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May 4, 1972. Conjectures on  $K_*(F)$ ,  $F$  function field  $1 \text{ obl } / k = \mathbb{Z}$ .

$k$  an alg. closed field,  $X$  curve  $/k$ ,  $K =$  function field.

$$(*) \quad 0 \longrightarrow K^*/k^* \longrightarrow D \longrightarrow \text{Pic}(X) \longrightarrow 0$$

where  $D = \bigoplus_{x \in X} \mathbb{Z}$  is the divisor group. I conjecture that there are exact sequences

~~$$0 \longrightarrow \text{Tor}_1(K_0(X), K_i(k)) \longrightarrow K_i(X) \longrightarrow K_0(X) \otimes_{\mathbb{Z}} K_i \longrightarrow 0$$~~

$$0 \longrightarrow K_0 X \otimes_{\mathbb{Z}} K_i k \longrightarrow K_i X \longrightarrow \text{Tor}_1^{\mathbb{Z}}(K_0 X, K_{i-1} k) \longrightarrow 0.$$

Since  $K_0 X = \mathbb{Z} \oplus \text{Pic}(X)$ , this amounts to exact sequences

$$0 \longrightarrow K_i k \oplus \text{Pic} \otimes K_i k \longrightarrow K_i X \longrightarrow \text{Tor}_1^{\mathbb{Z}}(\text{Pic}, K_{i-1} k) \longrightarrow 0$$

~~Since  $\text{Pic}$  is a free resolution of  $\text{Pic}$ , it gives~~ <sup>since</sup>  $(*)$  is a free resolution of  $\text{Pic}$ , ~~it~~ gives

$$0 \longrightarrow \text{Tor}_1(\text{Pic}, K_i k) \longrightarrow K^*/k^* \otimes K_i k \longrightarrow \bigoplus_{x \in X} K_i k \longrightarrow \text{Pic} \otimes K_i k \longrightarrow 0.$$

On the other hand, we conjecture a long exact sequence

$$K_{i+1} X \longrightarrow K_{i+1} K \longrightarrow \bigoplus_{x \in X} K_i k \longrightarrow K_i X \dots$$

So putting things together, we ~~conjecture~~ conjecture that there should be an exact sequence

$$0 \rightarrow K_i k \rightarrow K_i K \rightarrow K^*/k^* \otimes K_{i-1} k \rightarrow 0$$

Reformulation: The diagram

$$\begin{array}{ccc} K_{i-1} k \otimes k^* & \longrightarrow & K_i k \\ \downarrow & & \downarrow \\ K_{i-1} k \otimes K^* & \longrightarrow & K_i K \end{array}$$

is cocartesian; (horizontal maps come from product, vertical from inclusion of  $k$  in  $K$ ).

Injectivity of  $K_i k \rightarrow K_i K$  is easy: Let  $x \in X$ , let  $O_x$  be the local ring then have the composition

$$k \xrightarrow{i} O_x \xrightarrow{u} k$$

$= \text{id}$ , so  $K_i k$  is a direct summand of  $K_i O_x$ . By the exact sequence (conjectural at the moment):

$$K_i k \xrightarrow{u_*} K_i O_x \rightarrow K_i K$$

it will follow that  $K_i O_x \hookrightarrow K_i K$  provided  $u_*$  is zero. But  $u^*: K_i O_x \rightarrow K_i k$  is surjective as  $u^* i^* = (u i)^* = \text{id}$ , and

$$u_*(u^* z) = u_* 1 \cdot z = 0$$

because  $u_* 1 = 0$ .

Precise proof uses fact that  $K_i(K) = \varinjlim_{S \subset X} K_i(X-S)$  as  $S$  runs over the finite subsets of  $X$ , and the fact

that  $K_i k \hookrightarrow K_i(X-S)$  as ~~the~~ any point of  $X-S$  gives a map the other way.

We have not used  $X$  complete.

Thus if  $X$  is a (not nec. complete) non-singular connected curve over  $k$  alg. closed, then we have the exact sequence (conj.)

$$\dots \rightarrow K_i(X) \rightarrow K_i k \xrightarrow{\partial} \text{Div}(X) \otimes K_{i-1} k \rightarrow K_{i-1} X \rightarrow \dots$$

Denote by  $\tilde{K}_i(X) = K_i(X)/K_i k$ , etc., so that we have

$$(*) \quad \dots \rightarrow \tilde{K}_i X \rightarrow \tilde{K}_i k \xrightarrow{\partial} \text{Div}(X) \otimes K_{i-1} k \rightarrow \tilde{K}_{i-1} X \rightarrow \dots$$

If the formula at the top of page 2: ~~is~~

$$\tilde{K}_i k = K^*/k^* \otimes K_{i-1} k$$

is correct, and if  $\partial$  in  $(*)$  can be identified with

$$K^*/k^* \otimes K_{i-1} k \xrightarrow{\text{can} \otimes \text{id}} \text{Div}(X) \otimes K_{i-1} k$$

then we obtain from  $(*)$  short exact sequences

$$\boxed{0 \rightarrow \text{Pic} X \otimes K_i k \rightarrow \tilde{K}_i X \rightarrow \text{Tor}_1(\text{Pic} X, K_{i-1} k) \rightarrow 0}$$

for any such  $X$ .

Precisely we want  $(*)$  to be enlarged to a commutative diagram

$$\begin{array}{ccccccc}
0 \rightarrow \text{Tor}_1(P, K_{i-1}) & \rightarrow & K^*/k^* \otimes K_{i-1}k & \rightarrow & \text{Div}(X) \otimes K_{i-1}k & \rightarrow & \text{Pic}(X) \otimes K_{i-1}k \rightarrow 0 \\
& & \downarrow & & \parallel & & \downarrow \\
& \rightarrow & \tilde{K}_i X & \rightarrow & \tilde{K}_i(K) & \xrightarrow{\partial} & \text{Div}(X) \otimes K_{i-1}k & \rightarrow & \tilde{K}_{i-1} X \rightarrow \dots
\end{array}$$

which should be formal hopefully. This diagram shows equivalence of the formula:  $\tilde{K}_*K = K^*/k^* \otimes K_{*+1}k$  with earlier conjectures concerning  $K_*X$ .

Another consequence of

$$\tilde{K}_i(K) = (K^*/k^*) \otimes K_{i-1}k :$$

Let  $K$  run over all subfields of  $\overline{k(T)}$  finite over  $k(T)$ , and take the limit. One obtains that

$$\tilde{K}_i(\overline{k(T)}) = (\overline{k(T)}^*/k^*) \otimes K_{i-1}k$$

is a  $\mathbb{Q}$ -vector space. Thus ~~we can prove~~ we can prove by induction on transcendence degree that

$$\tilde{K}_i(k) \cong \tilde{K}_i(k_0) \oplus (\mathbb{Q} \text{ vector space})$$

where  $k_0$  is the algebraic closure of the prime field.

Question: Is  $K_*(K) = K_*(k) \otimes_{M_*(k)} M_*(K)$  where  $M_*(K)$  is the Milnor ring.

May 5, 1972. Conjectures on  $K_*(\mathbb{Q})$ .

Let  $F$  be a number field of degree  $d$ ,  $\pi = \text{Gal}(\bar{\mathbb{Q}}/F)$ , and for the moment suppose  $F$  is totally imaginary. Then I believe it is known that  $\pi$  has cohomological dimension 2, i.e.  $H^i(\pi, M) = 0$  for  $i \geq 3$  and all continuous  $\pi$  modules  $M$ . (Is "strict"  $\text{cd } \pi \leq 2$ ? Need to check this.)

According to Borel's theorem  $K_{2i}F$  is torsion and  $K_{2i-1}F$  has rank  $d/2 = r_2$  for  $i \geq 1$ , except for  $K_1F = F^*$  which has infinite rank. If  $\mathcal{O} \subset F$  is the ring of integers of  $F$ , we expect exact sequences

$$0 \rightarrow K_{2i}\mathcal{O} \rightarrow K_{2i}F \rightarrow \bigoplus_{\mathfrak{v}} K_{2i-1}(\mathcal{O}/\mathfrak{m}_{\mathfrak{v}}) \rightarrow 0 \quad i \geq 1$$

$$K_{2i-1}\mathcal{O} \xrightarrow{\sim} K_{2i-1}F \quad i \geq 2$$

It is also conjectured that  $K_*\mathcal{O}$  are f.g. abelian groups +

$$(K_{2i-1}\mathcal{O})_{\text{tors}} \simeq (\mu_{\infty}^{\otimes i})^{\pi} \quad i \geq 1$$

$$K_{2i-1}\mathcal{O}/(K_{2i-1}\mathcal{O})_{\text{tors}} \simeq \mathbb{Z}^{d/2} \quad i \geq 2$$

$$K_{2i}\mathcal{O} \text{ finite.} \quad i \geq 1.$$

Now ~~consider~~ consider the limit as  $F \uparrow \bar{\mathbb{Q}}$ . Generalizing what is known for  $K_2F$  one conjectures that

$$K_{2i}\bar{\mathbb{Q}} = 0 \quad i \geq 1$$

and generalizing what is known for  $K_1F$ , one conjectures that there should be an exact sequence

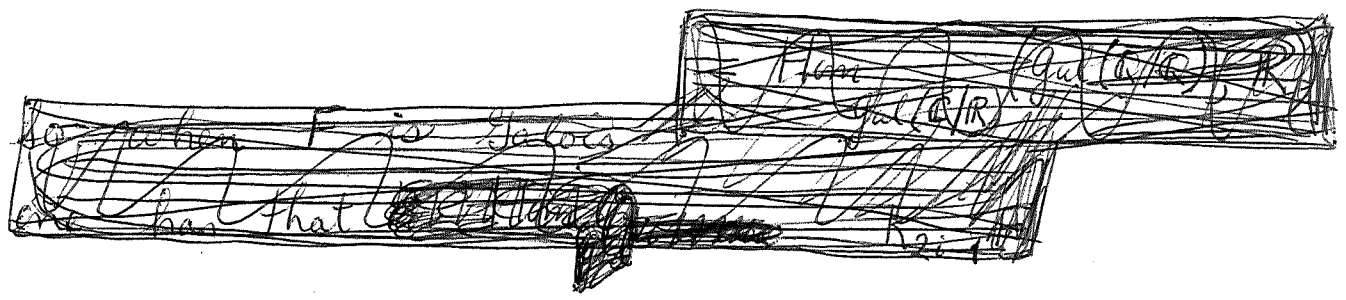
$$0 \rightarrow \mu_{\infty}^{\otimes i} \rightarrow K_{2i-1}\bar{\mathbb{Q}} \rightarrow V^{(i)} \rightarrow 0 \quad i \geq 1$$

where  $V^{(i)}$  is a  $\mathbb{Q}$ -vector space, ( $= \bar{\mathbb{Q}}^*/\mu_\infty$  when  $i=1$ ).

