

Program: Understand density fluctuations in an electron gas by using an effective potential to be determined consistently.  
~~Suppose~~ Suppose  $\varphi(x,t)$  denotes the electric potential. We assume the density  $n(x,t)$  of the gas can be computed well enough by regarding the electrons as moving independently in the field  $\varphi$ . Then we get, if  $\varphi$  is small, and we work to first order:

$$\delta n(x,t) = \int P(x-x', t-t') e\varphi(x',t')$$

where  $P$  is a so-called <sup>retarded</sup> polarization propagator:

$$P(x-x', t-t') = \frac{1}{i} \langle [\hat{n}(x,t), \hat{n}(x',t')] \rangle \Theta(t-t') \quad \hat{n}(x) = \psi^\dagger(x)\psi(x)$$

Taking Fourier transform we get one relation

$$\delta n(q, \omega) = P(q, \omega) e\varphi(q, \omega)$$

The other relation comes from Maxwell's equations:

$$\begin{aligned} \nabla \cdot B &= 0 & \nabla \times E &= -\frac{\partial B}{\partial t} \\ \nabla \cdot E &= 4\pi\rho & c^2 \nabla \times B &= \frac{\partial E}{\partial t} + 4\pi\vec{j} \end{aligned} \quad \frac{\partial \rho}{\partial t} + \nabla \cdot \vec{j} = 0$$

which ~~after~~ after F.T. become

$$\begin{aligned} iq \cdot B &= 0 & iq \times E &= +i\omega B \\ iq \cdot E &= 4\pi\rho & c^2(iq \times B) &= -i\omega E + 4\pi\vec{j} \end{aligned}$$

I should have added

$$\nabla \times A = B \quad E = -\nabla\varphi - \frac{\partial A}{\partial t}$$

We assume  $A=0$  whence  $B=0$  and from

$$iq \times E = 0$$

we see that  $E$  is longitudinal. In fact

$$E = -\nabla\varphi = -iq\varphi$$

The current  $\vec{j}$  is exactly what's needed to ~~kill~~ kill the displacement current  $\frac{\partial E}{\partial t}$ .

Because of the positive background

$$j = e(n - n_0) = e \delta n$$

and so the other equation for  $\varphi$  and  $\delta n$  is  $-\Delta \varphi = 4\pi e \delta n$   
or

$$\boxed{\varrho^2 \varphi(\varrho \omega) = 4\pi e \delta n(\varrho \omega)}$$

$$= 4\pi e e P(\varrho \omega) \varphi(\varrho \omega)$$

leading to the condition

$$\boxed{1 = \frac{4\pi e^2}{\varrho^2} P(\varrho \omega)}$$

for density fluctuations.

Let now compute  $P(\varrho \omega)$ . Recall

$$\hat{n}(x) = \psi^*(x)\psi(x) = \sum_{k', k} \frac{1}{V} e^{i(-k'+k)x} a_{k'}^* a_k$$

$k' = k + \varrho$   
 $-k' + k = -\varrho$   
sign trouble

$$= \frac{1}{V} \sum_{\varrho} e^{i\varrho x} n_{\varrho} \quad n_{\varrho} = \sum_k a_{k+\varrho}^* a_k$$

$$P(x, t, x', t') = \frac{1}{i} \langle [\hat{n}(x, t), \hat{n}(x', t')] \rangle \Theta(t - t')$$

$$= \frac{1}{V^2} \sum_{\varrho, \varrho'} e^{i(\varrho x + \varrho' x')} \langle [\hat{n}_{\varrho}(t), \hat{n}_{\varrho'}(t')] \rangle \Theta(t - t') \frac{1}{i}$$

$$\sum_{k, k'} e^{i(\varepsilon_{k+\varrho} - \varepsilon_k)t + i(\varepsilon_{k'+\varrho'} - \varepsilon_{k'})t'} \Theta(t - t') \frac{1}{i}$$

$$\times \langle [a_{k+\varrho}^* a_k, a_{k'+\varrho'}^* a_{k'}] \rangle$$


$$\delta_{k+\varrho, k'} \delta_{k, k'+\varrho'} (n_{k+\varrho} - n_k) = \langle a_{k+\varrho}^* a_{k'} \rangle \delta_{k, k'+\varrho'} - \langle a_{k'+\varrho'}^* a_k \rangle \delta_{k+\varrho, k'}$$

Now  $k' = k + q$ ,  $k = k' + q' \Rightarrow q + q' = 0$  and

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$$P(xt, x't') = \frac{1}{V} \sum_{\mathbf{q}} e^{i\mathbf{q}(x-x')} \frac{1}{V} \sum_{\mathbf{k}} e^{i(\epsilon_{\mathbf{k}+\mathbf{q}} - \epsilon_{\mathbf{k}})(t-t')} \Theta(t-t') \frac{1}{i} (n_{\mathbf{k}+\mathbf{q}} - n_{\mathbf{k}})$$

Next use that  $\frac{1}{i} e^{-iat} \Theta(t) = \int \frac{d\omega}{2\pi} \frac{e^{-i\omega t}}{\omega - a + i0^+}$



and then

$$P(xt, x't') = \frac{1}{V} \sum_{\mathbf{q}} e^{i\mathbf{q}(x-x')} \int \frac{d\omega}{2\pi} e^{-i\omega t} \frac{1}{V} \sum_{\mathbf{k}} \frac{n_{\mathbf{k}+\mathbf{q}} - n_{\mathbf{k}}}{\omega + (\epsilon_{\mathbf{k}+\mathbf{q}} - \epsilon_{\mathbf{k}}) + i0^+}$$

Now let  $V \rightarrow \infty$  to get

$$P(xt, x't') = \int \frac{d^3\mathbf{q} d\omega}{(2\pi)^4} e^{i(\mathbf{q}x - \omega t)} \underbrace{\int \frac{d^3\mathbf{k}}{(2\pi)^3} \frac{n_{\mathbf{k}+\mathbf{q}} - n_{\mathbf{k}}}{\omega + (\epsilon_{\mathbf{k}+\mathbf{q}} - \epsilon_{\mathbf{k}}) + i0^+}}_{P(\mathbf{q}, \omega)}$$

Next use  $n_{\mathbf{k}+\mathbf{q}} - n_{\mathbf{k}} = n_{\mathbf{k}+\mathbf{q}}(1 - n_{\mathbf{k}}) - n_{\mathbf{k}}(1 - n_{\mathbf{k}+\mathbf{q}})$  and observe  $\mathbf{k} \rightarrow -\mathbf{k} - \mathbf{q}$

$$\begin{aligned} \int \frac{d^3\mathbf{k}}{(2\pi)^3} \frac{n_{\mathbf{k}}(1 - n_{\mathbf{k}+\mathbf{q}})}{\omega + (\epsilon_{\mathbf{k}+\mathbf{q}} - \epsilon_{\mathbf{k}}) + i0^+} &= \int \frac{d^3\mathbf{k}}{(2\pi)^3} \frac{n_{-\mathbf{k}-\mathbf{q}}(1 - n_{-\mathbf{k}})}{\omega + (\epsilon_{-\mathbf{k}} - \epsilon_{-\mathbf{k}-\mathbf{q}}) + i0^+} \\ &= \int \frac{d^3\mathbf{k}}{(2\pi)^3} \frac{n_{\mathbf{k}+\mathbf{q}}(1 - n_{\mathbf{k}})}{\omega - (\epsilon_{\mathbf{k}+\mathbf{q}} - \epsilon_{\mathbf{k}}) + i0^+} \end{aligned}$$

$$n_{\mathbf{k}} = \langle a_{\mathbf{k}}^\dagger a_{\mathbf{k}} \rangle = \begin{cases} 1 & |\mathbf{k}| < k_F \\ 0 & |\mathbf{k}| > k_F \end{cases}$$

