

obsolete, June 15, 1969

Decomposition theorem  $\Omega = L \otimes_{LT} BP$ , where  $BP = LT \otimes_L \Omega$ .

In the following we work over  $\mathbb{Z}_p$ .  $L$  Lazard ring,  $F_u$  canonical group law. If  $F$  is a group law over a  $\mathbb{Z}_p$ -algebra  $R$ , let  $c(F)$  be the Cartier coordinate change so that  $c(F)*F$  is typical. Let  $LT$  be the Lazard ring for typical laws,  $F_t$  the canonical typical law. Then we have maps

$$\begin{array}{ccccc} L & \xrightarrow{\pi} & LT & \xrightarrow{i} & L \\ F_u & \longmapsto & F_t & \longmapsto & c(F_u)*F_u \end{array}$$

such that

$$\pi i = id_{LT} \quad \text{since}$$

$$\pi(c(F_u)*F_u) = c(F_t)*F_t = F_t.$$

Let  $BP(X) = LT \otimes_L \Omega(X)$ . Then ~~BP~~ for any standard theory  $\Gamma$  (splitting principle) with  $F_\Gamma$  typical we have a unique morphism  $BP \rightarrow \Gamma$ . ~~If~~ If  $F_\Gamma$  not nec. typical, set

$$\bar{F}_\Gamma = c(F_\Gamma)$$

and introduce a new Gysin homomorphism on  $\Gamma$  by

$$f_*^{\Gamma!} x = f_*^\Gamma (\bar{F}_\Gamma(\nu_f) x).$$

Then

$$c_i^{\Gamma!}(L) = \bar{F}_\Gamma(c_i^\Gamma(L))$$

so

$$F_{\Gamma!} = \bar{F}_\Gamma * F_\Gamma = c(F_\Gamma) * F_\Gamma \quad \text{is typical}$$

and so  $\exists$  a unique <sup>(natural ring)</sup> homomorphism  $\alpha: BP \rightarrow \Gamma!$  with

$$\alpha(f_*^{BP} x) = f_*^\Gamma(\tilde{\zeta}_\Gamma(\nu_f) \cdot \alpha(x)).$$

Taking  $\Gamma = \Omega$  we have a map

$$i: BP \rightarrow \Omega$$

$$i(f_*^{BP} x) = f_*^\Omega(\tilde{\zeta}_\Omega(\nu_f) \cdot \alpha(x)).$$

so we have a diagram

$$\begin{array}{ccccc}
 F_u & & F_t & & c(F_u) * F_u \\
 \downarrow \theta & \xrightarrow{\pi} & \downarrow \theta & \xrightarrow{i} & \downarrow \theta \\
 \Omega(?) & \xrightarrow{\pi} & BP(?) & \xrightarrow{i} & \Omega(?) \\
 F_\Omega & & F_{BP} & & c(F_\Omega) * F_\Omega = F_\Omega!
 \end{array}$$

which commutes in virtue of ~~the~~ where the group laws go.

The first square is cartesian by definition; also

$$i\pi f_*^{\Omega} x = f_*^\Omega(\tilde{\zeta}_\Omega(\nu_f) i\pi x)$$

Thus

$$i\pi = \hat{\zeta}_\Omega$$

Moreover

$$\pi i\pi f_*^\Omega x = f_*^\Omega(\pi \tilde{\zeta}_\Omega(\nu_f) \cdot \pi i\pi x)$$

and

$$\pi \tilde{\zeta}_\Omega(L) = \pi(\tilde{\zeta}_\Omega(c_1^\Omega(L))) = (\pi \tilde{\zeta}_\Omega)(c_1^{BP} L) = 1$$

Since

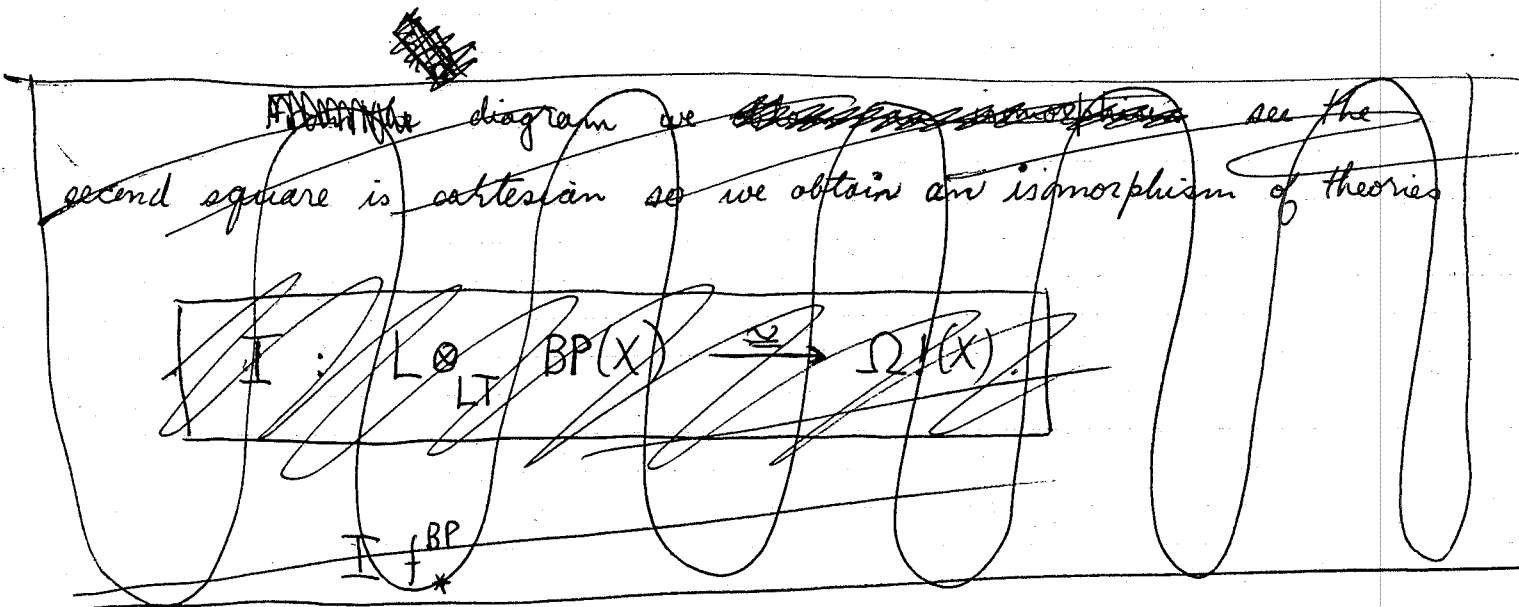
$$\pi \tilde{\zeta}_\Omega = \pi c(F_\Omega) = \pi \theta c(F_u) = \theta \pi c(F_u) = \text{the power series } (\theta 1)x. \Rightarrow \pi \tilde{\zeta}_\Omega = 1.$$

Therefore  $\pi \hat{\pi} = \hat{\pi} = \pi$  so as  $\pi$  is surjective

$$\pi \hat{\pi} = \text{id}_{BP}$$

so  $BP$  is a retract of  $\Omega$  and therefore comes from a spectrum.

Also  $\hat{\pi}_\Omega$  is an idempotent endomorphism of  $\Omega$ .



Let

$$Q! = L \otimes_{LT} BP$$

with  $f_*^{Q!}$  the  $k$ -linear extension of  $f_*^{BP}$ . Let  $Q$  be the theory corresponding to  $Q!$ . Thus

$$f_*^Q x = f_*^{Q!} (\tilde{\chi}_{Q!}(V_f) x)$$

where  $\tilde{\chi}_{Q!}(L) = \chi_{Q!}(c_1^{Q!}(L))$

$$\tilde{\chi}_{Q!} = (\tilde{\chi}_Q)^{-1}$$

Let

$$Q(X) = L \otimes_{LT} BP(X)$$

so that there is a cocartesian square

$$\begin{array}{ccc} LT & \xrightarrow{i} & L \\ \downarrow \theta & & \downarrow in_1 \\ BP(X) & \xrightarrow{in_2} & Q(X) \end{array}$$

and a map  $I: Q(X) \rightarrow \Omega(X)$  given by  $I in_1 = \theta$ ,  $I in_2 = i$ .

