bundle~~  $\mathcal{G}$ -bundle descends to a bundle  $\bar{E}$   
over  $A / \mathcal{G}_0 \times M = U \times M$  (put  $U$  for  $U_2$ )

which should coincide with the bundle over  $U \times S^1$   
associated to the canonical automorphism of the trivial  
bundle  $U \times \mathbb{C}^2 / U$ . Thus there is a way to put a  
connection on  $\bar{E}$  and use it to define odd forms  
on  $U$ . I want to lift this all back to  $A$

---

We are considering the trivial bundle  
over  $A \times S^1$  with fibre  $\mathbb{C}^2$ . We cover  $S^1$   
by the interval  $I = [0, 2\pi]$ . Over  $A \times I$  we have  
two trivializations of the trivial bundle defined as  
follows. The first uses the parallel transport in the  
 $S^1$ -direction from  $x$  back to zero to identify the  
fibre at  $A, x$  with the fibre at  $A, 0$  where we

use the given basis. Thus everywhere ~~constant~~ <sup>constant</sup> 78  
sections are defined by the function (invertible  
matrix function)

$$\varphi(A, x) = T \left\{ e^{-\int_0^x A dx} \right\}$$

which satisfies

$$\varphi(A, 0) = I$$

$$(\partial_x + A) \varphi(A, x) = 0$$

The second trivialization is obtained by parallel  
transporting from  $x$  to  $2\pi$ :

$$\varphi(A, x) = T \left\{ e^{-\int_{2\pi}^x A dx} \right\}$$

(Think intrinsically: Suppose  $E$  is a v.b. over  $S^1$   
equipped with a fixed frame at  $0 \in S^1$ . Then over  
 $\mathbb{R} \times I$  we construct these two trivializations. The  
trivializations are evidently equivariant under the  
action of  $\mathbb{Z}_0$ .)

The connection on ~~the trivial bundle~~ <sup>the trivial bundle</sup> over  $\mathbb{R} \times I$  associated  
to the  $\varphi$ -trivialization (resp.  $\psi$ ) is  $\varphi.d.\varphi^{-1}$  (resp  
 $\psi.d.\psi^{-1}$ ) where  $d = d_{\mathbb{R}} + d_I$ . We define a  
connection on the trivial bundle over  $\mathbb{R} \times I$ :

$$(1 - \frac{x}{2\pi}) \varphi.d.\varphi^{-1} + \frac{x}{2\pi} \psi.d.\psi^{-1}$$

Example: Let us ~~take~~ take the trivial line bundle  
over the circle and the 1-parameter family of connections

$$dx(\partial_x + iy)$$

Then  $\varphi(y, x) = e^{-iyx}$

$$\psi(y, x) = e^{iy(2\pi - x)}$$

Then the connection <sup>form</sup> I am interested in is

$$\begin{aligned} & \left(1 - \frac{x}{2\pi}\right) d(iy^x) + \frac{x}{2\pi} d(iy(x-2\pi)) \\ &= i(d(y^x) - x dy) = i y dx \end{aligned}$$

Notice that this agrees with the tautological connection  $d + A dx = dy \partial_y + dx(\partial_x + iy)$ , probably because the gauge transformation group is discrete in this case.

What we've done so far is to define a connection on the trivial bundle over  $\mathbb{R} \times [0, 2\pi]$  which is  $\mathbb{Z}_0$ -invariant. The connection is

$$\left(1 - \frac{x}{2\pi}\right) \varphi \cdot d \cdot \varphi^{-1} + \frac{x}{2\pi} \psi \cdot d \cdot \psi^{-1}$$

where  $\varphi(A, x) = T\left\{e^{-\int_0^x A dx}\right\}$        $\psi(A, x) = T\left\{e^{-\int_{2\pi}^x A dx}\right\}$

so that  $\varphi^{-1} \psi = T\left\{e^{-\int_{2\pi}^0 A dx}\right\} = \text{parallel transport from } 2\pi \text{ to } 0$ . Let's denote this  $\tau^{-1}$  so that  $\psi = \varphi \tau^{-1}$ .

Then our connection is

$$\begin{aligned} & \varphi \left\{ \left(1 - \frac{x}{2\pi}\right) d + \frac{x}{2\pi} \tau^{-1} \cdot d \cdot \tau \right\} \varphi^{-1} \\ &= \varphi \left\{ d + \frac{x}{2\pi} \tau^{-1} d(\tau) \right\} \varphi^{-1} \end{aligned}$$

which shows more or less that the connection we have is the inverse of the one

$$d + \frac{x}{2\pi} g^{-1} dg \quad \text{over } U \times [0, \pi]$$

under the quotient by  $\mathbb{Z}_0$ .

Now that we have our descendable connection

we compare it to the tautological one

$$d + A dx$$

except that we now want to restrict to a  $\mathcal{H}_0$ -orbit, or rather pull-back by ~~the map~~ the map

$$\mathcal{H}_0 \longrightarrow \mathcal{A} \quad g \longmapsto g \partial_x g^{-1} + g A_0 g^{-1}$$

What is  $\varphi(g, x)$ ?

$$g(\partial_x + A_0)g^{-1}\varphi = (\partial_x + A)\varphi = 0$$

$$\varphi(g, x) = g \varphi_0(x)$$

$$\varphi_0(x) = T \left\{ e^{-\int_0^x A_0 dx} \right\}$$

so that  $(\partial_x + A_0)\varphi_0 = 0$  or  $\varphi_0 \partial_x \varphi_0^{-1} = A_0$ .

What is  $\varphi(d + \frac{x}{2\pi} \tau d\tau^{-1})\varphi^{-1}$  restricted to  $\mathcal{H}_0$ ?

The monodromy is constant on the  $\mathcal{H}_0$ -orbit  $\Rightarrow d\tau = 0$ .  
So we have the connection

$$\varphi \cdot d \cdot \varphi^{-1} = g \cdot \varphi_0 \cdot d \cdot \varphi_0^{-1} \cdot g^{-1}$$

$$= g(d + \varphi_0 \partial_x \varphi_0^{-1})g^{-1}$$

as  $\varphi_0$   
is ind. of  $g$

$$= g \cdot (d + A_0) \cdot g^{-1}$$

The tautological connection restricted to  $\mathcal{H}_0$  is

$$d + dx(g \partial_x g^{-1} + g A_0 g^{-1})$$

$$= d'_g + g(dx \cdot \partial_x + A_0)g^{-1}$$

$$= g(d + g^{-1}dg + A_0)g^{-1}$$

and so we are in the usual transgression situation.

