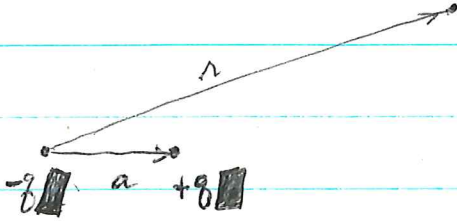


April 11, 1980

sound waves in fermi gas 746  
plasma frequency  
TF approx. for electron gas 732

725

Dielectrics: Begin by computing the field of a dipole.



$$\varphi = \frac{q}{|\vec{r} - \vec{a}|} - \frac{q}{|\vec{r}|}$$

$$\varphi = \frac{q}{r} \left[ (r^2 + a^2 - 2ar \cos \theta)^{-1/2} - 1 \right]$$

$$\approx \frac{q}{r} \left[ 1 - \frac{1}{2} \left( \frac{-2ar \cos \theta}{r^2} \right) - 1 \right] = \frac{qa \cos \theta}{r^2} = \frac{\vec{P} \cdot \vec{r}}{r^3}$$

where  $\vec{p} =$  dipole moment  $= qa\vec{e}$ . The above calculation becomes exact in the limit as  $\vec{a} \rightarrow 0$ ,  $qa \rightarrow \vec{p}$ .

Suppose we compute the electric field due to a lot of dipoles. Let  $\vec{P}$  be the dipole moment density, so that  $\vec{P} d^3r$  is the total dipole moment in the volume  $d^3r$ . Then

$$\varphi(r) = \int \frac{\vec{P}(r') \cdot (r - r')}{|r - r'|^3} d^3r'$$

Now

$$= \int \vec{P}(r') \cdot \nabla_{r'} \left( \frac{+1}{|r - r'|} \right) d^3r'$$

$$= \int -(\nabla \cdot \vec{P})(r') \frac{1}{|r - r'|} d^3r'$$

integrating by parts using

$$\nabla \cdot (u \vec{P}) = \nabla u \cdot \vec{P} + u \nabla \cdot \vec{P}$$

$$+ \int \frac{+(\vec{P} \cdot \hat{n})}{|r - r'|} dS$$

boundary surface

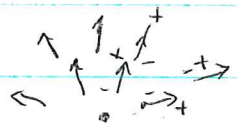
This is the field of a surface charge  $\vec{P} \cdot \hat{n}$  on the bounding surface, and a charge density  $-\nabla \cdot \vec{P}$  inside.

Let's now consider a dielectric medium which polarizes.

when an electric field is around, external charges present, and  $\rho_{int}$  the charge density due to the dielectric. We saw

$$\rho_{int} = -\nabla \cdot \vec{P}$$

Picture of P



Clearly  $\text{div}(P) > 0$  and there is an effective neg. charge at center

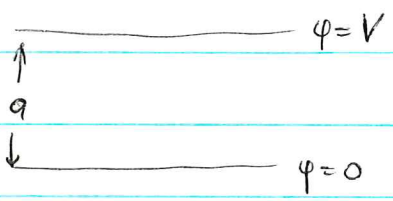
hence Poisson's equation becomes

$$\nabla \cdot \vec{E} = 4\pi \rho_{ext} - 4\pi \nabla \cdot \vec{P}$$

or 
$$\nabla \cdot (\vec{E} + 4\pi \vec{P}) = 4\pi \rho_{ext}$$

This defines  $\vec{D}$

Example: Consider a parallel plate condenser with



voltage difference V. Then

$$\phi = \begin{cases} V & z > a \\ \frac{V}{a}z & 0 < z < a \\ 0 & z < 0 \end{cases}$$

$$\vec{E} = -\nabla\phi = \begin{cases} 0 & z > a \\ -\frac{V}{a}\hat{k} & 0 < z < a \\ 0 & z < 0 \end{cases}$$

so that one has a charge density

$$4\pi\rho = \nabla \cdot \vec{E} = -\frac{V}{a}\delta(z) + \frac{V}{a}\delta(z-a)$$

supported on the plates. Now if between the plates is a dielectric with  $\vec{P} = h\vec{E}$ , then  $\vec{D} = \vec{E} + 4\pi\vec{P} = \vec{E}(1+4\pi h)$  inside the plates so

$$\vec{D} = \begin{cases} 0 & a < z \\ -\frac{V}{a}(1+4\pi h)\hat{k} & 0 < z < a \\ 0 & z < 0 \end{cases}$$

and so

$$4\pi\rho_{ext} = \nabla \cdot \vec{D} = +\frac{V}{a}(1+4\pi h)(-\delta(z) + \delta(z-a))$$

Thus the capacitance increases by the factor  $1+4\pi h$ .

Program: Consider a fermion gas of particles with charge  $e$ , mass  $m$ , moving in a static field (electric) with the potential  $\varphi$ . Better: I start with an external potential  $\varphi_{\text{ext}}$  such as the field  $\frac{Z}{r}$  for a nucleus of charge  $Z$ . Then I want to understand the way the gas behaves. I make the approximation of assuming that the particles in the gas contribute to form a total potential  $\varphi$  which then governs their motions independently. More precisely given  $\varphi$  we solve the 1-particle Hamiltonian

$$(H_0 + e\varphi)\psi = E\psi$$

and then if  $\psi_n$  is an orth. basis ~~set~~ of eigenfunctions with eigenvalues  $\epsilon_n$ , we get the <sup>particle</sup> density of the gas.

$$n(x) = \sum_{\epsilon_n < \epsilon_F} |\psi_n(x)|^2 .$$

~~W~~ This defines ~~n~~ as a function of  $\varphi$ . Conversely we have Poisson's equation

$$-\Delta\varphi = -\Delta\varphi_{\text{ext}} + 4\pi en(x)$$

The Thomas-Fermi approach is to use a semi-classical approximation to compute  $n(x)$ .

April 12, 1980

727

I want to compute the <sup>linear</sup> response of an electron gas to an external charge distribution  $\rho_{\text{ext}}$ . The basic approximation is to assume the electrons move independently but subject to an average potential  $\varphi$ . Given  $\varphi$  we solve the Schrodinger equation with

$$H = \frac{p^2}{2m} + e\varphi$$

to find the eigenfunctions:  $H\psi_a = \epsilon_a\psi_a$ . Then we get the particle density of the electron gas

$$n(x) = \sum_a |\psi_a(x)|^2 f(\epsilon_a)$$

where  $f$  is the Fermi function

$$f(\epsilon) = \frac{1}{e^{\beta(\epsilon - \epsilon_F)} + 1} \stackrel{\text{as } \beta \rightarrow \infty}{=} \begin{cases} 1 & \epsilon < \epsilon_F \\ 0 & \epsilon > \epsilon_F \end{cases}$$

(Question: Can one do Thomas-Fermi at non-zero temperature?)

Thus  $n$  is a function of  $\varphi$ .

On the other hand  $\varphi$  must satisfy the Poisson eqn

$$-\Delta\varphi = 4\pi\rho_{\text{ext}} + 4\pi en$$

Notice that this isn't quite correct because if we take  $\rho_{\text{ext}} = 0$ , then ~~the~~ the situation is translation-invariant, so  $\varphi$  should be a constant, hence  $n = 0$ . We have forgotten ~~to~~ to include the uniform positive charge background which is needed so that  $\varphi = 0$  when  $\rho_{\text{ext}} = 0$ . The Poisson eqn. is then

$$-\Delta\varphi = 4\pi\rho_{\text{ext}} + 4\pi e(n - \langle n \rangle)$$

where  $\langle n \rangle =$  <sup>average</sup> particle density  $= N/\text{vol}$ .