Hence

$$\begin{aligned} P(\mathbf{q}, \omega) &= \int \frac{d^3\mathbf{k}}{(2\pi)^3} n_{\mathbf{k}+\mathbf{q}}(1 - n_{\mathbf{k}}) \left[ \frac{1}{\omega + (\epsilon_{\mathbf{k}+\mathbf{q}} - \epsilon_{\mathbf{k}}) + i0^+} - \frac{1}{\omega - (\epsilon_{\mathbf{k}+\mathbf{q}} - \epsilon_{\mathbf{k}}) + i0^+} \right] \\ &= \int \frac{d^3\mathbf{k}}{(2\pi)^3} \left[ \begin{array}{c} |k+\mathbf{q}| < k_F \\ |k| > k_F \end{array} \right] \end{aligned}$$

better

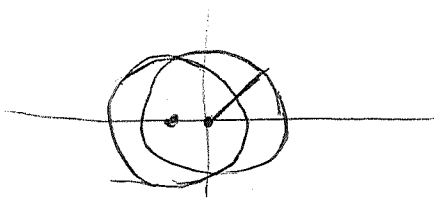
$$P(\mathbf{q}, \omega) = \int \frac{d^3\mathbf{k}}{(2\pi)^3} \left[ \frac{1}{\omega - (\epsilon_{\mathbf{k}+\mathbf{q}} - \epsilon_{\mathbf{k}}) + i0^+} - \frac{1}{\omega + (\epsilon_{\mathbf{k}+\mathbf{q}} - \epsilon_{\mathbf{k}}) + i0^+} \right]$$

$|k| < k_F$   
 $|k+\mathbf{q}| > k_F$

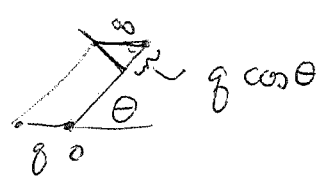
We want the asymptotic behavior of  $P(q, \omega)$  as  $q \rightarrow 0$ .

This will give an integral over a hemisphere.

$$\int \frac{d^3k}{(2\pi)^3} \frac{2(\epsilon_{q+k} - \epsilon_k)}{\omega^2}$$



I need the thickness of the shell



$$d^3k = 2\pi k_F^2 \sin \theta \frac{dr}{g \cos \theta} d\theta$$

Also  $\epsilon_{q+k} - \epsilon_k = \frac{1}{2m} (2q \cdot k + q^2) = \frac{1}{2m} (2q k_F \cos \theta)$ .

$$P(q, \omega) \approx \frac{1}{(2\pi)^3} \int_0^{\pi/2} 2\pi k_F^2 \sin \theta \frac{q \cos \theta}{g} d\theta \frac{1}{2m} \frac{2(q k_F \cos \theta)}{\omega^2}$$

$$= \frac{1}{(2\pi)^3} \frac{4\pi k_F^3 q^2}{m \omega^2} \int_0^{\pi/2} \cos^2 \theta \sin \theta d\theta$$

$$\left[ \frac{\cos^3 \theta}{3} \right]_0^{\pi/2} = \frac{1}{3}$$

$$P(q, \omega) \approx \underbrace{\frac{1}{(2\pi)^3} \frac{4\pi}{3} k_F^3}_{n_0} \frac{q^2}{m \omega^2}$$

$$P(q, \omega) \sim \frac{n_0 q^2}{m \omega^2}$$

as  $q \rightarrow 0$

So the equation  $1 = \frac{4\pi e^2}{g^2} P(q, \omega)$  becomes

$$1 = \frac{4\pi e^2}{g^2} \frac{n_0 q^2}{m \omega^2} \quad \text{or}$$

$$\omega^2 = \frac{4\pi e^2 n_0}{m} \quad \text{so} \quad \omega = \omega_{pe} \quad \text{the plasma frequency}$$

There's a simplification as follows:

$$P(q, \omega) = \int \frac{d^3k}{(2\pi)^3} \frac{n_{k+\delta} - n_k}{\omega + (\epsilon_{k+\delta} - \epsilon_k) + i0^+}$$

Take 
$$\int \frac{d^3k}{(2\pi)^3} \frac{n_{k+\delta}}{\omega + (\epsilon_{k+\delta} - \epsilon_k) + i0^+} = \int \frac{d^3k}{(2\pi)^3} \frac{n_{-k}}{\omega + (\epsilon_{-k} - \epsilon_{-k+\delta}) + i0^+}$$

giving

$$P(q, \omega) = \int \frac{d^3k}{(2\pi)^3} n_k \left[ \frac{1}{\omega - (\epsilon_{k+\delta} - \epsilon_k) + i0^+} - \frac{1}{\omega + (\epsilon_{k+\delta} - \epsilon_k) + i0^+} \right]$$

$$= \int \frac{d^3k}{(2\pi)^3} \left[ \begin{array}{c} \text{''} \\ |k| < k_F \end{array} \right]$$

which leads directly to the estimate

$$P(q, \omega) \approx \int \frac{d^3k}{(2\pi)^3} \frac{k(2k \cdot \delta + \delta^2)}{\omega^2 k m} = \frac{1}{(2\pi)^3} \frac{4}{3} \pi k_F^3 \frac{q^2}{m \omega^2}$$

Thus it seems simpler to integrate over the ~~disk~~ fermi disk rather than the shell.



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Have fun - do Bohr theory of hydrogen atom.

$Z > 0, e < 0$

$$L = \frac{m}{2} (\dot{r}^2 + r^2 \dot{\theta}^2) - \frac{Ze}{r}$$

$$P.E. = \frac{Ze}{r} < 0$$

$e = -Z$

$$p_{\theta} = \frac{\partial L}{\partial \dot{\theta}} = mr^2 \dot{\theta} \quad \text{angular momentum}$$

$$\dot{p}_{\theta} = \frac{\partial L}{\partial \theta} = 0 \quad \text{so } p_{\theta} = \text{constant}$$

quantization condition: action:  $\oint p_{\theta} d\theta = 2\pi p_{\theta} = nh \quad n=1, 2, \dots$

$$\text{so } p_{\theta} = n\hbar \quad n=1, 2, \dots$$

$$\text{Also } p_r = m\dot{r} \quad \dot{p}_r = \frac{\partial L}{\partial r} = m r \dot{\theta}^2 + \frac{Ze}{r^2} = 0 \quad \text{for circular orbit.}$$

$$\text{For circular orbit } K.E. = \frac{m}{2} r^2 \dot{\theta}^2 = \frac{1}{2} (m r \dot{\theta}^2) = -\frac{Ze}{2r} = -\frac{1}{2} P.E.$$

$$\text{and } E = K.E. + P.E. = \frac{1}{2} P.E. = \frac{Ze}{2r} \quad \text{Also}$$

$$m r \dot{\theta}^2 = -\frac{Ze}{r} \Rightarrow p_{\theta}^2 = m^2 r^4 \dot{\theta}^2 = m^2 r^3 \left(-\frac{Ze}{r^2}\right) = -\frac{mZe}{r}$$

$$\text{so } \frac{1}{r} = \frac{-mZe}{p_{\theta}^2}$$

and so for a circular orbit of angular momentum  $p_{\theta}$  we get

$$E = \frac{-mZ^2 e^2}{2p_{\theta}^2} = \frac{-me^4}{2\hbar^2 n^2} \quad \text{and } r = \frac{n^2 \hbar^2}{me^2}$$