Thus I have ~~checked~~ checked the fact, which one knew for general reasons, that ~~restricting~~ upon ~~restricting~~ restricting the descendable connection to the  $\mathcal{H}_0$  orbit, one

gets the connection  $g(d+A_0)g^{-1}$ , so the end result of transgressing the odd forms on  $U$  to  $\Omega U$  is the same as calculated earlier.

Now one thing that is striking about this calculation is that we go to a lot of work to pass from the character forms on  $BU_n$  to forms on  $\Omega U_n$ . The obvious method is to use the evaluation map

$$\begin{array}{ccc} \Omega^2 BU \times S^2 & \longrightarrow & BU \\ \downarrow & & \\ \Omega U & & \end{array}$$

pull back and integrate over  $S^2$ . Let's break this into 2 steps.

$$U_n \times S^1 \longrightarrow BU_n$$

$$\Omega U_n \times S^1 \xrightarrow{\text{eval}} U_n$$

The first map comes from assigning to  $g \in U$  the graph  $\begin{pmatrix} 1 \\ tg \end{pmatrix} V^0 \subset V^0 \oplus V^1$  ( $V^0 = \mathbb{C}^n$ ) and then letting  $t$  go from 0 to  $\infty$ . Strictly speaking I then ~~get~~ get paths from  $V^0$  to  $V^1$  in the Grassmannian, and I ~~get~~ have to pick a fixed path back.

The connection form for the graph embedding is

$$\frac{1}{1+T^*T} T^* dT$$

so that if I take  $T = tg$  I get

$$\frac{1}{1+|t|^2} \bar{t} dt + \frac{|t|^2}{1+|t|^2} g^{-1} dg$$



for the connection form over this family. Let's just concentrate on the 1-parameter family of connections on the trivial bundle over the unitary group. Then  $\int_{U_n} \omega$  we get the family

$$\frac{t^2}{1+t^2} g^{-1} dg \quad \begin{array}{l} 0 \leq t \leq \infty \\ \Rightarrow 0 \leq \frac{t^2}{1+t^2} \leq 1. \end{array}$$

and so we get the usual odd forms on the unitary group. (Note that

$$\int \text{tr} (e^{(u^2-u)\omega^2} \omega) du$$

is independent of the function  $u$ .)

Remark: This description of  $\int_{U_n} \omega$  how to obtain the odd forms on  $U$  suggests that the parameter  $t$  in the connection family  $d + t\omega$  is sort of a ~~connection~~ "moment map" associated to a circle action on the Grassmannian. So it is very natural to think of it in terms of convex linear combinations.

Important idea: Take  $G_{2n}^n(\mathbb{C})$  and introduce a  $\int_{U_n}$  circle action acting on the last  $n$  coordinates. Think in terms of the moment map for this circle action.

The next idea will be to look at the evaluation map  $\Omega^1 U_n \times S^1 \rightarrow U_n$ . I know the forms defined on  $\Omega^1 U_n$  ~~are~~ by pulling back and integrating are not left invariant. My guess is that these forms are ones obtained by the following naive process. One has the

map

$$A \times [0, 2\pi] \rightarrow U$$

83

$$A, x \longmapsto \varphi(A, x)$$

which is like taking a path starting at the identity and evaluating at  $x$ . If we pull-back a <sup>closed</sup>  $\omega$  form and integrate over  $[0, 2\pi]$ , this gives a cobounding form for the pull-back of  $\omega$  under monodromy. So if we restrict to  $\mathcal{H}_0 \subset A$  thought of as closed loops, we are transgressing  $\omega$  on  $U$  to a form on  $\Omega U$ . ~~That's~~

My feeling is that if this is phrased in terms of the connections over  $U \times I$ , then one is using two connections such as  $d$  and  $g dg^{-1}$  over  $\mathcal{G}$ , not the restriction of the tautological connection.

The next project is to find <sup>how</sup> the character forms on the Grassmannian look on the loop group.

July 15, 1984

84

Let's work out the Dirac ~~operator~~ operator and the Weitzenbock formula, etc. using the principal frame bundle.

Let  $M$  be a Riemannian  $n$ -manifold and let  $P$  be the principal bundle of its tangent bundle. A point  $u$  of  $P$  over  $m \in M$  is an isomorphism  $\mathbb{R}^n \xrightarrow{\cong} T_{M,m}$  compatible with inner products. Let  $\omega^u \in \Omega^1(P)$  be the one form whose effect on a tangent vector at  $u$  projects this vector down to  $M$  and gives the  $\mu_n^u$  coord. of the projected vector relative to the framing  $u$ .

Alternatively we have

$$0 \longrightarrow \pi^*(T_M^*) \longrightarrow T_P^* \longrightarrow T_\pi^* \longrightarrow 0$$

and a canonical trivialization  $\pi^*(T_M^*) \cong P \times (\mathbb{R}^n)^*$ , so the basis sections of  $\pi^*(T_M^*)$  relative to this trivialization can be viewed as 1-forms on  $P$ .

Now suppose we have a connection in  $T_M^*$ . Then when lifted back to  $\pi^*(T_M^*)$  it can be written relative to the trivialization in the form

$$D = d + \Theta$$

or equivalently

$$D\omega^\alpha = \Theta_\nu^\alpha \otimes \omega^\nu$$

where  $\Theta_\nu^\alpha \in \Omega^1(P)$ . Recall

$$D: \Gamma(\pi^*T_M^*) \longrightarrow \Gamma(T_P^* \otimes \pi^*T_M^*)$$

so  $D\omega^\alpha$  is indeed uniquely expressible in the above form.

Because the connection  $D = d + \Theta$  on  $\pi^*T_M^*$  descends it follows that  $\Theta_\nu^\alpha = -\Theta_\alpha^\nu$  and that the  $\Theta_\nu^\alpha$  with  $\alpha < \nu$  form a basis for the vertical 1-forms. We can

easily work out  $\square$   $g_* = (R_g)^*$  on the  $\omega^\alpha$  85  
 and  $\theta^\alpha$ , and also  $i_X$  for  $X \in \text{Lie } SO(n)$  if  
 we want.

So we obtain a framing of  $\Omega^1(P)$ . Define  
 vector fields  $X_\mu$  on  $P$  to be the horizontal  
 vector fields which project to the  $\mu$ -th basis vector  
 of the frame one is at:  $i_{X_\mu} \omega^\nu = \delta_\mu^\nu$ ,  $i_{X_\mu} \theta^\alpha = 0$ .