$Q(X)$  is a contravariant functor. Introduce the Gysin homomorphism  $f_*^\#$  as the  $L$ -linear extension of  $f_*^{BP}$  i.e.

$$\begin{cases} f_*^\# in_2 = in_2 f_*^{BP} \\ f_*^\# in_1 = in_1 \end{cases}$$

Now let

$$\bar{\chi} = in_1 c(F_u)^{-1} \in Q[[X]] \text{ and}$$

define

$$f_*^Q(x) = f_*^\#(\bar{\chi}(v_f)x).$$

lemma: (i)  $F_Q = in_1 F_u$

(ii)  $f_*^{Q!} = f_*^\#$

(iii)  $I f_*^Q = f_*^Q I$ .

Proof: (i)  $c_1^Q(L) = L^* L_*^Q 1 = L^* c_*^\#(\bar{\chi}(L)) = c_1^\#(L) \chi(c_1^\# L)$   
 $= \bar{\chi} c_1^\#(L) = \bar{\chi}(in_2 c_1^{BP} L)$

Therefore

$$\begin{aligned}
 F_Q &= \bar{\chi} * \text{in}_2 F_{BP} \\
 &= \bar{\chi} * \text{in}_2 \Theta F_t \\
 &= \bar{\chi} * \text{in}_1 (c(F_u) * F_u) \\
 &= \text{in}_1 c(F_u)^{-1} * (\text{in}_1 c(F_u) * \text{in}_1 F_u) \\
 &= \text{in}_1 F_u.
 \end{aligned}$$

(ii).  $\bar{\xi}_Q = c(F_Q) = \text{in}_1 c(F_u) = \bar{\chi}^{-1}$

$$f_*^{Q!} x \stackrel{\text{def}}{=} f_*^Q (\tilde{\xi}_Q(\nu_f) \cdot x) = f_*^\# (\tilde{\chi}(\nu_f) \tilde{\xi}_Q(\nu_f) x)$$

But

~~$$L \mapsto \tilde{\chi}(L) \tilde{\xi}_Q(L) = \chi(c_1^\# L) \cdot \tilde{\xi}_Q(c_1^\# L)$$~~

$$L \mapsto \tilde{\chi}(L) \tilde{\xi}_Q(L) = \chi(c_1^\# L) \cdot \tilde{\xi}_Q(\bar{\chi} c_1^\# L)$$

$$= \chi(c_1^\# L) \tilde{\xi}_Q(\bar{\chi} c_1^\# L)$$

is the operation associated to

$$\chi(X) \tilde{\xi}_Q(\bar{\chi}(X)) = \frac{\tilde{\xi}_Q(\bar{\chi}(X))}{X} = 1.$$

$$\therefore f_*^{Q!} = f_*^\#$$

(iii)

~~$I f_*^Q x = I f_*^\# (\tilde{\chi}(\nu_f) x)$~~  We know that  $I: Q! \rightarrow \Omega$  is a morphism of  ~~$f_*^Q$~~  theories hence  ~~$I: Q! \rightarrow \Omega$~~  <sup>also</sup>  $I: Q \rightarrow \Omega$  must be.

$$\begin{aligned}
 I f_*^Q x &= I f_*^\# (\tilde{\chi}(\nu_f) x) = f_*^{\Omega!} (I(\tilde{\chi}(\nu_f)) \cdot Ix) \\
 &= f_*^{\Omega!} (\tilde{\xi}_Q(\nu_f) \cdot I(\tilde{\chi}(\nu_f)) \cdot Ix) \quad I \tilde{\xi}_Q(\nu_f) / \tilde{\chi}(\nu_f)
 \end{aligned}$$

Claim I:  $Q \xrightarrow{\sim} \Omega$ . In fact given  $\Gamma$  one gets a diagram

$$\begin{array}{ccc}
 LT & \xrightarrow{i} & L \\
 \downarrow \theta & & \downarrow \theta \\
 BP & \xrightarrow{\lambda} & \Gamma!
 \end{array}$$

hence a ! map of theories  $Q! \rightarrow \Gamma!$  over  $L$ , hence a ! map  $Q \rightarrow \Gamma$ .

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June 12, 1969

Operations in cohomology theories and operations in  $\Omega T$ .

§1. Calculation of  $\text{Aut}^\otimes$  for  $\Omega$  and  $\Omega T$ .

Let  $\Omega$  be complex cobordism theory regarded as a contravariant functor from manifolds to graded commutative (in the sense of topology) rings. All rings ~~of this type~~ shall be of this type from now on. Consider the functor  $C = \text{Aut}^\otimes \Omega$  from rings to ~~groups~~ <sup>groupoids</sup> defined by

$$\text{Ob } C(R) = \text{Hom}(\Omega(\text{pt}), R)$$

$$\text{Hom}_{C(R)}(u, v) = \text{Isom}_R^\otimes(\Omega_u, \Omega_v),$$

where if  $u: \Omega(\text{pt}) \rightarrow R$  is a morphism then  $\Omega_u$  denotes the <sup>contravariant</sup> functor from manifolds to  $R$ -algebras given by

$$\Omega_u(X) = R \otimes_{\Omega(\text{pt})} \Omega(X)$$

and where  $\text{Isom}_R^\otimes$  denotes <sup>the set of</sup> isomorphisms of functors compatible with  $R$ -algebra structures.

Let  $L$  ~~be~~ be the functor from rings to sets given by

$$L(R) = \left\{ F(X, Y) = \sum_{k, l \geq 0} a_{kl} X^k Y^l \mid \begin{array}{l} F \text{ formal (comm.) group law} \\ a_{kl} \in R_{2k+2l-2} \end{array} \right\}$$

and let  $G$  be the functor from rings to groups given by

$$G(R) = \left\{ \varphi(X) = \sum_{n \geq 0} r_n X^{n+1} \mid r_n \in R_n, r_0 \in R^* \right\}$$

with group structure given by composition of power series.  
 Introduce the functor  $L$  from rings to ~~category~~ <sup>groupoids</sup> given by

$$\text{Ob } L(R) = L(R)$$

$$\text{Hom}_{L(R)}(F, F') = \{ \varphi \in G(R) \mid \varphi * F = F' \}$$

~~is~~ with evident composition. Here

$$(\varphi * F)(X, Y) = \varphi(F(\varphi^{-1}X, \varphi^{-1}Y)).$$

so that

$$\psi * (\varphi * F) = (\psi\varphi) * F.$$

Let us make  $L$  act on  $\Omega$  as follows. Let  $F_{\text{univ}}$  over  $A$  be a universal law so that

$$\text{Hom}(A, R) \xrightarrow{\sim} L(R)$$

$$u \longmapsto u(F_{\text{univ}}),$$

~~The map  $u: A \rightarrow R$  is the map sending  $F_{\text{univ}}$  to  $F$ . It is easily seen that  $\Omega_F$  is the initial object of the category of  $A$ -algebras in  $\mathcal{C}$ .~~

and let  $c: A \rightarrow \Omega(\text{pt})$  be the map corresponding to  $F^\Omega$ . Then  $\Omega$  is a functor from manifolds to  $A$ -algebras. If  $F \in L(R)$ , ~~then~~ let

$$\Omega_F = R_u \otimes_A \Omega$$

where  $u: A \rightarrow R$  is the map sending  $F_{\text{univ}}$  to  $F$ . It is easily seen that  $\Omega_F$  is the initial object of the category of