For  $n=1$ , we get  $E_0 = \frac{me^4}{2\hbar^2}$  = the energy to remove the electron (this is called the Rydberg = 13.6 electron volts)

and  $r_0 = \frac{\hbar^2}{me^2}$  = Bohr radius ( $\approx .529$  Angstroms)

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Recall from p. 728 that TF approximation leads to the <sup>static</sup> linear response:

$$\delta n(x) = \underset{\uparrow}{\gamma} \cdot \varphi(x)$$

$$\frac{1}{(2\pi)^3} 4\pi m k_F (-e)$$

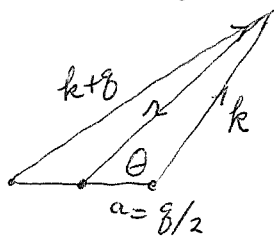
Here's an exact derivation of this approximation. We know the exact <sup>linear</sup> response is given on the F.T. level by

$$\delta n(\vec{q}) = P(\vec{q}, 0) e^{i\vec{q}\cdot\vec{r}} \varphi(\vec{q})$$

where

$$P(\vec{q}, 0) = \int_{\substack{|k| < k_F \\ |k+\vec{q}| > k_F}} \frac{d^3k}{(2\pi)^3} \frac{-2}{\epsilon_{k+\vec{q}} - \epsilon_k} \quad (\text{see p. 730})$$

So what I want to do is to compute  $P(\vec{q}, 0)$  for small  $\vec{q}$ . This is going to be like a dipole computation; we use spherical coordinates with center at  $\vec{q}/2$  and  $z$ -axis pointing in the  $\vec{q}$ -direction.



Then the sphere  $|k| = k_F$  and the sphere  $|k+\vec{q}| = k_F$  intersect when  $\theta = \pi/2$ .

We put

$$r_1 = |k| = \sqrt{r^2 + a^2 - 2ar \cos \theta}$$

$$r_2 = |k+\vec{q}| = \sqrt{r^2 + a^2 + 2ar \cos \theta}$$

Then

$$\epsilon_{k+\vec{q}} - \epsilon_k = \frac{1}{2m} (r_2^2 - r_1^2) = \frac{1}{2m} 4ar \cos \theta \quad \text{and so}$$

$$P(\vec{q}, 0) = \frac{-2 \cdot 2m}{(2\pi)^3} 2\pi \int_0^{\pi/2} \sin \theta d\theta \int_{r_2=k_F}^{r_1=k_F} r^2 dr \frac{1}{4ar \cos \theta}$$

$$\int_{r_2=k_F}^{r_1=k_F} r dr = \frac{r^2}{2} \Bigg|_{r^2+a^2-2ar\cos\theta=k_F^2}^{r^2+a^2+2ar\cos\theta=k_F^2}$$

For  $\frac{a}{k_F}$  small we can use the <sup>linear</sup> approximations

$$\begin{aligned} r_1 &= r - a \cos \theta & r &= k_F + a \cos \theta & \text{when } r_1 = k_F \\ r_2 &= r + a \cos \theta & r &= k_F - a \cos \theta & \text{when } r_2 = k_F \end{aligned}$$

to get the above integral =  $\frac{1}{2} [(k_F + a \cos \theta)^2 - (k_F - a \cos \theta)^2]$

$$= \blacksquare 2ak_F \cos \theta.$$

Thus

$$\begin{aligned} P(q, 0) &\approx \frac{-2m \cdot 4\pi}{(2\pi)^3} \underbrace{\int_0^{\pi/2} \sin \theta d\theta}_1 \frac{2ak_F \cos \theta}{4a \cos \theta} \\ &= -\frac{4\pi}{(2\pi)^3} mk_F \end{aligned}$$

leading to

$$\delta n(q) \approx \frac{4\pi}{(2\pi)^3} (-emk_F) \varphi(q)$$

for small  $q$  which is the TF approximation.

The exact evaluation of  $P(q, 0)$  can apparently be done as follows.

$$r^2 + a^2 - 2ar \cos \theta = k_F^2 \Rightarrow r^2 - 2ar \cos \theta + (a^2 - k_F^2) = 0$$

$$r = a \cos \theta \pm \sqrt{a^2 \cos^2 \theta - a^2 + k_F^2}$$

$$r^+ = a \cos \theta + \sqrt{k_F^2 - a^2 \sin^2 \theta}$$

$$r^- = -a \cos \theta + \sqrt{k_F^2 - a^2 \sin^2 \theta}$$

upper limit

lower limit

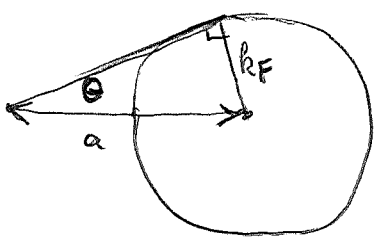
$$\frac{1}{2} (r^+)^2 - (r^-)^2 = 2a \cos \theta \sqrt{k_F^2 - a^2 \sin^2 \theta}$$

$$P(q, 0) = -\frac{4\pi}{(2\pi)^3} m \int_0^{\pi/2} \sin \theta \sqrt{k_F^2 - a^2 \sin^2 \theta} d\theta$$

valid for  
 $a \leq k_F$ .



and probably when  $a > k_F$  the same formula holds except that  $\theta$  goes from 0 to  $\sin^{-1}\left(\frac{k_F}{a}\right)$



Review calculation of  $P(q, \omega)$ : This describes density fluctuations in a non-interacting fermi gas:

$$\hat{n}(x) = \psi^*(x) \psi(x)$$

$$\psi(x) = \frac{1}{\sqrt{V}} \sum_k e^{ikx} a_k$$

$$\psi^*(x) = \frac{1}{\sqrt{V}} \sum_{k'} e^{-ik'x} a_{k'}^*$$

$$= \frac{1}{V} \sum_{k', k} e^{-ik'x + ikx} a_{k'}^* a_k = \frac{1}{V} \sum_{\delta} e^{-i\delta x} \sum_k a_{k+\delta}^* a_k$$

$$P(xt, x't') = \frac{1}{i} \langle [\hat{n}(xt), \hat{n}(x't')] \rangle \Theta(t-t')$$

$$= \frac{1}{V^2} \sum_{\substack{\delta', k' \\ \delta, k}} e^{-i\delta x - i\delta' x'} \langle [(a_{k+\delta}^* a_k)(t), (a_{k'+\delta'}^* a_{k'})(t')] \rangle \frac{1}{i} \Theta(t-t')$$

$$= \frac{1}{V^2} \sum_{\delta, \delta', k, k'} e^{-i\delta x - i\delta' x'} e^{i(\epsilon_{k+\delta} - \epsilon_k)t + i(\epsilon_{k'+\delta'} - \epsilon_{k'})t'} \langle [a_{k+\delta}^* a_k, a_{k'+\delta'}^* a_{k'}] \rangle \frac{1}{i} \Theta(t-t')$$

$$= \delta_{k+\delta, k'} \delta_{k, k'+\delta'} \left( \langle a_{k+\delta}^* a_{k+\delta} \rangle - \langle a_k^* a_k \rangle \right)$$

$$\begin{aligned} k' = k + \delta \\ k + \delta' = k \Rightarrow \delta' = -\delta \end{aligned}$$

$$= \frac{1}{V^2} \sum_{k, \delta} e^{i\delta(x-x')} - i(\epsilon_{k+\delta} - \epsilon_k)(t-t') (f_k - f_{k+\delta}) \frac{1}{i} \Theta(t-t')$$

$$P(xt, x't') = \frac{1}{V^2} \sum_{\delta, k} e^{i\delta(x-x')} - i(\epsilon_{k+\delta} - \epsilon_k)t (f_k - f_{k+\delta}) \frac{1}{i} \Theta(t-t')$$

$$= \frac{1}{V} \sum_{\delta} e^{i\delta(x-x')} \int \frac{d\omega}{2\pi} e^{-i\omega(t-t')} \left\{ \frac{1}{V} \sum_k \frac{f_k - f_{k+\delta}}{\omega - (\epsilon_{k+\delta} - \epsilon_k) + i0^+} \right\}$$