Next let's consider the process of covariant  
 differentiation on tensors or spinors, i.e. sections of  
 a vector ~~bundle~~ <sup>bundle</sup> associated to the principal frame  
 bundle. This means we have a representation  $\rho$  of  
 $G=SO(n)$  in a vector space  $V$  and the vector bundle  
 is  $P \times^G V$ . Its sections are invariant elements of  
 $\Omega^0(P) \otimes V$  and the connection will be the operator

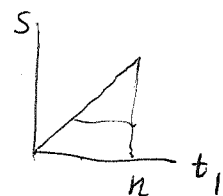
$$D = d + \rho(\theta) \quad \text{on } (\Omega^*(P) \otimes V)_{\text{basic}}.$$

July 16, 1984

Recall the check that the curvature of the det line bundle given by superconnection formalism agrees with that given by the  $\int$  formalism.

$L = i \begin{pmatrix} 0 & D^* \\ D & 0 \end{pmatrix}$  Then the degree 2 component of  $\text{tr}_s e^{\kappa(L^2 + dL)}$  give a form which represents  $n \cdot \text{ch}_1$ . Compute the degree 2 component by the perturbation series.

$$\begin{aligned} & \int_0^n dt_1 \int_0^{t_1} dt_2 \text{tr}_s \left\{ e^{(n-t_1)L^2} dL e^{(t_1-t_2)L^2} dL e^{t_2 L^2} \right\} \\ &= \int_0^n dt_1 \int_0^{t_1} dt_2 \text{tr}_s \left\{ e^{(n-t_1+t_2)L^2} dL e^{(t_1-t_2)L^2} dL \right\} \quad t_1 - t_2 = s \\ &= \int_0^n dt_1 \int_0^{t_1} ds \text{tr}_s \left\{ e^{(n-s)L^2} dL e^{sL^2} dL \right\} \\ &= \int_0^n ds (n-s) \text{tr}_s \left\{ \text{---} \right\} \end{aligned}$$



By symmetry the supertrace term is invariant under  $s \leftrightarrow n-s$ . So we get

$$n \frac{1}{2} \int_0^n ds \text{tr}_s \left\{ e^{(n-s)L^2} dL e^{sL^2} dL \right\}$$

and so the ~~curvature~~  <sup>$\text{ch}_1$</sup>  is represented by

$$\int_0^t dt_1 \frac{1}{2} \text{tr}_s \left\{ e^{(t-t_1)L^2} dL e^{t_1 L^2} dL \right\}$$

for any  $t$ . Actually we have proved this:

$$\text{tr}_s \left( e^{\pm L^2 + \sqrt{\mp} dL} \right)_{(2)} = \int_0^t dt_1 \frac{1}{2} \text{tr}_s \left\{ e^{(t-t_1)L^2} dL e^{t_1 L^2} dL \right\}$$

Next consider the  $\int$ -approach. The curvature

is  $-\bar{\delta}\delta \eta'(0)$  and we have

$$\begin{aligned}
 -\delta \eta(s) &= s \operatorname{Tr} \left( (D^*D)^{-s} D^{-1} \delta D \right) \\
 &= s \frac{1}{\Gamma(s)} \int_0^\infty \operatorname{tr} \left( e^{-tD^*D} D^{-1} \delta D \right) t^s \frac{dt}{t}
 \end{aligned}$$

So

$$\begin{aligned}
 +\bar{\delta}\delta \eta(s) &= \frac{s}{\Gamma(s)} \int_0^\infty \operatorname{tr} \left\{ \int_0^t dt_1 e^{-(t-t_1)D^*D} \bar{\delta} D^* D e^{-t_1 D^*D} D^{-1} \delta D \right\} t^s \frac{dt}{t} \\
 &= \frac{s}{\Gamma(s)} \int_0^\infty \operatorname{tr} \left\{ \int_0^t dt_1 e^{-(t-t_1)D^*D} \bar{\delta} D^* e^{-t_1 D D^*} \delta D \right\} t^s \frac{dt}{t}
 \end{aligned}$$

Assuming the limit exists we get

$$\text{-curvature} = \bar{\delta}\delta \eta'(0) = \lim_{t \rightarrow 0} \int_0^t dt_1 \operatorname{tr} \left( e^{-(t-t_1)D^*D} \bar{\delta} D^* e^{-t_1 D D^*} \delta D \right)$$

which is clearly consistent with the previous formula.

Now in the  $\hat{\eta}$ -approach, one requires not the limit as  $t \rightarrow 0$ , but <sup>only</sup> the zero-th coefficient in the asymptotic expansion. However if the local index formula for families works, then the actual limit as  $t \rightarrow 0$  should exist.

I want to do the odd version of Grassmannian graph. Take a skew-adjoint operator  $T$  and put  $L = T \sigma$ , then the super-connection formalism gives the odd forms

$$\operatorname{tr}_\sigma \left( e^{\kappa(L^2 + [D, L] + D^2)} \right)$$

However I don't know yet how these are to be normalized. So let's compute in the case of the Clifford multiplication  $T = i \hat{\gamma}^\mu \times \mu$  over  $\mathbb{R}^{n-1}$ ,  $n=2m$ .

According to my conventions  $T\sigma = i\gamma^\mu x^\mu$ , where  $\gamma^\mu$  are the generators of  $C_n$  for  $\mu < n$ , and  $\text{tr}_\sigma(\dots)$  becomes  $\frac{1}{2i} \text{tr}_S(\dots \gamma^n)$ . So with  $D=d$  we have

$$\begin{aligned} & \frac{1}{2i} \text{tr}_S \left( e^{\kappa(-|x|^2 - i\gamma^\mu dx^\mu)} \gamma^n \right) \\ &= \frac{1}{2i} e^{-\kappa|x|^2} (-i\kappa)^{n-1} \underbrace{\text{tr}_S(\gamma^1 dx^1 \dots \gamma^{n-1} dx^{n-1} \gamma^n)}_{\text{tr}_S(\gamma^n \dots \gamma^1) dx^1 \dots dx^{n-1}} \\ & \quad (-1)^{\frac{n(n-1)}{2}} (2i)^m = (-2i)^m \\ &= \frac{1}{2i} i \kappa^{n-1} e^{-\kappa|x|^2} (2i)^m dx^1 \dots dx^{n-1} \end{aligned}$$

Now the convention about integrating an odd form over a  $(n-D)$ -cycle is to multiply by the factor

$$\left(\frac{i}{2\pi}\right)^m 2\sqrt{\pi}$$

which gives

$$\begin{aligned} & \frac{1}{2i} \int \left(\frac{i}{2\pi}\right)^m 2\sqrt{\pi} i \kappa^{n-1} e^{-\kappa|x|^2} (2i)^m \frac{dx^1 \dots dx^{n-1}}{(\sqrt{\pi})^{n-1}} \\ &= 2i (-1)^m \kappa^{\frac{n-1}{2}} \quad ? \end{aligned}$$