The structure of  $V^{(i)}$  should be predictable from Borel's theorem: Thus

$$K_{2i-1}(F) \otimes \mathbb{R} \xrightarrow{\sim} \prod_{\text{Hom}(F, \mathbb{C})/\mathbb{Z}_2} \mathbb{R}$$

$\mathbb{Z}_2$  acts as conjugation



Assuming  $F \supset \mathbb{Q}[i]$ , then  $\text{Hom}(F, \mathbb{C})/\mathbb{Z}_2 = \text{Hom}_{\mathbb{Q}[i]}(F, \mathbb{C}) \cong \text{Gal}(F/\mathbb{Q}[i])$ , provided  $F$  is Galois over  $\mathbb{Q}[i]$ . Thus  $K_{2i-1}(F) \otimes \mathbb{Q}$  is the regular representation of  $\text{Gal}(F/\mathbb{Q}[i])$ . So passing to the limit, it becomes "clear" that

$$V^{(i)} \cong \text{Homcont}(\underbrace{\text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q})/\text{Gal}(\bar{\mathbb{Q}}/\bar{\mathbb{Q}}_i\mathbb{R})}_{\text{infinite places}}, \mathbb{Q})$$

In any case,  $K_{2i-1}\bar{\mathbb{Q}}$  divisible  $\implies$

$$\begin{aligned} H^0(\pi, V^{(i)}) &= K_{2i-1}F \otimes \mathbb{Q} \\ H^+(\pi, V^{(i)}) &= 0 \end{aligned}$$

Now I hope eventually for Galois descent, which should yield a spectral sequence

$$E_2^{p,q} = H^p(\pi, K_{-q}\bar{\mathbb{Q}}) \Rightarrow K_{-p-q}(F)$$

in negative dimensions. Assume  $\pi$  has  $\text{scd} \leq 2$ , the differentials must vanish

$$\begin{array}{ccc} H^0(\pi, K_{2i-1}\bar{\mathbb{Q}}) & \xrightarrow{\quad} & 0 \\ 0 & & 0 \\ & & H^2(\pi, K_{2i+1}\bar{\mathbb{Q}}) \quad 0 \end{array}$$

and we get the following formulas:

$$0 \rightarrow H^2(\pi, K_{2i+1}\bar{\mathbb{Q}}) \rightarrow K_{2i-1}F \rightarrow H^0(\pi, K_{2i-1}\bar{\mathbb{Q}}) \rightarrow 0$$

$$K_{2i}F = H^1(\pi, K_{2i+1}\bar{\mathbb{Q}}).$$

Consider ~~the~~ the long exact sequences

$$0 \rightarrow H^0(\pi, \mu_{2^i}^{\otimes i}) \rightarrow H^0(\pi, K_{2i-1}\bar{\mathbb{Q}}) \rightarrow K_{2i-1}(F \otimes \bar{\mathbb{Q}})$$

$$\hookrightarrow H^1(\pi, \mu_{2^i}^{\otimes i}) \rightarrow H^1(\pi, K_{2i-1}\bar{\mathbb{Q}}) \rightarrow 0$$

$$H^2(\pi, \mu_{2^i}^{\otimes i}) \xrightarrow{\sim} H^2(\pi, K_{2i-1}\bar{\mathbb{Q}})$$

It follows then that

$$K_{2i}F = H^1(\pi, \mu_\infty^{\otimes(i+1)}) / H^1(\pi, \mu_\infty^{\otimes(i+1)})_{\text{div}} \quad \frac{(\mathbb{Q}/\mathbb{Z})^{d/2}}{s//}$$

which agrees with Tate's results for  $K_2F$ . But on the other hand the exactness of

$$0 \rightarrow H^0(\pi, \mu_\infty^{\otimes i}) \rightarrow H^0(\pi, K_{2i-1}\bar{\mathbb{Q}}) \rightarrow \boxed{\text{scribble}} \mathbb{Z}^{d/2} \rightarrow 0$$

leaves no room in  $K_{2i-1}F$  for  $H^2(\pi, K_{2i-1}\bar{\mathbb{Q}})$ , and thus implies

$$(*) \quad \boxed{H^2(\pi, \mu_\infty^{\otimes i}) = 0 \quad i \geq 2}$$

$$K_{2i-1}(F) \xrightarrow{\sim} H^0(\pi, K_{2i-1}\bar{\mathbb{Q}})$$

### Summary of conjectures:

A.  $K_{2i}\bar{\mathbb{Q}} = 0 \quad i \geq 1$

$$0 \rightarrow \mu_\infty^{\otimes i} \rightarrow K_{2i-1}\bar{\mathbb{Q}} \rightarrow V^{(i)} \rightarrow 0$$

where  $V^{(i)}$  is a  $\mathbb{Q}$ -vector space.

B.  $H^2(\pi, \mu_\infty^{\otimes i}) = 0 \quad i \geq 2$

← OK except for 2-torsion possibly.

$$H^1(\pi, \mu_\infty^{\otimes i})_{\text{div}} \cong (\mathbb{Q}/\mathbb{Z})^{d/2} \quad i \geq 2$$

C.  $K_{2i-1}F = H^0(\pi, K_{2i-1}\bar{\mathbb{Q}}) \quad i \geq 1$

$$K_{2i-2}F = H^1(\pi, K_{2i-1}\bar{\mathbb{Q}}) \quad i \geq 1$$

$$= H^1(\pi, \mu_\infty^{\otimes i}) / H^1(\pi, \mu_\infty^{\otimes i})_{\text{div}}$$



9  
May 6, 1972

~~One problem with the preceding conjecture is that  $V^{(i)}$  is nearly an induced  $H$ -module if it is divisible.~~

I now want to extend yesterday's conjectures about  $K_* \bar{\mathbb{Q}}$  and  $K_* F$  to the ring of integers  $\mathcal{O}$  in  $F$ . The main point should be ~~that~~ that in the exact sequence

$$\rightarrow K_j \mathcal{O} \rightarrow K_j F \xrightarrow{\partial} \bigoplus_{\mathfrak{v}} K_{j-1}(\mathcal{O}/\mathfrak{m}_{\mathfrak{v}}) \rightarrow \dots$$

$\partial$  is surjective. For  $j=2$ , this is Calvin Moore's result on the uniqueness of reciprocity laws. From this one derives

$$K_{2i-1} \mathcal{O} \xrightarrow{\sim} K_{2i-1} F \quad i \geq 2$$
$$0 \rightarrow K_{2i} \mathcal{O} \rightarrow K_{2i} F \rightarrow \bigoplus_{\mathfrak{v}} K_{2i-1}(\mathcal{O}/\mathfrak{m}_{\mathfrak{v}}) \rightarrow 0 \quad i \geq 1.$$

On the other hand, I have made conjectures concerning  $K_* \mathcal{O}$  and Iwasawa theory, based on an analogue of the Atiyah-Hirzebruch spectral sequence. Suppose therefore I fix a prime number  $l$ . Then

$$K_* \mathcal{O} \otimes \mathbb{Z}_l \xrightarrow{\sim} K_*(\mathcal{O}[l^{-1}]) \otimes \mathbb{Z}_l \quad i \geq 1$$

and the latter should ~~be~~ be accessible from a spectral sequence

$$E_2^{pq} = H^p(\mathcal{O}[l^{-1}], \left\{ \begin{array}{l} \mathbb{Z}_l^{\otimes i} \\ \mathbb{Z}_l \\ 0 \end{array} \right. \left. \begin{array}{l} q = -2i \\ q \text{ odd} \end{array} \right\}) \Rightarrow K_*(\mathcal{O}[l^{-1}]) \otimes \mathbb{Z}_l$$

Now  $O[l^{-1}]$  has  $cd=2$ , so the spectral sequence ought to degenerate yielding

$$K_{2i-1} \otimes \mathbb{Z}_l = H^1(O[l^{-1}], T_l^{\otimes i})$$

$$K_{2i-2} \otimes \mathbb{Z}_l = H^2(O[l^{-1}], T_l^{\otimes i})$$

for  $i \geq 2$  at least. As Tate remarks, the étale cohomology should be Galois cohomology for the ~~nontrivial~~ Galois group of  $O[l^{-1}]$ ; in effect  $O[l^{-1}]$  should be a  $K(\pi, 1)$  because  $O[l^{-1}, \mu_l^\infty]$  has  $cd=1$ , hence is a  $K(\pi, 1)$  (?). In any case we can compute these groups (say  $l$  odd) ~~using the~~ spectral of the covering  $O[l^{-1}] \rightarrow O[l^{-1}, \mu_l^\infty]$  with Galois ~~group~~  $\tilde{\Gamma} = \Delta \times \Gamma$  ~~(?)~~

$$H^g(\tilde{\Gamma}, H^0(O[l^{-1}, \mu_l^\infty], T_l^{\otimes i})) \Rightarrow H^{g+0}(O[l^{-1}], T_l^{\otimes i})$$

$$\begin{cases} T_l^{\otimes i} & g=0 \\ \text{Hom}(X, T_l^{\otimes i}) & g=1 \\ 0 & g \geq 2 \end{cases} \quad \text{Hom} = \text{Hom}_{\tilde{\Gamma}}$$

where  $X = H_1(O[l^{-1}, \mu_l^\infty])$  is the Iwasawa module for  $F[\mu_l]$ . Then we get exact sequences

$$K_{2i-2} \otimes \mathbb{Z}_l = \text{Hom}(X, T_l^{\otimes i})_{\tilde{\Gamma}} \quad \text{coinvariants}$$

$$0 \rightarrow (T_l^{\otimes i})_{\tilde{\Gamma}} \rightarrow K_{2i-1} \otimes \mathbb{Z}_l \rightarrow \text{Hom}(X, T_l^{\otimes i})_{\tilde{\Gamma}} \rightarrow 0$$

Granted Iwasawa's conjecture (say  $F \supset \mu_\ell$ ):

$$X = \Lambda^{d/2} \oplus X_{tors} \quad X_{tors} \simeq \mathbb{Z}_\ell^1, \quad \Lambda = \mathbb{Z}_\ell[\Gamma]$$

one finds

$$K_{2i-2} \mathcal{O} \otimes \mathbb{Z}_\ell = \text{Hom}_{\mathbb{Z}_\ell}(X_{tors}, T_\ell^{\otimes i})$$

$$0 \rightarrow \begin{pmatrix} T_\ell^{\otimes i} \\ \mathcal{O} \end{pmatrix} \rightarrow K_{2i-1} \mathcal{O} \otimes \mathbb{Z}_\ell \rightarrow \mathbb{Z}_\ell^{d/2} \rightarrow 0$$

for  $i \geq 2$  at least.