Chern theories over  $R$  with group law  $F$ . Let  $F'$  be another law over  $R$  and let  $\varphi \in G(R)$  be an invertible power series. There is a unique multiplicative characteristic class

$$\tilde{\varphi}: K \longrightarrow \Omega_{F'}$$

given on line bundles by

$$\tilde{\varphi}(L) = \frac{\varphi(c_1 L)}{c_1 L}.$$

Twisting the Gysin homomorphism of  $\Omega_{F'}$  by means of  $\tilde{\varphi}$  one obtains a new Chern theory  $\Omega_{F'}^{\varphi}$  with

$$c_1^{\varphi}(L) = \varphi(c_1 L)$$

and hence with group law  $\varphi * F'$  since

$$c_1^{\varphi}(L \otimes L') = \varphi(F(c_1 L, c_1 L')) = (\varphi * F')(c_1^{\varphi} L, c_1^{\varphi} L').$$

Thus by the universal property of  $\Omega_F$ , where  $F = \varphi * F'$ , we have a unique natural map

$$\hat{\varphi}: \Omega_F \longrightarrow \Omega_{F'}$$

such that

$$\hat{\varphi}(f_* x) = f_* (\hat{\varphi} x \cdot \tilde{\varphi}(v_f)).$$

Therefore we obtain an action of  $\mathcal{L}$  on  $\Omega$  by associating to a law  $F$  over  $R$ , the Chern theory  $\Omega_F$ , and to the morphism

from  $F$  to  $F'$  in  $\mathcal{L}(R)$  given by  $\varphi \in G(R)$  with  $\varphi * F = F'$   
 the morphism  $\widehat{\varphi}^{-1}$  from  $\Omega_F$  to  $\Omega_{F'}$ . ~~It is clear that~~  
 (It is ~~straightforward~~ straightforward to check that  $\widehat{\varphi}^{-1} \widehat{\varphi} = (\widehat{\varphi})^{-1}$   
 and hence that ~~the map~~  $F \mapsto \Omega_F$  is a functor.)

Define a morphism of functors

$$(*) \quad \text{Aut}^{\otimes} \Omega \longrightarrow \mathcal{L}$$

by associating to a homomorphism  $\Omega(\text{pt}) \longrightarrow R$   
 the law induced from  $F^{\Omega}$  and to an isomorphism

$$\theta: \Omega_u \xrightarrow{\sim} \Omega_v$$

the series  $\varphi(X)^{-1} \in R[[X]]$  such that

$$\theta(c_i^u L) = \varphi(c_i^v L) = \sum_{n \geq 0} r_n (c_i^v L)^{n+1}$$

To see that  $r_0$  is invertible note that if  $i: \text{pt} \rightarrow \mathbb{P}^1$  is the  
 inclusion of a point, then  $i_* \mathbb{1} = c_1(\mathcal{O}(1))$  and there is a map of  
 exact sequences

$$\begin{array}{ccccccc} 0 & \longrightarrow & \Omega_u(\text{pt}) & \xrightarrow{L_*} & \Omega_u(\mathbb{P}^1) & \xrightarrow{L^*} & \Omega_u(\text{pt}) \longrightarrow 0 \\ & & \downarrow \cdot r_0 & & \cong \downarrow \theta & & \cong \downarrow \theta = \text{id}_R \\ 0 & \longrightarrow & \Omega_v(\text{pt}) & \xrightarrow{L_*} & \Omega_v(\mathbb{P}^1) & \xrightarrow{L^*} & \Omega_v(\text{pt}) \longrightarrow 0 \end{array}$$

which shows that multiplication by  $\Gamma_0$  is an isomorphism.

Moreover

$$\begin{aligned}\theta(c_i^*(L \otimes L')) &= \theta(F_u(c_i^*L, c_i^*L')) = F_u(\varphi(c_i^*L), \varphi(c_i^*L')) \\ &\dots = \varphi(c_i^*(L \otimes L')) = \varphi(F_v(c_i^*L, c_i^*L'))\end{aligned}$$

so  $\varphi^{-1} * F_u = F_v$ . It is clear that  $(\star)$  is a functor for a fixed ring  $R$ .

Theorem 1:  $(\star)$  is an isomorphism of functors from rings to groupoids. In particular  $\text{Aut}^{\otimes} \Omega$  is representable.

Proof:  $(\star)$  is an isomorphism on objects since  $A \xrightarrow{\sim} \Omega(\text{pt})$ ; this is the theorem that  $F^{\otimes}$  is a universal law. Next, by means of the action of  $L$  on  $\Omega$  ~~just~~ <sup>right</sup> constructed above we have ~~define~~ a map <sup>inverse to the</sup> ~~map~~ <sup>map</sup>

$$\text{Isom}_R^{\otimes}(\Omega_F, \Omega_{F'}) \xrightarrow{\hat{\varphi}} \text{Hom}_{Z(R)}(F, F')$$

induced by  $(\star)$ , so this map is ~~surjective~~. Finally we must show that if

$$\theta: \Omega_F \xrightarrow{\cong} \Omega_{F'}$$

gives rise to  $\varphi$  by the formula

$$\theta(c_i^*L) = \varphi(c_i^*L)$$

then in fact  $\theta = \hat{\varphi}$ . But this follows ~~from~~ from Riemann-Roch. Indeed endow  $\Omega_{F'}$  with the twisted Gysin homomorphism such that

$\Theta$  is compatible with first Chern classes; by RR  $\Theta$  is compatible with Gysin, hence  $\Theta = \hat{\varphi}$  by the universal property of  $\Omega_F$ .  
 q.e.d.

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Let  $p$  be a fixed prime and consider the theory  $\Omega(p)$ .   
 (We consider only  $\mathbb{Z}_{(p)}$ -algebras in the following.)  
 Let  $LT \subset L$  be the "full subcategory" ~~of~~ which is the ~~functor~~ associating to a ring  $R$  ~~the~~ the full subcategory of  $L(R)$  consisting of the typical laws. As  $LT \rightarrow L$  is an equivalence ~~it is possible to~~ and as  $L$  acts on  $\Omega(p)$ , we know  $\Omega(p)$  can be decomposed into simpler theories. We propose now to make this explicit.

Let  $F_{univ}$  over  $A_{(p)}$  be a universal law for laws over  $\mathbb{Z}_{(p)}$ -algebras and let  $F_{typ}$  over  $AT$  be a universal typical law. There is a canonical surjection

$$f: A_{(p)} \longrightarrow AT$$

sending  $F_{univ}$  to  $F_{typ}$ . Let

$$\Omega T = AT \otimes_{A_{(p)}} \Omega_{(p)} = AT \otimes_A \Omega$$

It is clear that  $\Omega T$  is a universal Chern theory <sup>(over  $\mathbb{Z}_{(p)}$ )</sup> with typical group law.