Subexample:  $T = ix$

$$\text{tr}_\sigma \left( e^{\kappa(-x^2 + i dx \sigma)} \right) = e^{-\kappa x^2} i \kappa dx$$

$$\int \frac{i}{2\pi} 2\sqrt{\pi} e^{-\kappa x^2} i \kappa dx = -\kappa^{1/2}$$

Anyway up to signs we should have

$$\boxed{\text{tr}_\sigma \left( e^{\kappa(L^2 + [D, L] + D^2)} \right) \sim \sum_{m \geq 1} \kappa^{m-\frac{1}{2}} \text{dim}'_m}$$

So

$$\int_0^\infty \text{tr}_\sigma \left( e^{\kappa(D+L)^2} \right) e^{-\lambda \kappa} \frac{d\kappa}{\kappa} \sim \sum_{m \geq 1} \frac{\Gamma(m - \frac{1}{2})}{\lambda^{m - \frac{1}{2}}} \text{ch}'_m$$

Instead of  $\text{ch}'_m$  put  $e_{2k+1}$  and the above becomes

$$\sim \sum_{k \geq 0} \frac{\Gamma(k + \frac{1}{2})}{\lambda^{k + \frac{1}{2}}} e_{2k+1}$$

Now consider  $D = d$ , whence

$$\int_0^\infty \text{tr}_\sigma \left( e^{u(L^2 + dL)} \right) e^{-\lambda u} \frac{du}{u}$$

$$= -\text{tr}_\sigma \log \left( 1 - \frac{1}{\lambda - L^2} dL \right)$$

$$= \sum_{k \geq 1} \frac{1}{k} \text{tr}_\sigma \left( \frac{1}{\lambda - L^2} dL \right)^k$$

~~$$\sum_{k \geq 0} \frac{1}{2k+1} \text{tr}_\sigma \left( \frac{1}{\lambda - L^2} dL \right)^{2k+1}$$~~

$L = cT\sigma$
$dL = c dT\sigma$
and the $c$
factor cancel
in pairs

Let's ~~recall~~ recall  $L = T\sigma$ , so

$$\begin{aligned} \left( \frac{1}{\lambda - L^2} dL \right)^2 &= \frac{1}{\lambda - T^2} (dT)\sigma \frac{1}{\lambda - T^2} (dT)\sigma \\ &= - \left( \frac{1}{\lambda - T^2} dT \right)^2. \end{aligned}$$

Thus

$$\int_0^\infty \text{tr}_\sigma \left\{ e^{u(L^2 + dL)} \right\} e^{-\lambda u} \frac{du}{u} = \sum_{k \geq 1} \frac{(-1)^k}{2k+1} \text{tr} \left( \frac{1}{\lambda - T^2} dT \right)^{2k+1}$$



Now consider the map

$$T \mapsto g = (1-T)^{-1}(1+T)$$

from skew-adjoint to unitary operators. Then

$$\begin{aligned} g^{-1}dg &= (1+T)^{-1}(1-T) \left\{ + (1-T)^{-1}dT(1-T)^{-1}(1+T) + (1-T)^{-1}dT \right\} \\ &= (1+T)^{-1} \left\{ dT(1-T)^{-1}(1+T) + dT \right\} \\ &= (1+T)^{-1} dT (1-T)^{-1} \left\{ (1+T) + (1-T) \right\} \\ &= 2 \frac{1}{1+T} dT \frac{1}{1-T} \end{aligned}$$

and so

$$\text{tr} (g^{-1}dg)^{2k+1} = 2^{2k+1} \text{tr} \left( \frac{1}{1-T^2} dT \right)^{2k+1}$$

Rescaling  $T \mapsto \lambda^{-1/2}T$  gives the form

$$2^{2k+1} \text{tr} \left( \frac{\lambda^{1/2}}{\lambda-T^2} dT \right)^{2k+1}$$

But recall that

$$\begin{aligned} e_{2k+1} &= \int_0^1 dt \frac{(t^2-t)^k}{k!} \text{tr} (\omega^{2k+1}) & \omega &= g^{-1}dg \\ &= \underbrace{(-1)^k \frac{\beta(k, k+1)}{k!}}_{(-1)^k \frac{k!}{(2k+1)!}} = (-1)^k \frac{k!}{(2k+1)!} \end{aligned}$$

Thus

$$e_{2k+1} = (-1)^k \frac{k! 2^{2k+1}}{(2k+1)!} \lambda^{k+1/2} \text{tr} \left( \frac{1}{\lambda-T^2} dT \right)^{2k+1}$$

But

$$\begin{aligned} \Gamma(k+\frac{1}{2}) &= \Gamma(\frac{1}{2}) \frac{1}{2} \frac{3}{2} \dots \frac{2k-1}{2} = \Gamma(\frac{1}{2}) \frac{(2k)!}{k! 2^k 2^k} \\ &= \sqrt{\pi} \frac{(2k)!}{k! 2^{2k}} \end{aligned}$$

Hence

$$\frac{\Gamma(k+\frac{1}{2})}{\lambda^{k+\frac{1}{2}}} e_{2k+1} = 2\sqrt{\pi} \frac{(-1)^k}{2k+1} \operatorname{tr} \left( \frac{1}{\lambda-T^2} dT \right)^{2k+1}$$

and everything cross-checks very nicely.

For  $e_1$ , the above is as follows:

$$\begin{aligned} e_1 &= \operatorname{tr} (g^{-1} dg) = 2 \operatorname{tr}_\sigma \left( \frac{\sqrt{\lambda}}{\lambda-L^2} dL \right) \\ &= 2 \operatorname{tr} \left( \frac{\sqrt{\lambda}}{\lambda-T^2} dT \right) \end{aligned}$$

and  $\operatorname{tr}_\sigma (e^{uL^2} u^{1/2} dL) \sim c e_1$  for some constant  $c$ . Apply  $\int e^{-\lambda u} u^{1/2} \frac{du}{u}$ ?

$$\frac{e_1}{2\sqrt{\lambda}} = \operatorname{tr}_\sigma \left( \frac{1}{\lambda-L^2} dL \right) \sim c e_1 \frac{\sqrt{\pi}}{\sqrt{\lambda}} \quad \therefore 2c\sqrt{\pi} = 1$$

so

$$\begin{aligned} e_1 &= 2\sqrt{\pi} \operatorname{tr}_\sigma (e^{uL^2} u^{1/2} dL) \\ &= 2\sqrt{\pi} \operatorname{tr} (e^{uT^2} u^{1/2} dT) \end{aligned}$$

July 17, 1984

92

The transgression process for the  $e_1$  class:  
(This is somewhat degenerate and has to be handled carefully so as to gain experience with the calculations, but yet not to get the wrong intuition).