Let's now introduce the Thomas-Fermi approximation.

for computing  $n(x)$ :

$$(2\pi\hbar)^3 n(x) d^3x = \frac{4}{3} \pi [2m(\epsilon_F - e\varphi(x))]^{3/2} d^3x$$

$$n(x) = \frac{1}{(2\pi\hbar)^3} \frac{4}{3} \pi [2m(\epsilon_F - e\varphi(x))]^{3/2}$$

We want to consider a small change  $\delta\varphi$  <sup>from 0</sup> and compute the induced changes  $\delta\varphi$ ,  $\delta n$ .

$$\begin{aligned} \delta n(x) &= \frac{1}{(2\pi\hbar)^3} \frac{4\pi}{3} \frac{3}{2} [2m(\epsilon_F - e\varphi)]^{1/2} (-2me) \delta\varphi(x) \\ &= \gamma \delta\varphi(x) \end{aligned}$$

where  $\gamma$  is a (positive) constant. Then

$$-\Delta \delta\varphi = 4\pi \delta\rho_{\text{ext}} + 4\pi e \delta n$$

$$[-\Delta + (-4\pi e\gamma)] \delta\varphi = 4\pi \delta\rho_{\text{ext}}$$

This is the Helmholtz equation (modified) with  $-4\pi e\gamma > 0$ .

Put  $\mu^2 = -4\pi e\gamma$ . The fundamental solution is

$$\frac{e^{-\mu r}}{4\pi r}$$

hence if  $\delta\rho_{\text{ext}}$  is a charge  $q$  located at the origin we find

$$\delta\varphi = \frac{q}{r} e^{-\mu r}$$

which is the Coulomb potential  $\frac{q}{r}$  "screened" with the factor  $e^{-\mu r}$ .

$$\mu = \left( -4\pi e \frac{1}{(2\pi\hbar)^3} 2\pi \rho_F (-2me) \right)^{1/2}$$

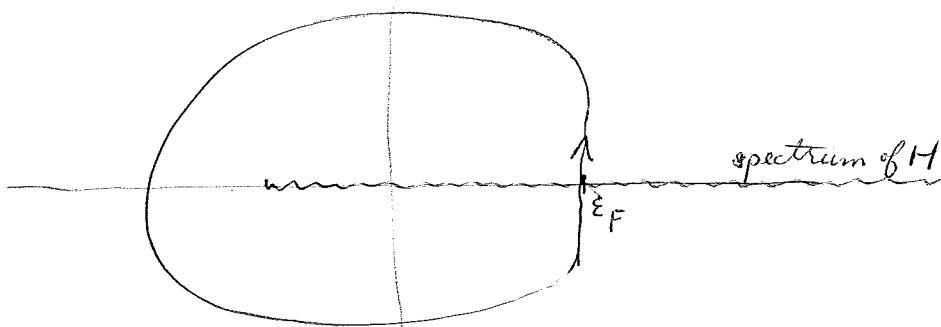
$$= \left( \frac{2}{\pi} \frac{me^2}{\hbar^3} \rho_F \right)^{1/2}$$

2 becomes 4 if spins are counted.

Next let's avoid the TF approximation. We have

$$n(x) = \langle x | P^{-1} | x \rangle = \frac{1}{2\pi i} \oint \langle x | \frac{1}{W-H} | x \rangle dW$$

with the contour:



Here  $H_0 = \frac{p^2}{2m}$  is to be perturbed by  $e\delta\varphi$ . One has to first order

$$\delta \frac{1}{W-H} = \frac{1}{W-H_0} e\delta\varphi \frac{1}{W-H_0}$$

so

$$\delta n(x) = \frac{1}{2\pi i} \int \langle x | \frac{1}{W-H_0} e\delta\varphi \frac{1}{W-H_0} | x \rangle dW$$

Recall  $H_0$  has the orthonormal basis of eigenfunctions

$$\langle x | k \rangle = u_k(x) = \frac{1}{\sqrt{\text{vol}}} e^{ikx}, \quad H_0 | k \rangle = \frac{\hbar^2 k^2}{2m} | k \rangle.$$

so

$$\delta n(x) = \frac{1}{2\pi i} \int \sum_{k, k'} \frac{\langle x | k \rangle \langle k | e\delta\varphi | k' \rangle \langle k' | x \rangle}{(W - \epsilon_k)(W - \epsilon_{k'})} dW$$

$$\langle k | \delta\varphi | k' \rangle = \frac{1}{\text{vol}} \int e^{-ikx} \delta\varphi(x) e^{ik'x} dx = \frac{1}{\text{vol}} \widehat{(\delta\varphi)}(k-k')$$

$$\langle x | k \rangle \langle k' | x \rangle = \frac{1}{\text{vol}} e^{+ikx - ik'x}$$

I need

$$f(x) = \frac{1}{\text{vol}} \sum_k e^{ikx} \hat{f}(k) \quad \hat{f}(k) = \int e^{-ikx} f(x) dx$$

$$\therefore \delta n(x) = \frac{1}{(\text{vol})^2} \sum_{k, k'} e^{i(k-k')x} \widehat{(\delta\varphi)}(k-k') \frac{1}{2\pi i} \int \frac{dW}{(W - \epsilon_k)(W - \epsilon_{k'})}$$

It will be useful later, when we want to solve the Poisson equation, to work with  $\hat{S}_n(q)$  defined by

$$\hat{S}_n(x) = \frac{1}{\text{vol}} \sum_k e^{iqx} \hat{S}_n(q)$$

Then we get

$$\hat{S}_n(q) = e^{i\varphi(q)} \frac{1}{\text{vol}} \frac{1}{2\pi i} \int \frac{dW}{(W-\epsilon_k)(W-\epsilon_{k'})}$$

Now

$$\frac{1}{2\pi i} \oint \frac{dW}{(W-a)(W-b)} = \begin{cases} 0 & a, b \text{ outside contour} \\ \frac{1}{a-b} & a \text{ inside } b \text{ outside} \\ \frac{1}{b-a} & b \text{ inside } a \text{ outside} \\ \frac{1}{a-b} + \frac{1}{b-a} = 0 & \text{if both are inside} \end{cases}$$

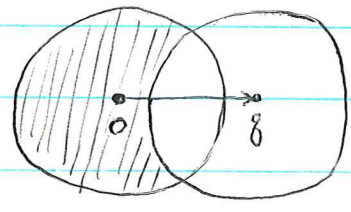
$$\frac{1}{\text{vol}} \sum_{k-k'=g} \frac{1}{2\pi i} \oint \frac{dW}{(W-\epsilon_k)(W-\epsilon_{k'})} = \frac{1}{\text{vol}} \left\{ \sum_{\substack{|k'| < k_F \\ |k'+g| > k_F}} \frac{1}{\epsilon_{k'} - \epsilon_{k'+g}} + \sum_{\substack{|k| < k_F \\ |k-g| > k_F}} \frac{1}{\epsilon_k - \epsilon_{k-g}} \right\}$$