By a theorem of Cartier every law over a  $\mathbb{Z}_{(p)}$ -algebra  $B$  is isomorphic to a typical law. Thus

element in  $G(PT)$  with

$$\varphi_{can} * s(F_{typ}) = t(F_{typ})$$

Putting this together we have the following isos.

$$\begin{array}{ccc} AT & & PT \\ \parallel & & \parallel \\ \mathbb{Z}_p[\beta_1, \beta_2] & & \mathbb{Z}_p[t_1, t_2, \dots, \beta_1, \beta_2, \dots] \end{array}$$

with  $t \beta_i = \beta_i$ . Now I need formulas for  $\varepsilon, s, \Delta$ .  
~~Clearly  $\varepsilon(t_i) = 0$ ,  $\varepsilon(\beta_i) = \beta_i$ .~~ Clearly  $\varepsilon(t_i) = 0$ ,  $\varepsilon(\beta_i) = \beta_i$ . To calculate  $s$  we need to ~~know how to find~~ know how to find

$$s(\beta_i) = \beta_i (\varphi_{can} * t(F_{typ}))$$

It doesn't seem possible to find closed formulas for these ~~but~~ by working over  $\mathbb{Q}$ , which is legitimate since  $AT$  and  $PT$  are torsion-free we can use the logarithm to get simpler parameters than the  $\beta_i$ . So ~~for~~ for any typical  $F$  let  $r_i(F)$  be defined by

$$L_F \gamma = \sum_{i \geq 0} \cancel{V^i} \left[ \frac{r_i(F)}{p^i} \right] \gamma \quad r_0 = 1 \quad \deg r_i = p^i - 1$$

Applying logarithm to both sides of  $*$  on page 10 we find

$$\begin{aligned} \cancel{L} F \gamma &= F L \gamma = \sum V^{i-1} \left[ \frac{r_i(F)}{p^i} \right] \gamma \\ \parallel & \\ \sum V^{i-1} [\beta_i(F)] L \gamma &= \sum V^{i-1} [\beta_i(F)] V^i \left[ \frac{r_i(F)}{p^i} \right] \gamma \end{aligned}$$

Thus

$$\sum_{i \geq 1} r_{i+1}(F) \frac{X^{p^i}}{p^i} = \sum_{m \geq 0} p^m g_{m+1}(F) p^m \frac{r_j(F)}{p^{j+m}} X^{p^{m+j}}$$

$$r_{i+1}(F) = \sum_{m+j=i} p^m g_{m+1}(F) p^j r_j(F)$$

This gives a recursion formula for  $g_i$  and  $r_i$  since ~~modulo~~ ~~earlier things one has~~  $r_{i+1} = p^i g_{i+1} + \text{earlier } g\text{'s} + r$

so now we have

$$\mathbb{Z}_p[g_1, g_2, \dots] \longleftrightarrow \mathbb{Z}_p[r_1, r_2, \dots]$$

and this is an isomorphism over  $\mathbb{Q}$ .

Recall that

$$\varphi_{\text{can}} = \sum_{i \geq 0} t(F_{\text{typ}}) t_i X^{p^i}$$

And we have  $s(F_{\text{typ}}) = \varphi_{\text{can}}^{-1} * t(F_{\text{typ}})$  so taking logarithms

$$\begin{aligned} \sum_{i \geq 0} s(r_i) \frac{X^{p^i}}{p^i} &= \sum_{i \geq 0} t(r_i) \frac{X^{p^i}}{p^i} \circ \varphi_{\text{can}} \\ &= \sum_{j \geq 0} \sum_{i \geq 0} p^{jt} t(r_i) \frac{1}{p^{i+j}} (t_j X^{p^j})^{p^i} \end{aligned}$$

hence

$$s(r_i) = \sum_{j+h=i} p^j t(r_h) t_j^h$$

June 12, 1969.

## Some basic geometry

Let  $V$  be a vector space over  $\mathbb{R}$  of dimension  $n$  and let  $V^+$  be the 1-point compactification of  $V$ .  $V^+$  is a  $n$ -sphere. I claim that <sup>after</sup> blowing up  $\infty$  one obtains  $P(V \oplus 1)$ . To see this identify  $P(V+1)$  with the projective completion of  $V$ , thus  $P(V+1) = V \sqcup PV$  set theoretically. But  $\tilde{V}^+ = V \sqcup P(\text{normal space to } V^+ \text{ at } \infty) = V \sqcup PV$ . Now check that manifold structures are the same.  $\tilde{V}^+ - \text{origin} = \text{pairs } (l, x)$  where  $l$  is a line in  $V$  passing ~~thru~~ thru  $0$  and where  $x \in l - (0)$ , possibly with  $x = \infty$ . This is clearly the same as  $P(V+1)$  - the line  $\mathbb{R} \cdot (0, 1)$ . The blowup map is

$$f: P(V+1) \longrightarrow V^+$$
$$f \begin{cases} \mathbb{R}(x, 1) & = & x \\ \mathbb{R}(x, 0) & = & \infty \end{cases}$$

(Near  $PV$  a line  $l \subset V+1$  same as a line  $\bar{l}$  in  $V$  + an element of the dual of  $\bar{l}$ . This checks with the normal bundle of  $PV$  in  $P(V+L)$  as being  $\mathcal{O}(1) \otimes L$ .



Arbeitstagung notes, June 18, 1969

Wall conversation:

Here is the inductive step in the Novikov argument. Recall we are trying to prove that if  $M$  is a  $C^\infty$  (PL-) manifold homeomorphic to  $K \times \mathbb{R}^n$ ,  $K$  a topological manifold with  $\pi_1(K)$  abelian and  $\dim K \geq 5$ , then  $M$  is  $C^\infty$  isom. to  $N \times \mathbb{R}^n$ . The case  $n=1$  is handled by Siebenmann and h-cobordism. Notice that it suffices to find a smooth submanifold  $N \subset M$  with trivial normal bundle and such that  $N \rightarrow M$  is a h.e.g.; in effect put a boundary on  $M$  and use that  $\bar{M} - \text{Int tub. nbd. of } N$  is an s-cobordism.

So take anchor ring  $T^{n-1} \times \mathbb{R} \subset \mathbb{R}^n$

$$\begin{array}{ccc} M & \xrightarrow{\text{homeo}} & K \times \mathbb{R}^n \\ \downarrow C^\infty \text{ U open} & & \downarrow U \\ U & \xrightarrow{\text{homeo}} & K \times T^{n-1} \times \mathbb{R} \end{array}$$

By induction  $U \underset{C^\infty}{\cong} M_1 \times \mathbb{R}$  where  $M_1 \rightarrow K \times T^{n-1}$  is a h.e.g.

Now take covering with respect to the last factor  $\tilde{M}_1 \rightarrow K \times T^{n-1} \times \mathbb{R}$

whence by induction  $\tilde{M}_1 \underset{C^\infty}{\cong} M_2 \times \mathbb{R}$ . It is possible to put

$M_2 \hookrightarrow M_1$  with trivial normal bundle and again  $M_2 \rightarrow K \times T^{n-1}$

is a h.e.g. So done

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Key part of Kirby-Siebenmann is that in dimensions  $\geq 5$  a topological submanifold ~~is~~ (locally flat) of codim 1 of a smooth manifold may be isotoped to a smooth submanifold.

Assuming relative version of this I show now how to get



## Tate lecture:

### Basic recall

Prop:  $F$  ~~alg.~~ alg. closed  $\Rightarrow K_2(F)$   $\mathbb{Q}$  vector space

Proof:  $K_2(F) = F^* \otimes F^* / \mathcal{R}$   $\mathcal{R}$  gens by  $r_a = a \otimes (1-a)$   $a \neq 0, 1$ .

$F^*$  divisible  $\Rightarrow F^* \otimes F^*$  uniquely divisible,  $\therefore$  enough to show  $\mathcal{R}$  divisible. ~~By~~ Given  $a \in F$   $a \neq 0, 1$  write

$$T^n - a = \prod_{i=1}^n (T - a_i)$$

Then

$$a \otimes (1-a) = \sum_i a_i^n \otimes (1-a_i) = n \sum_{i=1}^n a_i \otimes (1-a_i)$$

~~Prop:  $K_2(F) \rightarrow K_2(E)$  induces an isomorphism  $\otimes \mathbb{Q}$ .~~

Need the formulas that if  $F \rightarrow E$  finite extension of degree  $n$  then  $\exists N: K_2(E) \rightarrow K_2(F) \Rightarrow$

$$N\{x, y\}_E = \{x, N_{E/F} y\}_F \quad \text{if } x \in F, y \in E.$$

From this one finds that if  $a, b \in F$  then  $N\{a, b\}_E = n\{a, b\}_F$  so that the kernel of  $K_2(F) \rightarrow K_2(E)$  is killed by  $n$ , hence

Cor:  $\text{Ker}\{K_2(F) \rightarrow K_2(F)\} = \text{torsion subgroup of } K_2(F).$

Prop:  $F$  quasi-~~alg.~~ alg. closed  $\Rightarrow K_2(F)$  ~~is~~ <sup>divisible</sup> ~~surjective~~

Proof: One knows that g. alg. cl  $\Rightarrow$  (forms of degree  $\leq$  no. of vbls have  $\neq 0$  roots,

$$\begin{aligned} \Rightarrow N_{E/F} \text{ surjective} &\Rightarrow \{a, b\}_F = \{a, N_{F(a^{1/m})/F} x\}_F \\ &= N_{F(a^{1/m})} \{a, x\} = m N_{F(a^{1/m})} \{a^{1/m}, x\}_{F(a^{1/m})} \end{aligned}$$

Cor:  $F$  finite field  $\Rightarrow K_2(F) = 0$  (Steinberg)

also  $K_2(\mathbb{F}_p) = 0$ .