We have a 1-diml class  $e_1$  on  $B\mathcal{G} = A/\mathcal{G}$  which transgresses to a 0-diml reduced class on  $\mathcal{G}$ .

(Recall transgression goes from  $\text{Ker}\{H^*(B) \rightarrow H^*(E)\} \rightarrow \text{Coker}\{H^*(E) \rightarrow H^{*-1}(F)\}$ , so ~~in~~ in the present case from

$$H^*(B\mathcal{G}) \longrightarrow \text{Coker}\{H^0(A) \rightarrow H^0(\mathcal{G})\} = \tilde{H}^0(\mathcal{G}).)$$

Geometrically one takes a 1-co-cycle  $\omega$  on  $A/\mathcal{G}$  lifts it up to  $A$  and writes it  $\delta f$ ; then given a basepoint  $A_0 \in A$  and  $g \in \mathcal{G}$  one assigns to  $g$  the number  $f(gA_0) - f(A_0)$ . This gives one a function on  $\mathcal{G}$  vanishing at the identity. If  $\gamma$  is a path from  $A_0$  to  $gA_0$ , then

$$\begin{aligned} f(gA_0) - f(A_0) &= \int_{\gamma} \delta f = \int_{\gamma} \pi^*(\omega) \\ &= \int_{\pi(\gamma)} \omega \end{aligned}$$

and  $\pi(\gamma)$  is a loop in  $A/\mathcal{G}$ , whose homotopy class depends only on the component of  $\mathcal{G}$  to which  $g$  belongs.

So this is the topology of the situation. Now we want to place ourselves in the situation where we take the form  $\omega$  representing  $e_1$  on  $A/\mathcal{G}$ , it happens that  $\pi^*\omega = df$  within equivariant forms. This means in this case that  $f$  is constant on  $\mathcal{G}$ -orbits.

Note that this implies that  $e_1 = 0$  and so the setup is very degenerate topologically, but nevertheless we might learn something from looking at what happens.

An equivariant 1-form on  $A$  is the same thing as ~~an equivariant~~ a basis 1-form. Let's consider the possible forms representing  $e_1$ , or rather  $(2\sqrt{\pi})e_1$ . There are the forms

$$\alpha_t = \text{tr}(e^{t^2 T^2} t dT)$$

for different  $t$ . If

$$\beta_t = \text{tr}(e^{t^2 T^2} T)$$

then

$$d\beta_t = \text{tr}(e^{t^2 T^2} \overbrace{t^2 d(T^2)}^{dT \cdot T + T \cdot dT} T) + \text{tr}(e^{t^2 T^2} dT) \quad \text{as } [T, e^{t^2 T^2}] = 0$$

$$= \text{tr}(e^{t^2 T^2} 2t^2 T^2 dT) + \text{tr}(e^{t^2 T^2} dT)$$

$$\partial_t \alpha = \text{tr}(e^{t^2 T^2} 2t T^2 t dT) + \text{tr}(e^{t^2 T^2} dT)$$

so we have

$$\boxed{\partial_t \alpha = d\beta_t}$$

~~we~~ We can also combine the forms  $\alpha_t$  by integration. Thus  $\int f(t) \alpha_t dt$  should be cohomologous to  $(\int f(t) dt) \alpha_s$  for any  $s$ . Specifically if

$$g(t) = \int_a^t f(t) dt$$

then

$$\int_a^b f(t) \alpha_t dt = \int_a^b g'(t) \alpha_t dt$$

$$= \left[ g(t) \alpha_t \right]_a^b - \int_a^b g(t) \frac{d_t \alpha}{d\beta} dt$$

so taking  $s=1$ ,  $a=0$ ,  $b=1$  gives

$$\int_0^1 f(t) \alpha_t dt = \left( \int_0^1 f(t) dt \right) \alpha_0 - d \int_0^1 g(t) \beta_t dt$$

One thing I want to use is that  $\alpha_0 = 0$ , as this corresponds to what we know about the classical limit of Dirac operators. Then

$$\begin{aligned} \alpha_1 &= \int_0^1 \partial_t \alpha dt = d \int_0^1 \beta_t dt \\ &= d \int_0^1 \text{Tr} (e^{t^2 T^2} T) dt \end{aligned}$$

So if we take  $\pi^* \omega$  to be  $\alpha_1$ , then we get the  $\mathcal{G}$ -invariant function on  $T$

$$f(T) = \int_0^1 \text{tr} (e^{t^2 T^2} T) dt$$

Natural question is how this compares to  $\eta(A)$  where  $A = iT$ ?

$$\begin{aligned} \eta_A(s) &= \text{tr} \left( \frac{A}{|A|} |A|^{-s} \right) \\ &= \text{tr} \left( A (A^2)^{-\frac{s+1}{2}} \right) = \frac{1}{\Gamma(\frac{s+1}{2})} \int_0^\infty \text{tr} (A e^{-tA^2}) t^{\frac{s+1}{2}} \frac{dt}{t} \\ &= \frac{2}{\Gamma(\frac{s+1}{2})} \int_0^\infty \text{tr} (e^{-t^2 A^2} A) t^s dt \end{aligned}$$

$$\eta(A) = \frac{2}{\sqrt{\pi}} \int_0^\infty \text{tr} (e^{-t^2 A^2} A) dt$$

$$i\pi \eta(A) = 2\sqrt{\pi} \int_0^\infty \text{tr} (e^{-t^2 T^2} T) dt$$

So we see again, without understanding why, that the form of interest is ~~\_\_\_\_\_~~

$$\int_0^\infty \beta_t dt$$

This form has for boundary  $\alpha_\infty - \alpha_0$  which is zero at least when  $T$  is invertible.

The fundamental problem therefore seems to be why the deformation  $t \rightarrow \infty$  should be equivalent topologically to the trivialization of the character form over  $D$  which results from the character form being an equivariant form.

Bott periodicity theorem from the differential form viewpoint.