Change  $k$  into  $-k'$  in the 2nd term and it becomes the first. Hence we get the above

$$= \frac{2}{\text{vol}} \sum_{\substack{|k| < k_F \\ |k+g| > k_F}} \frac{2m}{k^2 - (k+g)^2} = \frac{-4m}{\text{vol}} \sum_{\substack{|k| < k_F \\ |k+g| > k_F}} \frac{1}{2k \cdot g + g^2}$$

$$\rightarrow -4m \frac{1}{(2\pi)^3} \int_{\substack{|k| < k_F \\ |k+g| > k_F}} \frac{d^3k}{2k \cdot g + g^2}$$

$$= -4m \frac{1}{(2\pi)^3} k_F \int_{\substack{|k| < 1 \\ |k + \frac{g}{k_F}| > 1}} \frac{d^3k}{2k \cdot \frac{g}{k_F} + \left(\frac{g}{k_F}\right)^2}$$



$$u\left(\frac{g}{2k_F}\right) \pi$$

so

$$\begin{aligned} \delta n(q) &= e \delta \varphi(q) \frac{-4m k_F}{(2\pi)^3} u\left(\frac{q}{2k_F}\right) \pi \\ &= \delta \varphi(q) \underbrace{\frac{-4\pi m e k_F}{(2\pi)^3}}_{\gamma \text{ of before}} u\left(\frac{q}{2k_F}\right) \end{aligned}$$

The function  $u$  can be evaluated (see Doniach-Sundheimer: p149

for

$$u(x) = \frac{1}{2} \left\{ 1 + \frac{1}{2x} (1-x^2) \cdot \log \left| \frac{1+x}{1-x} \right| \right\} \rightarrow 1 \quad \text{as } x \rightarrow 0$$

so that the above reduces to the TF formula on 728 as  $q \rightarrow 0$ .

Thus we ~~have~~ have an exact formula for  $\delta n(q)$  in terms of  $\delta \varphi(q)$ . Now solve the Poisson equation

$$-\Delta \delta \varphi = 4\pi \delta \varphi_{\text{ext}} + 4\pi e \delta n$$

to get

$$q^2 \delta \varphi(q) = 4\pi \delta \varphi_{\text{ext}}(q) + 4\pi e \frac{-4\pi m e k_F}{(2\pi)^3} u\left(\frac{q}{2k_F}\right) \delta \varphi(q)$$

or

$$\delta \varphi(q) = \frac{4\pi}{q^2 + (-4\pi e \gamma) u\left(\frac{q}{2k_F}\right)} \delta \varphi_{\text{ext}}(q)$$

$$= \frac{4\pi}{q^2 + \mu^2 u\left(\frac{q}{2k_F}\right)} \delta \varphi_{\text{ext}}(q)$$

Now I can connect this up with the dielectric response. It is not true, so it seems, that there is a dielectric constant  $\epsilon > 1$  such that the electric field  $E$  is weakened by the factor  $\frac{1}{\epsilon}$  from what it would be in a vacuum. This is the meaning of the equations



$$\vec{D} = \epsilon \vec{E} \quad \square \quad \nabla \cdot D = 4\pi \rho_{\text{ext}}$$

What actually happens is that the electrons are free to move around, and so the relation of  $D$  and  $E$  depends on the wave number. ~~□~~

Notice that  $D$  is computed from  $\rho_{\text{ext}}$  as if one were in a vacuum:  $D = -\nabla \cdot \varphi_{\text{ext}}$  where  $-\Delta \varphi_{\text{ext}} = 4\pi \rho_{\text{ext}}$ .

Hence when  $\rho_{\text{ext}} = e^{i\vec{q}\cdot\vec{r}} \rho_{\text{ext}}(\vec{q})$ , then

$$\vec{D}(\vec{q}) = -i\vec{q} \varphi_{\text{ext}}(\vec{q}) \quad \varphi_{\text{ext}}(\vec{q}) = \frac{4\pi}{q^2} \rho_{\text{ext}}(\vec{q})$$

From  $-\Delta \varphi = \nabla \cdot E = 4\pi \rho_{\text{ext}} + 4\pi e(n - \langle n \rangle)$  (we drop  $\delta$ )

we get

$$q^2 \varphi(\vec{q}) = \cancel{\varphi_{\text{ext}}(\vec{q})} 4\pi \rho_{\text{ext}}(\vec{q}) + 4\pi e \gamma u\left(\frac{q}{2k_F}\right) \varphi(\vec{q})$$

$$\varphi(\vec{q}) = \frac{4\pi}{q^2 + \mu^2 u\left(\frac{q}{2k_F}\right)} \rho_{\text{ext}}(\vec{q}).$$

Now  $\vec{E}(\vec{q}) = -i\vec{q} \varphi(\vec{q})$  and the dielectric function is defined by  $\vec{D}(\vec{q}) = \epsilon(\vec{q}) \vec{E}(\vec{q})$  or

$$\varphi(\vec{q}) = \frac{1}{\epsilon(\vec{q})} \varphi_{\text{ext}}(\vec{q})$$

actual potential reduced by  $\frac{1}{\epsilon(\vec{q})}$  from external potential

Thus our calculations give

$$\epsilon(\vec{q}) = \frac{\varphi_{\text{ext}}(\vec{q})}{\varphi(\vec{q})} = \frac{\frac{4\pi}{q^2} \rho_{\text{ext}}(\vec{q})}{\frac{4\pi}{q^2 + \mu^2 u} \rho_{\text{ext}}(\vec{q})}$$

$$\boxed{\epsilon(\vec{q}) = 1 + \frac{\mu^2}{q^2} u\left(\frac{q}{2k_F}\right)}$$

Interesting point is that the above answer agrees with the calculation of the static dielectric function  $\epsilon(q)$  in the so-called random phase approximation.

April 19, 1980

734

Speed of sound: First consider longitudinal vibrations of a bar. We use the mechanical analogy

.....

Let  $u(x)$  denote the displacement of the particle at rest position  $x$ , let  $m$  = mass of particle,  $a$  =  spacing of particles at rest,  $k$  = spring constant. Then

$$m \ddot{u}(x) = k(u(x+a) - u(x)) - k(u(x) - u(x-a))$$

Next we let  $a \rightarrow 0$  such that  $\frac{m}{a} \rightarrow \rho$  and  $ka \rightarrow \tau$ .

$$\frac{m}{a} \ddot{u}(x) = ak \left( \frac{u(x+a) - 2u(x) + u(x-a))}{a^2} \right)$$

$$\downarrow$$
$$\rho \ddot{u} = \tau \partial_x^2 u$$

which gives waves  $e^{i(kx - \omega t)}$  with

$$\rho \omega^2 = \tau k^2 \quad \text{or speed} = \frac{\omega}{k} = \sqrt{\frac{\tau}{\rho}}$$

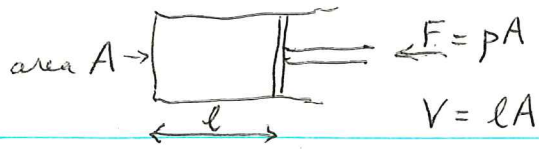
Notice that if one has a length made of  $N$  springs

.....

then stretching this a distance  $x$  causes each spring to stretch  $\frac{x}{N}$ , causing a force  $k \frac{x}{N} = \frac{ka}{Na} x$ . Thus the spring constant of a length  $l = Na$  of the bar is  $\frac{\tau}{l}$ .  $\tau$  is some sort of elastic modulus.