Return to conjecture  $H^2(\pi, \mu_\infty^{\otimes i}) = 0$  for  $i \geq 2$ .  
I think this results from Tate's letter to Iwasawa.  
So let's introduce Tate's notation

$$W^{(r)} = \mu_\infty^{\otimes r} \leftarrow \text{observe } \mu_\infty^{\otimes r} \text{ notation is lousy as strictly } \mu_\infty^{\otimes r} = 0.$$

so I want to show that

$$H^2(F, W^{(i)}) = 0 \quad \text{for } i \geq 2.$$

since

$$H^*(\pi, \varinjlim M_\alpha) = \varinjlim H^*(\pi, M_\alpha)$$

for the cohomology of profinite group, hence it suffices to consider the  $\ell$ -primary component and show

$$H^2(F, W_\ell^{(i)}) = 0.$$

Let  $K = F(\sqrt[l]{W})$  and let  $\tilde{\Gamma} = \text{Gal}(K/F)$ . Then we have

$$E_2^{p,q} = H^p(\tilde{\Gamma}, H^q(K, W_l^{(i)})) \Rightarrow H^{p+q}(F, W_l^{(i)})$$

and so we first need to know about  $H^*(K, W_l^{(i)})$ . Since  $W_l \subset K$ ,  $W_l^{(i)}$  is a trivial  $\text{Gal}(\bar{\mathbb{Q}}/K)$ -module. There is an ~~exact~~ exact sequence

$$0 \longrightarrow W \longrightarrow \bar{\mathbb{Q}} \longrightarrow V \longrightarrow 0$$

where  $V$  is a  $\mathbb{Q}$ -vector space, hence

$$0 \longrightarrow H^2(k, W) \xrightarrow{\sim} H^2(k, \bar{\mathbb{Q}}) \longrightarrow 0$$

for any subfield  $k \subset \bar{\mathbb{Q}}$ . (This is <sup>very</sup> general). Thus

$$H^2(k, W_l) = \text{Br}(k)_l \quad \text{\textit{l}-primary component.}$$

Now for a number field  $k$  one knows that

$$0 \longrightarrow \text{Br}(k) \longrightarrow \bigoplus_v \text{Br}(k_v) \longrightarrow \mathbb{Q}/\mathbb{Z} \longrightarrow 0$$

where  $k_v$  runs over all ~~local~~ completions of  $k$ . I am going to use this to show that

$$(*) \quad \text{Br}(K)_l = 0.$$

~~At the same time, we know that~~  
 (i)  $\text{Br}(k_v) = \mathbb{Q}/\mathbb{Z}$  gets killed in the maximal unramified extension of  $k_v$ , hence  $\text{Br}(k_v)_l$  gets killed in the

~~max. unram.  $l$ -extension. But the latter is contained in  $k_v(W)$  provided  $v \nmid l$ . (The max.  $l$ -extension of a finite field  $k$  is contained in  $k(\mu_{l^\infty})$ . Thus ~~as~~ as  $k = F(\mu_{l^n}) \uparrow K$ , the ...)~~

In Serre's Corps locaux one finds that if  $k'$  is a finite extension of a local field  $k$ , then

$$\begin{array}{ccc} \text{Br}(k) & \xrightarrow{\sim} & \mathbb{Q}/\mathbb{Z} \\ \downarrow & & \downarrow [k':k] \\ \text{Br}(k') & \xrightarrow{\sim} & \mathbb{Q}/\mathbb{Z} \end{array}$$

commutes. It follows from this that as  $k = F(\mu_{l^n}) \uparrow K$ , that the residue field extensions of  $k(v)$  for  $v \nmid l$  have degree divisible by higher + higher powers of  $l$ . For  $v \mid l$ , the situation is totally ramified, so again the local degrees  $e, f_v$  are increasing divisible by  $l$ . Thus in the limit all of the  $l$ -torsion must be killed, proving (\*).

~~Returning to the spectral sequence on page 12 and using the fact that  $K$  is of  $cd \leq 2$  and  $cd \leq 2$  we have~~

~~$$H^2(F, W_e^{(i)}) = H^1(\tilde{F}, \dots)$$~~

Thus

$$H^2(K, W_e^{(i)}) = 0$$

and since totally imaginary no fields have  $cd \leq 2$ , we have

$$H^j(K, W_e^{(i)}) = 0 \quad j \geq 2$$

Also

$$H^1(K, W_l) = \varinjlim_n H^1(K, \mu_{l^n})$$

$$= \varinjlim_n K^\circ / (K^\circ)^n = K^\circ \otimes \mathbb{Q}/\mathbb{Z}$$

so  $H^1(K, W_l^{(i)}) = K^\circ \otimes W_l^{(i-1)}$ .

also  $H^0(K, W_l^{(i)}) = W_l^{(i)}$ .

Thus the spectral sequence at the top of page 12 has only two non-zero rows. Assume now that  $\tilde{\Gamma}$  has  $cd \leq 1$ , i.e. that  $l$  is odd or that  $l=2$  and  $\sqrt{-1} \in F$ . Then the spectral sequence yields

$$H^2(F, W_l^{(i)}) = H^1(\tilde{\Gamma}, K^\circ \otimes W_l^{(i-1)})$$

$$0 \rightarrow W_l^{(i)} \rightarrow H^1(F, W_l^{(i)}) \xrightarrow{\sim} [K^\circ \otimes W_l^{(i-1)}]^{\tilde{\Gamma}}$$

*appears in Tate's letter p.3*

Now we can use the lemma of Tate's letter to Iwasawa which implies that

$$H^1(\Gamma, W_l^{(i-1)} \otimes N) = 0 \quad i-1 \neq 0$$

for any discrete  $\Gamma$  module  $N$  which  $\Gamma$  acts continuously. Note that  $|\tilde{\Gamma}/\Gamma|$  divides  $l-1$ , so

$$H^1(\tilde{\Gamma}, K^\circ \otimes W_l^{(i-1)}) = 0 \quad \text{for } i \geq 2 \quad (i \neq 1)$$

which completes the proof that

$$H^2(F, W_l^{(i)}) = 0 \quad \text{for } i \geq 2 \quad (i \neq 1).$$

at least when  $\sqrt{-1} \in F$ , or modulo 2 torsion.

1  
May 17, 1972: Compactification of  $GL_n \mathbb{R} / O_n$

Let  $V$  be a (f.d.) vector space over  $\mathbb{R}$ . Let  $X(V)$  be the space of positive definite quadratic forms on  $V$ . ~~such a form is a map  $Q: V \rightarrow \mathbb{R}$~~  The topology on  $X(V)$  is uniform convergence on compact sets.  $X(V)$  is convex hence contractible (this might be of use in describing the  $e_i$ ).

I want to compactify  $X(V)$  in such a way that

$$\overline{X(V)} = \coprod_{0 \subset W \subset W' \subset V} X(W'/W)$$

set-theoretically (perhaps even as a stratified set). The intuition is as follows. Let  $Q_n$  be a sequence of quadratic forms on  $V$ . Then by selecting a subsequence we can arrange that the eigenvalues of the  $Q_n$  converge in  $[0, \infty]$ , and we can also arrange that the eigenspaces converge. Then if  $W$  is the  $0$  eigenspace and if  $W'$  is the  $\infty$  eigenspace of the limit, we get a quadratic form on  $W'/W$  which is positive definite.

Perhaps it would be nice to fix a  $Q_0$  so that any other form  $Q$  can be identified with a positive definite symmetric operator:

$$Q(x) = Q_0(Ax, x)$$

Then we take the limit of the operators  $A_n$ , i.e. we take the graph of  $A_n$  in  $V \times V$  and take the limit.

Intrinsically,  $Q_n$  determines a map  $V \rightarrow V^*$   
hence a subspace of  $V \times V^*$

$$\Gamma_{Q_n} = \{(x, \lambda) \mid \forall y \lambda(y) = B_n(y, x)\}$$

and we take the ~~subsequence~~ subsequence so that these converge. The fact that  $B_n$  is symmetric can be expressed

$$\begin{array}{ccc} \Gamma_{Q_n} & & (\Gamma_{Q_n})^* \\ \cap & & \uparrow \\ V \times V^* & & V^* \times V \\ & & \uparrow \\ & & \Gamma_{Q_n}^\perp \end{array}$$

by saying that  $\Gamma_{Q_n}$  is isotropic for the <sup>symplectic</sup> bilinear forms on  $V \times V^*$ :

$$\langle (x, \lambda), (x', \lambda') \rangle = \lambda(x') - \lambda'(x)$$

$$\langle (x, \lambda), (x', \lambda') \rangle = B_n(x', x) - B_n(x, x')$$

And the fact that  $Q_n$  is positive definite means

$$(x, \lambda) \in \Gamma_{Q_n} \implies \lambda(x) \geq 0.$$

so this must be preserved in the limit. So maybe

$\overline{X(V)}$  = subspaces  $\Gamma$  of  $V \times V^*$  which are maximal isotropic and ~~non-negative~~ non-negative

~~The limit of  $\Gamma_{Q_n}$  is not quite correct because ~~the limit~~ the limit of  $\lambda$  after is a quadratic form (non-negative) defined on  $V$ .~~



May 23, 1972: vector bundles on curves.

Weil theorem:  $X_{\text{curve}}/\mathbb{C}$  A bundle  $E$  is obtained from a representation of  $\pi_1 X \iff$  each indecomposable factor of  $E$  has ~~rank~~ degree 0.

Example: line bundles

$$\begin{array}{ccccccccc}
 H^1(X, \mathbb{Z}) & \rightarrow & H^1(X, \mathcal{O}) & \xrightarrow{e^{2\pi i}} & H^1(X, \mathcal{O}^*) & \rightarrow & H^2(X, \mathbb{Z}) & \rightarrow & H^2(X, \mathcal{O}) \\
 \parallel & & \uparrow \text{any Kähler} & & \uparrow & & \uparrow & & \uparrow \text{not inj.} \\
 & & \text{man.} & & & & & & \\
 H^1(X, \mathbb{Z}) & \rightarrow & H^1(X, \mathbb{R}) & \rightarrow & H^1(X, S^1) & \rightarrow & H^2(X, \mathbb{Z}) & \rightarrow & H^2(X, \mathbb{R})
 \end{array}$$

shows that

$$\text{Hom}(\pi_1 X, S^1) = H^1(X, S^1) = \text{Ker}\{H^1(X, \mathcal{O}^*) \xrightarrow{\text{deg}} H^2(X, \mathbb{R})\}$$

for any Kähler manifold. Thus given a line bundle of degree 0 on a curve there is a unique character  $\chi: \pi_1 X \rightarrow S^1$  it is obtained from.

Any bundle obtained from a repr. of  $\pi_1 X$  is flat, hence has torsion Chern classes.

A vector bundle  $E$  over a curve  $X$  is called stable (resp. semi-stable) if

$$\frac{\text{deg}(W)}{\text{rg}(W)} < \frac{\text{deg}(E)}{\text{rg}(E)} \quad (\text{resp. } \leq)$$

for all subbundles  $0 < W < E$ . Since

$$\deg(\underline{\text{Hom}}(W, E)) = \deg(W^* \otimes E) = \text{rg}(W)\deg(E) - \deg(W)\text{rg}(E)$$

it is equivalent to require that  $\deg(\underline{\text{Hom}}(W, E)) > 0$  (resp.  $\geq 0$ ) for all  $0 < W < E$ . This shows that  $E$  is stable  $\Leftrightarrow E \otimes L$  is for any line bundle  $L$ .

Mumford shows that the set of stable bundles of rank  $r$  and  $\deg \square = d$  forms a quasi-projective variety. Sheshadri + Narasimhan show over  $\mathbb{C}$  that semi-stable of degree 0  $\Leftrightarrow$  comes from a unitary rep. of  $\pi_1 X$ , and they give a generalization for other degrees. Sheshadri shows the semi-stable bundles of rank  $r$  and degree 0 form a projective variety.