Let's consider the Bott map which associates to a subspace a path from  $I$  to  $-I$  in the unitary group. Let  $F = 1$  on the subspace and  $-1$  on the orthogonal complement, whence  $F = 2e - 1$ , where  $e$  is the projector on the subspace. The path will then be

$$g = \frac{1 + itF}{1 - itF} \quad 0 \leq t \leq \infty$$

and we have

$$g^{-1}dg \text{ conj to } 2 \frac{1}{1+t^2} d(itF)$$

so

$$\text{tr}(g^{-1}dg)^{2k+1} = 2^{2k+1} \frac{(i)^{2k+1}}{(1+t^2)^{2k+1}} \text{tr} (dtF + t dF)^{2k+1}$$

$$= \left(\frac{2i}{1+t^2}\right)^{2k+1} (2k+1) dt t^{2k} \text{tr} F(dF)^{2k} + \text{term not involving } dt$$

Check the ~~constants~~ constants. I need

$$\begin{aligned} \int_0^\infty \frac{t^{2k} dt}{(1+t^2)^{2k+1}} &= \frac{1}{\Gamma(2k+1)} \int_0^\infty t^{2k} dt \int_0^\infty e^{-(1+t^2)u} u^{2k+1} \frac{du}{u} \\ &= \frac{1}{\Gamma(2k+1)} \int_0^\infty e^{-u} u^{2k+1} \frac{du}{u} \int_0^\infty e^{-ut^2} t^{2k+1/2} \frac{dt}{2t} \\ &= \frac{1}{2\Gamma(2k+1)} \int_0^\infty e^{-u} u^{2k+1/2} \frac{du}{u} \frac{\Gamma(k+\frac{1}{2})}{u^{k+1/2}} \\ &= \frac{\Gamma(k+\frac{1}{2})^2}{2\Gamma(2k+1)} \quad \Gamma(k+\frac{1}{2}) = \sqrt{\pi} \frac{1 \cdot 3 \cdots 2k-1}{2^k} = \sqrt{\pi} \frac{2k!}{2^{2k} k!} \end{aligned}$$

Next recall  $e_k = \frac{(-1)^k k!}{(2k+1)!} \text{tr}(g^{-1} dg)^{2k+1}$  and

$$ch_k = \frac{1}{k!} \text{tr} e(d\theta)^{2k} = \frac{1}{k! 2^{2k+1}} \text{tr} F(dF)^{2k}$$

Thus  $e_k$  pulls back to

$$\frac{(-1)^k k!}{(2k+1)!} \frac{2^{2k+1} i^{2k+1} (2k+1)}{2k!} \frac{\Gamma(k+\frac{1}{2})^2}{2\Gamma(2k+1)} k! 2^{2k+1} \cdot ch_k$$

$$\frac{\pi (2k)!^2}{(2^{2k} k!)^2 2(2k)!}$$

$$= (2i\pi) ch_k$$

Consider now the maps occurring in the above version of periodicity, but let's avoid assuming  $L^2 = I$ . Consider ~~an~~ invertible  $L = i \begin{pmatrix} 0 & T^* \\ T & 0 \end{pmatrix}$  and the ~~pull-back~~ pull-back of  $ch$ , under the map  $(t, T) \mapsto \text{graph } tT$ . One gets the form

$$\frac{1}{2} \text{tr}_s \left( \frac{1}{1-t^2 L^2} d(tL) \right)^2.$$

Then 
$$\int_0^\infty \frac{1}{2} \text{tr}_s \left( \frac{1}{1-t^2 L^2} (dt L + t dL) \frac{1}{1-t^2 L^2} (dt L + t dL) \right)$$

$$= \int_0^\infty dt \text{tr}_s \left\{ \frac{1}{(1-t^2 L^2)^2} t L dL \right\}$$

$$= \int_0^\infty dt \frac{1}{2} \text{tr}_s \left\{ \frac{1}{(1-t^2 L^2)^2} 2 t L^2 L^{-1} dL \right\} = \left[ \frac{1}{2} \text{tr}_s \left\{ \frac{1}{1-t^2 L^2} L^{-1} dL \right\} \right]_0^\infty$$

$$= \boxed{-\frac{1}{2} \text{tr}_s (L^{-1} dL)}.$$

$\frac{d}{dt} \frac{1}{1-t^2 L^2}$

The minus sign is correct because

$$L = i(Ta^* + T^*a) \quad L^{-1} = (-i)((T^*)^{-1}a^* + T^{-1}a)$$

$$dL = i(dT a^* + dT^* a)$$

$$L^{-1} dL = -T^{-1} dT a a^* - (T^*)^{-1} a^* a = - \begin{pmatrix} T^{-1} dT & 0 \\ 0 & (T^*)^{-1} dT^* \end{pmatrix}$$

So 
$$\boxed{-\frac{1}{2} \text{tr}_s (L^{-1} dL) = \frac{1}{2} (\text{tr}(T^{-1} dT) - \text{tr}((T^*)^{-1} dT^*))}$$

Here's the same calculation done with the Gaussian version

$$\text{tr}_s (e^{t^2 L^2 + t dL + dt L})_{(2)} = \underbrace{\text{tr}_s (e^{t^2 L^2 + t dL})_{(2)}}_{\alpha_t} + dt \underbrace{\text{tr}_s (e^{t^2 L^2} L \cdot t dL)}_{\beta_t}$$



Then

$$\int_0^\infty dt \beta_t = \int_0^\infty dt \operatorname{tr}_s \left\{ \underbrace{e^{t^2 L^2}}_{\frac{1}{2} \frac{d}{dt} e^{t^2 L^2}} t L^2 L^{-1} dL \right\} = -\frac{1}{2} \operatorname{tr}_s (L^{-1} dL)$$

Here's the odd version treated above in Gaussian fashion, but now using the Cayley map:

$$t, T \mapsto \frac{1+tT}{1-tT} = g$$

~~The pull-back~~ The pull-back of  $\operatorname{tr}(g^{-1} dg)$  is

$$\operatorname{tr} \left\{ 2 \frac{1}{1-t^2 T^2} d(tT) \right\}$$

$$\text{So } \int_0^\infty \operatorname{tr} \left\{ 2 \frac{1}{1-t^2 T^2} dt T \right\} = \int_0^\infty dt \operatorname{tr} \left\{ \frac{1}{1+tT} T + \frac{1}{1-tT} T \right\}$$

$$= \left[ \operatorname{tr} \left\{ \log \left( \frac{1+tT}{1-tT} \right) \right\} \right]_0^\infty$$

July 18, 1984

99

So far we understand, <sup>somewhat</sup> the behavior of periodicity and differential forms provided we stick to models of  $U$  and  $Z \times BU$  using involutions and projectors. This is why Connes theory reduces to  $F$  satisfying  $F^2 = 1$ .

But it is natural to expect that if we enlarge attention from unitaries to invertibles, i.e. from  $U$  to  $GL(\mathbb{C})$ , then perhaps the continuous cohomology of  $GL(\mathbb{C})$  enters into the behavior of differential forms. This in ~~turns~~ suggests looking at groups of complex gauge transformations, and to get started, with complex gauge transformations over the circle and a Riemann surface.