$P(q, \omega)$

Using  $f_k - f_{k+\delta} = f_k(1-f_{k+\delta}) - f_{k+\delta}(1-f_k)$  we can get

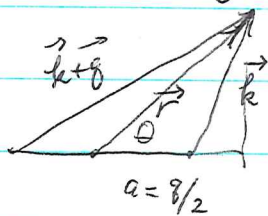
$$P(q, \omega) = \frac{1}{V} \sum_k f_k(1-f_{k+\delta}) \left\{ \frac{1}{\omega - (\epsilon_{k+\delta} - \epsilon_k) + i0^+} - \frac{1}{\omega + (\epsilon_{k+\delta} - \epsilon_k) + i0^+} \right\}$$

and finally letting  $V \rightarrow \infty$  we get

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$$P(q, \omega) = \int_{\substack{|k| < k_F \\ |k+q| > k_F}} \frac{d^3k}{(2\pi)^3} \left\{ \frac{1}{\omega - (\epsilon_{k+q} - \epsilon_k) + i0^+} - \frac{1}{\omega + (\epsilon_{k+q} - \epsilon_k) + i0^+} \right\}$$

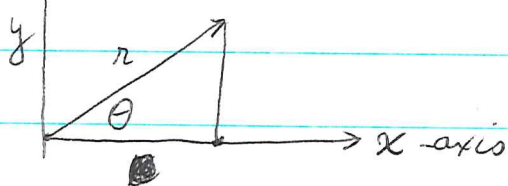
To evaluate this integral we use ~~Cartesian~~ <sup>spherical</sup> coords. with axis in the  $\vec{q}$ -direction and origin in the center of  $q$ .



Perhaps cylindrical coordinates are even better. I want

$$\begin{aligned} \epsilon_{k+q} - \epsilon_k &= \frac{1}{2m} \left[ \left( r + \frac{q}{2} \right)^2 - \left( r - \frac{q}{2} \right)^2 \right] \\ &= \frac{1}{2m} 2\vec{r} \cdot \vec{q} = \frac{1}{m} r q \cos \theta \end{aligned}$$

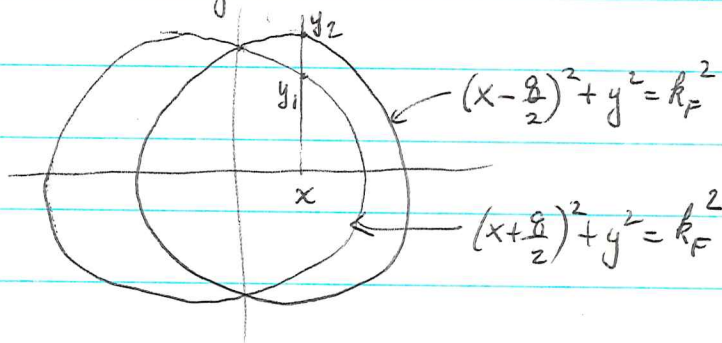
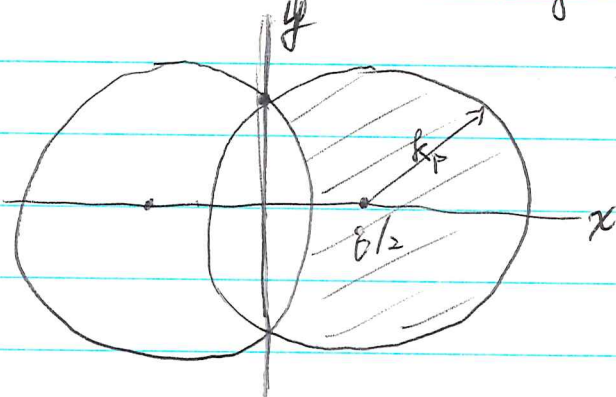
Thus you want to use coordinates  $x$  (instead of  $z$ )  
 $y$  = distance from  $\vec{q}$  axis



So  $d^3k = 2\pi y dy dx$

I need limits for the region:

Actually we want  $k_F \gg q$  so the region should be drawn



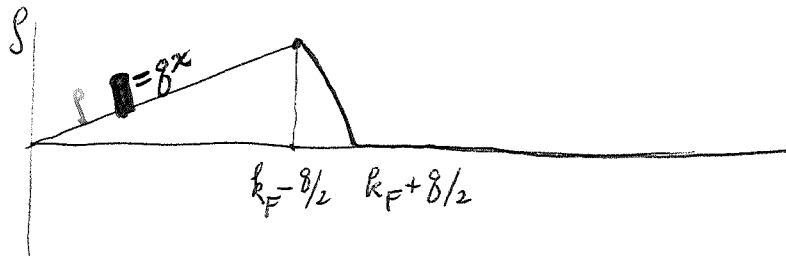
When we do  $\int_{y_1}^{y_2} y dy = \frac{1}{2}(y_2^2 - y_1^2) = \frac{1}{2} \left( (k_F^2 - (x - \frac{q}{2})^2) - (k_F^2 - (x + \frac{q}{2})^2) \right)$

$$= \begin{cases} qx & \text{valid for } 0 \leq x \leq k_F - \frac{q}{2} \\ \frac{1}{2}(k_F^2 - (x - \frac{q}{2})^2) & k_F - \frac{q}{2} \leq x \leq k_F + \frac{q}{2} \\ 0 & x \geq k_F + \frac{q}{2} \end{cases}$$


so we get

$$P(\varrho, \omega) = \frac{1}{(2\pi)^3} \int 2\pi \delta x \left( \int_{y_1(x)}^{y_2(x)} y dy \right) \left( \frac{1}{\omega - \frac{\varrho}{m} x + i0^+} - \frac{1}{\omega + \frac{\varrho}{m} x + i0^+} \right)$$
$$= \frac{1}{(2\pi)^2} \int_0^\infty dx \rho(x) \left( \frac{1}{\omega - \frac{\varrho}{m} x + i0^+} - \frac{1}{\omega + \frac{\varrho}{m} x + i0^+} \right)$$

where  $\rho(x) = \int_{y_1(x)}^{y_2(x)} y dy$  has the picture



May 3, 1980.