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Arbeitsstagung, June 13, 1969

Kuiper talks on Kirby's solution of the annulus conjecture.

first part - definition of stable homeomorphism of  $\mathbb{R}^n$  and why the notion is local. Why "every homeo. of  $\mathbb{R}^n$  stable  $\Rightarrow$  annulus conjecture (~~the~~ any two embeddings of  $S^{n-1} \times S^0$  into  $S^n$  are isomorphic). Stable manifolds and the notion of a stable homeomorphism of two stable manifolds.

second part:

Lemma 1. (Connell) If  $h: \mathbb{R}^n \rightarrow \mathbb{R}^n \ni |h(x) - x| < K$  then  $h$  is stable.

Proof. Embed  $\mathbb{R}^n$  as interior of  $D^n$  in  $\mathbb{R}^n$ .  $h$  extends to closure and can be completed to a homeomorphism ~~by~~ which is id off the disk. The latter is somewhere the identity, hence  $h$  is stable.

Lemma 2: <sup>(Kirby)</sup> Any homeomorphism of  $T^n$  is stable.

Proof. ~~Translations~~ any homeomorphisms of  $T^n = \mathbb{R}^n / \mathbb{Z}^n$  coming from unimodular transformations of  $\mathbb{R}^n$  are stable. One may thus compose  $h$  with such a stable thing and suppose  $h(0) = 0$  and that  $h$  acts trivially on  $\pi_1(T^n, 0)$ . It follows that  $\tilde{h}$  on  $\tilde{T} = 1$  leaves  $\mathbb{Z}^n$  ~~fixed~~ fixed hence is of bounded displacement and so is stable by lemma 1. On the other hand by ~~the~~ the local nature of stability  $h$  is stable.

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And now the key. Suppose given  $h: \mathbb{R}^n \rightarrow \mathbb{R}^n$  a homeomorphism. One knows that  $T^n$ -small  $D^n$  can be immersed in  $\mathbb{R}^n$ . Thus one gets a diagram (commutative)

$$\begin{array}{ccc} \mathbb{R}^n & \xrightarrow{h} & \mathbb{R}^n \\ \uparrow \text{immers.} & & \uparrow \text{immers.} \\ T-D & \xrightarrow{h_1} & V \end{array}$$

where  $h_1$  is a homeo. and the vertical arrows are PL ~~immersions~~ "stables".

~~which is PL manifold with a single end which is compact set so by Steiner theorem it is PL~~  
~~manifold~~ The point is that  $h_1$  can be embedded in a diagram

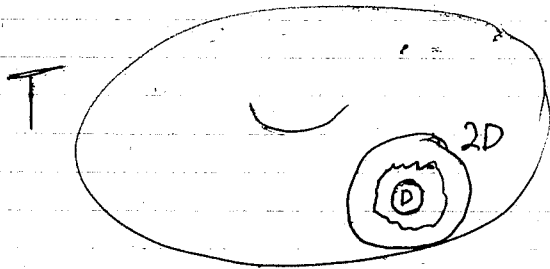
$$\begin{array}{ccc} T-D & \xrightarrow[h_1]{\text{homeo}} & V \\ \downarrow & & \downarrow \text{PL open embedding} \\ D & \xrightarrow[h_2]{\text{homeo}} & T \end{array}$$

where  $T$  is a PL-manifold, ~~which is PL manifold~~ necessarily ~~homeomorphic to  $T$~~  homeomorphic to  $T$ . By Wall one knows that a finite covering <sup>of  $T$</sup>  is PL isomorphic to  $T$ . Hence one gets

$$\begin{array}{ccc} T & \xrightarrow{h_2} & T \\ \uparrow & & \uparrow \\ \hat{T} & \xrightarrow{h_3} & \hat{T} \\ & \xleftarrow{g} & \end{array} \quad \leftarrow \text{finite covering}$$

Now  $g$  PL isom., so  $g$  stable;  $gh_3$  stable by lemma 2  $\Rightarrow h_3$  stable and hence that  $h$  is stable by the local nature of stability.

Proof of the point: The situation is that we are given a homeomorphism  $h_1: T-D \rightarrow V$  where  $V$  is a PL (in fact  $C^\infty$ ) manifold.  $V$  is an open manifold with an end ~~admitting~~ admitting  $S^{n-1}$  as a topological boundary. By Sieberma ~~and Smale~~ <sup>(seem to need  $n \geq 6$ )</sup> we can put a smooth  $S^{n-1}$  on ~~Let  $\bar{V}$  be the completed manifold and let consider the picture~~



where the squiggly  $S^{n-1}$  is the inverse image under  $h_1$  of a parallel  $S^{n-1}$  to  $\partial \bar{V}$ . By Schoenflies (Moore-Brown) the inside of the squiggly  $S^{n-1}$  is homeomorphic to  $D^n$ . ~~Thus we get a homeomorphism~~ Thus we get a homeomorphism

$$T \longrightarrow \bar{V}_{\geq \epsilon} \cup_{S^{n-1}_\epsilon} D^n$$

which is  $h_1$  on ~~the squiggly  $S^{n-1}$~~  <sup>(closed)</sup> outside of squiggly  $S^{n-1}$  and which is the homeomorphism of the <sup>(closed)</sup> inside with  $D^n$ . This does what we want (at least if we ~~shrink~~ shrink  $h_1$  to  $T-2D$ ).

~~Probably~~ <sup>use of</sup> the Smale-Siebenmann ~~is~~ unnecessary? Need to know that a cent. unbedding  $S^{n-1} \times I \rightarrow \mathbb{R}^n$  has a smooth  $S^{n-1}$  in the middle.

# Review of Griffiths lectures on ~~algebraic~~ algebraic cycles. Griff

Problem is to describe algebraic part of  $H_*(V, \mathbb{Z})$ .

Critical case:  $\dim V = 2n$  and the primitive part of  $H_{2n}(V)$  (over  $\mathbb{Q}$ ), that is, the part perpendicular to  $H_{2n}(S)$  where  $S$  is a generic hyperplane.