Let's consider the example of  $\bar{\partial}$  operators of index 0 on  $S^2$ . The group  $\mathcal{G}_{\mathbb{C}}$  of complex gauge transformations acts transitively on the open set of invertible  $\bar{\partial}$ -operators. Since  $\mathcal{G}_{\mathbb{C}}$  is connected questions about determinants should be answerable using the anomaly formulas and then integrating.

Let's restrict gauge transformations to be fixed at  $\infty$ , and then consider the following setup. We consider operators  $\bar{\partial}_{\bar{z}} + \alpha(z)$  over  $\mathbb{C}$  with  $\alpha$  decaying rapidly at  $\infty$ . ~~Let~~  $\mathcal{G}_{\mathbb{C}}$  consists of <sup>invertible</sup> matrix functions  $\varphi(z)$  which approach 1 rapidly at  $\infty$ . Then the operators we consider are those which are gauge-equivalent to  $\bar{\partial}_{\bar{z}}$ :

$$\bar{\partial}_{\bar{z}} + \alpha = \varphi \bar{\partial}_{\bar{z}} \varphi^{-1}$$

What are the general considerations? Let's go back to  $S^2$  with  $\mathcal{A}$  = all Dirac ops of index zero and  $\mathcal{G}_{\mathbb{C}}$  = all complex gauge transformations fixing the fibre

at  $\infty$ . We then know that  $\mathcal{G}_c$  acts simply-<sup>100</sup> transitively on the invertible Dirac operators.

Now we consider the determinant line bundle  $L$  over  $\mathcal{A}$  with its canonical section and  $\mathcal{G}_c$ -action. Topologically this line bundle represents an element of  $H^2(B\mathcal{G}_c, \mathbb{Z}) \cong H^1(\mathcal{G}_c, \mathbb{Z})$ . To realize this class on  $\mathcal{G}_c$  we trivialize the line bundle  $L$  over  $\mathcal{A}$ ; then over a  $\mathcal{G}_c$  orbit one has two trivializations - more precisely given  $A_0 \in \mathcal{A}$  and  $g \in \mathcal{G}_c$  one has two ways to go from  $L_{A_0}$  to  $L_{gA_0}$ , and hence an element  $f(g) \in \mathbb{C}^\times$ . Thus one gets a map  $f: \mathcal{G}_c \rightarrow \mathbb{C}^\times$  realizing the class in  $H^1(\mathcal{G}_c, \mathbb{Z})$ . If  $A_0$  is in the invertible set, ~~then~~ then the trivialization of  $L$  ~~maps~~ maps the canonical section  $\sigma$  into a determinant function  $\det(A)$ , and ~~then~~  $f(g) = \frac{\det(gA_0)}{\det(A_0)}$ .

Question: How do we produce a differential 1-form on  $\mathcal{G}_c$  realizing the class in  $H^1(\mathcal{G}_c, \mathbb{C})$ ?

To answer this we can use the Atiyah-Singer announcement. Consider over ~~the~~  $\mathcal{G} \times M$  the connection on  $\text{pr}_2^*(E)$  obtained from the map  $\mathcal{G} \rightarrow \mathcal{A}$ ,  $g \mapsto g D_{A^0} g^{-1}$  and the connection over  $\mathcal{A} \times M$  which descends. This connection is

$$\delta + g \delta g^{-1} + g D_{A^0} g^{-1} = g (\delta + D_{A^0}) g^{-1}$$

and its curvature is  $g F_{A^0} g^{-1}$ . Better put  $D_{A^0} = d_M + A^0$ , so that we are dealing with the

$$\text{connection } \delta + d_M + A = \delta + d_M + g \delta g^{-1} + d_M g^{-1} + g A^0 g^{-1}$$

We want to join this to the connection

$$d = \delta + d_M$$

which will give the following transgression formula

$$\frac{1}{2} \text{tr}(F_A^2) = d \int_0^1 dt \text{tr}(F_{tA} A)$$

0 because  $F_A^2 = g F_{A_0} g^{-1}$  has degree  $> \dim M$ .

~~Instead of joining  $d$  to  $g(d+A_0)g^{-1}$  it might be easier to join  $g^{-1}d.g$  to  $d+A_0$ , whence  $F_t = t F_{A_0} + (t^2-t)(A_0 - g^{-1}dg)^2$~~

Now

$$F_{tA} = t F_A + (t^2-t)A^2$$

$$A = g dg^{-1} + g A_0 g^{-1}$$

so  $\int_0^1 dt \text{tr}(F_{tA} A) = \frac{1}{2} \text{tr}(F_A A) - \frac{1}{6} \text{tr}(A^3)$

However it seems to me that I want to take  $A_0 = 0$  in which case we are looking at  $A = g dg^{-1} = -dg g^{-1}$  and the three form over  $G \times M$  is  $\frac{1}{6} \text{tr}(dgg^{-1})^3$ . This is then integrated over  $M = S^2$  to get the 1-form on  $G$ .

But what confuses me is the calculations which produce the  $\sigma$ -model kinetic energy in the determinant. This is present even in the  $U(1)$  case where the topology is trivial

July 19, 1984

102

General problem: Continuous cohomology + periodicity. One knows that the continuous cohomology of  $GL_n \mathbb{C}$  is periodic and given by the familiar differential forms of cyclic theory. The differential forms theory should be more than just the topological K-theory.

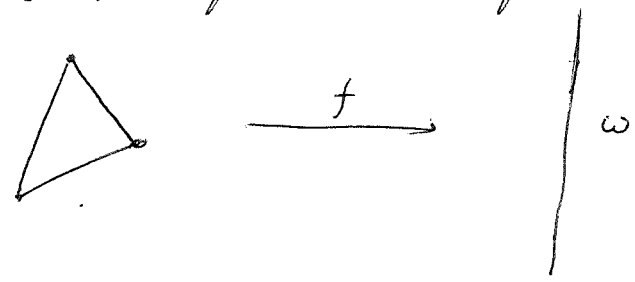
Let's try to unify what we know about the dilogarithm. It occurs in two forms described to me by Atiyah. The imaginary part of the dilog gives the volume of a tetrahedron in hyperbolic 3-space. The real part has to do with  $\eta$ -invariants and Chern-Simons terms for 3 manifolds.

Also in Gelfand-MacPherson the dilog occurs relative to  $G_4^2(\mathbb{R})$ .