Let's next consider a tube filled with gas having at rest a pressure  $p_0$  and density  $\rho_0$ . We think of the gas as analogous to a bar which can vibrate longitudinally. We have to  determine the elastic modulus  $\tau$ . So consider

a cylinder:



we have

$$\frac{dp}{p_0} + \gamma \frac{dV}{V_0} = 0$$

$$\text{or } dF = dp \cdot A = -\frac{p_0 \gamma}{V_0} A dV$$

$$= -\frac{p_0 \gamma}{A l_0} A^2 dl = -\left(A \frac{\gamma p_0}{l_0}\right) dl$$

Hence the spring constant is

$$A \frac{\gamma p_0}{l_0}$$

so the elastic modulus  $\tau$  is  $A \gamma p_0$ . (Really  $\tau$  should be defined as <sup>the</sup> spring constant per unit area, since one wants to use it with a linear density  $A \rho_0$ ). So <sup>the</sup> sound speed is

$$c = \sqrt{\frac{\gamma p_0}{\rho_0}}$$

I am working toward understanding plasma oscillations in an electron gas. These are different in some way from sound waves, so it seems, because the frequency wave number relation  $\omega = \omega(q)$  does not tend to zero as  $q \rightarrow 0$ . For sound waves  $\omega(q) = cq$ .  $\blacksquare$

Question: Does a Fermi gas have pressure at 0 temperature, i.e. does it exert a pressure on the walls of the box?

Consider  $V = L^3$  with  $N$  particles inside. The energy levels are  $\epsilon_{\underline{k}} = \frac{\hbar^2 \underline{k}^2}{2m}$  where  $\underline{k} \in \frac{2\pi}{L} \mathbb{Z}^3$ . Write  $\underline{k} = \frac{2\pi}{L} \underline{\lambda}$  with  $\underline{\lambda} \in \mathbb{Z}^3$ . Then the Fermi sea will be given by  $\blacksquare |\underline{\lambda}| < \lambda_F$

$$N = \sum_{|\underline{\lambda}| < \lambda_F} 1$$

$$U = \sum_{|\underline{\lambda}| < \lambda_F} \frac{\hbar^2}{2m} \left(\frac{2\pi}{L}\right)^2 \lambda^2 = \frac{1}{L^2} \frac{\hbar^2}{2m} (2\pi)^2 \sum_{|\underline{\lambda}| < \lambda_F} \lambda^2$$

so we get the relation

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$$U = V^{-2/3} \cdot \text{const.}$$

~~The pressure should be given by~~ The pressure should be given by

$$p = -\frac{\partial}{\partial V} U = \frac{2}{3} V^{-5/3} \cdot \text{const.}$$

since at  $T=0$ ,  $U$  should = free energy. Another way to see this is to use

$$U = \sum_n E_n \frac{e^{-\beta E_n}}{\underbrace{\sum_n P_n}} \quad E_n(V) = \text{const.} \cdot V^{-2/3}$$

$$p = \sum_n -\frac{\partial E_n}{\partial V} P_n$$

and at  $T=0$  one has  $\begin{cases} P_n = 1 & E_n < \epsilon_F \\ = 0 & E_n > \epsilon_F \end{cases}$

Notice that  $pV^{5/3} = \text{constant}$  is the same as for adiabatic changes of an ideal gas.

Let's see if the pressure makes sense as  $V \rightarrow \infty, N \rightarrow \infty$  with  $\frac{N}{V} \rightarrow \rho$ . We have

$$N = \sum_{\lambda < \lambda_F} 1 \quad \lambda_F = \frac{L}{2\pi} k_F$$

$$\frac{N}{V} = \frac{1}{L^3} \sum_{\lambda < \lambda_F} 1 = \frac{1}{L^3} \sum_{|k| < k_F} 1 \sim \int_{k < k_F} \frac{d^3 k}{(2\pi)^3} = \frac{4}{3} \pi \left(\frac{k_F}{2\pi}\right)^3$$

$$U = \frac{1}{L^2} \frac{\hbar^2 (2\pi)^2}{2m} \sum_{|\lambda| < \lambda_F} \lambda^2 = V^{-2/3} C$$

$$p = \frac{2}{3} L^{-5} \frac{\hbar^2 (2\pi)^2}{2m} \sum_{|\lambda| < \lambda_F} \lambda^2 = \frac{2}{3} V^{-5/3} C \quad \text{see above}$$

$$p = \frac{2}{3} L^{-5} \frac{\hbar^2 (2\pi)^2}{2m} \sum_{k < k_F} \left( \frac{L}{2\pi} k \right)^2 = \frac{2}{3} \frac{\hbar^2}{2m} \frac{1}{L^3} \sum_{k < k_F} k^2$$

$$\rightarrow \frac{2}{3} \frac{\hbar^2}{2m} \int_{k < k_F} \frac{d^3k}{(2\pi)^3} k^2 = \frac{2}{3} \frac{\hbar^2}{2m} \frac{4\pi}{(2\pi)^3} \frac{k_F^5}{5}$$

so we see indeed that the pressure has an infinite volume limit.

It should be possible to derive the same result via the grand canonical ~~ensemble~~ ensemble. Recall

$$Z_{gr} = \sum_N z^N Z_N = \sum_n \underbrace{z^{N(n)} e^{-\beta E_n}}_{p_n Z_{gr}}$$

is regarded as a function of  $z, \beta, V$ . One has

$$U = \sum E_n p_n = - \frac{\partial}{\partial \beta} \log Z_{gr}$$

$$N = \sum N_n p_n = z \frac{\partial}{\partial z} \log Z_{gr}$$

$$p = \sum - \frac{\partial E_n}{\partial V} p_n = + \frac{1}{\beta} \frac{\partial}{\partial V} \log Z_{gr}$$

As  $V \rightarrow \infty$  one expects  $\frac{1}{V} \log Z_{gr}$  to converge, so that  $\frac{N}{V} \rightarrow \rho$ ,  $\frac{U}{V} \rightarrow$  energy density, also  $\log Z_{gr} \sim V$  and so we get

$$p = \frac{1}{\beta} \lim \left( \frac{\log Z_{gr}}{V} \right)$$

Consider now a Fermi gas

$$Z_{gr} = \prod_{k \in \frac{2\pi}{L} \mathbb{Z}^3} (1 + z e^{-\beta \epsilon_k})$$

$$\epsilon_k = \frac{\hbar^2 k^2}{2m}$$

$$\frac{1}{V} \log Z_{gr} = \frac{1}{V} \sum_k \log(1 + z e^{-\beta \epsilon_k}) \rightarrow \int \frac{d^3k}{(2\pi)^3} \log(1 + z e^{-\beta \epsilon_k})$$

$$\Omega = \frac{4\pi}{(2\pi)^3} \int_0^{\infty} k^2 dk \log(1 + ze^{-\beta \epsilon_k})$$

Now suppose  $\beta$  is very large, and  $z = e^{\beta \epsilon_F}$ . Then for

$\epsilon_k$  slightly bigger than  $\epsilon_F$ , we have  $1 + ze^{-\beta \epsilon_k} \sim 1$

and for  $\epsilon_k$  slightly less than  $\epsilon_F$ , we have  $1 + ze^{-\beta \epsilon_k} \sim e^{\beta(\epsilon_F - \epsilon_k)}$ .