Stable bundles are indecomposable, in fact  $H^0(\underline{\text{Hom}}(E, E)) = k$  (at least if  $\deg(E) = 0$ ). Sheshadri shows the degree 0 semi-stable bundles form an abelian category. In effect if one has a map  $E \rightarrow E'$  with kernel  $K$ , coimage  $E/K$ , image  $I$ , cokernel  $E'/I$ . Then

$$\deg(K) \leq 0 \quad \deg(I) \leq 0$$

and

$$\deg(E/K) \leq \deg I$$

so

$$\deg(K) \leq -\deg(E/K)$$

by semi-stab.

$$(\Lambda^r(E/K) \subset \Lambda^r I)$$

so difference is a line bundle with section

so  $\deg K = \deg I = 0$ , and  $E/K = I$ .

June 13, 1972 fibration problem: when is  $|C_y|$  the h-fibre of  $|e| \rightarrow |e'|$ ?

Let  $f: C \rightarrow C'$  be fibred such that the base change functors are hq's. Then for any  $y \in C'$ , the square

$$\begin{array}{ccc} C_y & \longrightarrow & C \\ \downarrow & & \downarrow f \\ e & \longrightarrow & C' \end{array}$$

gives rise upon geometric realization to a square

$$\begin{array}{ccc} |C_y| & \longrightarrow & |e| \\ \downarrow & & \downarrow \\ pt & \longrightarrow & |e'| \end{array}$$

hence there is a canonical map

$$|C_y| \longrightarrow \text{homotopy-fibre of } |f| \text{ at } y$$

which I want to show is a homotopy equivalence. Recall the homotopy-fibre is the fibre of the map  $p$

$$(*) \quad |e| \xrightarrow{i} W \xrightarrow{p} |e'|$$

where  $i$  is a hq and  $p$  is a fibration  $\Rightarrow Hf = p_i$ . (Usually we take  $W = \{(z, \lambda) \mid z \in |e| \text{ and } \lambda \text{ is a path starting from } z\}$ .)

Note that we can realize  $(*)$  by taking a factorization of  $Nf$

$$N_C \xrightarrow{i} E \xrightarrow{p} N_{C'} \quad N = N_{\text{new}}$$

where  $p$  is a Kan fibration (minimal if desired) and  $i$  is a weq of simplicial sets; then  $W = |E|$ . So the problem becomes semi-simplicial: to show that  $NC_y$  is weq to the fibre of  $E \rightarrow NC'$  over  $y$ .

But this leads to the following problem, already encountered by Friedlander. Suppose that  $F = p^{-1}\{y\}$ . By cohomological means we can probably prove that

$$H^*(p^{-1}\{y\}, L) \xrightarrow{\sim} H^*(C_y, L)$$

for all local coeff. systems  $L$  on  $C$ . The problem comes with the possible non-simply-connectedness of  $C_y$ . Thus one is lead to:

Question: If  $f: C \rightarrow C'$  is as above and  $C$  is 1-connected, then ~~is~~ is each component of  $C_y$  simple?

June 19, 1972      fibration problem

Suppose  $f: X \rightarrow Y$  is a morphism of simplicial sets. For any simplex  $y$  in  $Y$ , let  $X_y$  be defined by a cartesian square

$$\begin{array}{ccc} X_y & \longrightarrow & X \\ \downarrow & & \downarrow f \\ \Delta(d) & \xrightarrow{g} & Y \end{array}$$

where  $d = \dim(y)$ . Then ~~associated to~~<sup>to</sup> a map  $y' \rightarrow y$  (e.g. if  $y'$  is a face of  $y$ ) we have associated a map

$$X_{y'} \longrightarrow X_y.$$

Assume that these maps are all h.e.s. Let

$$\begin{array}{ccc} X & \xrightarrow{i} & E \\ f \searrow & & \swarrow p \\ & Y & \end{array}$$

be a factorization with  $i$  a h.e.s. and  $p$  a fibration. I want to prove that

$$X_y \longrightarrow E_y$$

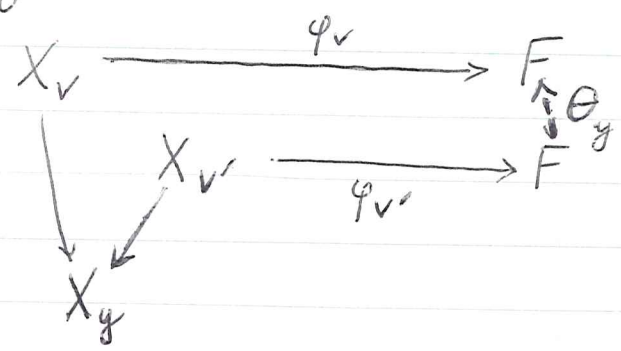
is a h.e.s. for all  $y$ . Can assume  $Y$  connected

Let  $F$  be a minimal complex with the homotopy type of  $X_y$ , and let  $G = \text{Aut}(F)$  be the simplicial group of its autos. I recall that because  $F$  is minimal, every h.e.s.  $F \rightarrow F$  is an auto, and conversely.

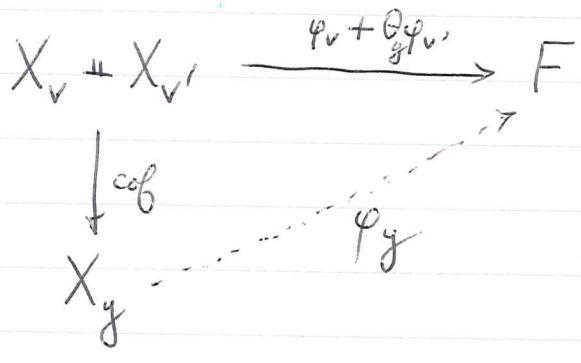
For each 0-simplex  $y \in Y_0$  choose a reg

$$\varphi_y : X_y \longrightarrow F$$

Let now  $y \in Y_1$  with faces  $d_0 y = v$ ,  $d_1 y = v'$ . Then we have reg's

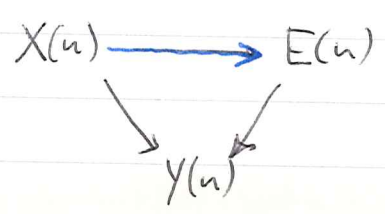


hence there is a dotted arrow  $\theta$  rendering the diagram homotopy commutative. Then we can solve

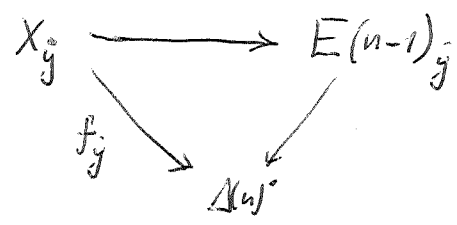


by the homotopy extension theorem. To continue this construction.

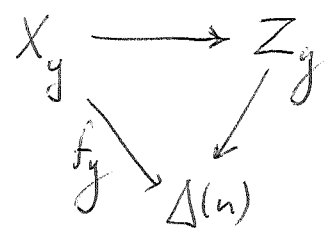
What I am really doing is constructing by induction a minimal fibration  $E(n)$  over the  $n$ -skeleton  $Y(n)$  of  $Y$  together with a homotopy equivalence



Consider the step from  $n-1$  to  $n$ . Let  $y$  be a  $n$ -simplex,  $f_y: \Delta(n)_y \rightarrow Y$  its boundary. Then



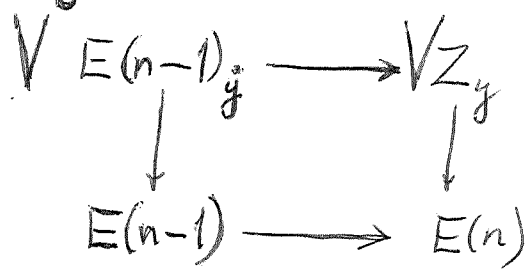
is a ~~to~~ minimal factorization of  $f_y$  (means horizontal arrow is a weg and  $\searrow$  is a minimal fibn). Let



be a minimal factorization of  $f_y$ . Then restricting to  $\Delta(n)_y$  gives a minimal fact. of  $f_y$ . Since minimal facts. are unique up to (non-canonical) isom, we have an isom

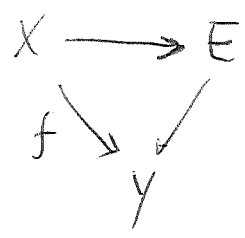
$$Z_y / \Delta(n)_y \cong E(n-1)_y$$

whence we can glue



$V$  being taken for each  $n$ th,  $n$ -simplex. Now it is necessary to show that  $X(n) \rightarrow E(n) \rightarrow Y(n)$  is a minimal factorization of  $f(n)$ . The point is that the second map is a twisted cartesian product with fibre  $F$ , hence ~~must be~~ (?) a fibration. The first map will be a weg by the Whitehead criterion ultimately.

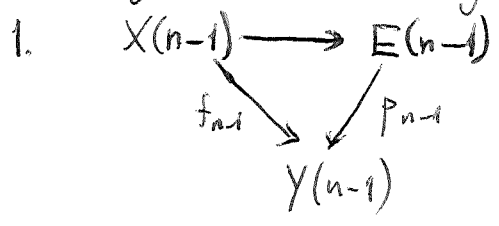
This should work and provide a minimal factorization



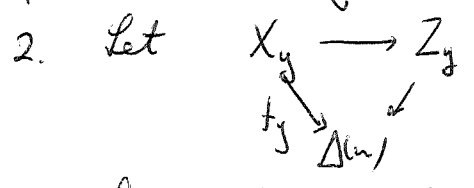
of  $f$ . Since the ~~induced~~ induced maps  $X_y \rightarrow E_y$  are heq's by construction.

~~The essential point of the above argument is why~~

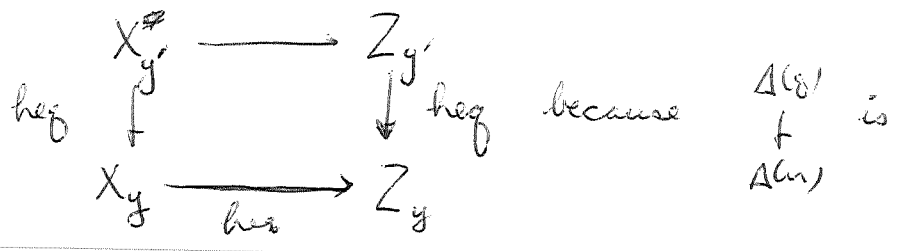
Steps of the above argument.



has been constructed so that  $p_{n-1}$  is a minimal fibration and such that the map on each fibre is an heq. It follows that that the horizontal map restricted to any subcomplex <sup>of  $Y^{(n-1)}$</sup>  is a heq.



be a minimal fact of  $f_y$ . Then for each  $y' \subset y$  we have





whence it follows that the restriction of  $X_y \rightarrow Z_y$  to any subcomplex of  $A(n)$  is an h.c. Thus

$$Z_y \cong E(n-1)_y$$

and we can enlarge  $E(n-1)$ .

---

Remark: The above probably suffices for the needs of your paper. But the problem remains - suppose  $f: C \rightarrow C'$  fibred and for all  $L$  on  $C$ ,  $R_{f*}^*(L)$  is locally constant on  $C'$ . Does it follow that  $C_y$  has the homotopy type of the  $h$ -fibre of  $H$  over  $y$ ?

$f: \mathcal{C} \rightarrow \mathcal{C}'$  fibred  $\Rightarrow R_{f*}^b(\text{loc. const}) \subset \text{loc. const.}$   
 does not seem to imply that the base  
 change functors are heg's.