Let's start with the simplest ~~case~~ case we understand. Let us consider a flat  $G = SL(2, \mathbb{C})$ -bundle over  $M$ . Let  $P$  be the principal bundle and form the hyperbolic space bundle  $P \times_G \mathbb{H}^3 = X$  and  $\mathbb{H}^3 = SL(2, \mathbb{C})/SU(2)$ . Because of the flat connection, the volume form on  $\mathbb{H}^3$  gives rise under the Weil homomorphism to a closed 3-form on  $X$ . As the fibre is contractible  $X$  has a section over  $M$  and pulling back this 3 form gives a closed 3-form on  $M$ , whose class is independent of the choice of section.

If we think of  $M$  as a simplicial complex, then a natural way to construct a section is to first lift the vertices of  $M$ . Over any simplex  $\sigma$  the bundle  $X$  becomes trivial  $X|_{\sigma} = \sigma \times \mathbb{H}^3$  and then using the convex structure of  $\mathbb{H}^3$  there is a natural way to map  $\sigma$  to  $\mathbb{H}^3$  given where the vertices are supposed to go.

The actual 3-cochain on  $M$  we get this way assigns to a 3-simplex  $\sigma = (\sigma_0, \sigma_1, \sigma_2, \sigma_3)$ , the volume of the tetrahedron in hyperbolic space with the vertices where  $\sigma_0, \sigma_1, \sigma_2, \sigma_3$  go under the trivialization of  $X$  over  $\sigma$ . The cocycle condition will follow from the invariance of the volume and the fact the form is closed:



$$\int_{\partial\sigma} f^*\omega = \int_{\sigma} df^*\omega = \int_{\sigma} f^*d\omega = 0$$

Now the volume of a tetrahedron with vertices at the 2-sphere boundary of  $\mathbb{H}^3$  is defined. One should start by saying that  ~~$\mathbb{H}^3$~~   $\mathbb{H}^3$  has a compactification by  $\mathbb{P}^1(\mathbb{C}) = S^2$  just as the upper half plane is compactified by adding  $\mathbb{P}^1(\mathbb{R})$ . Hence if we started ~~constructing~~ constructing our section by choosing the vertices to lie at the boundary, then we get a much simpler formula for the cocycle.

What is happening I think is the following. The group  $G$  acts on the 3-disk  $\mathbb{H}^3$  obtained by adding  $S^2$  to  $\mathbb{H}^3$ . (This action should be continuous at least.) We are constructing a section of the disk bundle with fibre  $\mathbb{H}^3$ . Now we get a 3-cocycle which assigns to each 3-simplex  $(\sigma_0, \dots, \sigma_3)$ , the volume of the tetrahedron with vertices on the boundary of  $\mathbb{H}^3$  associated to the trivialization of  $\bar{X}|_{\sigma}$ . ~~□~~

Recall that give 4 distinct points  $(z_0, \dots, z_4)$  in  $S^2 = \mathbb{CP}^1$  they have a cross-ratio which determines completely the orbit of  $SL(2, \mathbb{C})$  ~~acting on~~ acting on 4-tuples of distinct points. The volume of the tetrahedron in  $\mathbb{H}_3$  with the vertices  $(z_0, \dots, z_4)$  must therefore be a function of the cross-ratio. This is supposedly the Lobachevsky function.

July 20, 1984

105

Connes description of the multiplicative map on  $K_1^{\text{alg}}(A)$  associated to a trace  $\tau$  on  $A$ :

$$K_2^{\text{top}}(A) \longrightarrow K_1^{\text{rel}}(A) \longrightarrow K_1^{\text{alg}}(A) \longrightarrow K_1^{\text{top}}(A)$$

A trace induced a homomorphism  $K_1^{\text{rel}} A \rightarrow \mathbb{C}$  as follows. Given ~~an element~~ an element of  $K_1^{\text{rel}} A$  it is represented by a unit  $u \in GL(A)$  together with a path  $u_t$  joining 1 to  $u$ . Then one obtains a number

$$\int_0^1 \tau(u_t^{-1} \dot{u}_t) dt \in \mathbb{C}.$$

~~an element~~ If we compare the effect of two different paths joining 1 to  $u$ , i.e. take a loop  $u_t$  in  $GL(A)$ , then we obtain the ambiguity

$$\oint \tau(u^{-1} du).$$

By Bott periodicity this number is  $2\pi i \tau(\alpha)$  where  $\alpha \in K_0 A$  is the element ~~of~~ ~~the~~ which corresponds to the loop  $u = (u_t)$ .

(Here we see again how a trace determines an element of ~~the~~  $(K_2^{\text{top}}(A))^*$  in the von Neumann picture, rather than an element of  $(K_0^{\text{top}} A)^*$  as one would expect.)

---

On the Novikov conjecture: ~~the~~ Mischenko's basic idea is to generalize the concept of <sup>f.d.</sup> representation of a discrete group  $\Gamma$  as follows. One considers a pair of Hilbert space representations  $\mathcal{H} = \mathcal{H}^+ \oplus \mathcal{H}^-$  <sup>together</sup> with a Fredholm  $F: \mathcal{H}^+ \rightarrow \mathcal{H}^-$  which commutes with the  $\Gamma$  action modulo compacts. Such data  $(\rho^+, \rho^-, F)$  define



in element  $\alpha$  of  $K^0(B\Gamma)$  for which the Novikov property holds: Given a map  $M \rightarrow B\Gamma$   $M$  compact ~~and~~ orientable, then the image of  $L(M)$  in  $H_*(M)$  under the map paired with  $ch(\alpha)$  is a homotopy invariant of  $M$ . I still don't see this last property clearly.

If  $\pi$  is the fundamental group of a negatively curved manifold  $M$ , then Mischenko proves that all of  $K^0(B\Gamma)$  can be so represented. ~~The~~ The idea is to use the Hilbert space of  $L^2$ -forms on  $\tilde{M}$  and the "Gaussian de Rham" complex.

So let's try to understand this in the case of a torus  $\mathbb{R}^n/\Gamma$ , say  $n=2$ . Then in order to define the Gaussian de Rham complex I have to choose a basepoint of  $\mathbb{C} = \mathbb{R}^2$ . Any translation ~~operator~~ commutes with the operator up to a bounded function, so we clearly get the Mischenko situation, although unbounded.

Now it is supposedly possible to show that any element of  $K^0(\text{torus})$  arises from such a Mischenko representation. It's clear that the ring of functions on the torus acts on the Hilbert space of  $L^2$ -forms upstairs and commutes with the Gaussian part of the operator. A function commutes with the de Rham part  $d+\delta$  modulo a ~~bounded~~ bounded function, which should be compact. So there is a Kasparov cup product setup - Given a bundle  $E$  on the torus one can tensor with the Gaussian DR complex to obtain a Mischenko representation.

It must be then easy to see that upon going back to  $K^0(B\Gamma)$  we get the class of  $E$ .