Lefschetz method - a <sup>kind of</sup> Morse theory used already by Poincaré for curves or surfaces. To simplify assume  $V$  fibers over  $\mathbb{P}^1$  with non-degenerate critical points. Griffiths ~~wants to construct~~ <sup>primitive algebraic</sup> cycles on  $V$  by the Poincaré method. First he constructs a generalized Jacobian  $J \rightarrow \mathbb{P}^1$  which is a complex bundle of complex abelian Lie groups, not necessarily abelian varieties, which are tori at the good fibers ~~and~~ and a product of a torus and  $G_m$  at the bad ones. He then shows that a primitive cycle on  $V$  defines a section of  $\pi$ . It turns out that sections of  $\pi$  correspond essentially to ~~the~~ data satisfying Hodge conditions. Next he tries to take a ~~point in the generic fiber of  $\pi$~~  <sup>point in the generic</sup> and lift it back to a cycle on the corresponding fiber of  $f$  (Jacobi inversion theorem). Unfortunately ~~the fiber is discrete~~ except in special cases (curves or surfaces, ~~low~~ degree hypersurfaces). <sup>group of Jacobi -</sup> the invertible part of  $J$  of the generic fiber is a countable subgroup hence can't do this. ~~One~~ <sup>some of</sup> In the special cases one can obtain a continuous part of  $J$  and thus construct algebraic cycles and the



Griff.

verify Hodge conjectures in some non-trivial  $\text{codim} > 1$  cases.  
 since the ~~relation~~<sup>point</sup> of  $J_\lambda$  corresponding to a class <sup>in  $S_\lambda$  the fiber over</sup> algebraically  
 equivalent to zero vanishes, Griffiths can start with a  
 non-trivial primitive <sup>(algebraic)</sup> class in  $V$ . and its intersection with  $S_{gen}$   
 is then homologous to zero but no multiple is alg. equivalent to 0.  
 He calls this the "discreteness" of Jacobi inversion in higher  
 codimension.

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Comments of Adams lectures on my stuff.

new ideas

(i) The ~~hyper~~ hypersurfaces  $H_{ij}$  of degree 1 in  $P^i \times P^j$  as  $i$  and  $j$  run over integers <sup>>0</sup> form a system of multiplicative generators. ~~They are the projective spaces~~

Proof using my theorem: let  $F(X, Y) = \sum_{i, j > 0} a_{ij} X^i Y^j$

be the formal group law of cobordism theory. Then

$$[H_{ij}] = \pi_* C_1(\mathcal{O}(1) \boxtimes \mathcal{O}(1))$$

$$= \pi_* F(z_1, z_2)$$

$$\pi_*(z^i z^j) = P_{m+i} P_{n-j}$$

$$= \sum_{i, j > 0} a_{ij} P_{m-i} P_{n-j}$$

hence

$$[H_{mn}] \equiv \sum_{i, j > 0} a_{ij} P_{m-i} P_{n-j}$$

$$\sum_{\substack{j > 0 \\ j < m}} a_{mj} P_{n-j} + \sum_{\substack{i > 0 \\ i < n}} a_{in} P_{m-i} + a_{mn}$$

modulo decomposables. decomposable unless  $m+j-1=0$  ie  $m=1$

Thus  $[H_{mn}] \equiv a_{mn}$  modulo decomposable unless  $m=1$  or  $n=$

~~of  $m=1$  or  $n=1$~~  and as  $H_{1n} = P_{n-1}$ ,  $H_{m1} = P_{m-1}$  one sees that the  $H_{mn}$  generate the Lazard ring.

(ii) Use of Lazard's theory to simplify proof that  $\pi_*(MU)$  is a polynomial ring as well as a simplification of Lazard's theorem that  $L$  is a polynomial ring.



He shows that

$$\begin{array}{ccc}
 L & \xrightarrow{\quad} & \pi_x(MU) \\
 \varphi \downarrow & & \downarrow h \\
 B = \mathbb{Z}[b_1, b_2, \dots] & \xrightarrow{\cong} & H_x(MU)
 \end{array}$$

commutes and considers the induced diagram

$$\begin{array}{ccc}
 Q_{2n}(L) & \longrightarrow & Q_{2n}(\pi_x(MU)) \\
 \downarrow & & \downarrow \\
 Q_{2n}(B) & \xrightarrow{\cong} & Q_{2n}(H_x(MU)).
 \end{array}$$

~~By the index of the first inclusion in  $c_n$~~  The index of the first inclusion in  $c_n$  hence that of the second  $|c_n$ . As one knows that the index of the second is at ~~least~~ least  $c_n$  by characteristic class considerations, one has that the upper arrow is an isom, hence  $L \rightarrow \pi_x(MU)$  is surjective and so done.

June 19, 1969

Notes on Wall's Lecture on Kirby-Siebenman

The key theorem is the following which works for Top/O and Top/PL, but which I state for Top/O.

Theorem: Let  $M$  be a compact top. manifold of  $\dim \geq 5$  ( $\geq 6$  if  $\partial M \neq \emptyset$ ). Let  $S_{\text{Top/O}}(M)$  be the set of isom. classes of  $C^\infty$  structures on  $M$  modulo isotopy. Then

$$S_{\text{Top/O}}(M) \longrightarrow \{\text{homotopy classes of liftings } M \xrightarrow{\quad} B\text{Top}\}$$

$\nearrow BO$   
 $\downarrow$

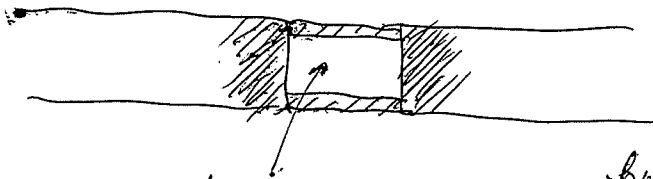
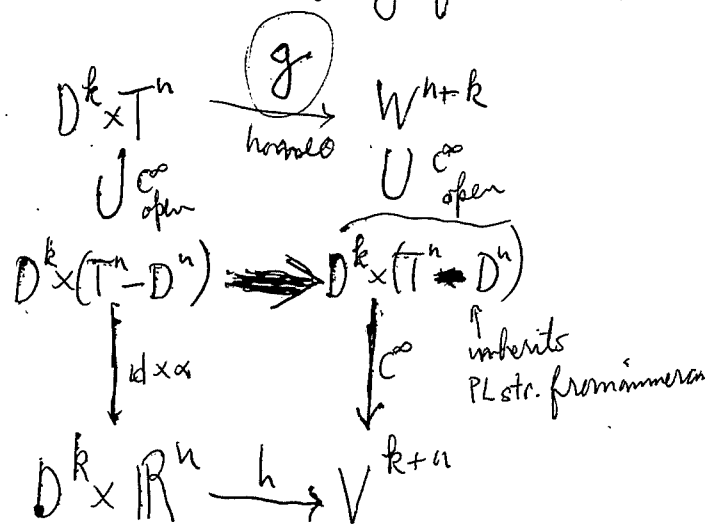
is bijective.

This results from the following

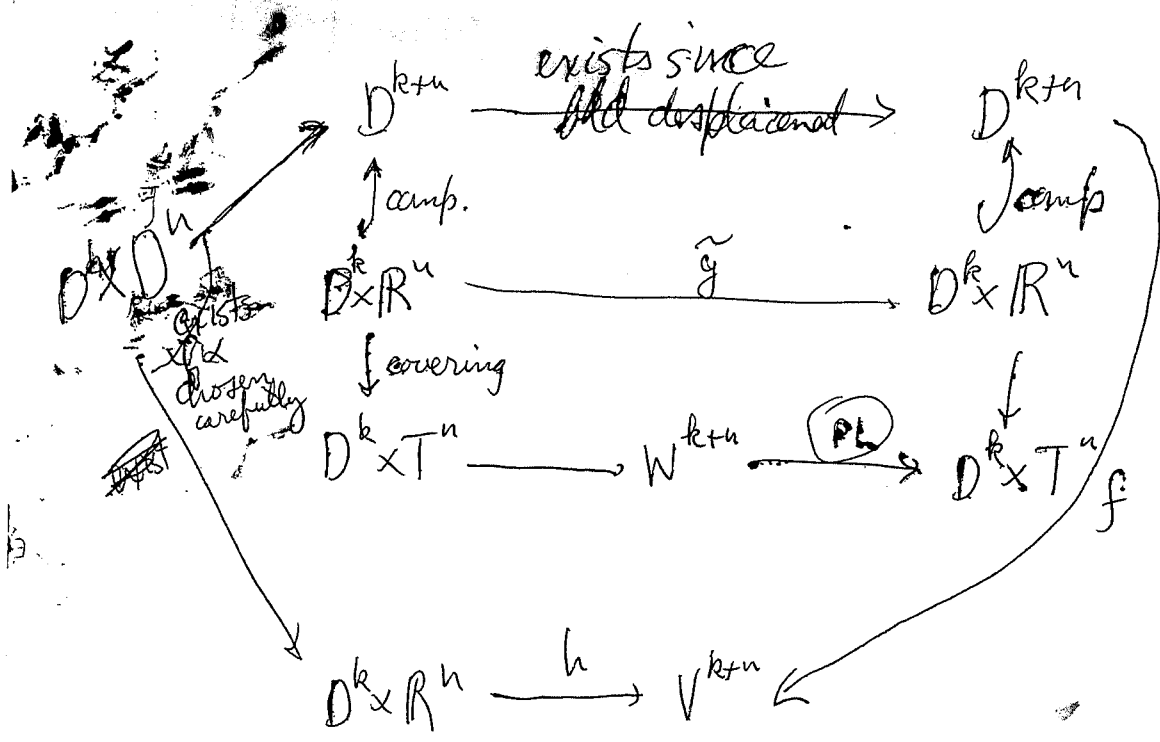
Key theorem: If  $M$  is a topological manifold ( $\dim \gg 5$ ) and let there be given a smooth structure on  $M \times \mathbb{R}$ . Then there is a smooth structure on  $M$  giving up to isotopy the structure on  $M \times \mathbb{R}$ .