June 14, 1972

Grothendieck's approach to the fundamental groupoid of a category  $\mathcal{C}$ :

Consider the ~~category~~ category of  $F: \mathcal{C}^{\circ} \rightarrow \text{sets}$  which transform all maps into isomorphisms. This category  $\mathcal{L}$  is the full subcategory of locally constant objects in the topos  $\mathcal{C}^{\wedge} = \underline{\text{Hom}}(\mathcal{C}^{\circ}, \text{sets})$ . Each object  $X$  in  $\mathcal{C}$  determines a fibre functor

$$\begin{array}{ccc} \mathcal{L} & \longrightarrow & \text{sets} \\ F & \longmapsto & F(X) \end{array}$$

and  $\underline{\pi}\mathcal{C}$  can be identified with the dual of the ~~category~~ full subcategory of  $\underline{\text{Hom}}(\mathcal{L}, \text{sets})$  consisting of the functors of the above form.

To see this we can define  $\underline{\pi}\mathcal{C}$  by localizing  $\mathcal{C}$  with respect to all its morphisms. Then ~~category~~

$$\mathcal{L} = \underline{\text{Hom}}(\underline{\pi}\mathcal{C}, \text{sets})^{\wedge}$$

and the inclusion of  $\mathcal{L}$  in  $\mathcal{C}^{\wedge}$  can be viewed as the inverse image for the morphism of topoi

$$\mathcal{C}^{\wedge} \longrightarrow \mathcal{L}$$

induced by the functor  $\mathcal{C} \rightarrow \underline{\pi}\mathcal{C}$ . Now points in  $\mathcal{C}^{\wedge}$  may be identified with  $\text{Pro}(\mathcal{C})$ , and since  $\underline{\pi}\mathcal{C}$  is

a groupoid,  $\text{Pro}(\underline{\mathbb{C}}) \cong \underline{\mathbb{C}}$ . Thus we can recover  $\underline{\mathbb{C}}$  as fibre functors on  $\mathcal{L}$ .

Let us now consider the functor

$$\mathcal{C}^{\bullet} \xrightarrow{u} \underline{\mathbb{C}}$$

and factor it in the standard way

$$\mathcal{C} \longrightarrow \tilde{\mathcal{C}} \longrightarrow \underline{\mathbb{C}}$$

where an object of  $\tilde{\mathcal{C}}$  is a triple  $(x, y, u(x) \rightarrow y)$ . Think of  $\underline{\mathbb{C}}$  as being the category of ~~pointed~~ 1-cov. coverings of  $\mathcal{C}$  and  $u$  as the functor assigning to  $x$  the <sup>pointed</sup> universal covering over  $x$ . Then we can view  $\tilde{\mathcal{C}}$  as being the fibred cat. over  $\mathcal{C}$  consisting of an  $x \in \mathcal{C}$ , a ~~1-cov.~~ covering  $y$ , and a point in the fibre of  $y$  over  $x$ . Clearly  $\tilde{\mathcal{C}}$  is equivalent to  $\mathcal{C}$ . (In general if we have a functor  $\mathcal{C} \rightarrow \mathcal{D}$  with  $\mathcal{D}$  a groupoid, then the category of  $(x, y, f(x) \rightarrow y)$  is equivalent to  $\mathcal{C}$ .)

On the other hand, the fibre of  $\tilde{\mathcal{C}}$  over  $y$  is simply the <sup>1-cov.</sup> covering category of  $\mathcal{C}$  defined by  $y$ .

Now suppose that we are given a functor

$$f: \mathcal{C} \longrightarrow \mathcal{C}'$$

~~Suppose that  $f$  is fibred and that the base change functors  $\mathcal{C}_y \rightarrow \mathcal{C}'_y$  are all eq's. We can~~

We can consider the full subcategory  $\mathcal{L}$  of  $\mathcal{C}^{\bullet}$  consisting

of those  $F$  which are locally in the image of  $f^*$ .  
 What this means is that for every  $X_0 \in \mathcal{C}$ , there exists a  $G: (\mathcal{C}'/fX_0) \rightarrow \text{sets}$  and an isomorphism

$$(*) \quad F(X) \xrightarrow{\sim} G(fX)$$

of functors on  $\mathcal{C}/X_0$ . Clearly this implies that when  $X \rightarrow X_0$  is  $\vartheta$   $fX \xrightarrow{\sim} fX_0$ , then  $F(X) \xrightarrow{\sim} F(X_0)$ . In particular  $F$  is locally constant on each fibre of  $f$ .

Conversely, suppose we are given  $F$  and  $X_0$  and we want to construct  $G$  so that  $(*)$  holds on  $\mathcal{C}/X_0$ . Assume  $f$  is fibred. Then given  $Y \in \mathcal{C}'/fX_0$ , say  $u: Y \rightarrow fX_0$ , put

$$G(Y) = F(u^*X_0).$$

Then because  $(uv)^* = v^*u^*$  it follows that  $G$  is a well-defined functor on  $\mathcal{C}'/fX_0$ . If  $F$  is locally constant on each fibre of  $f$ , then given  $X \in \mathcal{C}/X_0$  we have

$$\begin{array}{ccc} X & \xrightarrow{\alpha} & X_0 \\ \downarrow & \searrow & \uparrow \\ u^*X_0 & \xrightarrow{\quad} & X_0 \end{array}$$

$$fX \xrightarrow{u=f(x)} fX_0$$

and  $F(X) \xrightarrow{\sim} F(u^*X_0) = G(fX)$ , (better notation:

$$F(X) \xrightarrow{\sim} F(fX \times_{fX_0} X_0)$$

showing  $F$  is locally in the image of  $f^*$ .

Conclude: If  $f: C \rightarrow C'$  is fibred, then  $F \in C^\wedge$  is locally in the image of  $f^*$  iff  $F$  is locally constant on each fibre of  $f$ .

So now let  $L$  be the full subcat of  $C^\wedge$  consisting of these functors. Let  $\bar{C}$  denote the category obtained by inverting all of the arrows in  $C$  which become isomorphisms in  $C'$ , or equivalently inverting just the arrows in the fibres. Then we may identify

$$L = \bar{C}^\wedge$$

and the inclusion of  $L$  in  $C^\wedge$  is just the inverse image for the functor

$$C \rightarrow \bar{C}$$

It is clear that

$$\bar{C} \rightarrow C'$$

is fibred and the fibre over  $y$  is

$$\bar{C}_y = \underline{\underline{C}}_y.$$

(Actually, this requires a good proof. Intuitively the base change functor

$$C_y \rightarrow C_{y'}$$

will extend to a functor of groupoids

$$\underline{\underline{C}}_y \rightarrow \underline{\underline{C}}_{y'}$$

and the resulting fibred category in groupoids will clearly be

June 15, 1972

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$\bar{C}$ .

We can think of  $\square$  objects of  $\bar{C}$  as the 1-connected coverings of the  $\square$  fibres of  $f$ .  $g$  assigns to  $x \in C$  the pointed 1-connected covering with basepoint over  $X$ .

~~It follows from now on that all base change functors  $C_y \rightarrow C_{y'}$  are heq's.~~

Suppose now that  $f: C \rightarrow C'$  is fibred and that

(\*) for any local coeff system of sets (resp. grps, resp. ab. grps)  $L$  on  $C$ ,  ~~$R^0 f_*$~~   $R^0 f_*(L)$  is locally constant for  $q=0$  (resp.  $q \leq 1$ , resp. all  $q$ ). Here  $R^0 f_*$  is computed using covariant functors so that

$$R^0 f_*(L)_y = H^0(C_y, L).$$

I want then to conclude that <sup>all</sup> the base change functors  $C_y \rightarrow C_{y'}$  are heq's. I can assume  $C'$  is 1-connected by pulling back to any 1-conn. covering, as this doesn't change the fibres.

Now for any set  $S$  we have that  $f_*(S)$  is locally constant, hence for any  $y$

$$H^0(C', f_*(S)) \cong f_*(S)_y = H^0(C_y, S) = \text{Hom}(\pi_0 C_y, S)$$

$$\cong H^0(C, S) = \text{Hom}(\pi_0 C, S)$$

and so we conclude that  $\pi_0 C_y \cong \pi_0 C$  for all

y. ~~XXXXXXXXXXXXXXXXXXXX~~  
 We can suppose  $C$  is connected since  $\mathcal{F}$  is the sum of its restrictions to the components of  $C$  and since the  $R^i f_* (L)$  decompose. Then  $C_y$  is also connected.

Let  $F$  be a covering of  $C$ , i.e. a local coefficient system on  $C$  and suppose  $F$  has a section over  $C_y$ . Since  $f_* F$  is locally constant, hence constant

$$H^0(C_y, F) = (f_* F)_y \xleftarrow{\cong} H^0(C', f_* F) = H^0(C, F)$$

so the ~~section~~ section over  $C_y$  may be extended to all of  $C$ . This implies that

$$\pi_1(C_y, x) \longrightarrow \pi_1(C, x)$$

for any  $x$  in  $C_y$ . (Take  $F$  to be the covering defined by the  $\pi_1(C, x)$ -set  $\pi_1(C, x) / \text{Im } \pi_1(C_y, x)$ .)

Let  $\tilde{C} \xrightarrow{p} C$  be the universal covering of  $C$ ; it is fibred so the composite  $\tilde{C} \xrightarrow{p} C \xrightarrow{f} C'$  is fibred. It is clear that  $\tilde{C}_y = C_y \times_C \tilde{C}$  is the induced covering, ~~no~~ Now if  $L$  is a local system on  $C$ , then

$$R^i (fp)_* (L) = R^i f_* (p_* L)$$

is locally constant. If we succeed in establishing that the base change  $\tilde{C}_y \rightarrow \tilde{C}_{y'}$  is an hcg, it will follow that  $C_y \rightarrow C_{y'}$  is. (Our problem is that we have only  $H^*(C_{y'}, L) \xrightarrow{\cong} H^*(C_y, L)$ )

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for  $L$  which come from  $\mathcal{C}$  and not all local coeff. systems on  $\mathcal{C}_y$ .) Thus we may assume  $\mathcal{C}$  is 1-connected.

so we are now in the following situation.  $f: \mathcal{C} \rightarrow \mathcal{C}'$  is fibred,  $\mathcal{C}, \mathcal{C}'$  are 1-connected, and for all local coeff systems  $L$  on  $\mathcal{C}$ ,  $R^0 f_* (L)$  is loc. const.