Recall that we computed the speed of sound waves in a fermi gas  classically and got

$$c = \sqrt{\frac{p_0 \gamma}{m n_0}} = \frac{1}{\sqrt{3}} \frac{\hbar k_F}{m}$$

Let's do the computation in dimension  $d$ . One has

$$n_0 = \frac{N}{V} = \int_{|k| < k_F} \frac{d^d k}{(2\pi)^d} = \frac{\text{vol}(S^{d-1})}{(2\pi)^d} \frac{k_F^d}{d}$$

$E_0 = \text{ground energy}$

$$E_0 = \frac{E_0}{V} = \int_{|k| < k_F} \frac{d^d k}{(2\pi)^d} \frac{\epsilon_k}{\frac{\hbar^2 k^2}{2m}} = \frac{\text{vol}(S^{d-1})}{(2\pi)^d} \frac{\hbar^2}{2m} \frac{k_F^{d+2}}{d+2}$$

$$\therefore \frac{\epsilon_0}{n_0} = \frac{E_0}{N} = \frac{\hbar^2}{2m} k_F^2 \frac{d}{d+2} = \frac{d}{d+2} \epsilon_F$$

To compute pressure one computes  $-\frac{\partial}{\partial V} E_0$  as  $N$  is held fixed. The number of energy levels doesn't change, but  $\epsilon_k$  varies as  $L^{-2}$  where  $V = L^d$  so  $\epsilon_k \sim V^{-2/d}$  and so  $E_0 \sim V^{-2/d}$ . Another way to see this is that for fixed  $N$ ,

$$V \sim k_F^{-d} \quad E_0 \sim V k_F^{d+2} \sim V^{1/d} V^{-\frac{d+2}{d}} = V^{-2/d}$$

Thus

$$p = -\frac{\partial}{\partial V} E_0 = \frac{2}{d} \frac{E_0}{V} \quad \text{or} \quad pV = \frac{2}{d} E_0$$

$$\boxed{p = \frac{2}{d} \frac{E_0}{V}}$$

Equation of states gives  $p \sim k_F^{d+2} \sim n_0^{\frac{d+2}{d}}$  so  $\gamma = \frac{d+2}{d}$  ( $= \frac{5}{3}$  when  $d=3$ ). Finally

$$c^2 = \frac{p_0 \gamma}{m n_0} = \frac{\frac{2}{d} \frac{E_0}{V} \frac{d+2}{d}}{m \frac{N}{V}} = \frac{2}{d m} \frac{d}{d+2} \frac{\epsilon_F}{\frac{\hbar^2}{2m} \frac{d+2}{d}} = \frac{\hbar^2 k_F^2}{d m^2}$$

yielding

$$c = \frac{\hbar k_F}{\sqrt{d} m}$$

speed of sound in a d-dim.  
fermi gas

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One of the things I would like to do is to reconcile this classical calculation with the exact quantum calculation of density fluctuations. Let recall what these look like. Let  $\Phi$  be the ground state of the gas and let  $\Psi$  be a state nearby. We suppose  $\Psi$  has the form

$$\Psi = \Phi + \sum_{\substack{|k| < k_F \\ |l| > k_F}} A(l, k) a_l^* a_k \Phi$$

(Somehow this is what seems to be a first order variation of  $\Phi$ . Notice it is a variation tangent to the decomposable vectors in  $\Lambda^N \mathcal{H}$ , not a general tangent vector to  $\Phi$  in  $\Lambda^N$ .)

When the gas is in the state  $\Phi$  at  $t=0$ , the density change at  $x, t$  is given by

$$\begin{aligned} \delta n(x, t) &= \langle \Psi | \hat{n}(x, t) | \Psi \rangle - \langle \Phi | \hat{n}(x, t) | \Phi \rangle = \langle \Psi | \hat{n}(x, t) | \Psi - \Phi \rangle + \text{c.c.} \\ &= \frac{1}{V} \sum_{\substack{g, g' \\ l, k}} e^{-ig'x + igx} \langle \Phi | a_{g'}^*(t) a_g(t) a_l^* a_k | \Phi \rangle A(l, k) + \text{c.c.} \\ &= \frac{1}{V} \sum_{g, g'} e^{i(g-g')x - i(\epsilon_g - \epsilon_{g'})t} A(l, k) \underbrace{\langle \Phi | a_{g'}^* a_g a_l^* a_k | \Phi \rangle}_{\text{non-zero only for } g=l, g'=k} + \text{c.c.} \\ &= \frac{1}{V} \sum_{\substack{|l| > k_F \\ |k| < k_F}} e^{i(l-k)x - i(\epsilon_l - \epsilon_k)t} A(l, k) + \text{c.c.} \end{aligned}$$



What this says is that the density fluctuation  $\delta n(x,t)$  is made up out of waves

$$e^{i(l-k)x - i(\epsilon_l - \epsilon_k)t}$$

where  $|l| > k_F$  and  $|k| < k_F$ . Now we have seen that such waves don't have a dispersion relation giving the frequency  $\epsilon_l - \epsilon_k$  as a function of the wave number  $|l-k|$ , so the question is how to get the speed  $c = \frac{1}{v_d} \frac{\hbar k_F}{m}$ . A possible idea is to let  $\hbar \rightarrow \infty$ , keeping the fermi velocity  $\frac{\hbar k_F}{m}$  constant, and to do a stationary phase calculation.

It is first necessary to put in  $\hbar$  in the preceding density fluctuation calculation. simply replace time-dependence  $\epsilon_l t \mapsto \epsilon_l t / \hbar$ . since

$$\epsilon_{q+k/2} - \epsilon_{q-k/2} = \frac{\hbar^2}{2m} (2q \cdot k)$$

we have that the part of  $\delta n(x,t)$  with wave number  $q$  is

~~$$e^{iqx} \int e^{-i \frac{\hbar}{m} k q t} A(k+\delta/2, k-\delta/2)$$~~

$$e^{iqx} \int_{\substack{|k+\delta/2| > k_F \\ |k-\delta/2| < k_F}} e^{-i \frac{\hbar}{m} k q t} A(k+\delta/2, k-\delta/2)$$

which we can rescale to

$$e^{iqx} \int e^{-i q \cdot \frac{\hbar}{k_F} \left( \frac{\hbar k_F}{m} \right) t} A\left(k_F \left( \frac{k}{k_F} + \frac{\delta}{2k_F} \right), k_F \left( \frac{k}{k_F} - \frac{\delta}{2k_F} \right)\right)$$

$v_F = \text{Fermi velocity}$

$$\left| \frac{k}{k_F} - \frac{\delta}{2k_F} \right| < 1$$

$$\left| \frac{k}{k_F} + \frac{\delta}{2k_F} \right| > 1$$

Unfortunately as  $\hbar \rightarrow 0$  and  $k_F \rightarrow \infty$ , the exponent doesn't change, so we can't do stationary phase.

Summary: I still haven't found a way to explain

or explain away sound waves of speed  $c = \frac{1}{\sqrt{3}} v_F$  in a Fermi gas. Stationary phase doesn't work.

Possibly, <sup>the</sup> density fluctuations you consider involve transverse waves as well as longitudinal waves and these account for the different frequencies. Maybe a fermi gas resembles an ~~infinite~~ elastic solid.

May 7, 1980

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Specific heat of the fermi gas. Recall as  $V \rightarrow \infty$

$$\frac{N}{V} = \int \frac{d^3k}{(2\pi)^3} f_k \quad \frac{E}{V} = \int \frac{d^3k}{(2\pi)^3} \epsilon_k f_k$$

where  $f_k = \frac{e^{\beta(\mu - \epsilon_k)}}{1 + e^{\beta(\mu - \epsilon_k)}}$  is the fermi function.

Since  $d^3k = 4\pi k^2 dk$  in the above integrals, we want to evaluate

$$(*) \quad \int_0^{\infty} \epsilon^n d\epsilon \underbrace{\frac{e^{\beta(\mu - \epsilon)}}{1 + e^{\beta(\mu - \epsilon)}}}_{\text{for large } \beta}$$

Here  $\mu > 0$  and the fermi function has graph



so if one integrates by parts

$$\int_0^{\infty} \frac{\epsilon^{n+1}}{n+1} \left\{ \frac{d}{d\epsilon} \left( \frac{e^{\beta(\mu - \epsilon)}}{1 + e^{\beta(\mu - \epsilon)}} \right) \right\} d\epsilon$$

The function in brackets approaches a  $\delta$ -function as  $\beta \rightarrow \infty$ . Hence the integral (\*) should have an asymptotic expansion in powers of  $\frac{1}{\beta}$  involving derivatives of  $\epsilon^n$  at  $\mu$ .