Probably another way of stating this is as follows. Suppose that  $V$  is a smooth manifold of  $\dim \geq 6$  and that  $M$  is a locally flat topological submanifold of codimension 1. Suppose that  $M$  is a smooth submanifold in a neighborhood of every point  $x$  of  $F \cap M$ , where  $F$  is a closed subset of  $V$ . Then there is an arbitrarily small topological isotopy of  $V$  keeping  $F$  pointwise fixed and carrying  $M$  into a smooth submanifold of  $V$ .

The construction of  $g$  from  $h$ .

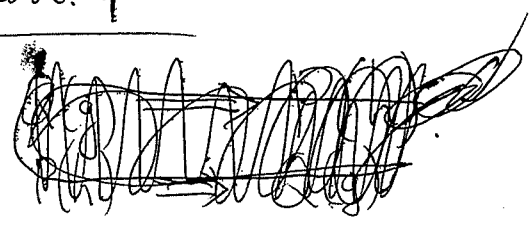


boundary is a smooth <sup>manifold</sup> sphere <sup>boundary</sup> parallelizable manifold with signature 0. In PL case use Shenfies.



Choose  $f$  so that it's an embedding.

The geometry gives a  $P(h)$  problem on  $\mathbb{R}^{k-1} \times \mathbb{R}^k$ ; by hypothesis it is solvable if  $\times \mathbb{R}$ . Thus  $Q(g) \times S^1$  solvable so by 5-cobordism  $Q(g)$  has a solution. !



smooth

PL

top

Poincaré eqs.

~~Thm~~  $S_{B/A}(X) = \text{A-structures on a B-manifold}$   
 $T_{B/A}(X) = \text{liftings}$   $X \xrightarrow{\text{---}} \begin{matrix} \xrightarrow{BA} \\ \uparrow \\ BB \end{matrix}$

Theorem  $S_{PL/O} \xrightarrow{\cong} T_{PL/O}$

~~$S_{Top/PL}(X)$~~   $\rightarrow T_{Top/PL}(X)$  bijective  $\begin{cases} \dim X \geq 5 \\ \dim X \geq 6 \text{ al} \end{cases}$

false in dim 3.

$L_{X+1}(\pi_1 X) \rightarrow S_{G/PL}(X) \rightarrow T_{G/PL}(X) \rightarrow L(\pi_1 X)$

$\left[ \begin{matrix} \dim X \geq 5 \\ \dim X \geq 6 \end{matrix} \right]$

Same results for  $G/Top$

Step 1: a tangential structure on  $X$  determines a geometrical A-structure on  $X \times \mathbb{R}^n$   $n$  large

~~Step~~ Step 2: Geom. structure on  $X \times \mathbb{R}$  induces one on  $X$  "Product theorem". (fails for  $G/?$ )

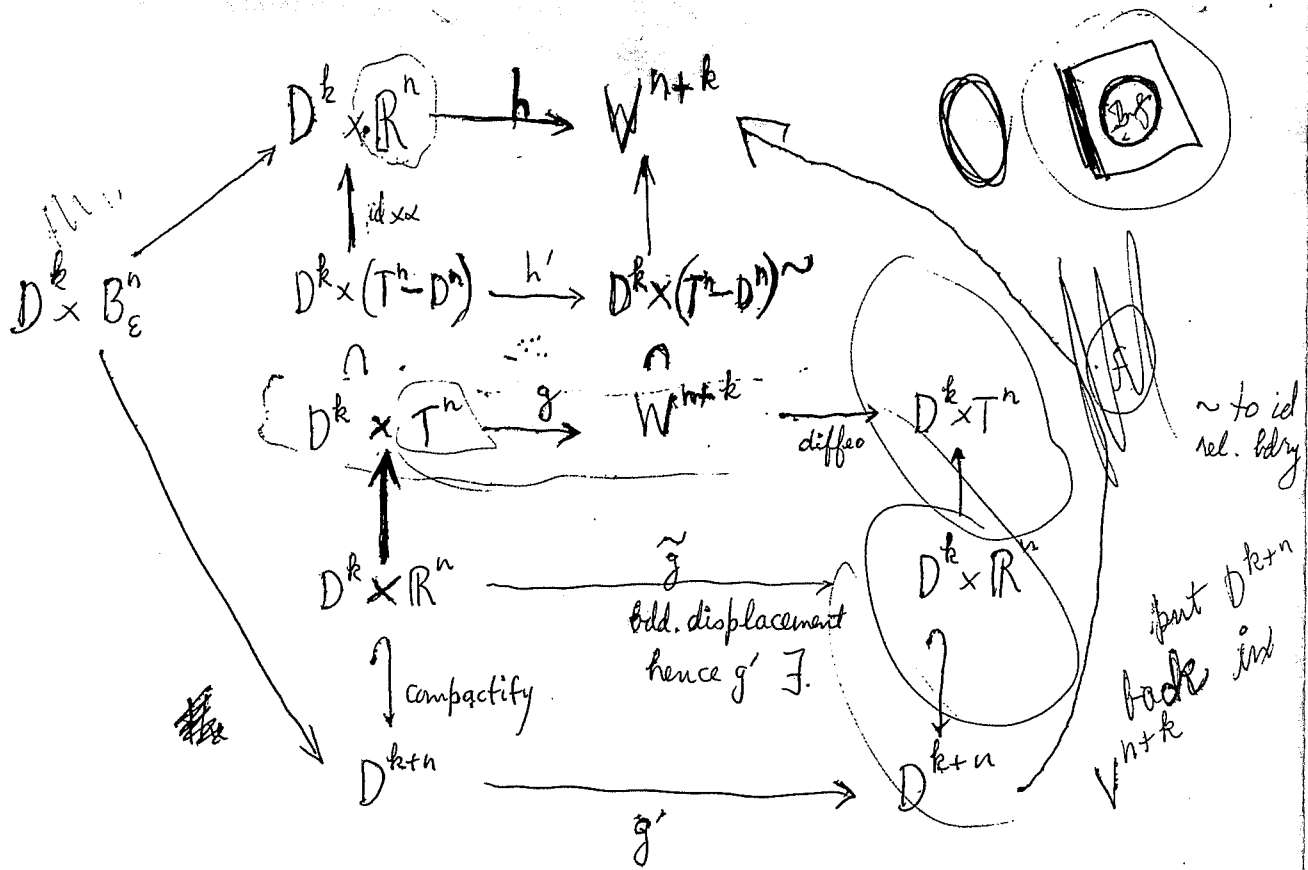


$P(h)$  denotes property:  $h: D^k \times \mathbb{R}^n \longrightarrow V^{k+n}$   
 is a homeo of PL-manifold, PL near bdy  
 want isotopy compact support of  $h$   
 to a homeo which is PL on  $D^k \times D^u$   
small

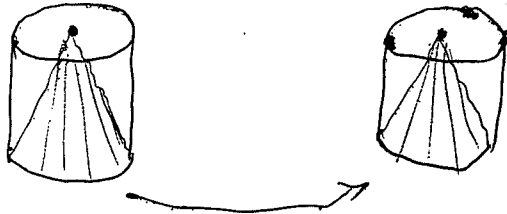
Choose PL immersion  $\alpha: T^n - D^u \longrightarrow \mathbb{R}^n$   
 $\alpha \times 1: D^k \times (T^n - D^u) \longrightarrow D^k \times \mathbb{R}^n$

$Q(g): g: D^k \times T^n \longrightarrow W^{k+n}$  ~~same as above~~  
 a homeo of PL-manifold want a homotopy  
 relative bdy to a PL-homeo

Prop 1: Given  $h$  can construct  $g$  such that  
 $Q(g)$  soluble  $\iff P(h)$  soluble.



$g'$  ~~isotopic~~ homeo. homotopic to identity <sup>rel. bdry</sup> hence by Alexander  $g'$  isotopic to identity

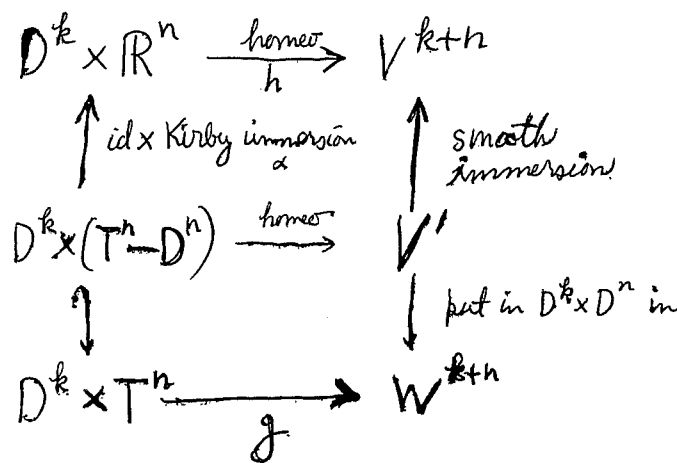


Assume  $g$  homotopic rel. bdry to a ~~smooth~~ smooth

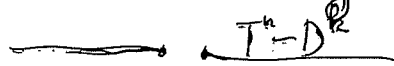
P(h):  $h: D^k \times \mathbb{R}^n \rightarrow V^{k+n}$   $\xrightarrow{\text{homeo.}} \begin{matrix} \text{Ph near bdy} \\ \text{---} \end{matrix}$   
 isotopy of compact support to a homeo. which  
 is smooth ~~near~~ on  $D^k \times B_\epsilon^n$

Q(g):  $g: D^k \times T^n \rightarrow W^{k+n}$  is a diffeo.  
~~near~~ homotopic mod bdy to a ~~smooth~~ diffeo.

The construction of  $g$  from  $h$ . ~~near~~



Recall that  $h$  ~~near~~ <sup>diffeo.</sup> near  $S^k \times \mathbb{R}^n$ !



boundary of a parallelizable

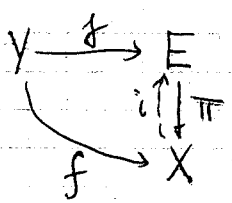
$D^k$



June 18, 1969

Atiyah - Hirzebruch approach to the J-homomorphism:

Hirz. index formula  
Atiyah's version of Adams J



Let  $E$  be a complex bundle over a smooth manifold  $X$  of dimension  $> \dim X$ . Assume  $E$  fiber homotopically trivial so that there exists a submanifold  $Y$  of degree 1 over  $X$  with trivial normal bundle in  $E$ .

Then  $\exists a \in \text{~~some ring~~} 1 + K(X)$  with

$$f_* 1 = \iota_* a \quad \text{! zero section}$$

where these elements are in  $\tilde{K}(X^E)$ . Then

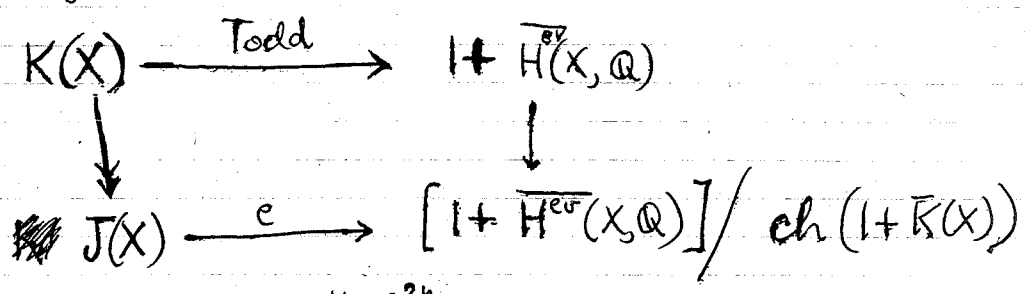
$$f_* 1 = \text{ch } f_* 1 = \text{ch } \iota_* a = \iota_* (\text{ch } a \cdot \text{Todd}(-E))$$

so applying  $\pi_*$  we have

$$1 = \text{ch } a \cdot \text{Todd}(-E) \quad \text{or}$$

$$\text{ch } a = \text{Todd } E \quad \text{with } a \in 1 + K(X)$$

Thus one gets a map



so in particular for a sphere  $X = S^{2n}$  we find that

$$(\text{Todd } E)_n = (\text{Bernoulli type coefficient}) c_n E + \text{decomposable.}$$

and so  $e$  takes its values

$$J(S^{2n}) \longrightarrow \text{~~some ring~~} \mathbb{Z} \cdot \frac{1}{k_n} / \mathbb{Z}$$

$$\therefore (-1)^{n-1} s_n = n a_n \quad \text{so}$$

$$\begin{aligned} \sum_{n \geq 1} (-1)^{n-1} s_{n-1} z^n &= z \sum_{n \geq 1} n a_n z^{n-1} = z \frac{d}{dz} \log T(z) \\ &= z \frac{T'(z)}{T(z)} \end{aligned}$$

Application: Suppose

$$T(x) = \frac{x}{1-e^{-x}}$$

$$\log T(x) = \log x + \log(1-e^{-x})$$

$$\frac{T'(x)}{T(x)} = \frac{1}{x} + \frac{-e^{-x}}{1-e^{-x}}$$

$$\begin{aligned} \sum_{n \geq 1} (-1)^{n-1} s_n x^n &= 1 - \frac{x e^{-x}}{1-e^{-x}} = \frac{1-e^{-x}-x e^{-x}}{1-e^{-x}} \\ &= 1 - \frac{x}{e^x - 1} \end{aligned}$$

$$\therefore s_n = \text{coefficient of } x^n \text{ in } \frac{x}{e^x - 1}$$

$$\text{or } \boxed{(-1)^n s_n = \text{coeff of } x^n \text{ in } \frac{x}{1-e^{-x}}} \quad n \geq 1$$

Thus coefficient of  $c_n E$  in  $(\text{Todd } E)_n$  is by above  $\approx \frac{B_n}{n!}$

But  $\text{ch}_n E = \frac{(-1)^{n-1} c_n(E)}{(n-1)!} + \text{decomposable}$

$$\therefore \mathbb{Z} \frac{1}{k_n} \mathbb{Z} = \mathbb{Z} \cdot \frac{B_n}{n!} \cdot (n-1)! / \mathbb{Z}$$

so  $k_n = \text{denominator of } \frac{B_n}{n}$  when expressed in lowest terms.