Thus

$$\Omega \sim \frac{4\pi}{(2\pi)^3} \int_0^{k_F} k^2 dk \beta(\epsilon_F - \epsilon_k)$$

and so



$$\begin{aligned} p = \frac{\Omega}{\beta} &\sim \frac{4\pi}{(2\pi)^3} \int_0^{k_F} \frac{\hbar^2}{2m} (k_F^2 - k^2) k^2 dk \\ &= \frac{4\pi}{(2\pi)^3} \frac{\hbar^2}{2m} k_F^5 \left( \frac{1}{3} - \frac{1}{5} \right) \end{aligned}$$

which is the same as on the preceding page. One also has

$$\begin{aligned} p &= z \frac{\partial}{\partial z} \Omega = \frac{4\pi}{(2\pi)^3} \int_0^{\infty} k^2 dk \frac{ze^{-\beta \epsilon_k}}{1 + ze^{-\beta \epsilon_k}} \\ &\rightarrow \frac{4\pi}{(2\pi)^3} \int_0^{k_F} k^2 dk = \frac{4\pi}{(2\pi)^3} \frac{k_F^3}{3} \quad \text{as } \beta \rightarrow \infty \end{aligned}$$

$$\text{energy density} = -\frac{\partial}{\partial \beta} \Omega = \frac{4\pi}{(2\pi)^3} \int_0^{\infty} k^2 dk \frac{\epsilon_k z e^{-\beta \epsilon_k}}{1 + ze^{-\beta \epsilon_k}}$$

$$\rightarrow \frac{4\pi}{(2\pi)^3} \int_0^{k_F} k^2 \epsilon_k dk = \frac{4\pi}{(2\pi)^3} \frac{\hbar^2}{2m} \frac{k_F^5}{5}$$

Paradox:  As  $\beta \rightarrow \infty$ , one has  $\frac{\Omega}{\beta} \rightarrow \frac{2}{3} \alpha$  where  $\alpha =$  hence one  expects

$$\Omega \sim \frac{2}{3} \alpha \beta \quad \text{whence} \quad \frac{\partial \Omega}{\partial \beta} \sim \frac{2}{3} \alpha$$

However  $\frac{\partial \Omega}{\partial \beta} \rightarrow -\alpha$ . You've forgot  $z = e^{\beta \epsilon_F}$  depends on  $\beta$ .

April 16, 1980

739

Consider fermi gas in a box:  $V = L^3$ . The states with 1-particle are  $\psi_k = \frac{1}{\sqrt{V}} e^{ikx}$ ,  $k \in \frac{2\pi}{L} \mathbb{Z}^3$ ,  $\epsilon_k = \frac{1}{2m} k^2$ .

$$Z_{gr} = \prod_k (1 + e^{\beta\mu - \beta\epsilon_k})$$

I want to write this so that its dependence on volume is clear, so put  $\underline{k} = \frac{2\pi}{L} \underline{\lambda}$ ,  $\underline{\lambda} \in \mathbb{Z}^3$ . Then

$$Z_{gr} = \prod_{\lambda \in \mathbb{Z}^3} (1 + e^{\beta\mu - \beta\epsilon(\lambda, V)})$$

$$\epsilon(\lambda, V) = \frac{1}{2m} \left(\frac{2\pi}{L}\right)^2 \lambda^2 = \frac{(2\pi)^2}{2m} \lambda^2 V^{-2/3}$$

$$\boxed{\frac{\partial \epsilon(\lambda, V)}{\partial V} = -\frac{2}{3} \frac{1}{V} \epsilon(\lambda, V)}$$

The pressure is

$$p = \sum_n -\frac{\partial \epsilon_n}{\partial V} p_n$$

$$Z_{gr} = \sum_n \underbrace{(e^{\beta\mu})^{N_n} e^{-\beta\epsilon_n}}_{p_n Z_{gr}}$$

$$= \frac{1}{Z_{gr}} \sum_n -\frac{\partial \epsilon_n}{\partial V} e^{\beta\mu N_n - \beta\epsilon_n}$$

$$\boxed{p = \frac{1}{\beta} \frac{\partial}{\partial V} \log Z_{gr}}$$

$$= \frac{1}{\beta} \frac{\partial}{\partial V} \sum_{\lambda} \log(1 + e^{\beta\mu - \beta\epsilon(\lambda, V)})$$

$$= \frac{1}{\beta} \sum_{\lambda} \frac{e^{\beta\mu - \beta\epsilon(\lambda, V)}}{1 + e^{\beta\mu - \beta\epsilon(\lambda, V)}} \left(-\beta \frac{\partial \epsilon}{\partial V}(\lambda, V)\right)$$

$$= \frac{2}{3} \frac{1}{V} \sum_{\lambda} \frac{e^{\beta\mu - \beta\epsilon(\lambda, V)}}{1 + e^{\beta\mu - \beta\epsilon(\lambda, V)}} \epsilon(\lambda, V)$$

$$= \frac{2}{3} \frac{1}{V} \sum_{k \in \frac{2\pi}{L} \mathbb{Z}^3} \frac{e^{\beta\mu - \beta\epsilon_k}}{1 + e^{\beta\mu - \beta\epsilon_k}} \epsilon_k \rightarrow \frac{2}{3} \int \frac{d^3k}{(2\pi)^3} \frac{e^{\beta\mu - \beta\epsilon_k}}{1 + e^{\beta\mu - \beta\epsilon_k}} \epsilon_k$$



On the other hand

$$\frac{\log Z_{gr}}{V} = \frac{1}{V} \sum_k \log(1 + e^{\beta\mu - \beta\varepsilon_k})$$

$$\rightarrow \int \frac{d^3k}{(2\pi)^3} \log(1 + e^{\beta\mu - \beta\varepsilon_k})$$

In the preceding  $\beta, \mu$  have been held fixed and only  $V$  has been allowed to vary. So we end up with the following paradox:

$$\log Z_{gr} \sim V \cdot C_1, \quad C_1 = \int \frac{d^3k}{(2\pi)^3} \log(1 + e^{\beta\mu - \beta\varepsilon_k})$$

$$\frac{\partial \log Z_{gr}}{\partial V} \sim C_2, \quad C_2 = \frac{2}{3} \int \frac{d^3k}{(2\pi)^3} \frac{e^{\beta\mu - \beta\varepsilon_k}}{1 + e^{\beta\mu - \beta\varepsilon_k}} \beta\varepsilon_k$$

Maybe  $C_1 = C_2$  by ~~the~~ integration by parts. YES.  
The good formula is

$$p = \lim_{\beta \rightarrow \infty} \frac{\log Z_{gr}}{\beta V} = \frac{2}{3} \int \frac{d^3k}{(2\pi)^3} \varepsilon_k \frac{e^{\beta\mu - \beta\varepsilon_k}}{1 + e^{\beta\mu - \beta\varepsilon_k}}$$

because the ~~integral~~ <sup>integral</sup> is the average kinetic energy density. It's useful to think of  $-\mu$  as the potential energy inside the box and  $\varepsilon_k$  as the ~~potential~~ kinetic energy of a particle of momentum  $k$ .

It seems to be a good idea to use  $z = e^{\beta\mu}$  instead of  $\mu$ , i.e. you adjust  $\mu$  as  $\beta$  varies so that  $\beta\mu$  is constant. Then

$$-\frac{\partial}{\partial \beta} \log Z_{gr} = \sum_n \varepsilon_n p_n = U \quad \text{internal energy}$$

$$\text{and} \quad \parallel \quad \sum_k \frac{z e^{-\beta\varepsilon_k}}{1 + z e^{-\beta\varepsilon_k}} \varepsilon_k$$

Another way to write this is

$$\star \quad U = \langle \hat{H} \rangle = \sum_k \varepsilon_k \underbrace{\langle a_k^\dagger a_k \rangle}_{\frac{ze^{-\beta\varepsilon_k}}{1+ze^{-\beta\varepsilon_k}}}$$

In the limit as  $V \rightarrow \infty$  we get

$$\lim \frac{U}{V} = \int \frac{d^3k}{(2\pi)^3} \varepsilon_k \frac{ze^{-\beta\varepsilon_k}}{1+ze^{-\beta\varepsilon_k}}$$

Let's denote this by  $\bar{\varepsilon}$ . Then we have for Fermi gas

$$\begin{aligned} pV &= \frac{2}{3} U && (V \text{ large}) \\ \text{or } p &= \frac{2}{3} \bar{\varepsilon} && \bar{\varepsilon} = \int \frac{d^3k}{(2\pi)^3} \varepsilon_k \frac{ze^{-\beta\varepsilon_k}}{1+ze^{-\beta\varepsilon_k}} \end{aligned}$$

Interesting point: The formula  $\star$  above suggests that

$$\hat{H} = \int \frac{d^3k}{(2\pi)^3} \varepsilon_k a_k^\dagger a_k \quad \hat{N} = \int \frac{d^3k}{(2\pi)^3} a_k^\dagger a_k$$

are energy-density and particle-density operators in some sense. Or maybe it's the average  $\langle \rangle$  which should be viewed as giving a density.

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April 18, 1980

742

Question: Are there sound waves in a fermi gas at 0 temperature? ~~Yes~~

Take a wave function  $\Psi$  describing the gas at  $t=0$ . Then  $\Psi(t) = e^{-i\hat{H}t}\Psi$  describes the gas at time  $t$  and

$$\rho(x,t) = \langle \Psi(t) | \psi(x)^* \psi(x) | \Psi(t) \rangle = \langle \Psi | \psi^*(x,t) \psi(x,t) | \Psi \rangle$$

gives the density at position  $x$  and time  $t$ . Here

$$\psi(x,t) = \sum \frac{1}{\sqrt{V}} e^{ikx - i\varepsilon_k t} a_k$$

$$\psi^*(x,t) = \sum \frac{1}{\sqrt{V}} e^{-ikx + i\varepsilon_k t} a_k^*$$

and so

$$\rho(x,t) = \frac{1}{V} \sum_{k,k'} e^{i(k-k')x - i(\varepsilon_k - \varepsilon_{k'})t} \langle \Psi | a_{k'}^* a_k | \Psi \rangle$$

Notice that  $\langle \Psi | a_{k'}^* a_k | \Psi \rangle$  is a positive semi-definite matrix on the 1-particle Hilbert space  $\mathcal{H}$ . For example if  $\Psi$  is the ground state in  $\Lambda^N \mathcal{H}$ , then

$$\langle \Psi | a_{k'}^* a_k | \Psi \rangle = \delta_{k'k} \begin{cases} 1 & \text{if } \varepsilon_k < \varepsilon_F \\ 0 & \text{if } \varepsilon_k > \varepsilon_F \end{cases}$$

The question is whether one can approximately interpret  $\rho(x,t)$  as a wave motion, better a solution of a scalar wave equation  $\partial_t^2 \rho = c^2 \Delta \rho$ . Hence we would like to see  $\rho(x,t)$  as a superposition of exponentials of the form

$$e^{i(gx - c|g|t)}$$

Let's try to take  $\Psi$  to be a small perturbation of the ground state, e.g.

$$\Psi = \Phi + \varepsilon \Psi_1$$

whereas

$$\langle \Psi | a_k^* a_k | \Psi \rangle = \langle \Phi | a_k^* a_k | \Phi \rangle + \varepsilon \left\{ \langle \Psi_1 | a_k^* a_k | \Psi_1 \rangle + \langle \Phi | a_k^* a_k | \Psi_1 \rangle \right\} + O(\varepsilon^2)$$

Let's take  $\Psi_1 = a_p^* a_q \Phi$  where  $\varepsilon_q < \varepsilon_F$ ,  $\varepsilon_p > \varepsilon_F$ . Thus  $\Psi_1$  represents the state with a hole of momentum  $q$  and a particle of momentum  $p$ . We have

$$\langle \Phi | a_k^* a_k a_p^* a_q | \Phi \rangle = \begin{cases} 1 & \text{if } k=p \text{ and } k'=q \\ 0 & \text{otherwise} \end{cases}$$

In effect

$$\langle \Phi | a_k^* a_k = \left( a_k^* a_{k'} | \Phi \right)^*$$

0 unless  $\varepsilon_{k'} < \varepsilon_F$ ,  $\varepsilon_k > \varepsilon_F$   
in which case we have a hole of mom.  $k'$  part  $k$

~~Thus~~

$$\delta \langle a_k^* a_k \rangle = 2\varepsilon \begin{cases} 1 & \text{if } k=p \text{ and } k'=q \\ 0 & \text{otherwise} \end{cases}$$

~~and so~~

$$\delta \rho(x,t) = \frac{2\varepsilon}{V} e^{i(p-q)x - i(\varepsilon_p - \varepsilon_q)t}$$

Also

$$\langle a_p^* a_q \Phi | a_k^* a_k | \Phi \rangle = \langle \Phi | a_q^* a_p a_k^* a_k | \Phi \rangle$$

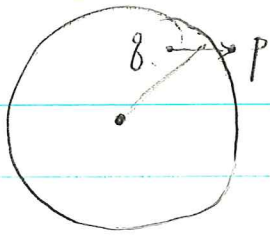
$$= \begin{cases} 1 & \text{if } p=k' \text{ and } q=k \\ 0 & \text{otherwise} \end{cases}$$

Thus the change in density due to the change  $\Phi$  to  $\Phi + \varepsilon a_p^* a_q \Phi$  is

$$\delta \rho(x,t) = \frac{\varepsilon}{V} \left( e^{i(p-q)x - i(\varepsilon_p - \varepsilon_q)t} + e^{i(q-p)x - i(\varepsilon_q - \varepsilon_p)t} \right)$$

Here  $p$  is outside, and  $q$  is inside the Fermi sphere. If we require the wave-length of these density waves to be very long, i.e.  $p-q$  is small, then maybe it is possible to

relate  $\epsilon_p - \epsilon_q$  to  $|p - q|$ .



$$\epsilon_p - \epsilon_q = \frac{1}{2m}(p^2 - q^2) = \frac{1}{m} \frac{\vec{p} + \vec{q}}{2} \cdot (\vec{p} - \vec{q})$$

Now  $|\frac{\vec{p} + \vec{q}}{2}| \sim k_F$ , so if we require  $p, q$  to point in the same direction, we get

$$\epsilon_p - \epsilon_q = \frac{k_F}{m} |p - q|$$

and so the sound speed is  $\frac{k_F}{m}$ . However I don't see how to eliminate the pairs where    $\vec{p} - \vec{q}$  points in a different direction from  $\vec{p}$ . Probably what's happening is that we have a stationary phase situation in the expression

$$\rho(x,t) = \frac{1}{V} \sum_{k', k} e^{i(k-k')x - i(\epsilon_k - \epsilon_{k'})t} \langle \Phi | a_{k'}^* a_k | \Phi \rangle$$

$$f = (k - k')x - (\epsilon_k - \epsilon_{k'})t$$

$$\nabla_k f = x - \frac{k}{m}t = 0$$

$$\nabla_{k'} f = x - \frac{k'}{m}t = 0$$

$$\implies k = k' \quad ?$$

This doesn't seem to work. However if we  use

$$\Phi = \bar{\Phi} + \epsilon \sum_{p, q} f(p, q) a_p^* a_q \Phi$$

then

$$\delta \rho(x,t) = \sum_{p, q} \frac{\epsilon f(p, q)}{V} e^{i[(p-q)x - (\epsilon_p - \epsilon_q)t]} + c.c.$$

Maybe then stationarity forces  $\vec{p} - \vec{q}$  in the direction of  $p$ .

April 20, 1980

745

Another calculation of the sound speed in a fermi gas at  $T=0$  temperatures can be done by using  $c = \sqrt{\frac{\delta p_0}{\delta \rho_0}}$  together with the gas laws. These are obtained as follows. ~~□~~ The partition function is

$$Z_{gr} = \prod_{\mathbf{k} \in \frac{2\pi}{L}\mathbb{Z}^3} (1 + z e^{-\beta \epsilon_{\mathbf{k}}})$$

$$\epsilon_{\mathbf{k}} = \frac{\hbar^2 k^2}{2m}, \quad V = L^3$$

$$\log Z_{gr} = \sum_{\mathbf{k}} \log(1 + z e^{-\beta \epsilon_{\mathbf{k}}})$$

$$p = \frac{1}{\beta} \frac{\partial}{\partial V} \log Z_{gr} = \sum_{\mathbf{k}} \frac{z e^{-\beta \epsilon_{\mathbf{k}}}}{1 + z e^{-\beta \epsilon_{\mathbf{k}}}} \underbrace{- \frac{\partial \epsilon_{\mathbf{k}}}{\partial V}}_{\frac{2}{3} \frac{\epsilon_{\mathbf{k}}}{V}}$$

Recall  $\epsilon_{\mathbf{k}}(V) = V^{-2/3} \epsilon_{\mathbf{k}}(1)$

or  $p \approx \frac{2}{3} \int \frac{d^3 k}{(2\pi)^3} \frac{z e^{-\beta \epsilon_{\mathbf{k}}}}{1 + z e^{-\beta \epsilon_{\mathbf{k}}}} \epsilon_{\mathbf{k}} = \frac{2}{3} \frac{U}{V}$

$U =$  internal energy.

~~□~~ Also

$$N = z \frac{\partial}{\partial z} \log Z_{gr} = \sum_{\mathbf{k}} \frac{z e^{-\beta \epsilon_{\mathbf{k}}}}{1 + z e^{-\beta \epsilon_{\mathbf{k}}}}$$

$$n = \frac{N}{V} \rightarrow \int \frac{d^3 k}{(2\pi)^3} \frac{z e^{-\beta \epsilon_{\mathbf{k}}}}{1 + z e^{-\beta \epsilon_{\mathbf{k}}}}$$

As  $\beta \rightarrow \infty$  we have with  $z = e^{\beta \epsilon_F}$

$$n = \int_{k < k_F} \frac{d^3 k}{(2\pi)^3} = \frac{4\pi}{(2\pi)^3} \frac{k_F^3}{3}$$

particle density

$$p = \frac{2}{3} \int_{k < k_F} \epsilon_{\mathbf{k}} \frac{d^3 k}{(2\pi)^3} = \frac{2}{3} \frac{4\pi}{(2\pi)^3} \frac{1}{2m} \frac{k_F^5}{5}$$

pressure

hence the adiabatic relation is that

$$p = n^{5/3} \text{ const} \quad \text{or} \quad pV^{5/3} = \text{const.}$$

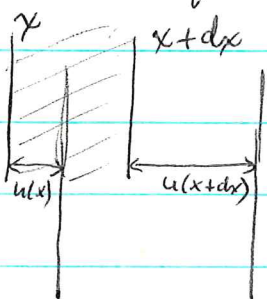
Thus  $\gamma = 5/3$ . Also

$$\frac{\gamma p_0}{\rho_0} = \frac{\frac{5}{3} \frac{1}{3} \frac{1}{5m} \frac{k_F^5}{5}}{m \cdot \frac{k_F^3}{3}} = \frac{k_F^2}{3m^2}$$

which gives a sound speed of  $\boxed{\frac{k_F}{\sqrt{3}m}}$ .

Actually from yesterday's work I know that ~~the~~ the density fluctuations of long wave-length come with many frequencies, so that maybe there isn't any well-defined sound speed. On the other hand it might be possible to single out among the density waves those which are longitudinal.

Plasma frequency - from Feynman lectures. Look at 1-diml motion in the x-direction, and let  $u(x)$  denote the displacement of the gas which at rest would be a position  $x$ .



The electron density of the slab between  $x$  and  $x+dx$  when displaced is found as follows. Let  $A$  denote the area of the tube.

Then  $n_0 A dx$  is the number of electrons in the slab. The new volume is  $(x+dx+u(x+dx) - (x+u(x))) A = (1+u'(x)) A dx$ .

The new electron density is

$$n = \frac{n_0 A dx}{(1+u') A dx} = n_0 (1-u')$$

assuming the displacement is small. We calculate the electric field using

$$\Delta \cdot E = 4\pi \rho = 4\pi (en - en_0)$$

↑  
fixed positive background

(One assumes the positive ions in a plasma don't move much)

Thus 
$$\frac{dE}{dx} = -4\pi en_0 \frac{du}{dx} \quad \text{or} \quad E = -4\pi en_0 u + C$$


where  $C=0$  because  $E=0$  when  $u=0$ . Then Newton's law gives

$$m \partial_t^2 u(x) = eE(x) = -4\pi e^2 n_0 u(x)$$

~~or~~ or 
$$\left( \partial_t^2 + \frac{4\pi e^2 n_0}{m} \right) u(x) = 0$$

which means that  $u(x)$  oscillates with frequency

$$\omega_p = \sqrt{\frac{4\pi e^2 n_0}{m}}$$

Note that these are oscillations, i.e. each  $u(x)$  moves independently. They are not waves where the motion of  $u(x)$  is propagated to the electrons nearby. A better way to say this perhaps is  that the frequency as a function of wave number is constant

$$\omega(k) = \omega_p$$

For sound waves we have

$$\omega(k) = c|k|$$



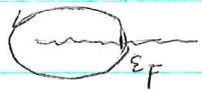
April 21, 1980

718

The problem is to understand the "effective potential" method for describing the interacting electron gas. We did this in the static case (see p. 729); let's review it.

We begin with a fermi gas of independent particles described by  $H_0 = \frac{p^2}{2m}$ . Then we <sup>take a</sup> small electric potential  $\phi(x)$  and compute the change  $\delta n(x)$  in particle density. We have

$$n(x) = \langle \Phi | \psi^*(x) \psi(x) | \Phi \rangle = \langle x | P^- | x \rangle$$
$$= \frac{1}{2\pi i} \int \langle x | \frac{1}{w-H} | x \rangle dw$$



hence

$$\delta n(x) = \frac{1}{2\pi i} \oint \langle x | \frac{1}{w-H_0} \underbrace{\delta H}_{e\phi(x)} \frac{1}{w-H_0} | x \rangle dw \quad \text{etc.}$$

Let's now consider a small time-dependent electric potential  $e\phi(x,t)$  and compute the linear response  $\delta n(x,t)$ .

~~Then we consider a small time-dependent electric potential~~

$$n(x,t) = \langle \Phi(t) | \psi^*(x) \psi(x) | \Phi(t) \rangle$$

where  $\Phi(t)$  satisfies Schrodinger equation for  $H = H_0 + e\phi$  on Fock space, and  $\Phi(t) \sim e^{-iH_0 t} \Phi$  as  $t \rightarrow -\infty$ . Thus

$$\Phi(t) = \underbrace{e^{-iH_0 t} \Phi}_{\Phi(t)} + \underbrace{\int_{-\infty}^t dt_1 e^{-iH_0(t-t_1)} \frac{1}{i} e\phi(t_1) e^{-iH_0 t_1} \Phi}_{\delta \Phi(t)}$$

to first order in  $e$ . Hence

$$\delta n(x,t) = \langle \Phi(t) | \psi^*(x) \psi(x) | \delta \Phi(t) \rangle + \text{c.c.}$$

$$= \langle \Phi | e^{iH_0 t} \psi^*(x) \psi(x) \int_{-\infty}^t dt_1 e^{-iH_0(t-t_1)} \frac{1}{i} e\phi(t_1) e^{-iH_0 t_1} | \Phi \rangle$$

+ c.c.

The operator  $\phi(t_1)$  on Fock space is

$$\int dx_1 \phi(x_1, t_1) \psi^*(x_1) \psi(x_1)$$

and so we obtain

$$\delta n(xt) = \int_{-\infty}^t dt_1 \int dx_1 \frac{1}{i} \langle \Phi | \psi^*(xt) \psi(xt) \psi^*(x_1, t_1) \psi(x_1, t_1) | \Phi \rangle e^{\varphi(x, t_1)} + c.c.$$

or finally

$$\delta n(xt) = \int dt_1 dx_1 K(xt, x_1, t_1) e^{\varphi(x_1, t_1)}$$

where

$$K(xt, x_1, t_1) = \frac{1}{i} \langle \Phi | [\hat{n}(xt), \hat{n}(x_1, t_1)] | \Phi \rangle \Theta(t - t_1)$$

and

$$\hat{n}(xt) = \psi^*(xt) \psi(xt)$$

Now we want to compute this retarded Green's function  $K$ .

Let's first use brute calculation:

$$\hat{n}(xt) = \sum_{k_1, k_2} \overline{u_{k_1}(x)} u_{k_2}(x) e^{+i(\epsilon_{k_1} - \epsilon_{k_2})t} a_{k_1}^* a_{k_2}$$

hence

$$K(xt, x_1, t_1) = \frac{1}{i} \sum_{k_1, k_2, k_3, k_4} \overline{u_{k_1}(x)} u_{k_2}(x) u_{k_3}(x_1) u_{k_4}(x_1) e^{i(\epsilon_{k_1} - \epsilon_{k_2})t + i(\epsilon_{k_3} - \epsilon_{k_4})t_1} \langle \Phi | [a_{k_1}^* a_{k_2}, a_{k_3}^* a_{k_4}] | \Phi \rangle \Theta(t - t_1)$$

Now

$$\begin{aligned} [a_{k_1}^* a_{k_2}, a_{k_3}^* a_{k_4}] &= [a_{k_1}^* a_{k_2}, a_{k_3}^*] a_{k_4} + a_{k_3}^* [a_{k_1}^* a_{k_2}, a_{k_4}] \\ &= a_{k_1}^* \delta_{k_2 k_3} a_{k_4} - a_{k_3}^* \delta_{k_1 k_4} a_{k_2} \end{aligned}$$

$$\langle [a_{k_1}^* a_{k_2}, a_{k_3}^* a_{k_4}] \rangle = \delta_{k_1 k_4} \delta_{k_2 k_3} \begin{cases} 1 & \text{if } \epsilon_{k_4} < 0 \text{ and } \epsilon_{k_2} > 0 \\ -1 & \text{if } \epsilon_{k_4} > 0 \text{ and } \epsilon_{k_2} < 0 \\ 0 & \text{otherwise.} \end{cases}$$

$$K(xt, x_1, t_1) = \frac{1}{i} \sum_{k_2, k_4} \overline{u_{k_4}(x)} u_{k_4}(x_1) u_{k_2}(x) \overline{u_{k_2}(x_1)} e^{-i(\epsilon_{k_2} - \epsilon_{k_4})(t-t_1)} \Theta(t-t_1)$$

$$\begin{cases} 1 & \epsilon_{k_4} < 0 & \epsilon_{k_2} > 0 \\ -1 & \epsilon_{k_4} > 0 & \epsilon_{k_2} < 0 \\ 0 & \text{otherwise} & \end{cases} \quad \Bigg/ \quad \begin{matrix} \text{This is} \\ n_{k_4} - n_{k_2} \end{matrix}$$

Let's now put it  $u_k(x) = \frac{e^{ikx}}{\sqrt{v}}$

$$K(xt, x_1, t_1) = \frac{1}{i} \sum_{k_2, k_4} \frac{1}{v^2} e^{-ik_4 x + ik_4 x_1 + ik_2 x - ik_2 x_1 - i(\epsilon_{k_2} - \epsilon_{k_4})(t-t_1)} \Theta(t-t_1) \times \begin{cases} 1 \\ -1 \\ 0 \end{cases}$$

Put  $q = k_2 - k_4$ ,  $k = k_4$

$$K(xt, x_1, t_1) = \sum_{q, k} \frac{1}{v^2} e^{iq(x-x_1) - i(\epsilon_{k+q} - \epsilon_k)(t-t_1)} \Theta(t-t_1) \times \begin{cases} 1 & \epsilon_{k+q} > 0, \epsilon_k < 0 \\ -1 & \epsilon_{k+q} < 0, \epsilon_k > 0 \\ 0 & \text{otherwise} \end{cases}$$

Next use  $\frac{1}{i} e^{-iat} \Theta(t) = \int \frac{d\omega}{2\pi} \frac{e^{-i\omega t}}{\omega - a + i0^+}$

so

$$K(xt, 0) = \frac{1}{v} \sum_q e^{-iqx} \int \frac{d\omega}{2\pi} e^{-i\omega t} \frac{1}{v} \sum_k \frac{1}{\omega - (\epsilon_{k+q} - \epsilon_k) + i0^+} \underbrace{\begin{cases} 1 & \epsilon_{k+q} > 0, \epsilon_k < 0 \\ -1 & \epsilon_{k+q} < 0, \epsilon_k > 0 \\ 0 & \text{otherwise} \end{cases}}_{n_k - n_{k+q}}$$