Consider

$$\int_{-\infty}^{\infty} g(\epsilon) \frac{e^{-\beta\epsilon}}{1 + e^{-\beta\epsilon}} d\epsilon$$

where  $g$  vanishes for  $\epsilon \ll 0$  and doesn't grow too fast as  $\epsilon \rightarrow \infty$ .

Then

$$\int_{-\infty}^{\infty} g(\epsilon) \frac{e^{-\beta\epsilon}}{1 + e^{-\beta\epsilon}} d\epsilon = \int_{-\infty}^0 g(\epsilon) \left[ \frac{-1}{1 + e^{-\beta\epsilon}} + 1 \right] d\epsilon$$

$$= \int_{-\infty}^0 g(\epsilon) d\epsilon + \int_{+\infty}^0 g(-\epsilon) \frac{+d\epsilon}{1 + e^{+\beta\epsilon}} = \int_{-\infty}^0 g(\epsilon) d\epsilon - \int_0^{\infty} g(\epsilon) \frac{e^{-\beta\epsilon}}{1 + e^{-\beta\epsilon}} d\epsilon$$



so we have

$$\int_{-\infty}^{\infty} g(\varepsilon) \frac{e^{-\beta\varepsilon}}{1+e^{-\beta\varepsilon}} d\varepsilon = \int_{-\infty}^0 g(\varepsilon) d\varepsilon + \int_0^{\infty} [g(\varepsilon) - g(-\varepsilon)] \frac{e^{-\beta\varepsilon}}{1+e^{-\beta\varepsilon}} d\varepsilon$$

$$= \int_{-\infty}^0 g(\varepsilon) d\varepsilon + \sum_{n=0}^{\infty} \frac{g^{(n)}(0) - (-1)^n g^{(n)}(0)}{n!} \int_0^{\infty} \varepsilon^{n+1} [e^{-\beta\varepsilon} - e^{-2\beta\varepsilon} + \dots] \frac{d\varepsilon}{\varepsilon}$$

$$\frac{\Gamma(n+1)}{\beta^{n+1}} \left[ 1 - \frac{1}{2^{n+1}} + \frac{1}{3^{n+1}} - \dots \right]$$

$$\int_{-\infty}^{\infty} g(\varepsilon) \frac{e^{-\beta\varepsilon}}{1+e^{-\beta\varepsilon}} d\varepsilon = \int_{-\infty}^0 g(\varepsilon) d\varepsilon + \frac{2g'(0)}{\beta^2} \left( 1 - \frac{1}{2^2} + \frac{1}{3^2} - \dots \right)$$

$$+ \frac{2g'''(0)}{\beta^4} \left( 1 - \frac{1}{2^4} + \frac{1}{3^4} - \dots \right) + \dots$$

$$\frac{N}{V} = \frac{4\pi}{(2\pi)^3} \int k^2 dk f_k$$

$$\varepsilon = \frac{\hbar^2 k^2}{2m} \quad k = (2m\varepsilon)^{1/2}$$

$$d\varepsilon = \frac{\hbar^2 k dk}{m}$$

$$\int_0^{\infty} k^{n+1} dk f_k = m \int k^n \frac{\hbar^2 k dk}{m} f_k = m (2m)^{n/2} \int_0^{\infty} \varepsilon^{n/2} \frac{e^{\beta(\mu-\varepsilon)}}{1+e^{\beta(\mu-\varepsilon)}} d\varepsilon$$

$$= m (2m)^{n/2} \left\{ \frac{\mu^{n/2+1}}{\frac{n}{2}+1} + \frac{2}{\beta^2} \frac{n}{2} \mu^{n/2-1} \left( 1 - \frac{1}{2^2} + \frac{1}{3^2} - \dots \right) + \dots \right\}$$

Here  $g(\varepsilon) = (\mu+\varepsilon)^{n/2}$   $g'(0) = \frac{n}{2} \mu^{n/2-1}$ . Recall

$$\frac{\pi^2}{6} = 1 + \frac{1}{2^2} + \frac{1}{3^2} + \dots$$

$$\frac{\pi^2}{8} = 1 + \frac{1}{3^2} + \frac{1}{5^2} + \dots$$

$$2 \frac{1}{4} \frac{\pi^2}{6} = 2 \left( \frac{1}{2^2} + \frac{1}{4^2} + \frac{1}{6^2} + \dots \right)$$

$$\frac{\pi^2}{24} = \frac{1}{2^2} + \frac{1}{4^2} + \dots$$

$$\frac{\pi^2}{12} = 1 - \frac{1}{2^2} + \frac{1}{3^2} - \frac{1}{4^2} + \dots$$

$$\pi^2 \left( \frac{1}{8} - \frac{1}{24} \right) = 1 - \frac{1}{2^2} + \frac{1}{3^2} - \dots$$

So therefore

$$\int_0^{\infty} k^{n+1} dk f_k = m(2m)^{n/2} \left\{ \frac{\mu^{n/2+1}}{\frac{n}{2}+1} + \frac{\pi^2}{\beta^2} \frac{1}{2} \mu^{n/2} \frac{\pi^2}{12} + O\left(\frac{1}{\beta^4}\right) \right\}$$

$$\frac{N}{V} = \frac{4\pi}{(2\pi)^3} \int_0^{\infty} k^2 dk f_k = \frac{4\pi}{(2\pi)^3} m(2m)^{1/2} \left\{ \frac{2}{3} \mu^{3/2} + \frac{\pi^2}{12} \mu^{-1/2} \frac{1}{\beta^2} + O\left(\frac{1}{\beta^2}\right) \right\}$$

$$\frac{E}{V} = \frac{4\pi}{(2\pi)^3} \int_0^{\infty} k^2 dk \frac{k^2}{2m} f_k = \frac{4\pi}{(2\pi)^3} \frac{m}{2m} (2m)^{3/2} \left\{ \frac{2}{5} \mu^{5/2} + \frac{\pi^2}{12} 3\mu^{1/2} \frac{1}{\beta^2} + O\left(\frac{1}{\beta^2}\right) \right\}$$

To compute the specific heat <sup>at constant V</sup> we let  $T, \mu$  vary so that  $N$  doesn't change.

$$\text{const } d\left(\frac{N}{V}\right) = \left( \mu^{1/2} - \frac{1}{2} \frac{\pi^2}{12} \mu^{-3/2} K^2 T^2 \right) d\mu + \left( \frac{\pi^2}{12} \mu^{-1/2} K^2 2T \right) dT = 0$$

$$\text{or } \frac{d\mu}{dT} = - \frac{\frac{\pi^2}{12} \mu^{-1/2} K^2 2T}{\mu^{1/2} - \frac{1}{2} \frac{\pi^2}{12} \mu^{-3/2} K^2 T^2} = - \left( \frac{\pi^2}{12} \right) 2 K^2 \frac{T}{\mu} + O(T^3)$$