Let  $u: y' \rightarrow y$  be an arrow in  $\mathcal{C}'$  ~~and~~ and

$$u^*: \mathcal{C}_y \rightarrow \mathcal{C}_{y'}$$

the base change functor. Let  $x \in \mathcal{C}_y$  and consider

$$\pi_1(\mathcal{C}_y, x) \rightarrow \pi_1(\mathcal{C}_{y'}, u^*x).$$

We have for any group  $G$  that  $R^1 f_* (G)$  is locally constant hence

$$\begin{array}{ccc} H^1(\mathcal{C}_{y'}, G) & \xrightarrow{\sim} & H^1(\mathcal{C}_y, G) \\ \parallel & & \parallel \\ \text{Hom}(\pi_1 \mathcal{C}_{y'}, G) & \xrightarrow{\sim} & \text{Hom}(\pi_1 \mathcal{C}_y, G) \end{array}$$

where the Hom's are taken in the category of groups up to inner automorphisms. Since this holds for all  $G$  we can conclude that

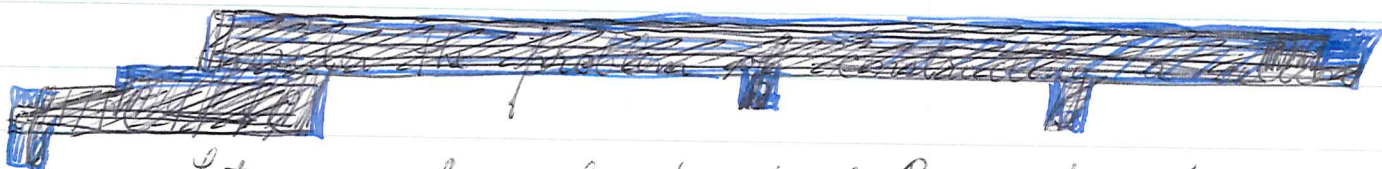
$$\pi_1(\mathcal{C}_{y'}) \xrightarrow{\sim} \pi_1(\mathcal{C}_y, u^*x).$$

Suppose we now consider the factorization of  $f$

$$\mathcal{C} \xrightarrow{g} \bar{\mathcal{C}} \xrightarrow{h} \mathcal{C}'$$



where  $\bar{C}$  is obtained from  $C$  by inverting the maps in the fibres. Then  $\bar{C}$  is fibred with  $\bar{C}_y = \underline{\pi} C_y$ . By what we have just shown the base changes  $C_y \rightarrow \bar{C}_y$  are equivalences of groupoids.



Let  $x_0$  be a basepoint of  $C$  and set

$$G = \pi_1(C_{x_0}, x_0)$$

For each  $y \in C'$  choose a principal  $G$ -bundle  $P_y \rightarrow C_y$  which is a universal covering. This is possible as we have shown  $\pi_1 C_y \cong G$ . For each map  $u: y' \rightarrow y$  in  $C$  there exists at least one covering map  $\theta_u$

$$\begin{array}{ccc} P_{y'} & \xrightarrow{\theta_u} & P_y \\ \downarrow & & \downarrow \\ C_{y'} & \xrightarrow{u^*} & C_y \end{array}$$

compatible with the action of  $G$ . Any two choices for  $\theta_u$  differ by ~~some~~ right multiplication by an element of the center  $Z$  of  $G$ . (In effect we only have to check this for autos. Any auto.  $\theta$  of  $P_y$  ~~is~~ is determined by its effect on the fibre over the basepoint and hence is a map  $P_{y,x} \rightarrow P_{y,x}$  compatible with left mult. by  $\pi_1(C_{y,x})$  and also right mult. by  $G$ . These ~~only~~ maps  $G \rightarrow G$  which commute with both left & right mult. are mult. by elements of  $Z$ .) Therefore we obtain a compatible family of covering maps.

$$\begin{array}{ccc} P_y/Z & \longrightarrow & P_{y'}/Z \\ \downarrow & & \downarrow \\ C_y & \longrightarrow & C_{y'} \end{array}$$

whence we obtain a <sup>principal</sup> covering of  $C$  with group  $G/Z$ .  
 Since  $\pi_1 C = 0$ , it follows this covering is trivial, so  
 restricting to the fibre  $C_{f(x_0)}$ , we see that  $G/Z = 0$ .  
 Thus  $G$  is abelian. So we conclude

$$\pi_1 C_y \text{ is abelian.}$$

Remark:  $\bar{C} \rightarrow C'$  is a gerb for the group  $G = \pi_1 C_y$ .  
 It is non-trivial, otherwise we would be able to find a  
 coherent system of  $P_y$  and hence construct a <sup>non-trivial</sup> covering  
 of  $C$ .

Now we have reached the following problem.  
 Consider the map  $C \xrightarrow{f} \bar{C}$  whose fibres are  
 essentially the universal coverings of the fibres of  $f$ .  
 given a map

$$\begin{array}{ccc} P_y & \longrightarrow & P_{y'} \\ \downarrow & & \downarrow \\ C_y & \longrightarrow & C_{y'} \end{array}$$

in  $\bar{C}$ , we know that  $H^*(C_{y'}, A) \xrightarrow{\sim} H^*(C_y, A)$   
 for all abelian groups  $A$ , but we don't know this for  
 all  $G$ -modules,  $G = \pi_1 C_y$ . Thus for example we  
 have

$$\begin{array}{ccccccccc}
 0 & \rightarrow & H^2(G, A) & \rightarrow & H^2(C_{y'}, A) & \rightarrow & H^2(P_{y'}, A) & \xrightarrow{G} & H^3(G, A) & \rightarrow & H^3(C_{y'}, A) \\
 & & \downarrow \cong & & \downarrow \cong & & \downarrow & & \downarrow \cong & & \downarrow \cong \\
 0 & \rightarrow & H^2(G, A) & \rightarrow & H^2(C_y, A) & \rightarrow & H^2(P_y, A) & \xrightarrow{G} & H^3(G, A) & \rightarrow & H^3(C_y, A)
 \end{array}$$

which shows that

$$(\pi_2 P_{y'})_G \xrightarrow{\sim} (\pi_2 P_y)_G.$$

~~Thus for example it appears that it~~

I don't see how to get anything better. Thus

$Rg_*(A)$   
 ~~$Rg_*(A)$~~  is some <sup>complex of</sup> covariant functors on  $\bar{C}$  such that  
 when pushed down to  $C$ , it becomes locally constant.

?

June 17, 1972

Let  $X \xrightarrow{f} Y$  be a finite radical surjective morphism of noetherian schemes. Then

$$f_* : \text{Mod}(X) \longrightarrow \text{Mod}(Y)$$

is exact and preserves dimension of the support, hence it induces a map of spectral sequences

$$E_{pq}^1 = \bigoplus_{\dim(x)=p} K_{p+q}(k(x)) \implies G_{p+q}(X)$$

$$E_{pq}^1 = \bigoplus_{\dim(y)=p} K_{p+q}(k(y)) \implies G_{p+q}(Y).$$

Recall that  $f$  is a universal homeomorphism and in particular ~~is~~ is a homeomorphism of  $X$  and  $Y$ .

For ~~any~~ each  $y \in Y$ ,  $\exists!$   $x \in X$  with  $f(x) = y$  and the extension  $k(y) \rightarrow k(x)$  is finite and purely inseparable.

Consider now a purely insep. field extension  $E/F$  of degree  $p^a$ , and let  $i: F \rightarrow E$  denote the inclusion. Then ~~there are no commutative squares~~  $g: F \rightarrow E$  for any  $E$ -module  $V$  we have

$$f_*^* V = E \otimes_F V = (E \otimes_F E) \otimes_E V$$

But we may filter  $E \otimes_F E$  by powers of the augmentation ideal ~~filtering~~  $I$ ;  $I^n/I^{n+1}$  is an  $E$ -module.

~~Thus~~ Thus on the level of  $K$ -groups

$$\begin{aligned} f_*^* f_* \alpha &= [\bigoplus I^n / I^{n+1}] \cdot \alpha \\ &= [E:F] \alpha. \end{aligned}$$

Also we have  $f_*^* f_* \alpha = [E:F] \alpha$  in general. Thus we see that  $f_*^*$  is ~~an~~ essentially an inverse to  $f_*$  once  $p$  gets inverted so

$$K_*(E)[p^{-1}] \xrightarrow{\sim} K_*(F)[p^{-1}].$$

So we conclude:

Proposition: If  $f: X \rightarrow Y$  is finite radicial surjective and if  $l$  is a prime number invertible on  $Y$ , then

$$f_* \text{ ~~is~~ } : G_*(X) \otimes \mathbb{Z}_{(l)} \xrightarrow{\sim} G_*(Y) \otimes \mathbb{Z}_{(l)}.$$

Cor: If  $Y$  is of char. 0, then  $G_*(X) \xrightarrow{\sim} G_*(Y)$ .

Conjecture:  $\mathcal{C}$  category  
 $P \rightarrow B$   $\mathcal{C}$ -torsor  $\ni$  fibres of  $P \rightarrow \text{Ob } \mathcal{C}$   
are contractible.  
 $X$  nice space (e.g. CW complex).

Then

$$[X, B] = \pi_0 \underline{\text{Tors}}(X, \mathcal{C})$$

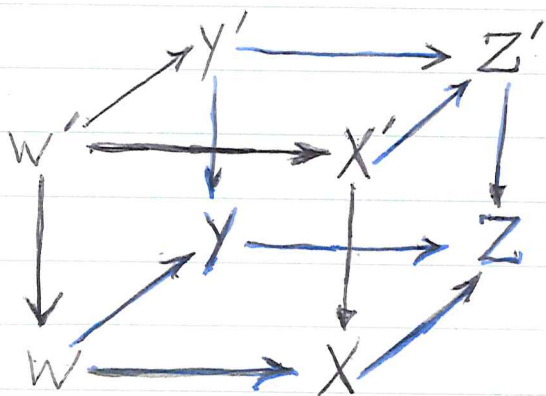
more generally

$$\underline{\text{Hom}}(X, B) = B \underline{\text{Tors}}(X, \mathcal{C})$$

↑  
category

June 21, 1972

Suppose we have a cube



such that (i) top and bottom are homotopy-cocartesian  
(ii) front and left side are homotopy-cartesian.

Then the back and the right side are homotopy-cartesian.

~~Proof. Can assume all objects are Kan cxs. (apply  $E_{\infty}$ ) and that all vertical arrows are fibrations (left factorization is functorial). Recall that a minimal factorization  $X \xrightarrow{i} Z \xrightarrow{p} Y$  of a fibration  $f$  is unique up to canonical ism. because  $i$  is a fibration with contractible fibre (see paper: Gen. real. of Kan fib. is a fibre fib.)~~

~~Proof.~~ Assume this for the moment, and suppose that we have a map  $X \rightarrow Y$  of simplicial spaces such that for every simplicial operation  $\Delta(p) \rightarrow \Delta(q)$  we have a h-cartesian square

$$\begin{array}{ccc}
 X_0 & \longrightarrow & X_p \\
 \downarrow & & \downarrow \\
 Y_0 & \longrightarrow & Y_p
 \end{array}$$

I want to show that

$$\begin{array}{ccc}
 X_0 & \longrightarrow & |X| \\
 \downarrow & & \downarrow \\
 Y_0 & \longrightarrow & |Y|
 \end{array}$$

is homotopy-cartesian.

~~The idea is to ~~define~~ inductively construct  $|X| \rightarrow |Y|$ .  
 Denote by  $X^{(n)}, Y^{(n)}$  the  $n$ -skeleton of  $X, Y$ . Suppose we  
 know that~~

Philosophy of simplicial sets - especially their skeletal description.



June 22, 1972. (32 years old)

Given categories and functors

$$\begin{array}{ccc} X & \xrightarrow{i} & E \\ & \searrow f & \swarrow p \\ & Y & \end{array}$$

such that (i)  $f, p$  are fibred ~~and~~ <sup>and</sup> all base change functors <sup>are</sup> heq's, (ii)  $i$  heq. To prove by

$$X_y \longrightarrow E_y$$

is a heq.

Can assume  $Y$  1-conn.

Can assume  $X, E$  connected, whence fibres  $X_y, E_y$  are connected. ~~How~~

Can assume  $X, E$  1-connected. In effect if  $\tilde{X} \rightarrow X$  is a 1-connected covering, then  $\tilde{X}_y \rightarrow \tilde{X}_y$  is the universal covering of  $\tilde{X}_y \rightarrow X_y$ , hence is a heq. And if we show  $\tilde{X}_y \rightarrow E_y$  is an heq for all  $y$  it will follow that  $X_y \rightarrow E_y$  is also.

Now we know ~~from~~ from previous work that the fundamental group of the fibre  $X_y$  is abelian and fits into an exact sequence

$$\square \quad H_2 X \longrightarrow H_2 Y \longrightarrow \pi_1 X_y \longrightarrow 0$$

Thus  $X_y \rightarrow E_y$  induces an iso. of  $\pi_1$ . Next we can

factor  $f$  and  $p$ :

$$\begin{array}{ccc}
 X & \xrightarrow{i} & E \\
 f' \downarrow & & \downarrow p' \\
 \bar{X} & \xrightarrow{\bar{i}} & \bar{E} \\
 & \searrow k & \swarrow q \\
 & & Y
 \end{array}$$

by inverting morphisms along the fibres. We can think of an object of  $\bar{X}$  as being a 1-connected covering of a fibre of  $f$ . Then  $\bar{i}$  is an equivalence of categories, because both  $k, q$  are fibred ~~with~~ in groupoids with ~~non~~ equivalent fibres. Finally we note that when we ~~make~~ ~~make~~  $f'$  ~~is~~ fibred in the standard way, then ~~the~~ the base change

$$X_z \longrightarrow X_{z'}$$

associated to a map  $z' \longrightarrow z$  in  $\bar{X}$  is essentially the map of coverings

$$\begin{array}{ccc}
 X_z & \longrightarrow & X_{z'} \\
 \downarrow & & \downarrow \\
 X_y & \longrightarrow & X_{y'}
 \end{array}$$

so  $X_z \longrightarrow X_{z'}$  is a heg. ~~the~~ similarly for  $p'$ . Thus up to equivalence we can write the above in the form

$$\begin{array}{ccc}
 X & \xrightarrow{\alpha} & E \\
 & \searrow f' & \swarrow p' \\
 & & Z \\
 & & \downarrow k \\
 & & Y
 \end{array}$$

where ~~the same conditions~~  $f'$  and  $p'$  satisfy the same conditions. Thus we reduce to the case where the fibres of  $X$  and of  $E$  are 1-connected. At this point we need only show that  $X_y \rightarrow E_y$  induces an isom. on ~~the~~ integral cohomology.

Now one can use either the Zeeman theorem, or argue with  $D_{\text{co}}$  as you did before to show that  $Rf_{*}(L) \rightarrow Rp_{*}(L)$  must be an isom. in  $D_{\text{co}}(Y)$ .

June 25, 1972:  $p$ -adic skew fields

$K$  locally compact field  
 $\mu$  Haar measure for  $K^+$

Then put for  $a \in K$

$$\text{mod}(a) = \frac{\mu(aX)}{\mu(X)}$$

for any measurable  $X \ni 0 < \mu(X) < \infty$ , eg.  $X$  compact abds. of  $\mathcal{O}$ .

$$\text{mod}(ab) = \text{mod}(a) \cdot \text{mod}(b)$$

~~$\text{mod}(x) = |x|$  on  $\mathbb{R}$   
 $\text{mod}(x) = |x|^2$  on  $\mathbb{C}$   
 $\text{mod}(x) = |x|_p$  on  $\mathbb{Q}_p$~~

Observe that  $\text{mod}(x) = |x|$  on  $\mathbb{R}$

$$\text{mod}(x) = |x|^2 \text{ on } \mathbb{C}$$

$$\text{mod}(x) = |x|_p \text{ on } \mathbb{Q}_p$$

i.e. one gets the good absolute values for the product formula. In general, if  $V$  is a vector space of  $\dim n$  over  $K$ , then

$$\text{mod}_V(a) = \text{mod}_K(a)^n \quad a \in K.$$

Now suppose  $K$  totally disconnected, and let  $R = \{x \in K \mid \text{mod}(x) \leq 1\}$  be the maximal compact subring, let  $P = \{x \mid \text{mod}(x) < 1\}$  be the maximal ideal. Then  $R/P$  is a finite field, say it has  $q = p^f$  elements. If  $\pi$  is an element of  $R$  of max module, clearly  $P = R\pi = \pi R$  has index  $q$  so

$$\text{mod}(\pi) = \frac{1}{q}.$$

Now define  $\omega: R^\times \rightarrow R$  by

$$\omega(x) = \lim_n x \delta^n.$$

Clearly  $\omega(x) = 0 \iff x \in P$ . If  $x \in R^\times = R - P$ , then  $x \delta^{-1} \equiv 1 \pmod{P}$ .

~~Clearly  $\omega(x) = 0 \iff x \in P$ . If  $x \in R^\times = R - P$ , then  $x \delta^{-1} \equiv 1 \pmod{P}$ .~~

Now if  $u \equiv 1 \pmod{P^2}$  then

$$u^p = (1 + (u-1))^p = 1 + p(u-1) + \binom{p}{2}(u-1)^2 + \dots + (u-1)^p$$

$$\in 1 + pP^2 + P^{2p} \subset 1 + P^{2+1}.$$

Thus

$$x^{(q-1)\delta^n} \in P^{2+1}$$

i.e.  $x \delta^n \equiv x \delta^{n+1} \pmod{P^{2+1}}$  and so  $\omega(x)$  is well defined.

$$\text{Clearly } \omega(x) \delta^n = \omega(x)$$

whence

$$\text{Im}(\omega) = \{x \in R^\times \mid x \delta = x\}.$$

Clearly  $\text{Im}(\omega)$  is the subset of all elements of  $R^\times$  of order prime to  $p$ .

Question: Let  $A$  be a d.v.r. quotient field  $K$ , let  $D$  be a field ~~finite~~ fin. central /  $K$ . Is  $\{x \in D \mid x \text{ integral over } A\}$  a subring of  $D$ ? (It would then be the maximal order in  $D$ .)

Note: Exact sequence

$$1 \longrightarrow (1+P) \longrightarrow R^\times \longrightarrow (R/P)^\times \longrightarrow 1$$

$\begin{array}{ccc} \text{pro } p & & \text{cyclic order} \\ \text{group} & & p-1 \end{array}$

shows that  $R^\times$  and  $(R/P)^\times$  have same mod  $l$  cohomology  $l \neq p$ . This suggests that if  $L$  is an unramified splitting field for  $K$ , then

$$GL_n(L) \longrightarrow GL_n(K)$$

should induce an isomorphism on mod  $l$  cohomology. Try to prove via the building.

Question: What are the forms of  $GL_n$  over local and global fields? More precisely, suppose  $\Lambda$  is ~~an algebra~~ a semi-simple algebra over a field  $K$ , what is the algebraic group

$$R \longmapsto \text{~~algebraic group~~} (\Lambda \otimes_K R)^\times ?$$

June 29, 1972

# homotopy theory of cats.

1.  $\mathcal{C}$  small category,  ~~$\mathcal{C}^{\wedge}$  = the category of presheaves on  $\mathcal{C}$~~   
 $\mathcal{L}$  = full subcategory of  $\mathcal{C}^{\wedge}$  consisting of locally constant  $F$ , i.e.  $F(u)$  iso. for all  $u$  in  $\mathcal{C}$ . Let  $\pi\mathcal{C}$  = localization of  $\mathcal{C}$  w.r.t all arrows. Then  
$$\mathcal{L} \cong (\pi\mathcal{C})^{\wedge}$$

More precisely, this ~~isomorphism~~<sup>equivalence</sup> is given by  $\gamma^*$ , where  $\gamma: \mathcal{C} \rightarrow \pi\mathcal{C}$  is the canonical functor.

We have adjoint functors

$$\begin{array}{ccc} & \xrightarrow{\gamma_!} & \\ \mathcal{C}^{\wedge} & \xleftrightarrow{\gamma^*} & \mathcal{L} \\ & \xrightarrow{\gamma_*} & \end{array}$$

which signifies for any  $F \in \mathcal{C}^{\wedge}$ , there exist ~~universal~~ universal arrows

$$F \longrightarrow \gamma_! F$$

$$\gamma_* F \longrightarrow F$$

to and from a locally constant sheaf. Formulas

$$(\gamma_! F)(x) = H_0(P_x, F)$$

~~Formulas~~  $(\gamma_* F)(x) = H^0(P_x, F)$

where  $P_x \rightarrow \mathcal{C}$  is the pointed universal covering with basepoint over  $x \in \mathcal{C}$ .

I want to make this more precise, so it is necessary to understand  $\pi\mathcal{C}$ . Given  $x \in \mathcal{C}$ , it determines a functor

$$\mathcal{L} \longmapsto \mathcal{L}(x)$$

from  $L$  to Sets which is left exact and commutes with ind limits, hence is a point in  $L$ , which we know is a pro-object in  $\pi\mathcal{C}$ , hence representable as  $\pi\mathcal{C}$  is a groupoid. Thus we have that  $L \mapsto L(x)$  is representable:

$$L(x) = \text{Hom}_L(P_x, L)$$

~~Theorem~~ If  $x \rightarrow y$ , then  $L(y) \rightarrow L(x)$ , hence  $P_x \rightarrow P_y$  so we obtain a functor

$$\mathcal{C} \longrightarrow \text{Set}$$

We may identify  $\pi\mathcal{C}$  with the full sub-category of  $P_x$  in  $L$ , and  $\mathcal{X}$  with the functor  $x \mapsto P_x$ .

Now

$$(\mathcal{X}_* F)(y) = \varprojlim_{x \rightarrow y} F(x) = H^0(\mathcal{X}/y, F)$$

where  $\mathcal{X}/y$  is the fibred category over  $\mathcal{C}$  defined by the "universal covering"  $y$ . It should be mentioned that any  $L$  is essentially equivalent to a bifibred category with discrete fibres over  $\mathcal{C}$ . Thus  $P_x$  can be interpreted as "the" universal pointed covering with basepoint over  $x$ . Thus  $\mathcal{X}/y$  equivalent to  $y \backslash \mathcal{X}$ .

$$\begin{aligned} (\mathcal{X}_! F)(y) &= \varinjlim_{y \rightarrow x} F(x) = H^0_!(y \backslash \mathcal{X}, F) \\ &= H^0_!(\mathcal{X}/y, F). \end{aligned}$$



Summary: coverings of  $C$ , locally constant sheaves in  $C^\wedge$   
 universal covering  $P_x, x \in C$ .  
 fundamental groupoid  $\pi C$ .  
 adjoint functors

$$C^\wedge \begin{matrix} \xrightarrow{\gamma_!} \\ \xleftarrow{\gamma_*} \\ \xrightarrow{\gamma_*} \end{matrix} L$$

2. Let  $D(C) =$  derived cat of  $C^\wedge$  and  $D_{lc}(C)$  the full subcat consisting of complexes  $E$  such that  $H^q E \in L$  for all  $q$ . To show that ~~the~~ the inclusion functor

$$D_{lc}(C) \xrightarrow{i} D(C)$$

~~the~~ admits left and right adjoints defined at least as follows:

~~$$D(C) \begin{matrix} \xrightarrow{i_!} \\ \xleftarrow{i_*} \end{matrix} D_{lc}(C)$$~~

$$D(C)^- \xrightarrow{i_!} D_{lc}(C)^-$$

$$D(C)^+ \xrightarrow{i_*} D_{lc}(C)^+$$

~~the~~

~~the~~

We consider the case of  $i_*$ . Given  $F \in D_{lc}(C)^+$

we wish to find  $E^\bullet \in D_{lc}(\mathcal{C})^+$  together with a map  $E^\bullet \rightarrow F^\bullet$  such that

$$\text{Hom}(L^\bullet, E^\bullet) \xrightarrow{\sim} \text{Hom}(L^\bullet, F^\bullet)$$

for all  $L^\bullet \in D_{lc}(\mathcal{C})$ .

a) Reduction to case  $L^\bullet = L[n]$  with  $L \in \mathcal{L}, n \in \mathbb{N}$ :

$$\text{If } \mathcal{H}^g(L^\bullet) = 0 \quad g > m, \quad \mathcal{H}^g(E^\bullet) = 0 \quad g \leq m$$

then  $\text{Hom}(L^\bullet, E^\bullet) = 0$ . Thus can assume  $L^\bullet \in D_{lc}(\mathcal{C})^+$  by using triangle:

$$L_{\leq m}^\bullet \rightarrow L^\bullet \rightarrow L_{> m}^\bullet$$

Milnor exact sequence

$$0 \rightarrow R^1 \varprojlim_n \text{Hom}^{-1}(L_{\leq n}^\bullet, E^\bullet) \rightarrow \text{Hom}(L^\bullet, E^\bullet) \rightarrow \varprojlim_n \text{Hom}(L_{\leq n}^\bullet, E^\bullet) \rightarrow 0$$

+ five lemma reduce us to case  $L^\bullet \in D_{lc}(\mathcal{C})^b$ .  
Now use Postnikov decompose of  $L^\bullet$  into  $\mathcal{H}^n(L^\bullet)[-n]$ .

b) Inductive construction of  $E_{\leq n}^\bullet \in D_{lc}(\mathcal{C})^b$  & map  $E_{\leq n}^\bullet \rightarrow F^\bullet$  such that

$$\text{Hom}^g(L_{\leq n}^\bullet, E_{\leq n}^\bullet) \xrightarrow{\sim} \text{Hom}^g(L_{\leq n}^\bullet, F^\bullet) \quad L = L[0]$$

for all  $g < n$  and  $\hookrightarrow$  for  $g = n$ . For  $n \ll 0$  take  $E_{\leq n}^\bullet = 0$ . Assuming  $E_{\leq n-1}^\bullet$  ~~exists~~ <sup>exists</sup> form triangle

$$K^\bullet \rightarrow E_{\leq n-1}^\bullet \rightarrow F^\bullet$$

whence

~~$\text{Hom}^g(L, K^\circ) = 0$~~

$$\dots \xrightarrow{\delta} \text{Hom}^g(L, K^\circ) \longrightarrow \text{Hom}^g(L, E_{\leq n-1}^\circ) \longrightarrow \text{Hom}^g(L, F^\circ)$$

so

$$\text{Hom}^g(L, K^\circ) = 0 \quad g \leq n-1.$$

Thus

$$L \mapsto \text{Hom}^n(L, K^\circ)$$

is left exact, and as it sends sums to products it is representable:

$$(*) \quad \text{Hom}_{\mathcal{L}_{ab}}(L, L_n) = \text{Hom}^n(L, K^\circ).$$

In fact

$$L_n(x) = \text{Hom}_{\mathcal{L}_{ab}}(\mathbb{Z}P_x, L_n) = \text{Hom}^n(\mathbb{Z}P_x, K^\circ)$$

is an explicit formula for  $L_n$ .

~~Meaning of (\*):  $\exists$  canon. elt.  $\chi_n: L[-n] \rightarrow K^\circ$~~   
~~such that~~

$$\begin{array}{ccc}
 & L[-n] & \\
 \exists! \downarrow & \searrow & \\
 L_n[-n] & \longrightarrow & K^\circ
 \end{array}$$

~~(note  $\text{Hom}^g(L[-n], L'[-n]) = \text{Hom}_{\mathcal{L}_{ab}}^g(L, L')$ ).~~

~~Thus we have a map  $L[-n] \rightarrow F^\circ$  such that~~

(\*)  $\Rightarrow \exists$  canon. map  $L_n[-n] \rightarrow K^\circ$  such that

$$(1) \quad \text{Hom}_{\mathcal{L}_{ab}}(L, L_n[-n]) \xrightarrow{\sim} \text{Hom}_{D(\mathcal{C})}^n(L, L[-n]) \xrightarrow{\sim} \text{Hom}_{D(\mathcal{C})}^n(L, K^\circ).$$

Consider the morphism of coh. functors of  $L \in \mathcal{L}$

$$\text{Hom}_{D(\mathcal{C})}^{n+g}(L, L_n[-n]) \longrightarrow \text{Hom}_{D(\mathcal{C})}^{n+g}(L, K^\circ)$$

induced by this map. We know it is an isom for  $g=0$ . Moreover

$$\begin{aligned} \text{Hom}_{D(\mathcal{C})}^{n+1}(L, L'_n[-n]) &= \text{Hom}_{D(\mathcal{C})}^1(L, L') \\ &= \text{Ext}_{\mathcal{C}_{ab}}^1(L, L') \cong \text{Ext}_{\mathcal{L}_{ab}}^1(L, L') \end{aligned}$$

because any extension of locally const. functors is locally constant; thus for  $g=1$  the left functor is effaceable so we conclude

$$(2) \quad \text{Hom}_{D(\mathcal{C})}^{n+1}(L, L_n[-n]) \hookrightarrow \text{Hom}_{D(\mathcal{C})}^{n+1}(L, K^\circ)$$

by general facts about coh. functors.

Let us now form cones on the maps  $L_n[-n] \rightarrow K^\circ$ ,  $K^\circ \rightarrow E$  and their composite, getting triangles

$$\begin{array}{ccccc} L_n[-n] & \longrightarrow & K^\circ & \longrightarrow & C^\circ \\ \parallel & & \downarrow & & \downarrow \\ L_n[-n] & \longrightarrow & E_{\leq n-1} & \longrightarrow & E_{\leq n} \\ & & \downarrow & & \downarrow \\ & & F^\circ & = & F^\circ \end{array}$$

by octahedral axiom. From (1) and (2) we get

$$\text{Hom}^q(L, C^\circ) = 0 \quad q \leq n$$

so  $\text{Hom}^q(L, E_{\leq n}^\circ) \rightarrow \text{Hom}^q(L, F^\circ)$

isom.  $q < n$   
inj.  $q = n$

completing the induction.

c) Take  $E^\circ = \varinjlim E_{\leq n}$ . Milnor ex. seq  $\Rightarrow \exists E^\circ \rightarrow F^\circ$  and now done.

YOGA: Pretend there is a functor  $i: C \rightarrow C'$  such that  $i^*$  is equivalent to the inclusion of  $D_{lc}(C)$  in  $D(C)$ . ~~There~~ (such a cat.  $C'$  does not usually exist; but perhaps it exists as a  $\infty$ -category). Then  $i_*: D(C) \rightarrow D_{lc}(C)$  is just the functor constructed above

### 3. Non-abelian variations on the preceding.

Given a functor  $f: \mathcal{C} \rightarrow \mathcal{C}'$  and a sheaf of groups  $G$  on  $\mathcal{C}$  let  ~~$R^i f_*(G)$~~   
 ~~$R^i f_*(G)$~~   $R^i f_*(G)$

be the sheaf in groupoids over  $\mathcal{C}'$  whose stalk at  $y$  is  ~~$R^i f_*(G)_y$~~

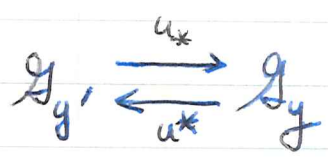
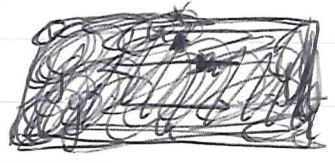
$$R^i f_*(G)_y = \underline{\text{Tors}}(f/y, G).$$

More generally, this definition <sup>makes sense</sup> if  $G$  is replaced by a sheaf in groupoids  $\mathcal{G}$  on  $\mathcal{C}$ . (sheaf in groupoids = fibred category whose ~~stalks~~ fibres are groupoids.)

Question: Let  $\mathcal{G}$  be a fibred category in groupoids over  $\mathcal{C}$  and assume that  $u^*: \mathcal{G}_{y'} \rightarrow \mathcal{G}_y$  is an equivalence of categories for all arrows  $u$  in  $\mathcal{C}$ . It is equivalent that  $\mathcal{G}$  ~~be~~ also be cofibred over  $\mathcal{C}$ . (In effect then for  $x$  over  $y$ ,  $x'$  over  $y'$

$$\text{Hom}(u_* x', x)_{id_y} \simeq \text{Hom}(x', x)_u \simeq \text{Hom}(x', u^* x)_{id_{y'}}$$

so



are adjoint functors, necessarily equivalences as the categories are groupoids.

The question is whether  $\mathcal{G}$  is equivalent to the inverse image of a ~~sheaf~~  $\mathcal{G}'$  over  $\pi\mathcal{C}$ .

Answer: NO. Example: Suppose that  $C$  is 1-connected with  $H^2(C, A) \neq 0$  for some abelian group  $A$ . Taking a non-zero element of  $H^2(C, A)$ , it classifies a gerb  $\mathcal{G}$ , which is a fibred category in connected groupoids, each fibre being equivalent to  $A$ . Thus it is bifibred. (Moral: A gerb whose lien is locally constant will be bifibred.) But  $\pi C$  is equivalent to the punctual category, hence  $\mathcal{G}$  can't come from  $\pi C$ .

~~Question: Let  $\mathcal{G}$  be a sheaf of groupoids over  $C$ , and let  $\gamma: C \rightarrow \pi C$  be the canonical functor. Is there an equivalence  $\text{Hom}(\mathcal{G}, \gamma^* R^{\leq 1} \gamma_*(\mathcal{G})) = \text{Hom}(\mathcal{G}, \mathcal{G})$  for all bifibred  $\mathcal{G}$ ?~~

Question: Let  $\mathcal{G}$  be a ~~sheaf of groupoids~~ fibred cat. in groupoids over  $C$  and let  $\mathcal{L}$  be a bifibred cat. in groupoids. If  $\gamma: C \rightarrow \pi C$  is the canon. functor, then  $\gamma^* R^{\leq 1} \gamma_*(\mathcal{G})$  should be a bifibred cat. in groupoids over  $\pi C$  and there should be a canon. functor

$$\gamma^* R^{\leq 1} \gamma_*(\mathcal{G}) \longrightarrow \mathcal{G}$$

The question is whether this induces an equivalence

$$\text{Hom}(\mathcal{L}, \gamma^* R^{\leq 1} \gamma_*(\mathcal{G})) \longrightarrow \text{Hom}(\mathcal{L}, \mathcal{G}).$$