Then the specific heat per unit volume is

$$\begin{aligned} \frac{d}{dT} \left( \frac{E}{V} \right) &= \frac{4\pi}{(2\pi)^3} m \sqrt{2m} \left\{ \left( \mu^{3/2} + \frac{\pi^2}{12} \frac{1}{2} 3\mu^{-1/2} K^2 T^2 \right) \frac{d\mu}{dT} + \frac{\pi^2}{12} 3\mu^{1/2} K^2 2T \right\} + O(T^3) \\ &= \frac{4\pi}{(2\pi)^3} m \sqrt{2m} \left\{ 6 \frac{\pi^2}{12} K^2 \mu^{1/2} T - 2 \frac{\pi^2}{12} K^2 \mu^{1/2} T + O(T^3) \right\} \end{aligned}$$

$$\text{specific heat} = \frac{4\pi}{(2\pi)^3} m \sqrt{2m} \frac{3 \cdot 4}{2} \frac{\pi^2}{12} K^2 \frac{2}{3} \mu^{3/2} \frac{T}{\mu} + O(T^3)$$

It's probably better to write this in terms of  $N/V$ . Notice that  $\mu(T) = \mu_0 + O(T^2)$ . So we get

$$\frac{d}{dT} \left( \frac{E}{V} \right) = \frac{N}{V} \left( \frac{\pi^2}{2} K^2 \right) \frac{T}{\mu_0} + O(T^3)$$



Sackur-Tetrode formula: First review entropy for the grand canonical ensemble.

$$Z_{gr} = \sum_{\alpha} e^{-\beta(E_{\alpha} - \mu N_{\alpha})} \quad \text{function of } \beta, V, \mu.$$

$$U = -\frac{\partial}{\partial \beta} \log Z_{gr} = \sum (E_{\alpha} - \mu N_{\alpha}) \text{prob}_{\alpha} = E - \mu N.$$

$$P = \left\langle -\frac{\partial E_{\alpha}}{\partial V} \right\rangle = \frac{1}{\beta} \frac{\partial}{\partial V} \log Z_{gr}$$

$$N = \langle N_{\alpha} \rangle = \frac{1}{\beta} \frac{\partial}{\partial \mu} \log Z_{gr}$$

Now if system changes slightly the internal energy change  $dU$  is a sum

$$dU = \underbrace{dQ}_{\text{heat in}} - \underbrace{pdV}_{\text{work done}} - \underbrace{Nd\mu}_{\substack{\text{like changing} \\ \text{potential energy } d\mu \\ \text{for each particle}}}$$

or  $dQ = dU + pdV + Nd\mu$

Thus

$$\begin{aligned} \beta dQ &= \beta dU + \underbrace{\beta pdV + \beta Nd\mu}_{\frac{\partial}{\partial V}(\log Z_{gr}) dV + \frac{\partial}{\partial \mu}(\log Z_{gr}) d\mu} \\ &= \beta dU + d(\log Z_{gr}) - \underbrace{\frac{\partial}{\partial \beta}(\log Z_{gr}) d\beta}_{U d\beta} \\ &= d(\beta U + \log Z_{gr}) \end{aligned}$$

Thus if  $\boxed{\frac{S}{k} = \beta U + \log Z_{gr}}$  we have  $\frac{dQ}{T} = dS$

Technically,  $S$  is defined this way up to an additive constant, but the third law wants  $S$  to be zero at absolute zero. Actually for a quantum system we have an exact probabilistic formula for  $S$

$$\frac{S}{k} = -\sum_{\alpha} p_{\alpha} \log p_{\alpha} = \langle \beta(E_{\alpha} - \mu N_{\alpha}) + \log Z \rangle = \beta U + \log Z.$$



so really is defined absolutely by this formula.

Next take ~~the~~ the fermi gas. Better let's first consider an ideal monatomic gas with grand partition function computed in the standard way

$$Z_{gr} = \sum_{N=0}^{\infty} z^N \frac{1}{N!} (Z_1)^N \quad z = e^{\beta\mu}$$

(somehow dividing by  $N!$  is a quantum effect).

$$Z_1 = \int e^{-\beta \frac{p^2}{2m}} \frac{d^3p d^3x}{(2\pi\hbar)^3} = V \left( \frac{m}{\beta 2\pi\hbar^2} \right)^{3/2}$$

so

$$\log Z_{gr} = z Z_1 = e^{\beta\mu} V \left( \frac{m}{\beta 2\pi\hbar^2} \right)^{3/2}$$

~~$$U = -\frac{\partial}{\partial \beta} \log Z_{gr} = E = \mu N$$~~

$$N = \frac{1}{\beta} \frac{\partial}{\partial \mu} \log Z_{gr} = \log Z_{gr} \quad e^{\beta\mu} = \frac{N}{V} \left( \frac{2\pi\hbar^2 \beta}{m} \right)^{3/2}$$

$$P = \frac{1}{\beta} \frac{\partial}{\partial V} \log Z_{gr} = \frac{1}{\beta} \frac{\log Z_{gr}}{V} = \frac{N}{\beta V} \quad \therefore pV = NkT.$$

$$U = -\frac{\partial}{\partial \beta} \log Z_{gr} = -\mu N + \frac{3}{2} \frac{N}{\beta}$$

Thus

$$\frac{S}{k} = \beta U + \log Z_{gr} = \frac{3}{2} N - \beta \mu N + N = N \left( \frac{5}{2} - \beta \mu \right)$$

so

$$\begin{aligned} S &= kN \left( \frac{5}{2} - \log \frac{N}{V} \left( \frac{2\pi\hbar^2 \beta}{m} \right)^{3/2} \right) \\ &= Nk \left( \frac{5}{2} + \log \frac{V}{N} \left( \frac{2\pi mkT}{h^2} \right)^{3/2} \right) \end{aligned}$$

which is the Sackur-Tetrode formula.

Next take the fermi gas

$$\log Z_{gr} = \sum_{\mathbf{k}} \log(1 + e^{\beta(\mu - \epsilon_{\mathbf{k}})}) \approx V \int \frac{d^3k}{(2\pi)^3} \log(1 + e^{\beta(\mu - \epsilon_{\mathbf{k}})})$$

$$N = \frac{1}{\beta} \frac{\partial}{\partial \mu} \log Z_{gr} \doteq V \int \frac{d^3k}{(2\pi)^3} \frac{ze^{-\beta \epsilon_k}}{1 + ze^{-\beta \epsilon_k}}$$

$$P = \frac{1}{\beta} \frac{\partial}{\partial V} \log Z_{gr} = \sum_k \underbrace{\left( -\frac{\partial}{\partial V} \epsilon_k \right)}_{\frac{2}{3} \frac{\epsilon_k}{V}} \frac{ze^{-\beta \epsilon_k}}{1 + ze^{-\beta \epsilon_k}} \doteq \frac{2}{3} \underbrace{\int \frac{d^3k}{(2\pi)^3} \epsilon_k \frac{ze^{-\beta \epsilon_k}}{1 + ze^{-\beta \epsilon_k}}}_{E/V}$$

Suppose that  $\mu < 0$ , i.e. that  $z < 1$ . Then we can use the geometric series to get

$$\begin{aligned} \frac{N}{V} &\doteq \frac{4\pi}{(2\pi)^3} \int_0^\infty k^2 dk \left( ze^{-\frac{\beta \hbar^2}{2m} k^2} - z^2 e^{-2\frac{\beta \hbar^2}{2m} k^2} + \dots \right) \\ &\frac{4\pi}{(2\pi)^3} \int_0^\infty \left( \frac{2m\epsilon}{\hbar^2} \right)^{1/2} d\epsilon \frac{m}{\hbar^2} \left( ze^{-\beta \epsilon} - z^2 e^{-2\beta \epsilon} + \dots \right) \\ &\frac{4\pi}{(2\pi)^3} \frac{\sqrt{2} m^{3/2}}{\hbar^3} \frac{\Gamma(3/2)}{\beta^{3/2}} \left( z - \frac{z^2}{2^{3/2}} + \dots \right) \end{aligned}$$

$$\begin{cases} \frac{N}{V} = \frac{m^{3/2}}{(2\pi)^{3/2} \hbar^3} \beta^{-3/2} \left( z - \frac{z^2}{2^{3/2}} + \frac{z^3}{3^{3/2}} - \dots \right) \\ \frac{E}{V} = \frac{m^{3/2}}{(2\pi)^{3/2} \hbar^3} \frac{3}{2} \beta^{-5/2} \left( z - \frac{z^2}{2^{5/2}} + \frac{z^3}{3^{5/2}} - \dots \right) \end{cases}$$

Consider these formulas with very small  $z$ , i.e. as  $\frac{N}{V} \beta^{3/2} \rightarrow 0$ , which will be the case if  $\frac{N}{V} \rightarrow 0$ ,  $T$  fixed or  $\frac{N}{V}$  fixed and  $T \rightarrow \infty$ . Then we get

$$P = \frac{2E}{3V} = \frac{1}{\beta} \frac{N}{V} \quad \text{which is ideal gas behavior.}$$

The entropy in this approximation is found as follows.

$$U = -\frac{\partial}{\partial \beta} \log Z_{gr} = E - \mu N$$

$$S = k_B (\beta U + \log Z_{gr}) = k_B \left( \beta E - \beta \mu N + \frac{2}{3} \beta E \right)$$

$$= k_B N \left( \frac{5}{3} \frac{\beta E}{N} - \beta \mu \right)$$

$$- \beta \mu = -\log z = \log \left( \frac{V}{N} \left( \frac{m}{2\pi \hbar^2 \beta} \right)^{3/2} \right)$$

$$S = k_B N \left( \frac{5}{2} + \log \left( \frac{V}{N} \left( \frac{m}{2\pi \hbar^2 \beta} \right)^{3/2} \right) \right)$$

Sackur-Tetrode formula

The same computation results if one uses the Bose gas in the small  $z$  approximation.



May 9, 1980

Interacting fermi system: Let's begin with the free Hamiltonian  $H_0 = \frac{p^2}{2m}$  for single particles in a box of volume  $V = L^3$ .

Orthonormal basis of  $H_0$  eigenfunctions:  $u_k = V^{-1/2} e^{ikx}$  where  $k \in \frac{2\pi}{L} \mathbb{Z}^3$ . Then we form Fock space  $\mathcal{F} = \Lambda \mathcal{H}$ ,  $\mathcal{H} = L^2(\text{box})$  and extend  $H_0$  to  $\hat{H}_0 = \sum_k \epsilon_k a_k^* a_k$ ,  $\epsilon_k = \frac{\hbar^2 k^2}{2m}$  on Fock space. Vectors in  $\Lambda^N \mathcal{H}$  describe  $N$  independent fermions in the box. As usual  $\Lambda^N \mathcal{H}$  is identified with the subspace of skew-symmetric ~~elements~~ elements in  $\mathcal{H}^{\otimes N}$ , i.e. with skew-symmetric wave functions  $f(r_1, r_2, \dots, r_N)$ .

Next we consider an interaction between the particles given by a potential  $V(r, r')$  such that  $V(r', r) = V(r, r')$ . This gives on  $\mathcal{H}^{\otimes N}$  the operator of multiplication by

$$\hat{V} = \frac{1}{2} \sum_{i \neq j} V(r_i, r_j)$$

this is unnecessary if  $\hat{V}$  defined in this way.

Recall how this is expressed as an operator on Fock space.

The operators  $\psi(x)$ ,  $\psi^*(x)$  on  $\mathcal{F}$  are interior multiplication by  $\langle x |$  and exterior multiplication by  $|x\rangle$  respectively:

$$\psi(x) = \sum_k V^{-1/2} e^{ikx} a_k \quad \left( = a_k \sum_k \langle x | u_k \rangle \langle u_k | \right)$$
$$\psi^*(x) = \sum_k V^{-1/2} e^{-ikx} a_k^*$$

On an element  $f$  of  $\mathcal{H}$ ,  $|f(x)|^2 = \langle f | \psi^*(x) \psi(x) | f \rangle$  is the probability density for the particle to be at  $x$ . If  $f(r_1, \dots, r_N) \in \mathcal{H}^{\otimes N}$ , then the <sup>probability</sup> density of the gas at  $x$  is the sum

$$\sum_{i=1}^N \int |f(r_1, \dots, r_{i-1}, x, r_{i+1}, \dots, r_N)|^2 dr_1 \dots dr_{i-1} \dots dr_N$$

the probabilities of finding each particle at  $x$ . This can be written ~~as~~

as  $\langle f | \underbrace{\sum_{j=1}^N \delta(x-r_j)}_{\hat{p}(x)} | f \rangle$ . Here  $\hat{p}(x)$  denotes the operator of multiplying by  $\sum_{j=1}^N \delta(x-r_j)$  on  $\mathcal{H}^{\otimes N}$ . When restricted to  $\Lambda^N \mathcal{H}$  we see  $\hat{p}(x)$  is the derivation extending multiplication by  $\delta(x-r)$  on  $\mathcal{H}$ . Thus  $\hat{p}(x) = \psi^*(x)\psi(x)$ .

$$\text{Now } \frac{1}{2} \int dx dx' \psi^*(x)\psi(x) V(x,x') \psi^*(x')\psi(x')$$

$$\text{is multiplication by } \frac{1}{2} \int dx dx' \sum_i \delta(x-r_i) V(x,x') \sum_j \delta(x'-r_j) \text{ on } \Lambda^N \mathcal{H}.$$

$$= \frac{1}{2} \sum_{i,j} V(r_i, r_j).$$

So

$$\begin{aligned} & \frac{1}{2} \int dx dx' \psi^*(x)\psi^*(x') V(x,x') \psi(x')\psi(x) && [\psi(x), \psi^*(x')\psi(x)] \\ & && = \{\psi(x), \psi^*(x')\} \psi(x) \\ & = \frac{1}{2} \int dx dx' [\psi^*(x)\psi(x) V(x,x') \psi^*(x')\psi(x') - \psi^*(x)\delta(x'-x)V(x,x')\psi(x')] \\ & = \frac{1}{2} \sum_{i,j} V(r_i, r_j) - \frac{1}{2} \int dx \psi^*(x) V(x,x) \psi(x) \\ & && - \frac{1}{2} \sum V(r_i, r_i) \end{aligned}$$

Thus

$$\frac{1}{2} \int dx dx' \psi^*(x)\psi^*(x') V(x,x') \psi(x')\psi(x) = \text{mult by } \frac{1}{2} \sum_{i \neq j} V(r_i, r_j)$$

Call this  $\hat{V}$ . Changing to momentum notation we get

$$\hat{V} = \frac{1}{2} V^{-2} \sum_{k_1, k_2, k_3, k_4}^* a_{k_1}^* a_{k_2}^* a_{k_3} a_{k_4} \int dx dx' e^{-ik_1 x - ik_2 x' + ik_3 x' + ik_4 x} V(x, x')$$

Suppose  $V(x, x') = V(x-x')$

$$\int dx dx' e^{i(-k_1+k_4)x + i(-k_2+k_3)x'} V(x-x')$$

$\downarrow$   
 $x+x'$

$\downarrow$   
 $V(x-x')$   
 $\downarrow$   
 $x+x'$



Put  $\hat{V}(g) = \int dx e^{-igx} V(x)$

$$g = k_1 - k_2$$

Then we get

$$\hat{V} = \frac{1}{2Vol} \sum_{g,p,k} V(g) a_{k+g}^* a_{p-g}^* a_p a_k$$

The terms correspond to diagrams:

